Annual Report 2020 for Calendar Year 2019 | U.S. Office of Government Ethics; 5 C.F.R. part 2634 | Form Approved: OMB No. (3209-0001) (Updated Nov. 2019)

### **Executive Branch Personnel**

## Public Financial Disclosure Report (OGE Form 278e)

### Filer's Information

**BLOM, DOMINIQUE** 

General Deputy Assistant Secretary, Department of Housing and Urban Development

Report Year: 2020

Other Federal Government Positions Held During the Preceding 12 Months:

None

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ BLOM, DOMINIQUE [electronically signed on 05/10/2020 by BLOM, DOMINIQUE in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Baxter, Daniel, Certifying Official [electronically signed on 05/26/2020 by Baxter, Daniel in Integrity.gov]

Other review conducted by

/s/ Slye, Brandi, Ethics Official [electronically signed on 05/26/2020 by Slye, Brandi in Integrity.gov]

U.S. Office of Government Ethics Certification

## 1. Filer's Positions Held Outside United States Government

None

# 2. Filer's Employment Assets & Income and Retirement Accounts

DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
Facebook, Inc Class A Common Stock		\$1,001 - \$15,000	Dividends	None (or less than \$201)
Ford Motor Co		\$1,001 - \$15,000	Dividends	None (or less than \$201)
DEMCX Delaware Emerging Markets	Yes	\$1,001 - \$15,000		None (or less than \$201)
NDACX Nationwide Investor	Yes	\$1,001 - \$15,000		\$201 - \$1,000
WFINX Wells Fargo Index fd cl c	Yes	\$15,001 - \$50,000		None (or less than \$201)
MRBCX Mfs total return bond	Yes	\$1,001 - \$15,000	_	\$201 - \$1,000
ASPZX alger spectra fund	Yes	\$50,001 - \$100,000		None (or less than \$201)
LISFX Lord abbett intermediate	Yes	\$100,001 - \$250,000		\$2,501 - \$5,000
ITM Market vectrs intrmdiate	Yes	\$100,001 - \$250,000		\$2,501 - \$5,000
MEIIX MFS value fd cl i	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
PZA Powershares national	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
	Facebook, Inc Class A Common Stock  Ford Motor Co  DEMCX Delaware Emerging Markets  NDACX Nationwide Investor  WFINX Wells Fargo Index fd cl c  MRBCX Mfs total return bond  ASPZX alger spectra fund  LISFX Lord abbett intermediate  ITM Market vectrs intrmdiate  MEIIX MFS value fd cl i	Facebook, Inc Class A Common Stock  Ford Motor Co  DEMCX Delaware Emerging Markets  NDACX Nationwide Investor  WFINX Wells Fargo Index fd cl c  MRBCX Mfs total return bond  ASPZX alger spectra fund  LISFX Lord abbett intermediate  Yes  MEIIX MFS value fd cl i  Yes	Facebook, Inc Class A Common Stock         \$1,001 - \$15,000           Ford Motor Co         \$1,001 - \$15,000           DEMCX Delaware Emerging Markets         Yes         \$1,001 - \$15,000           NDACX Nationwide Investor         Yes         \$1,001 - \$15,000           WFINX Wells Fargo Index fd cl c         Yes         \$15,001 - \$50,000           MRBCX Mfs total return bond         Yes         \$50,001 - \$15,000           ASPZX alger spectra fund         Yes         \$50,001 - \$100,000           LISFX Lord abbett intermediate         Yes         \$100,001 - \$250,000           ITM Market vectrs intrmdiate         Yes         \$100,001 - \$250,000           MEIIX MFS value fd cl i         Yes         \$50,001 - \$100,000           PZA Powershares national         Yes         \$50,001 -	Facebook, Inc Class A Common Stock

