Periodic Transaction Report | U.S. Office of Government Ethics; 5 C.F.R. part 2634 (Updated Nov. 2019)

Executive Branch Personnel

Transactions

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
1	ALHAMBRA CALIF UNI SCH DIST LOS ANGELES CNTY G/O RFDG BNDS	Sale	03/18/2022	Yes	\$1,001 - \$15,000
2	WEST BASIN CALIF MUN WTR DIST REF REV BDS 2016A	Sale	03/18/2022	Yes	\$1,001 - \$15,000
3	RIVERSIDE CALIF UNI SCH DIST ELECTION 2016-SER A GO	Sale	03/18/2022	Yes	\$1,001 - \$15,000
4	FOOTHILL-DE ANZA CALIF CMNTY C COPS 2016	Sale	03/18/2022	Yes	\$1,001 - \$15,000
5	RIVERSIDE CNTY CALIF TRANSN CO SALES TAX REV BDS 2017A	Sale	03/18/2022	Yes	\$1,001 - \$15,000
6	WINDSOR CALIF UNI SCH DIST GO REF BDS 2016 GO	Sale	03/18/2022	Yes	\$1,001 - \$15,000
7	CALIFORNIA ST UNIV REV BDS 2018 A	Sale	03/18/2022	Yes	\$1,001 - \$15,000
8	BERKELEY CALIF GO BDS 2017 GO	Sale	03/18/2022	Yes	\$1,001 - \$15,000
9	SANTA CLARA VY CALIF TRANSN AU REF BDS 2018	Sale	03/18/2022	Yes	\$1,001 - \$15,000
10	EASTERN MUN WTR FING AUTH CA CALI DIST REF BDS 2020	Sale	03/18/2022	Yes	\$1,001 - \$15,000
11	CALIFORNIA ST DEPT WTR RES CEN SYS BDS BB	Sale	03/18/2022	Yes	\$1,001 - \$15,000
12	CALIFORNIA ST VAR PURP GO REF BDS GO	Sale	03/18/2022	Yes	\$1,001 - \$15,000
13	SAN LEANDRO CALIF PUB FING AUT LEASE REV REF BDS	Sale	03/18/2022	Yes	\$1,001 - \$15,000
14	SAN LUIS OBISPO CNTY CALIF CMN GO BDS B GO	Sale	03/18/2022	Yes	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
15	CONTRA COSTA CALIF TRANSN AUTH SALES TAX REV BDS 2017	Sale	03/18/2022	Yes	\$1,001 - \$15,000
16	DRY CREEK CALIF JT ELEM SCH DI GO REF BDS 2012 GO	Sale	03/18/2022	Yes	\$1,001 - \$15,000
17	SOUTHERN CALIF PUB PWR AUTH TR REV BDS 2015 C	Sale	03/18/2022	Yes	\$1,001 - \$15,000
18	CALIFORNIA ST PUB WKS BRD LEAS REV REF BDS F	Sale	03/18/2022	Yes	\$1,001 - \$15,000
19	CONTRA COSTA CALIF CMNTY COLLE REF BDS 2012 GO	Sale	03/18/2022	Yes	\$1,001 - \$15,000
20	IMPERIAL CALIF IRR DIST ELEC R REF REV BDS SER C	Sale	03/18/2022	Yes	\$1,001 - \$15,000
21	SAN DIEGO CALIF UNI SCH DIST REFUNDING SCHHOOL BDS SER G-1 GO	Sale	03/18/2022	Yes	\$1,001 - \$15,000
22	CHAFFEY CALIF CMNTY COLLEGE DIST G/O RFDG BDS TAX-EXEMPT SER 2014A	Sale	03/18/2022	Yes	\$1,001 - \$15,000

Endnotes

Summary of Contents

The 278-T discloses purchases, sales, or exchanges of securities in excess of \$1,000 made on behalf of the filer, the filer's spouse, or dependent child. Transactions are required to be disclosed within 30 days of receiving notification of a transaction but not later than 45 days after the transaction. Filers need not disclose (1) mutual funds and other excepted investment funds; (2) certificates of deposit, savings or checking accounts, and money market accounts; (3) U.S. Treasury bills, notes, and bonds; (4) Thrift Savings Plan accounts; (5) real property; and (6) transactions that are solely by and between the filer, the filer's spouse, and the filer's dependent children.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation: (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination: (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person. department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.