

# Executive Branch Personnel

## Public Financial Disclosure Report:

### Periodic Transaction Report (OGE Form 278-T)

#### Filer's Information

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LaVigne, Nancy

Director, NIJ, Department of Justice -- Simple

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Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ LaVigne, Nancy [electronically signed on 11/13/2022 by LaVigne, Nancy in Integrity.gov]

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Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Bernhardt, Gena, Certifying Official [electronically signed on 05/29/2023 by Bernhardt, Gena in Integrity.gov]

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Other review conducted by

/s/ Bernhardt, Gena, Ethics Official [electronically signed on 05/29/2023 by Bernhardt, Gena in Integrity.gov]

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U.S. Office of Government Ethics Certification

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#### Transactions

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
1	Invesco Oppenheimer Developing Markets Fund Class Y Shares (ODVYX)	Sale	09/02/2022	No	\$1,001 - \$15,000
2	Invesco Oppenheimer Developing Markets Fund Class Y Shares (ODVYX)	Sale	09/02/2022	No	\$1,001 - \$15,000
3	Goldman Sachs Access Treasury 0-1 Year ETF (GBIL)	Sale	09/13/2022	No	\$1,001 - \$15,000
4	Baron Discovery Fund Institutional Class Shares (BDFIX)	Sale	09/15/2022	No	\$1,001 - \$15,000
5	Goldman Sachs GQG Partners International Opportunities Fund Institutional Class Shs (GSIMX)	Sale	09/15/2022	No	\$1,001 - \$15,000
6	Eaton Vance Atlanta Capital SMID-Cap Fund Class I Shares (EISMX)	Sale	09/15/2022	No	\$1,001 - \$15,000
7	First Eagle Overseas Fund Class I Shares (SGOIX)	Sale	09/15/2022	No	\$1,001 - \$15,000
8	Vanguard FTSE All-World ex US Index Fund ETF Shares (VEU)	Sale	09/15/2022	No	\$1,001 - \$15,000
9	Roche Holdings ADR	Sale	09/15/2022	No	\$1,001 - \$15,000
10	Health Care Select Sector SPDR Fund (XLV)	Purchase	09/15/2022	No	\$1,001 - \$15,000
11	Utilities Select Sector SPDR Fund (XLU)	Purchase	09/15/2022	No	\$1,001 - \$15,000
12	Verizon Communications, Inc. (VZ)	Sale	09/15/2022	No	\$1,001 - \$15,000
13	iShares Core S&P Small-Cap E	Sale	09/15/2022	No	\$1,001 - \$15,000
14	Chevron Corp. (CVX)	Sale	09/15/2022	No	\$1,001 - \$15,000
15	AT&T Inc.	Purchase	09/15/2022	No	\$1,001 - \$15,000
16	Berkshire Hathaway CL-B New	Sale	09/15/2022	No	\$1,001 - \$15,000
17	Crown Castle International Corp. (CCI)	Purchase	09/15/2022	No	\$1,001 - \$15,000
18	Walmart, Inc. (WMT)	Purchase	09/15/2022	No	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
19	Goldman Sachs Access Treasury 0-1 Year ETF (GBIL)	Purchase	09/19/2022	No	\$15,001 - \$50,000
20	Invesco Oppenheimer Developing Markets Fund Class Y Shares (ODVYX)	Sale	09/02/2022	No	\$15,001 - \$50,000
21	ODVXY	Sale	09/07/2022	No	\$50,001 - \$100,000
22	Carlyle ENRG CT OPP II DFR	Exchange	09/09/2022	No	\$1,001 - \$15,000
23	CARLYLE ENRG CR OPP II FDR	Exchange	09/09/2022	No	\$1,001 - \$15,000
24	Matthews Asia Growth Fund Institutional Class Shares (MIAPX)	Sale	09/13/2022	No	\$15,001 - \$50,000
25	Polen Growth Fund Institutional Class Shares (POLIX)	Purchase	09/15/2022	No	\$1,001 - \$15,000
26	Baron Discovery Instl	Sale	09/15/2022	No	\$1,001 - \$15,000
27	Goldman Sachs GQG Partners International Opportunities Fund Institutional Class Shs (GSIMX)	Sale	09/15/2022	No	\$1,001 - \$15,000
28	First Eagle Overseas Fund Class I Shares (SGOIX)	Sale	09/15/2022	No	\$1,001 - \$15,000
29	Vanguard FTSE All-World ex US Index Fund ETF Shares (VEU)	Sale	09/15/2022	No	\$1,001 - \$15,000
30	Roche Holdings AVR	Sale	09/15/2022	No	\$15,001 - \$50,000