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
12	SHM spdr nuveen blmbrg	Yes	\$100,001 - \$250,000		\$1,001 - \$2,500
13	VBR Vanguard small cap value	Yes	\$15,001 - \$50,000		\$201 - \$1,000
14	VBK Vanguard small cap	Yes	\$50,001 - \$100,000		\$201 - \$1,000
15	VO Vanguard mid-cap etf	Yes	\$50,001 - \$ \$100,000		\$1,001 - \$2,500
16	MGC Vanguard mega cap etf	Yes	\$250,001 - \$5, \$500,000		\$5,001 - \$15,000
17	MGK Vanguard mega cap 300 growth	Yes	\$100,001 - \$250,000		\$1,001 - \$2,500
18	MGV Vanguard mega cap 300 value	Yes	\$100,001 - \$250,000		\$2,501 - \$5,000
19	IEI iShares Barclays 3-7 Year Treasury Bond	Yes	\$1,001 - \$15,000		None (or less than \$201)
20	BIV Vanguard Intermediate	Yes	\$1,001 - \$15,000		None (or less than \$201)
21	MAMTX Blackrock Strategic Muni	Yes	\$100,001 - \$250,000		\$2,501 - \$5,000
22	ACGYX AB Income Fund Adv Class	Yes			None (or less than \$201)
23	ASPZX Alger Spectra Fund	Yes	\$1,001 - \$15,000		None (or less than \$201)
24	MSUMX BlackRock US Mortgage Portfolio	Yes	\$1,001 - \$15,000		None (or less than \$201)
25	PBDCX PIMCO Investment Grade Corp Bd C	Yes	\$15,001 - \$50,000		\$201 - \$1,000

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
26	MRBFX MFS total return bond		Yes	\$15,001 - \$50,000		\$201 - \$1,000
27	HFXI Indexiq etf trust shs IQ		Yes	\$15,001 - \$50,000		\$201 - \$1,000
28	NVHIX Nuveen Short Dur Hi Yld Muni Bd I (NVHIX)		Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
29	XSOE Wisdomtree emerging mark		Yes	\$15,001 - \$50,000		\$201 - \$1,000
30	ALHYX AllianceBern Limited Dur Hi Inc Advisor (ALHYX)		Yes	\$1,001 - \$15,000		None (or less than \$201)
31	PBDAX PIMCO Investment Grade Corp Bd A (PBDAX)	See Endnote	Yes	\$1,001 - \$15,000		None (or less than \$201)
32	TIP iShares Barclays TIPS Bond (TIP)	See Endnote	Yes	\$1,001 - \$15,000		None (or less than \$201)
33	MEDIX mfs emerging markets	See Endnote	Yes	\$1,001 - \$15,000		None (or less than \$201)
34	PBDPX PIMCO Investment Grade Corp Bd P (PBDPX)	See Endnote	Yes	\$1,001 - \$15,000		None (or less than \$201)
35	WFILX Wells Fargo Advantage Index A (WFILX)		Yes	\$1,001 - \$15,000		None (or less than \$201)

# 3. Filer's Employment Agreements and Arrangements

None

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

# 5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	AGDYX AllianceBern High Income Advisor	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
2	ACGYX AB income fund adv class	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
3	ASPZX alger spectra fund	Yes	\$15,001 - \$50,000		None (or less than \$201)
4	MSUMX BlackRock US Mortgage Portfolio Instl	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
5	TIP iShares Barclays TIPS Bond	Yes	\$15,001 - \$50,000		\$201 - \$1,000
6	IEI iShares Barclays 3-7 Year Treasury Bond	Yes	\$15,001 - \$50,000		\$201 - \$1,000
7	MEDIX mfs emerging markets	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
8	MEIIX mfs value fd cl i	Yes	\$15,001 - \$50,000		\$201 - \$1,000
9	PBDPX PIMCO Investment Grade Corp Bd P	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
10	VBR vanguard small cap value	Yes	\$15,001 - \$50,000		\$201 - \$1,000
11	VBK vanguard small cap	Yes	\$15,001 - \$50,000		\$201 - \$1,000
12	VO vanguard mid cap etf	Yes	\$50,001 - \$100,000		\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
13	BIV vanguard intermediate	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
14	MGC vanguard mega cap etf	Yes	\$100,001 - \$250,000		\$2,501 - \$5,000
15	MGK vanguard mega cap 300	Yes	\$50,001 - \$100,000		\$201 - \$1,000
16	MGV vanguard mega cap 300	Yes	\$50,001 - \$100,000		\$2,501 - \$5,000
17	XSOE Wisdomtree emerging mark	Yes	\$15,001 - \$50,000		\$201 - \$1,000
18	ALHYX AllianceBern Limited Dur Hi Inc Advisor (ALHYX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
19	HFXI Indexiq etf trust shs iq	Yes	\$15,001 - \$50,000		\$201 - \$1,000

