

## Executive Branch Personnel Public Financial Disclosure Report (OGE Form 278e)

### Filer's Information

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Blatchford, Laurel

Controller, Office of Federal Financial Management, Office of Management and Budget

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Other Federal Government Positions Held During the Preceding 12 Months:

None

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Names of Congressional Committees Considering Nomination:

- Committee on Homeland Security and Governmental Affairs
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Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Blatchford, Laurel [electronically signed on 09/22/2021 by Blatchford, Laurel in Integrity.gov]

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Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Adams, Laurie E, Certifying Official [electronically signed on 10/29/2021 by Adams, Laurie E in Integrity.gov]

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Other review conducted by

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U.S. Office of Government Ethics Certification

/s/ Apol, David, Certifying Official [electronically signed on 11/04/2021 by Apol, David in Integrity.gov]

## 1. Filer's Positions Held Outside United States Government

#	ORGANIZATION NAME		CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
1	Blue Meridian Partners		New York, New York	Non-Profit	Managing Director	7/2020	Present
2	Enterprise Community Partners		Columbia, Maryland	Non-Profit	President	1/2014	2/2020
3	Uplook Advisors LLC		Washington, District of Columbia	Corporation	Founder and Principal	1/2020	Present
4	Mt Adams LLC		Shelburne Falls, Massachusetts	Corporation	Manager	11/2020	Present
5	IIIB LLC	See Endnote	Shelburne Falls, Massachusetts	Corporation	Director	8/2021	Present
6	Family Irrevocable Trust		Easton, Maryland	Trust	Trustee	8/2012	Present
7	PT Fund, Inc. (Presidential Transition Team)	See Endnote	Washington, District of Columbia	Non-Profit	Advisor, HUD/FHFA Agency Review Team	11/2020	1/2021
8	National Housing Conference		Washington, District of Columbia	Non-Profit	Member, Board of Governors	1/2016	Present
9	National Housing Trust		Washington, District of Columbia	Non-Profit	Member, Board of Trustees	11/2015	10/2020
10	Community Preservation and Development Corporation		Silver Spring, Maryland	Non-Profit	Member, Board of Directors	12/2017	2/2020