31	Financial Select Sector SPDR Fund (XLF)	Purchase	09/15/2022	No	\$1,001 - \$15,000
32	Utilities Select Sector SPDR Fund (XLU)	Purchase	09/15/2022	No	\$15,001 - \$50,000
33	Verizon Communications, Inc. (VZ)	Sale	09/15/2022	No	\$1,001 - \$15,000
34	CVS Health Corp. (CVS)	Sale	09/15/2022	No	\$1,001 - \$15,000
35	iShares Core S&P Small-Cap ETF (IJR)	Purchase	09/15/2022	No	\$1,001 - \$15,000
36	Chevron Corp. (CVX)	Sale	09/15/2022	No	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
37	AT&T	Purchase	09/15/2022	No	\$1,001 - \$15,000
38	JPMorgan Ultra-Short Income ETF (JPST)	Purchase	09/15/2022	No	\$15,001 - \$50,000
39	Invesco QQQ Trust, Series 1 (QQQ)	Purchase	09/15/2022	No	\$1,001 - \$15,000
40	Berkshire Hathaway Class B New	Sale	09/15/2022	No	\$1,001 - \$15,000
41	Crown Castle International Corp. (CCI)	Purchase	09/15/2022	No	\$1,001 - \$15,000
42	GOOGL	Purchase	09/15/2022	No	\$1,001 - \$15,000
43	iSHARES Edge MSCI US QLTQ FAC	Purchase	09/15/2022	No	\$1,001 - \$15,000
44	Goldman Sachs Access Treasury 0-1 Year ETF (GBIL)	Purchase	09/15/2022	No	\$15,001 - \$50,000
45	iShares Gold Trust (IAU)	Purchase	09/15/2022	No	\$1,001 - \$15,000
46	Microsoft Corp. (MSFT)	Purchase	09/15/2022	No	\$1,001 - \$15,000
47	Invesco Bullet Shares 2026 Corporate Bond	Purchase	09/22/2022	Yes	\$1,001 - \$15,000
48	Vanguard Intermediate-Term Corporate Bond Index Fund Admiral Class Shares (VICSX)	Sale	09/15/2022	No	\$15,001 - \$50,000
49	iShares Core U.S. Aggregate Bond Fund	Purchase	09/15/2022	No	\$15,001 - \$50,000
50	Vanguard Intermediate Term Bond Fund	Sale	09/15/2022	No	\$15,001 - \$50,000
51	iShares Intermediate-Term Corporate Bond ETF (IGIB)	Purchase	09/15/2022	No	\$15,001 - \$50,000
52	Invesco BulletShares 2026 Corporate Bond ETF (BSCQ)	Purchase	09/15/2022	No	\$1,001 - \$15,000
53	JPMorgan Ultra-Short Income ETF (JPST)	Sale	10/24/2022	No	\$1,001 - \$15,000
54	iShares 20+ Year Treasury Bond ETF (TLT)	Purchase	10/04/2022	No	\$50,001 - \$100,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
55	iShares Gold Trust (IAU)	Sale	10/04/2022	No	\$50,001 - \$100,000
56	Goldman Sachs Access Treasury 0-1 Year ETF (GBIL)	Purchase	10/07/2022	No	\$15,001 - \$50,000
57	Goldman Sachs Access Treasury 0-1 Year ETF (GBIL)	Purchase	10/07/2022	No	\$1,001 - \$15,000
58	Goldman Sachs Access Treasury 0-1 Year ETF (GBIL)	Purchase	10/11/2022	No	\$15,001 - \$50,000
59	Blackstone BREIT			No	
60	Meta Platforms Class A	Sale	10/31/2022	No	\$1,001 - \$15,000
61	Gadsden Dynamic Multi-Asset ETF (GDMA)	Sale	10/06/2022	No	\$1,001 - \$15,000
62	Lockheed Martin Corp. (LMT)	Sale	10/06/2022	No	\$1,001 - \$15,000
63	General Mills, Inc. (GIS)	Sale	10/06/2022	No	\$1,001 - \$15,000
64	First Trust NASDAQ Technology Dividend Index Fund (TDIV)	Purchase	10/06/2022	No	\$1,001 - \$15,000
65	D	Purchase	10/06/2022	No	\$1,001 - \$15,000
66	General Mills, Inc. (GIS)	Sale	09/26/2022	No	\$1,001 - \$15,000
67	The Kraft Heinz Co. (KHC)	Purchase	09/26/2022	No	\$1,001 - \$15,000

## Endnotes

## Summary of Contents

The 278-T discloses purchases, sales, or exchanges of securities in excess of \$1,000 made on behalf of the filer, the filer's spouse, or dependent child. Transactions are required to be disclosed within 30 days of receiving notification of a transaction but not later than 45 days after the transaction. Filers need not disclose (1) mutual funds and other excepted investment funds; (2) certificates of deposit, savings or checking accounts, and money market accounts; (3) U.S. Treasury bills, notes, and bonds; (4) Thrift

Savings Plan accounts; (5) real property; and (6) transactions that are solely by and between the filer, the filer's spouse, and the filer's dependent children.

## Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

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