

Executive Branch Personnel

Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

Schlanger, Margo

Assistant Secretary for Civil Rights, Department of Agriculture

Other Federal Government Positions Held During the Preceding 12 Months:

None

Names of Congressional Committees Considering Nomination:

- Committee on Agriculture, Nutrition, and Forestry
-

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Schlanger, Margo [electronically signed on 08/03/2021 by Schlanger, Margo in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Bender, Stuart, Certifying Official [electronically signed on 09/21/2021 by Bender, Stuart in Integrity.gov]

Other review conducted by

U.S. Office of Government Ethics Certification

/s/ Apol, David, Certifying Official [electronically signed on 09/27/2021 by Apol, David in Integrity.gov]

1. Filer's Positions Held Outside United States Government

#	ORGANIZATION NAME		CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
1	University of Michigan Law School		Ann Arbor, Michigan	University/College	Professor of Law	9/2009	Present
2	Civil Rights Litigation Clearinghouse, University of Michigan Law	See Endnote	Ann Arbor, Michigan	University/College	Director	9/2009	Present
3	Forensic Psychiatry Fellowship, UM School of Medicine		Ann Arbor, Michigan	University/College	Legal Advisor	5/2017	Present
4	ACLU of Michigan		Detroit, Michigan	Non-Profit	Cooperating attorney, Hammama v. Adducci Class Counsel	6/2017	Present
5	Southern Poverty Law Center		Montgomery, Alabama	Non-Profit	Litigating Expert, Fraihat v. ICE	3/2019	Present
6	Burns Charest		Dallas, Texas	Law Firm	Litigating Expert, Novoa v. GEO Group	10/2019	Present
7	Rosen, Bien, Galvan & Grunfeld		San Francisco, California	Law Firm	Litigating expert, Sabata v. Nebraska Dep't of Corr. Services	10/2017	11/2020
8	Washington Lawyers' Committee		Washington, District of Columbia	Non-Profit	Settlement Monitor, Adams v. Kentucky	6/2015	6/2020
9	Kentucky Department of Corrections		Frankfort, Kentucky	State government	Settlement Monitor, Adams v. Kentucky	7/2020	12/2020
10	SSRN (Elsevier)	See Endnote	Rochester, New York	Corporation	E-journal "editor"	1/2005	Present

#	ORGANIZATION NAME		CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
11	Family Trust #1	See Endnote	Cambridge, Massachusetts	Trust	Trustee	6/2001	Present
12	Civil Rights Division Association	See Endnote	Washington, District of Columbia	Non-Profit	Co-President	3/2020	Present