## 2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Blue Meridian Partners (philanthropy)	N/A		Salary/Bonus	\$402,247
2	Enterprise Community Partners (nonprofit affordable housing organization)	N/A		Salary/Bonus	\$150,949
3	Uplook Advisors LLC (Strategic advisors to nonprofits and philanthropy)	N/A	\$1,001 - \$15,000	LLC Distribution	\$127,180
4	IRA	No			
4.1	Fidelity Government Cash Reserves (FDRXX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
4.2	Albany International Corp. (AIN)	N/A	\$15,001 - \$50,000		None (or less than \$201)
4.3	Applied Materials, Inc. (AMAT)	N/A	\$15,001 - \$50,000		None (or less than \$201)
4.4	Amgen Inc. (AMGN)	N/A	\$15,001 - \$50,000		None (or less than \$201)
4.5	Aptiv PLC (APTV)	N/A	\$15,001 - \$50,000		None (or less than \$201)
4.6	American Express Co. (AXP)	N/A	\$15,001 - \$50,000		None (or less than \$201)
4.7	Bristol-Myers Squibb (BMY)	N/A	\$15,001 - \$50,000		None (or less than \$201)
4.8	Brixmor Property Group, Inc. (BRX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.9	Carrier Global Corporation (CARR)	N/A	\$15,001 - \$50,000		None (or less than \$201)
4.10	Cisco Systems, Inc. (CSCO)	N/A	\$15,001 - \$50,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
4.11	The Walt Disney Co. (DIS)	N/A	\$15,001 - \$50,000		None (or less than \$201)
4.12	Facebook, Inc. (FB)	N/A	\$15,001 - \$50,000		None (or less than \$201)
4.13	Freeport-McMoRan, Inc. (FCX)	N/A	\$15,001 - \$50,000		None (or less than \$201)
4.14	Fiserv, Inc. (FISV)	N/A	\$15,001 - \$50,000		None (or less than \$201)
4.15	GXO Logistics, Inc. (GXO)	N/A	\$15,001 - \$50,000		None (or less than \$201)
4.16	Intel Corp. (INTC)	N/A	\$15,001 - \$50,000		None (or less than \$201)
4.17	CarMax, Inc. (KMX)	N/A	\$15,001 - \$50,000		None (or less than \$201)
4.18	Marriott International, Inc. (MAR)	N/A	\$15,001 - \$50,000		None (or less than \$201)
4.19	Medtronic Plc (MDT)	N/A	\$15,001 - \$50,000		None (or less than \$201)
4.20	MGM Resorts International (MGM)	N/A	\$15,001 - \$50,000		None (or less than \$201)
4.21	Materion Corp. (MTRN)	N/A	\$15,001 - \$50,000		None (or less than \$201)
4.22	Northrop Grumman Corp. (NOC)	N/A	\$15,001 - \$50,000		None (or less than \$201)
4.23	Palo Alto Networks, Inc. (PANW)	N/A	\$15,001 - \$50,000		None (or less than \$201)
4.24	Everest Re Group Ltd. (RE)	N/A	\$15,001 - \$50,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
4.25	Regeneron Pharmaceuticals, Inc. (REGN)	N/A	\$15,001 - \$50,000		None (or less than \$201)
4.26	Ross Stores, Inc. (ROST)	N/A	\$15,001 - \$50,000		None (or less than \$201)
4.27	Sysco Corp. (SYU)	N/A	\$15,001 - \$50,000		None (or less than \$201)
4.28	Teradyne, Inc. (TER)	N/A	\$15,001 - \$50,000		None (or less than \$201)
4.29	Thermo Fisher Scientific, Inc. (TMO)	N/A	\$15,001 - \$50,000		None (or less than \$201)
4.30	United Rentals, Inc. (URI)	N/A	\$15,001 - \$50,000		None (or less than \$201)
4.31	VMware, Inc. (VMW)	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.32	Verizon Communications, Inc. (VZ)	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.33	Walgreens Boots Alliance, Inc. (WBA)	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.34	XPO Logistics, Inc. (XPO)	N/A	\$15,001 - \$50,000		None (or less than \$201)
4.35	Zimmer Biomet Holdings, Inc. (ZBH)	N/A	\$15,001 - \$50,000		None (or less than \$201)
5	Blue Meridian Partners 403b	No			
5.1	Fidelity Freedom 2040 Fund (FFFX)	Yes	\$50,001 - \$100,000		None (or less than \$201)
6	Blue Meridian Partners (philanthropy), anticipated bonus	N/A	\$1,001 - \$15,000		None (or less than \$201)

### 3. Filer's Employment Agreements and Arrangements

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
1	Blue Meridian Partners	New York, New York	I will continue to participate in this defined contribution plan. The plan sponsor will not make further contributions after my separation.	7/2020
2	Uplook Advisors LLC	Washington, District of Columbia	My consulting business is currently inactive and will remain inactive during my appointment. All outstanding client fees will be fixed before I enter government service.	1/2021
3	Blue Meridian Partners	New York, New York	Pursuant to my employment agreement, I will receive a performance-based bonus for services rendered during the most recent fiscal year. This bonus is expected to be paid in November 2021.	9/2021

### 4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

#	SOURCE NAME	CITY, STATE	BRIEF DESCRIPTION OF DUTIES
1	Blue Meridian Partners	New York, New York	I am a Managing Director, and in that capacity I am responsible for developing a pipeline of investments, conducting due diligence, and making those investments.
2	Enterprise Community Partners	Columbia, Maryland	As President of Enterprise Community Partners, I was a key member of the executive team and was responsible for leadership of our programmatic and policy work nationally.
3	Uplook Advisors LLC	Washington, District of Columbia	As the principal of Uplook Advisors, I provided consulting services to two primary clients on a range of issues related to affordable housing, community development, economic mobility and climate change.
4	Blue Meridian Partners	New York, New York	Consulting services (client of Uplook Advisors LLC)
5	American Flood Coalition	Washington, District of Columbia	Consulting services (client of Uplook Advisors LLC)

