

## Executive Branch Personnel Public Financial Disclosure Report (OGE Form 278e)

### Filer's Information

---

Durso, Laura

Chief of Staff, Office of Civil Rights, Department of Health & Human Services

Date of Termination: 02/04/2022

---

Other Federal Government Positions Held During the Preceding 12 Months:

None

---

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Durso, Laura [electronically signed on 02/26/2022 by Durso, Laura in Integrity.gov]

---

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ CardMina, Mary, Certifying Official [electronically signed on 03/22/2022 by CardMina, Mary in Integrity.gov]

---

Other review conducted by

/s/ Lee, Steven, Ethics Official [electronically signed on 03/15/2022 by Lee, Steven in Integrity.gov]

---

U.S. Office of Government Ethics Certification

---

Data Revised 03/22/2022

Data Revised 03/16/2022

Data Revised 03/15/2022

Data Revised 03/03/2022

---

Comments of Reviewing Officials (public annotations):

PART	#	REFERENCE	COMMENT
N/A	N/A	General	(03/03/2022, Lee, Steven): I/R - 3 March 2022 (SEL).

---

---

## 1. Filer's Positions Held Outside United States Government

None

---

## 2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Whitman-Walker Health 403(b) plan	No			
1.1	American Funds Target Date Retirement 2045 R3 Fund (RCHTX)	Yes	\$50,001 - \$100,000		None (or less than \$201)
2	Capital Group Roth IRA	No			
2.1	NEW WORLD FD INC NEW CL F 3	Yes	\$1,001 - \$15,000		None (or less than \$201)
2.2	AMERICAN FUNDS INTERNATIONAL VANTAGE FUND, CLASS F3	Yes	\$1,001 - \$15,000		None (or less than \$201)
2.3	CAPITAL INCOME BLDR FD CL F 3	Yes	\$1,001 - \$15,000		None (or less than \$201)
2.4	CAPITAL GROUP US EQUITY FUND	Yes	\$15,001 - \$50,000		\$201 - \$1,000
2.5	FUNDAMENTAL INVESTORS CL F 3	Yes	\$1,001 - \$15,000		None (or less than \$201)

---

## 3. Filer's Employment Agreements and Arrangements

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
1	Whitman-Walker Health	Washington, District of Columbia	I still retain assets obtained through my former employer's 403(b) retirement account. Neither my former employer nor I made any contributions to this account since my separation in February 2021.	1/2020