2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	University of Michigan Law School	N/A		Salary	\$497,000
2	Forensic Psychiatry Fellowship, UM School of Medicine	N/A		Salary	\$4,500
3	Southern Poverty Law Center	N/A		Expert Witness Fees	\$5,070
4	Burns Charest	N/A		Expert Witness Fees	\$17,725
5	Kentucky Department of Corrections	N/A		Consultant Fees	\$17,121
6	Corrections & Sentencing e-journal (SSRN/Elsevier)	N/A		Editing Fees	\$300
7	ScotusBlog - February and June 2020	N/A		Honorarium	\$200
8	"Incarceration and the Law, Cases and Materials," 10th ed., LEG, Inc., d/b/a West Academic Publishing (value not readily ascertainable)	N/A		Rent or Royalties	\$1,001 - \$2,500
9	Lexis/Nexis (anticipated honorarium) - 6/16/2021	N/A	\$1,001 - \$15,000		None (or less than \$201)
10	Roth IRA	No			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
10.1	Vanguard Total Stock Market Admiral	Yes	\$50,001 - \$100,000		None (or less than \$201)
11	University of Michigan 457(b) Deferred Compensation Plan	No			
11.1	Fidelity Total Market Index Fund	Yes	\$100,001 - \$250,000		None (or less than \$201)
11.2	Fidelity International Index	Yes	\$50,001 - \$100,000		None (or less than \$201)
11.3	Fidelity US Bond Index	Yes	\$1,001 - \$15,000		None (or less than \$201)
12	University of Michigan 403(b) Supplemental Plan (Supplemental Retirement Account)	No			
12.1	Fidelity Extended Market Index	Yes	\$15,001 - \$50,000		None (or less than \$201)
12.2	Fidelity International Index	Yes	\$15,001 - \$50,000		None (or less than \$201)
12.3	Fidelity 500 Index	Yes	\$50,001 - \$100,000		None (or less than \$201)
12.4	Fidelity US Bond Index	Yes	\$1,001 - \$15,000		None (or less than \$201)
13	University of Michigan 403(b) Basic Retirement Plan	No			
13.1	Fidelity Extended Market Index	Yes	\$15,001 - \$50,000		None (or less than \$201)
13.2	Fidelity International Index	Yes	\$15,001 - \$50,000		None (or less than \$201)
13.3	Fidelity 500 Index	Yes	\$50,001 - \$100,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
13.4	Fidelity US Bond Index	Yes	\$1,001 - \$15,000		None (or less than \$201)
14	University of Michigan 401(a) Basic Retirement Plan	No			
14.1	Fidelity Extended Market Index	Yes	\$100,001 - \$250,000		None (or less than \$201)
14.2	Fidelity International Index	Yes	\$100,001 - \$250,000		None (or less than \$201)
14.3	Fidelity 500 Index	Yes	\$250,001 - \$500,000		None (or less than \$201)
14.4	Fidelity US Bond Index	Yes	\$15,001 - \$50,000		None (or less than \$201)
15	Harvard University, Retirement Income Plan for Teaching Faculty of Harvard University	No			
15.1	Vanguard Total Bond Fund Institutional Plus	Yes	\$100,001 - \$250,000		None (or less than \$201)
16	Harvard University Tax-Deferred Annuity Plan	No			
16.1	Vanguard Total Bond Market Institutional Plus	Yes	\$100,001 - \$250,000		None (or less than \$201)
17	Washington University Retirement Savings Plan	No			
17.1	Vanguard Institutional Index Fund Institutional Plus	Yes	\$250,001 - \$500,000		None (or less than \$201)
17.2	Vanguard Small Cap Index Fund Institutional Plus	Yes	\$100,001 - \$250,000		None (or less than \$201)
17.3	Vanguard Total Bond Market Fund Institutional Plus	Yes	\$15,001 - \$50,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
18	University of Michigan Civil Rights Litigation Clearinghouse Website (http://clearinghouse.net)(Value not readily ascertainable)	See Endnote	N/A		None (or less than \$201)

3. Filer's Employment Agreements and Arrangements

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
1	University of Michigan Law School	Ann Arbor, Michigan	It has not yet started, but I will take an unpaid leave of absence. Pursuant to University leave policy, the duration begins at 1 year, subject to renewal by the Regents.	8/2021
2	University of Michigan	Ann Arbor, Michigan	I will continue to participate in the 401(a) Basic Retirement Plan. The University will not make contributions during my leave.	9/2009
3	University of Michigan	Ann Arbor, Michigan	I will continue to participate in the 403(b) Basic Retirement Plan. The University will not make contributions during my leave.	9/2009
4	University of Michigan	Ann Arbor, Michigan	I will continue to participate in the 403(b) Supplemental Plan (Supplemental Retirement Account). The University will not make contributions during my leave.	9/2009
5	University of Michigan	Ann Arbor, Michigan	I will continue to participate in the 457(b) Deferred Compensation Plan. The University will not make contributions during my leave.	9/2009
6	Washington University	St. Louis, Missouri	I will continue to participate in the Washington University Retirement Savings Plan, but the plan sponsor no longer makes contributions.	7/2004
7	Harvard University	Cambridge, Michigan	I will continue to participate in the Retirement Income Plan for Teaching Faculty of Harvard University, but the plan sponsor no longer makes contributions.	7/1998

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
8	Harvard University	Cambridge, Massachusetts	I will continue to participate in the Harvard University Tax-Deferred Annuity Plan, but the plan sponsor no longer makes contributions.	7/1998

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

#	SOURCE NAME	CITY, STATE	BRIEF DESCRIPTION OF DUTIES
1	University of Michigan Law School	Ann Arbor, Michigan	Teaching, research, governance
2	Southern Poverty Law Center	Montgomery, Alabama	Expert, Fraihat v. ICE
3	Burns Charest	Dallas, Texas	Expert, Novoa v. GEO Group
4	Kentucky Department of Corrections	Frankfort, Kentucky	Settlement monitor, Adams v. Kentucky
5	Washington Lawyers' Committee	Washington, District of Columbia	Settlement monitor, Adams v. Kentucky
6	Rosen, Bien, Galvan & Grunfeld	San Francisco, California	Expert, Sabata v. Nebraska Department of Corrections