## 5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Public Insights Corp. LLC (Strategic advising)	N/A	\$1,001 - \$15,000	LLC distribution	
2	Groundswell (clean energy nonprofit)	N/A		salary	
3	McKinsey & Company 401k:	No			
3.1	McKinsey PSRP Special Situations	Yes	\$15,001 - \$50,000		None (or less than \$201)
3.2	McKinsey PSRP Special Situations - Enhanced Liquidity	Yes	\$1,001 - \$15,000		None (or less than \$201)

## 6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Family Irrevocable Trust	No			
1.1	Fidelity Government Cash Reserves (FDRXX)	Yes	None (or less than \$1,001)		None (or less than \$201)
1.2	Kohl's Corp., bonds	N/A	\$1,001 - \$15,000	Interest Capital Gains	\$201 - \$1,000
1.3	AbbVie, Inc. (ABBV)	N/A	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
1.4	B&G Foods, Inc. (BGS)	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$2,501 - \$5,000
1.5	Compass Diversified (CODI)	N/A	\$15,001 - \$50,000	Dividends	\$2,501 - \$5,000



#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.6	Chevron Corp. (CVX)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
1.7	Clearway Energy, Inc. (CWEN-A)	N/A	\$15,001 - \$50,000		None (or less than \$201)
1.8	Dow, Inc. (DOW)	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$5,001 - \$15,000
1.9	Duke Energy Corp. (DUK)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
1.10	iShares MSCI EAFE ETF (EFA)	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
1.11	Enterprise Products Partners, L.P. (EPD)	N/A	\$1,001 - \$15,000	Dividends	\$1,001 - \$2,500
1.12	Energy Transfer LP (ET)	N/A	\$1,001 - \$15,000	Dividends	\$1,001 - \$2,500
1.13	Fortress Transportation and Infrastructure Investors LLC (FTAI)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
1.14	H&R Block, Inc. (HRB)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
1.15	Hercules Capital, Inc. (HTGC)	N/A	\$15,001 - \$50,000	Dividends	\$2,501 - \$5,000
1.16	International Business Machines Corp. (IBM)	N/A	\$1,001 - \$15,000	Dividends	\$1,001 - \$2,500
1.17	Interpublic Group of Cos., Inc. (IPG)	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$5,001 - \$15,000
1.18	Iron Mountain, Inc. (IRM)	N/A	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
1.19	Lumen Technologies, Inc. (LUMN)	N/A	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
1.20	Magellan Midstream Partners, L.P. (MMP)	N/A	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
1.21	Medical Properties Trust, Inc. (MPW)	N/A	\$15,001 - \$50,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.22	Navient Corp. (NAVI)	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$5,001 - \$15,000
1.23	NextEra Energy Inc. (NEE.PRP)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
1.24	National Retail Properties, Inc. (NNN)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
1.25	New York Community Bancorp, Inc. (NYCB)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
1.26	Pfizer Inc. (PFE)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
1.27	PPL Corp. (PPL)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
1.28	Sabra Health Care REIT, Inc. (SBRA)	N/A	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
1.29	AT&T Inc. (T)	N/A	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
1.30	Umpqua Holdings Corp. (UMPQ)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
1.31	Unum Group (UNM)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
1.32	Vanguard Small-Cap Value Index Fund ETF Class Shares (VBR)	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
1.33	ViacomCBS Inc. (VIAC)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
1.34	Vanguard FTSE Emerging Markets ETF (VWO)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
1.35	Verizon Communications, Inc. (VZ)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.36	Schlumberger NV (SLB)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
1.37	Ryman Hospitality Properties, Inc. (RHP)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.38	Ford Motor Co. (F)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
1.39	Tanger Factory Outlet Centers, Inc. (SKT)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
1.40	CenturyLink, Inc. (CTL)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
1.41	Pattern Energy Group, Inc. (PEGI)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
2	UTMA #1	No			
2.1	Fidelity Government Cash Reserves (FDRXX)	Yes	None (or less than \$1,001)		None (or less than \$201)
2.2	Albany International Corp. (AIN)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.3	Applied Materials, Inc. (AMAT)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.4	Amgen Inc. (AMGN)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.5	Aptiv PLC (APTV)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.6	American Express Co. (AXP)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.7	Bristol-Myers Squibb (BMY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.8	Brixmor Property Group, Inc. (BRX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.9	Carrier Global Corporation (CARR)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.10	Cisco Systems, Inc. (CSCO)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