#### 4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

(N/A) - Not required for this type of report

#### 5. Spouse's Employment Assets & Income and Retirement Accounts

None

#### 6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Bank of America Savings	N/A	\$15,001 - \$50,000	Interest	None (or less than \$201)
2	Capital Group Core Municipal Fund (CCMPX)	Yes	\$100,001 - \$250,000		\$2,501 - \$5,000
3	American High-Income Municipal Bond CL F3	Yes	\$50,001 - \$100,000		\$2,501 - \$5,000
4	American Fund International Vantage Fund, Class F3	Yes	\$100,001 - \$250,000		\$201 - \$1,000
5	Capital Group US Equity Fund (CUSEX)	Yes	\$250,001 - \$500,000		\$2,501 - \$5,000
6	New World Fund, Inc Class F3 Shares (FNWFX)	Yes	\$50,001 - \$100,000		\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
7	Vanguard S&P 500 Value Index Fund (VOO)	Yes	\$1,001 - \$15,000		None (or less than \$201)
8	Fundamental Investments Class F 3	Yes	\$100,001 - \$250,000		\$1,001 - \$2,500
9	New Perspective Fund Class F3 Shares (FNPFX)	Yes	\$50,001 - \$100,000		\$201 - \$1,000
10	Washington Mutual Investors Fund Class F3 Shares (FWMIX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
11	American SMALLCAP World Fund, Inc Class F3 Shares (SFCWX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
12	Bank of America Checking	N/A	\$1,001 - \$15,000		None (or less than \$201)
13	Laura Elizabeth Durso 2020 Trust	No			
13.1	American Express Co. (AXP)	N/A	\$1,001 - \$15,000		None (or less than \$201)
13.2	BlackRock, Inc. (BLK)	N/A	\$15,001 - \$50,000		None (or less than \$201)
13.3	Caterpillar, Inc. (CAT)	N/A	\$1,001 - \$15,000		None (or less than \$201)
13.4	The Home Depot, Inc. (HD)	N/A	\$1,001 - \$15,000		None (or less than \$201)
13.5	Morgan Stanley (MS)	N/A	\$1,001 - \$15,000		None (or less than \$201)
13.6	Netflix, Inc. (NFLX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
13.7	T. Rowe Price Group, Inc. (TROW)	N/A	\$1,001 - \$15,000		None (or less than \$201)
13.8	Republic Services, Inc. (RSG)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
13.9	NEW YORK ST ENVMNTL FACS CORP CLEAN WTR & DRNKG REV REVLVG FD MUN B/E CPN 4.000% DUE 06/15/21 DTD 08/02/18 FC 12/15/18 CUSIP: 64986DFY6	N/A	\$15,001 - \$50,000		None (or less than \$201)
13.10	UNADILLA VALLEY NY CENT SCH DIST RFDG AGM B/E B/Q CPN 2.250% DUE 06/15/21 DTD 12/18/12 FC 06/15/13 CUSIP: 904264BY3	N/A	\$15,001 - \$50,000		None (or less than \$201)
13.11	NEW YORK NY CITY TRANSL FIN AUTH REV SUB FUTURE TAX SECD SER B B/E PTC CPN 5.000% DUE 02/01/26 DTD 08/28/02 FC 02/01/12 CALL 02/01/21 @ 100.000 CUSIP: 64971QJT1	N/A	\$15,001 - \$50,000		None (or less than \$201)
13.12	NEW YORK NY SER H B/E PTC CPN 5.000% DUE 08/01/31 DTD 03/19/13 FC 08/01/13 CALL 08/01/23 @ 100.000 CUSIP: 64966KSN4	N/A	\$15,001 - \$50,000		None (or less than \$201)
13.13	NEW YORK NY CITY MUN WTR FIN WTR & SWR SYS REV 2ND RESOLTN EE B/E PTC CPN 5.000% DUE 06/15/34 DTD 03/19/12 FC 06/15/12 CALL 06/15/22 @ 100.000 CUSIP: 64972F5X0	N/A	\$15,001 - \$50,000		None (or less than \$201)
13.14	NEW YORK NY CITY TRANSL FIN AUTH REV SUBSER D1 B/E OID @97.275 5.2% CPN 5.000% DUE 02/01/35 DTD 02/10/11 FC 08/01/11 CALL 02/01/21 @ 100.000 CUSIP: 64971QBH5	N/A	\$15,001 - \$50,000		None (or less than \$201)
13.15	iShares MSCI EAFE ETF (EFA)	Yes	\$50,001 - \$100,000		None (or less than \$201)
13.16	iShares Russell 2000 ETF (IWM)	Yes	\$100,001 - \$250,000		None (or less than \$201)
13.17	SPDR S&P Dividend ETF (SDY)	Yes	\$250,001 - \$500,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
13.18	Vanguard FTSE All-World ex US Index Fund ETF Shares (VEU)	Yes	\$15,001 - \$50,000		None (or less than \$201)
13.19	Vanguard Mid-Cap Index Fund ETF Shares (VO)	Yes	\$100,001 - \$250,000		None (or less than \$201)
13.20	Vanguard Growth Index Fund ETF Class Shares (VUG)	Yes	\$100,001 - \$250,000		None (or less than \$201)
13.21	Vanguard Total Stock Market Index Fund ETF Class Shares (VTI)	Yes	\$50,001 - \$100,000		None (or less than \$201)