5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	University of Michigan	N/A		salary	
2	Michigan Employment Relations Commission	N/A		salary	
3	Economic Policy Institute	N/A		consulting fees	

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
4	University of Michigan 401(a) Basic Retirement Plan	No			
4.1	Fidelity Total Market Index Fund	Yes	\$500,001 - \$1,000,000		None (or less than \$201)
4.2	Fidelity International Index	Yes	\$100,001 - \$250,000		None (or less than \$201)
4.3	Fidelity US Bond Index	Yes	\$15,001 - \$50,000		None (or less than \$201)
5	Roth IRA	No			
5.1	Vanguard Total Stock Market Index Fund - Admiral Shares (VTSAX)	Yes	\$50,001 - \$100,000		None (or less than \$201)
6	University of Michigan 403(b) Basic Retirement Plan	No			
6.1	Fidelity Total Market Index Fund	Yes	\$100,001 - \$250,000		None (or less than \$201)
6.2	Fidelity International Index	Yes	\$15,001 - \$50,000		None (or less than \$201)
6.3	Fidelity US Bond Index	Yes	\$1,001 - \$15,000		None (or less than \$201)
7	Univ. of Michigan 403(b) Supplemental Plan (Supp. Retirement Account)	No			
7.1	Fidelity Total Market Index Fund	Yes	\$50,001 - \$100,000		None (or less than \$201)
7.2	Fidelity International Index	Yes	\$15,001 - \$50,000		None (or less than \$201)
7.3	Fidelity US Bond Index	Yes	\$1,001 - \$15,000		None (or less than \$201)
7.4	TIAA Traditional	N/A	\$50,001 - \$100,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
8	University of Michigan 457(b) Deferred Compensation Plan	No			
8.1	Fidelity Total Market Index Fund	Yes	\$100,001 - \$250,000		None (or less than \$201)
8.2	Fidelity International Index	Yes	\$50,001 - \$100,000		None (or less than \$201)
8.3	Fidelity US Bond Index	Yes	\$15,001 - \$50,000		None (or less than \$201)
8.4	TIAA Traditional	N/A	\$100,001 - \$250,000		None (or less than \$201)
9	Washington University Retirement Savings Plan	No			
9.1	TIAA-CREF International Equity Index Institutional	Yes	\$50,001 - \$100,000		None (or less than \$201)
9.2	Vanguard Institutional Index Institutional Plus	Yes	\$250,001 - \$500,000		None (or less than \$201)
9.3	Vanguard Total Bond Market Index Institutional Plus	Yes	\$50,001 - \$100,000		None (or less than \$201)
9.4	Vanguard Inflation Protected Securities Institutional	Yes	\$15,001 - \$50,000		None (or less than \$201)
10	Harvard Univ., Retirement Income Plan for Teaching Faculty of Harvard Univ.	No			
10.1	Vanguard Total Bond Market Index Institutional Plus	Yes	\$100,001 - \$250,000		None (or less than \$201)
11	Harvard University Tax-Deferred Annuity Plan	No			
11.1	Vanguard Total Bond Market Index Institutional Plus	Yes	\$50,001 - \$100,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
12	"Disability Rights Law, Cases and Materials," 3d ed., LEG, Inc., d/b/a Foundation Press (Value Not Readily Ascertainable)	N/A		Rent or Royalties	\$201 - \$1,000
13	"Law and the Contradictions of the Disability Rights Movement," Yale University Press (Value Not Readily Ascertainable)	N/A			None (or less than \$201)
14	Solo legal practice	N/A	None (or less than \$1,001)	Legal Fees	