2.11	The Walt Disney Co. (DIS)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.12	Facebook, Inc. (FB)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.13	Freeport-McMoRan, Inc. (FCX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.14	Fiserv, Inc. (FISV)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.15	GXO Logistics, Inc. (GXO)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.16	Intel Corp. (INTC)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.17	iShares Russell Mid-Cap ETF (IWR)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
2.18	CarMax, Inc. (KMX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.19	Marriott International, Inc. (MAR)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.20	Medtronic Plc (MDT)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.21	MGM Growth Properties LLC (MGP)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
2.22	Materion Corp. (MTRN)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.23	Northrop Grumman Corp. (NOC)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.24	Palo Alto Networks, Inc. (PANW)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.25	Everest Re Group Ltd. (RE)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
2.26	Regeneron Pharmaceuticals, Inc. (REGN)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.27	Ross Stores, Inc. (ROST)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.28	Sysco Corp. (SYU)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.29	Teradyne, Inc. (TER)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.30	Thermo Fisher Scientific, Inc. (TMO)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.31	United Rentals, Inc. (URI)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.32	Vanguard Small-Cap Value Index Fund ETF Class Shares (VBR)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
2.33	Vanguard FTSE All-World ex US Index Fund ETF Shares (VEU)	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
2.34	VMware, Inc. (VMW)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.35	Verizon Communications, Inc. (VZ)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.36	Walgreens Boots Alliance, Inc. (WBA)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.37	XPO Logistics, Inc. (XPO)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.38	Zimmer Biomet Holdings, Inc. (ZBH)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3	UTMA #2	No			
3.1	Fidelity Government Cash Reserves (FDRXX)	Yes	None (or less than \$1,001)		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3.2	Albany International Corp. (AIN)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.3	Applied Materials, Inc. (AMAT)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.4	Amgen Inc. (AMGN)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.5	Aptiv PLC (APTV)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.6	American Express Co. (AXP)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.7	Bristol-Myers Squibb (BMY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.8	Brixmor Property Group, Inc. (BRX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.9	Carrier Global Corporation (CARR)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.10	Cisco Systems, Inc. (CSCO)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.11	The Walt Disney Co. (DIS)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.12	Facebook, Inc. (FB)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.13	Freeport-McMoRan, Inc. (FCX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.14	Fiserv, Inc. (FISV)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.15	GXO Logistics, Inc. (GXO)	N/A	\$1,001 - \$15,000		None (or less than \$201)

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3.16	Intel Corp. (INTC)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.17	iShares Russell Mid-Cap ETF (IWR)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
3.18	CarMax, Inc. (KMX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.19	Marriott International, Inc. (MAR)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.20	Medtronic Plc (MDT)	N/A	\$1,001 - \$15,000		None (or less than \$201)
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3.22	Materion Corp. (MTRN)	N/A	None (or less than \$1,001)		None (or less than \$201)
3.23	Northrop Grumman Corp. (NOC)	N/A	\$1,001 - \$15,000		None (or less than \$201)
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3.32	Vanguard Small-Cap Index Fund ETF Shares (VB)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
3.33	Vanguard FTSE All-World ex US Index Fund ETF Shares (VEU)	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
3.34	VMware, Inc. (VMW)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.35	Verizon Communications, Inc. (VZ)	N/A	\$1,001 - \$15,000		None (or less than \$201)
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3.38	Zimmer Biomet Holdings, Inc. (ZBH)	N/A	\$1,001 - \$15,000		None (or less than \$201)
4	Virginia 529 Plan #1	No			
4.1	American Funds Growth Portfolio Class 529-A Shares (CGPAX)	Yes	\$250,001 - \$500,000		None (or less than \$201)
5	Virginia 529 Plan #2	No			
5.1	American Funds Growth Portfolio Class 529-A Shares (CGPAX)	Yes	\$250,001 - \$500,000		None (or less than \$201)
6	Hopeton LLC	See Endnote			
6.1	Northern Funds Mid Cap Index Fund (NOMIX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
6.2	Northern Funds Emerging Markets Equity Index Fund (NOEMX)	Yes	\$1,001 - \$15,000		None (or less than \$201)