## 7. Transactions

#	DESCRIPTION	TYPE	DATE	AMOUNT
1	AT&T	Sale	05/18/2021	\$1,001 - \$15,000
2	JP Morgan Chase & Co	Sale	05/18/2021	\$15,001 - \$50,000
3	JP Morgan Chase & Co	Sale	05/18/2021	\$15,001 - \$50,000
4	Procter & Gamble (PG)	Sale	05/18/2021	\$1,001 - \$15,000
5	Procter & Gamble (PG)	Sale	05/18/2021	\$15,001 - \$50,000
6	Raytheon (RTX)	Sale	05/18/2021	\$1,001 - \$15,000
7	Raytheon (RTX)	Sale	05/18/2021	\$1,001 - \$15,000
8	Medtronic Plc (MDT)	Sale	05/18/2021	\$1,001 - \$15,000
9	Aflac, Inc. (AFL)	Sale	05/18/2021	\$1,001 - \$15,000
10	Apple, Inc. (AAPL)	Sale	05/18/2021	\$15,001 - \$50,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
11	Amazon.com, Inc. (AMZN)	Sale	05/18/2021	\$15,001 - \$50,000
12	Amazon.com, Inc. (AMZN)	Sale	05/18/2021	\$15,001 - \$50,000
13	Apple, Inc. (AAPL)	Sale	05/18/2021	\$1,001 - \$15,000
14	DOW	Sale	05/18/2021	\$15,001 - \$50,000
15	Cisco Systems, Inc. (CSCO)	Sale	05/18/2021	\$15,001 - \$50,000
16	Cisco Systems, Inc. (CSCO)	Sale	05/18/2021	\$1,001 - \$15,000
17	The Coca-Cola Co. (KO)	Sale	05/18/2021	\$1,001 - \$15,000
18	The Coca-Cola Co. (KO)	Sale	05/18/2021	\$1,001 - \$15,000
19	The Coca-Cola Co. (KO)	Sale	05/18/2021	\$1,001 - \$15,000
20	Comcast Corp. (CMCSA)	Sale	05/18/2021	\$1,001 - \$15,000
21	The Walt Disney Co. (DIS)	Sale	05/18/2021	\$15,001 - \$50,000
22	Costco Wholesale Corp. (COST)	Sale	05/18/2021	\$15,001 - \$50,000
23	The Walt Disney Co. (DIS)	Sale	05/18/2021	\$100,001 - \$250,000
24	The Walt Disney Co. (DIS)	Sale	05/18/2021	\$100,001 - \$250,000
25	The Walt Disney Co. (DIS)	Sale	05/18/2021	\$1,001 - \$15,000
26	The Walt Disney Co. (DIS)	Sale	05/18/2021	\$15,001 - \$50,000
27	Duke Energy Corp. (DUK)	Sale	05/18/2021	\$1,001 - \$15,000
28	Duke Energy Corp. (DUK)	Sale	05/18/2021	\$1,001 - \$15,000



#	DESCRIPTION	TYPE	DATE	AMOUNT
29	Emerson Electric Co. (EMR)	Sale	05/18/2021	\$1,001 - \$15,000
30	Emerson Electric Co. (EMR)	Sale	05/18/2021	\$1,001 - \$15,000
31	Emerson Electric Co. (EMR)	Sale	05/18/2021	\$1,001 - \$15,000
32	Gilead Sciences, Inc. (GILD)	Sale	05/18/2021	\$1,001 - \$15,000
33	Honeywell International, Inc. (HON)	Sale	05/18/2021	\$15,001 - \$50,000
34	Honeywell International, Inc. (HON)	Sale	05/18/2021	\$1,001 - \$15,000
35	Honeywell International, Inc. (HON)	Sale	05/18/2021	\$1,001 - \$15,000
36	Honeywell International, Inc. (HON)	Sale	05/18/2021	\$1,001 - \$15,000
37	Intel Corp. (INTC)	Sale	05/18/2021	\$1,001 - \$15,000
38	Intel Corp. (INTC)	Sale	05/18/2021	\$1,001 - \$15,000
39	Intel Corp. (INTC)	Sale	05/18/2021	\$1,001 - \$15,000
40	International Business Machines Corp. (IBM)	Sale	05/18/2021	\$1,001 - \$15,000
41	International Business Machines Corp. (IBM)	Sale	05/18/2021	\$1,001 - \$15,000
42	Johnson & Johnson (JNJ)	Sale	05/18/2021	\$1,001 - \$15,000
43	Johnson & Johnson (JNJ)	Sale	05/18/2021	\$1,001 - \$15,000
44	Lockheed Martin Corp. (LMT)	Sale	05/18/2021	\$1,001 - \$15,000
45	Lockheed Martin Corp. (LMT)	Sale	05/18/2021	\$1,001 - \$15,000
46	Lockheed Martin Corp. (LMT)	Sale	05/18/2021	\$1,001 - \$15,000
47	McDonald's Corp. (MCD)	Sale	05/18/2021	\$15,001 - \$50,000
48	Merck & Co., Inc. (MRK)	Sale	05/18/2021	\$1,001 - \$15,000
49	Merck & Co., Inc. (MRK)	Sale	05/18/2021	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
50	Microsoft Corp. (MSFT)	Sale	05/18/2021	\$1,001 - \$15,000
51	Microsoft Corp. (MSFT)	Sale	05/18/2021	\$15,001 - \$50,000
52	Microsoft Corp. (MSFT)	Sale	05/18/2021	\$15,001 - \$50,000
53	Oracle Corp. (ORCL)	Sale	05/18/2021	\$15,001 - \$50,000
54	Paychex, Inc. (PAYX)	Sale	05/18/2021	\$1,001 - \$15,000
55	Paychex, Inc. (PAYX)	Sale	05/18/2021	\$1,001 - \$15,000
56	Paychex, Inc. (PAYX)	Sale	05/18/2021	\$1,001 - \$15,000
57	Paychex, Inc. (PAYX)	Sale	05/18/2021	\$1,001 - \$15,000
58	PepsiCo, Inc. (PEP)	Sale	05/18/2021	\$1,001 - \$15,000
59	Target Corp. (TGT)	Sale	05/18/2021	\$1,001 - \$15,000
60	Target Corp. (TGT)	Sale	05/18/2021	\$1,001 - \$15,000
61	Texas Instruments Incorporated (TXN)	Sale	05/18/2021	\$1,001 - \$15,000
62	Texas Instruments Incorporated (TXN)	Sale	05/18/2021	\$1,001 - \$15,000
63	United Parcel Service, Inc. (UPS)	Sale	05/18/2021	\$15,001 - \$50,000
64	AIG	Sale	05/18/2021	\$1,001 - \$15,000
65	AIG	Sale	05/18/2021	\$1,001 - \$15,000
66	Avis Budget Group, Inc. (CAR)	Sale	05/18/2021	\$1,001 - \$15,000
67	Applied Materials, Inc. (AMAT)	Sale	05/18/2021	\$1,001 - \$15,000
68	Broadcom, Inc. (AVGO)	Sale	05/18/2021	\$1,001 - \$15,000
69	Devon Energy Corp. (DVN)	Sale	05/18/2021	\$1,001 - \$15,000