# 6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Cash Savings Johns Hopkins Federal Credit Union		\$100,001 - \$250,000	Interest	None (or less than \$201)
2	Cash Citibank		\$50,001 - \$100,000	Interest	None (or less than \$201)
3	Cash Merrill Lynch		\$15,001 - \$50,000	Interest	None (or less than \$201)
4	Cash Merrill Lynch		\$1,001 - \$15,000	Interest	None (or less than \$201)

# 7. Transactions

#	DESCRIPTION	TYPE	DATE	AMOUNT
1	shm	Sale	10/29/2019	\$15,001 - \$50,000
2	nvhix	Purchase	10/29/2019	\$15,001 - \$50,000
3	iemg	Sale	10/16/2019	\$15,001 - \$50,000
4	xsoe	Purchase	10/16/2019	\$15,001 - \$50,000
5	iemg	Purchase	05/16/2019	\$1,001 - \$15,000
6	shm	Purchase	05/02/2019	\$1,001 - \$15,000
7	shm	Purchase	04/11/2019	\$1,001 - \$15,000
8	mgv	Purchase	03/28/2019	\$1,001 - \$15,000
9	fm	Sale	03/07/2019	\$1,001 - \$15,000
10	vea	Sale	03/07/2019	\$15,001 - \$50,000
11	mgv	Purchase	03/07/2019	\$15,001 - \$50,000
12	iemg	Purchase	03/07/2019	\$1,001 - \$15,000
13	vnq	Sale	03/07/2019	\$50,001 - \$100,000
14	mgk	Purchase	03/07/2019	\$15,001 - \$50,000
15	mgc	Purchase	03/07/2019	\$15,001 - \$50,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
16	dbef	Sale	03/07/2019	\$15,001 - \$50,000
17	hfxi	Purchase	03/07/2019	\$15,001 - \$50,000
18	shm	Purchase	03/01/2019	\$1,001 - \$15,000
19	vbk	Purchase	02/26/2019	\$1,001 - \$15,000
20	vbr	Purchase	02/26/2019	\$1,001 - \$15,000
21	temzx	Sale	02/26/2019	\$1,001 - \$15,000
22	mwnix	Sale	02/26/2019	\$1,001 - \$15,000
23	shm	Purchase	02/14/2019	\$1,001 - \$15,000
24	mamtx	Purchase	01/31/2019	\$1,001 - \$15,000
25	iemg	Purchase	01/30/2019	\$15,001 - \$50,000
26	fm	Purchase	01/30/2019	\$1,001 - \$15,000
_27	hlfmx	Sale	01/30/2019	\$1,001 - \$15,000
28	emryx	Sale	01/30/2019	\$15,001 - \$50,000
29	vo	Purchase	01/04/2019	\$1,001 - \$15,000
30	vbr	Purchase	01/04/2019	\$1,001 - \$15,000
31	meiix	Purchase	01/04/2019	\$1,001 - \$15,000
32	alhyx	Purchase	10/29/2019	\$1,001 - \$15,000
33	iemg	Sale	10/16/2019	\$1,001 - \$15,000
34	vnq	Sale	03/07/2019	\$1,001 - \$15,000
35	hfxi	Purchase	03/07/2019	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
36	msumx	Purchase	02/26/2019	\$1,001 - \$15,000
37	nezyx	Sale	02/26/2019	\$1,001 - \$15,000
38	sgsyx	Sale	02/26/2019	\$1,001 - \$15,000
39	vo	Sale	10/29/2019	\$1,001 - \$15,000
40	mgk	Sale	10/29/2019	\$1,001 - \$15,000
41	iei	Purchase	10/29/2019	\$1,001 - \$15,000
42	vbr	Purchase	10/29/2019	\$1,001 - \$15,000
43	mgc	Sale	10/29/2019	\$1,001 - \$15,000
44	xsoe	Purchase	10/29/2019	\$1,001 - \$15,000
45	biv	Purchase	10/29/2019	\$1,001 - \$15,000
46	tip	Purchase	10/29/2019	\$1,001 - \$15,000
47	vbk	Sale	10/29/2019	
48	hfxi	Purchase	10/29/2019	\$1,001 - \$15,000
49	bsv	Sale	10/29/2019	\$15,001 - \$50,000
50	medix	Purchase	10/29/2019	\$1,001 - \$15,000
51	agdyx	Sale	10/29/2019	\$1,001 - \$15,000
52	msumx	Purchase	10/29/2019	\$1,001 - \$15,000
53	acgyx	Purchase	10/29/2019	\$1,001 - \$15,000
54	alhyx	Purchase	10/29/2019	\$15,001 - \$50,000
55	pbdpx	Purchase	10/29/2019	\$1,001 - \$15,000
56	meiix	Sale	10/29/2019	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
57	aspzx	Sale	10/29/2019	\$1,001 - \$15,000
58	iemg	Sale	10/16/2019	\$15,001 - \$50,000
59	xsoe	Purchase	10/16/2019	\$15,001 - \$50,000
60	vea	Sale	03/07/2019	\$1,001 - \$15,000
61	hfxi	Purchase	03/07/2019	\$15,001 - \$50,000
62	dbef	Sale	03/07/2019	\$1,001 - \$15,000
63	mgk	Purchase	03/07/2019	\$1,001 - \$15,000
64	mgc	Purchase	03/07/2019	\$1,001 - \$15,000
65	mgv	Purchase	03/07/2019	\$1,001 - \$15,000
66	vnq	Sale	03/07/2019	\$15,001 - \$50,000