#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
6.3	Northern Funds Stock Index Fund (NOSIX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
6.4	FlexShares Quality Dividend Index Fund (QDF)	Yes	\$1,001 - \$15,000		None (or less than \$201)
6.5	SPDR S&PGlobal Natural Resources ETF (GNR)	Yes	None (or less than \$1,001)		None (or less than \$201)
6.6	Vanguard Developed Markets Index Fund ETF Shares (VEA)	Yes	\$1,001 - \$15,000		None (or less than \$201)
6.7	Northern Funds High Yield Fixed Income Fund (NHFIX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
6.8	Northern Funds Intermediate Tax-Exempt Fund (NOITX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
6.9	Vanguard Limited-Term Tax-Exempt Fund Admiral Shares (VMLUX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
6.10	Nuveen Preferred Securities and Income Fund Class I Shares (NPSRX)	Yes	None (or less than \$1,001)		None (or less than \$201)
6.11	FlexShares iBoxx 3-Year Target Duration TIPS Index Fund (TDTT)	Yes	\$1,001 - \$15,000		None (or less than \$201)
6.12	Northern FundsGlobal Real Estate Index Fund (NGREX)	Yes	None (or less than \$1,001)		None (or less than \$201)
6.13	FlexShares STOXXGlobal Broad Infrastructure Index Fund (NFRA)	Yes	None (or less than \$1,001)		None (or less than \$201)
6.14	Northern Funds US Government Money Market Fund (NOGXX)	Yes	None (or less than \$1,001)		None (or less than \$201)
7	Head of the Lake LLC (land holding company) See Endnote	No			
7.1	Undeveloped land, Springfield Center, NY	N/A	\$15,001 - \$50,000	Rent or Royalties	\$1,001 - \$2,500
8	U.S. bank (cash)	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
9	Residential real estate, Washington, DC	N/A	\$100,001 - \$250,000	Rent or Royalties	\$15,001 - \$50,000

## 7. Transactions

(N/A) - Not required for this type of report

## 8. Liabilities

#	CREDITOR NAME	TYPE	AMOUNT	YEAR INCURRED	RATE	TERM	
1	Lakeview Loan Servicing	Mortgage on Personal Residence	\$500,001 - \$1,000,000	2020	3.5%	30 years	
2	Interior Federal Credit Union	Home Equity Loan	\$15,001 - \$50,000	2013	5.4%	20 years	
3	Ally Bank	See Endnote	Mortgage on Personal Residence	\$500,001 - \$1,000,000	2013	4.0	30 years

## 9. Gifts and Travel Reimbursements

(N/A) - Not required for this type of report

## Endnotes

PART	#	ENDNOTE
1.	5	This LLC does not currently hold any assets
1.	7	Uncompensated.
6.	6	I am a shareholder with many other members of my family, but not a director or manager of the LLC.
6.	7	I am a shareholder in this family LLC, but not a director. It manages land. This is a good faith estimate of my proportionate share of the value of the land managed by this LLC.
8.	3	This mortgage was paid in October 2020.

# Summary of Contents

## 1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

## 2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

## 3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

#### 4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

#### 5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

#### 6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

## 7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during the reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

## 8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

## 9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$166 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

## Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

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