#	DESCRIPTION		TYPE	DATE	AMOUNT
70	Johnson Controls International Plc (JCI)		Sale	05/18/2021	\$1,001 - \$15,000
71	Pfizer Inc. (PFE)		Sale	05/18/2021	\$1,001 - \$15,000
72	The Walt Disney Co. (DIS)		Sale	05/18/2021	\$1,001 - \$15,000
73	iShares MSCI EAFE ETF (EFA)		Purchase	05/18/2021	\$50,001 - \$100,000
74	iShares Russell 2000 ETF (IWM)		Purchase	05/18/2021	\$100,001 - \$250,000
75	SPDR S&P Dividend ETF (SDY)		Purchase	05/18/2021	\$250,001 - \$500,000
76	Vanguard FTSE All-World ex US Index Fund ETF Shares (VEU)		Purchase	05/18/2021	\$1,001 - \$15,000
77	Vanguard FTSE All-World ex US Index Fund ETF Shares (VEU)		Purchase	05/18/2021	\$1,001 - \$15,000
78	Vanguard Mid-Cap Index Fund ETF Shares (VO)		Purchase	05/18/2021	\$100,001 - \$250,000
79	Vanguard Growth Index Fund ETF Class Shares (VUG)		Purchase	05/18/2021	\$100,001 - \$250,000
80	Vanguard Total Stock Market Index Fund ETF Class Shares (VTI)		Purchase	05/18/2021	\$50,001 - \$100,000
81	Anheuser Busch INBEV SA/NV (BUD)	See Endnote	Sale	05/18/2021	\$1,001 - \$15,000

## 8. Liabilities

None

## 9. Gifts and Travel Reimbursements

None

---

## Endnotes

PART	#	ENDNOTE
7.	81	Administrative oversight, filer inadvertently omitted reporting this holding. Filer understands that this is considered late filing and subject to a late filing fee of \$200.00. Filer submitted late fee waiver request and it is being processed.

# Summary of Contents

## 1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

## 2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

## 3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

#### 4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

#### 5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

#### 6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

## 7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during the reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

## 8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

## 9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$166 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

## Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

## Public Burden Information

This collection of information is estimated to take an average of ten hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE), Suite 500, 1201 New York Avenue, N.W., Washington, DC 20005-3917.

Pursuant to the Paperwork Reduction Act, as amended, an agency may not conduct or sponsor, and no person is required to respond to, a collection of information unless it displays a currently valid OMB control number (that number, 3209-0001, is displayed here and at the top of the first page of this OGE Form 278e).

---