67	iemg	Purchase	03/07/2019	\$1,001 - \$15,000
68	vcsh	Sale	03/07/2019	\$1,001 - \$15,000
69	fm	Sale	03/07/2019	\$1,001 - \$15,000
70	vtip	Sale	03/07/2019	\$1,001 - \$15,000
71	tip	Purchase	03/07/2019	\$1,001 - \$15,000
72	pbdpx	Purchase	03/07/2019	\$1,001 - \$15,000
73	vcsh	Purchase	02/26/2019	\$1,001 - \$15,000
74	biv	Purchase	02/26/2019	\$1,001 - \$15,000
75	bsv	Purchase	02/26/2019	\$1,001 - \$15,000
76	vtip	Purchase	02/26/2019	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
77	tip	Purchase	02/26/2019	\$1,001 - \$15,000
78	iei	Purchase	02/26/2019	\$1,001 - \$15,000
79	рдх	Sale	02/26/2019	\$1,001 - \$15,000
80	vbk	Purchase	02/26/2019	\$1,001 - \$15,000
81	vbr	Purchase	02/26/2019	\$1,001 - \$15,000
82	pbdpx	Purchase	02/26/2019	\$1,001 - \$15,000
83	temzx	Sale	02/26/2019	\$1,001 - \$15,000
84	nezyx	Sale	02/26/2019	\$15,001 - \$50,000
85	mwnix	Sale	02/26/2019	\$1,001 - \$15,000
86	sgsyx	Sale	02/26/2019	\$15,001 - \$50,000
87	tgeix	Sale	02/26/2019	\$1,001 - \$15,000
88	acgyx	Purchase	02/26/2019	\$1,001 - \$15,000
89	msumx	Purchase	02/26/2019	\$1,001 - \$15,000
90	medix	Purchase	02/26/2019	\$15,001 - \$50,000
91	agdyx	Purchase	02/26/2019	\$1,001 - \$15,000
92	iemg	Purchase	01/30/2019	\$1,001 - \$15,000
93	fm	Purchase	01/30/2019	\$1,001 - \$15,000
94	hlfmx	Sale	01/30/2019	\$1,001 - \$15,000
95	emryx	Sale	01/30/2019	\$1,001 - \$15,000
96	WFILX	Exchange	11/06/2019	\$1,001 - \$15,000

# 8. Liabilities

None

## 9. Gifts and Travel Reimbursements

None

# Endnotes

PART	#	ENDNOTE
2.	31	Initially purchased in 2017 but had value of less than \$1000 and therefore not reported previously.
2.	32	Initially purchased in 2018 but had value of less than \$1000 and therefore not reported previously.
2.	33	Initially purchased in 2016 but had value of less than \$1000 and therefore not reported previously.
2.	34	Initially purchased in 2016 but had value of less than \$1000 and therefore not reported previously.

## **Summary of Contents**

#### 1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

### 2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

### 3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

### 4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

### 5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

#### 6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

#### 7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

#### 8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

### 9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$390 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$390 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$156 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

### **Privacy Act Statement**

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal. State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding: (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record: (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGÉ Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

#### Public Burden Information

This collection of information is estimated to take an average of ten hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE), Suite 500, 1201 New York Avenue, N.W., Washington, DC 20005-3917.

Pursuant to the Paperwork Reduction Act, as amended, an agency may not conduct or sponsor, and no person is required to respond to, a collection of information unless it displays a currently valid OMB control number (that number, 3209-0001, is displayed here and at the top of the first page of this OGE Form 278e).