6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	U.S. Brokerage Account #1	No			
1.1	Fidelity ZERO Total Market Index Fund (FZROX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
2	U.S. Brokerage cash account	N/A	\$1,001 - \$15,000		None (or less than \$201)
3	U.Fund College Investing Plan #1 (Massachusetts 529 college savings plan)	No			
3.1	MA College Portfolio (Fidelity Funds)	Yes	\$1,001 - \$15,000		\$1,001 - \$2,500
4	U.S. Brokerage Account #2	No			
4.1	Vanguard Cash Reserves Federal Money Market Fund - Admiral Shares (VMRXX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
4.2	Vanguard Total International Stock Index Fund - Admiral Shares (VTIAX)	Yes	\$15,001 - \$50,000		\$15,001 - \$50,000
4.3	Vanguard Total Stock Market Index Fund - Admiral Shares (VTSAX)	Yes	\$100,001 - \$250,000		\$100,001 - \$1,000,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
5	MOST—Missouri's 529 Education Plan #1, no remaining assets.	N/A	None (or less than \$1,001)	Cash payment	\$55,925
6	Michigan Education Savings Program #1	No		Cash Payment	\$40,343
6.1	Principal Plus Interest Option	Yes	\$50,001 - \$100,000		None (or less than \$201)
7	Michigan Education Savings Program #2	No			
7.1	Principal Plus Interest Option	Yes	\$50,001 - \$100,000		None (or less than \$201)
8	U.S. bank #1 (cash)	N/A	\$50,001 - \$100,000		None (or less than \$201)
9	U.S. bank # 2 (cash)	N/A	\$100,001 - \$250,000	Interest	\$201 - \$1,000
10	U.S. bank #3 (cash)	N/A	\$1,001 - \$15,000		None (or less than \$201)
11	MOST—Missouri's 529 Education Plan #2, no remaining assets	N/A	None (or less than \$1,001)	Cash payment	\$55,802
12	U.Fund College Investing Plan #2 (Massachusetts 529 college savings plan), no remaining assets	N/A	None (or less than \$1,001)	Cash Payment	\$5,042
13	DC College Savings Plan #1, no remaining assets	N/A	None (or less than \$1,001)	Cash Payment	\$2,494
14	DC College Savings Plan #2, no remaining assets	N/A	None (or less than \$1,001)	Cash Payment	\$2,517
15	New York 529 College Savings Program Direct Plan #1	No		Cash Payment	\$10,138
15.1	Aggressive Age-Based Option: Conservative Portfolio	Yes	\$1,001 - \$15,000		None (or less than \$201)
16	New York 529 College Savings Program Direct Plan #2	No		Cash Payment	\$17,385

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
16.1	Aggressive Age-Based Option: Conservative Portfolio	Yes	\$1,001 - \$15,000		None (or less than \$201)
17	Residential real estate, Ann Arbor, MI	N/A	\$500,001 - \$1,000,000	Rent or Royalties	\$5,001 - \$15,000
18	Roth IRA (Dependent Child #1)	No			
18.1	Fidelity Zero Total Market Index	Yes	\$1,001 - \$15,000		None (or less than \$201)
19	Roth IRA (Dependent Child #2)	No			
19.1	Fidelity Zero Total Market Index	Yes	\$15,001 - \$50,000		None (or less than \$201)
20	U.S. Savings Bonds	N/A	\$15,001 - \$50,000		None (or less than \$201)
21	U.S. Savings Bonds	N/A	\$1,001 - \$15,000		None (or less than \$201)
22	MOST—Missouri's 529 Education Plan #3, no remaining assets	N/A	None (or less than \$1,001)	Cash payment	\$40,942
23	MOST—Missouri's 529 Education Plan #4, no remaining assets	N/A	None (or less than \$1,001)	Cash payment	\$34,666

7. Transactions

(N/A) - Not required for this type of report

8. Liabilities

#	CREDITOR NAME	TYPE	AMOUNT	YEAR INCURRED	RATE	TERM
1	TRUE Community Credit Union	State-Subsidized Loan to Finance Home Solar Project	\$15,001 - \$50,000	2021	4.25	10 years
2	J.P. Morgan Chase Bank, N.A.	Mortgage on Personal Residence	\$100,001 - \$250,000	2012	3.125	15 years
3	Pentagon Federal Credit Union	Exercised Line of Credit	\$15,001 - \$50,000	2020	4.125	7 years
4	Mr. Cooper	Mortgage on Personal Residence	\$500,001 - \$1,000,000	2021	2.625	30 years

9. Gifts and Travel Reimbursements

(N/A) - Not required for this type of report

Endnotes

PART	#	ENDNOTE
1.	2	No additional pay
1.	10	I was not an e-journal editor with this journal for the time period from 2010 to 2013.
1.	11	I am a beneficiary of this trust. Its sole asset is a cash account with a value below the reporting threshold.
1.	12	This is a non-profit organization open to current and former employees of the DOJ Civil Rights Division; it puts on occasional informational and reunion-type events.
2.	18	The University of Michigan owns this website and database. I have the option to take ownership in the event that I permanently separate from the University.

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during the reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$166 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

Public Burden Information

This collection of information is estimated to take an average of ten hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE), Suite 500, 1201 New York Avenue, N.W., Washington, DC 20005-3917.

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