

Executive Branch Personnel

Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

Berger, William

U.S. Marshal, Middle District of Florida, Department of Justice - U.S. Marshals Service

Report Year: 2021

Other Federal Government Positions Held During the Preceding 12 Months:

None

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Berger, William [electronically signed on 04/22/2021 by Berger, William in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Dickinson, Lisa, Certifying Official [electronically signed on 07/01/2021 by Dickinson, Lisa in Integrity.gov]

Other review conducted by

/s/ Marcovici, Robert, Ethics Official [electronically signed on 06/30/2021 by Marcovici, Robert in Integrity.gov]

U.S. Office of Government Ethics Certification

/s/ Granahan, Megan, Certifying Official [electronically signed on 07/12/2021 by Granahan, Megan in Integrity.gov]

Data Revised 07/12/2021

Data Revised 06/30/2021

1. Filer's Positions Held Outside United States Government

None

2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Miami Fire and Police Retirement Trust defined benefit (value not readily ascertainable)	N/A		Retirement Payments (monthly)	\$2,000
2	Palm Bay Police and Firefighter Pension Fund (defined benefit - value not readily ascertainable)	N/A		Retirement Payments (monthly)	\$1,600
3	North Miami Beach Police Pension (defined benefit - value not readily ascertainable)	See Endnote	N/A	Retirement Payments(monthly)	\$5,900
4	ICMA RHS Premier Services Program (457 account through Palm Bay Police)	See Endnote			
4.1	Vantagepoint Milestone 2015	Yes	\$15,001 - \$50,000		\$201 - \$1,000

3. Filer's Employment Agreements and Arrangements

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
1	Miami Fire and Police Retirement Trust	Miami , Florida	I will continue to participate in this defined benefit plan..	1/1974
2	North Miami Beach Police Pension	North Miami Beach, Florida	I will continue to participate in this defined benefit plan..	11/1989

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
3	Palm Bay Police and Firefighters Pension Fund	Palm Bay, Florida	I will continue to participate in this defined benefit plan.	4/2004
4	ICMA RC account through the city of Palm Bay	Palm Bay, Florida	I will continue to participate in this defined contribution plan. The plan sponsor has not made further contributions since my separation.	4/2004

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

(N/A) - Not required for this type of report

5. Spouse's Employment Assets & Income and Retirement Accounts

None

6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Intel Corp	N/A	\$1,001 - \$15,000		None (or less than \$201)
2	BHP Billiton LTD ADR	N/A	\$1,001 - \$15,000		None (or less than \$201)
3	Boeing Co	N/A	\$1,001 - \$15,000		None (or less than \$201)
4	Merrill-Lynch Bank Deposit Program (cash account)	See Endnote	N/A	\$250,001 - \$500,000	None (or less than \$201)
5	New England Life Insurance Co (Annuity-MetLife)	See Endnote			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
5.1	American Funds Growth-Income Fund	Yes	\$50,001 - \$100,000		\$201 - \$1,000
5.2	Bailie Gifford INT Services	Yes	\$15,001 - \$50,000		\$201 - \$1,000
5.3	Frontier Mid Cap Growth	Yes	\$15,001 - \$50,000		\$201 - \$1,000
5.4	Clarion Global Real Estate Portfolio	Yes	\$15,001 - \$50,000		\$201 - \$1,000
5.5	MetLife Fixed Account	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
5.6	PIMCO Total Return B	Yes	\$15,001 - \$50,000		\$201 - \$1,000
5.7	iShares Russell 2000 Value Index	Yes	\$15,001 - \$50,000		\$201 - \$1,000
5.8	SSga Growth IncomeETF Portfolio	Yes	\$15,001 - \$50,000		\$201 - \$1,000
6	MetLife Performance Premier B Class Qualified IRA				
6.1	American Funds Global Capitalization Fund	Yes	\$50,001 - \$100,000		\$201 - \$1,000
6.2	American Funds Growth-Income Fund	Yes	\$100,001 - \$250,000		\$1,001 - \$2,500
6.3	Fixed Interest Account	N/A	\$100,001 - \$250,000	Interest	\$1,001 - \$2,500
6.4	Harris Oakmark International Portfolio	Yes	\$50,001 - \$100,000		\$201 - \$1,000
6.5	JPMorgan Core Bond Portfolio	Yes	\$50,001 - \$100,000		\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
6.6	Metlife Mid Cap Stock Index Portfolio	Yes	\$50,001 - \$100,000		\$201 - \$1,000
6.7	Metlife Stock Index Portfolio	Yes	\$100,001 - \$250,000		\$1,001 - \$2,500
6.8	MFS Research International Portfolio	Yes	\$50,001 - \$100,000		\$201 - \$1,000
6.9	PIMCO Total Return Portfolio	Yes	\$100,001 - \$250,000		\$1,001 - \$2,500
6.10	T. ROWE Price Large Cap Growth Portfolio	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
6.11	Western Asset Management US Government Port	Yes	\$50,001 - \$100,000		\$201 - \$1,000
6.12	WMC Core Equity Opportunities Portfolio	See Endnote	\$100,001 - \$250,000	Dividends	\$1,001 - \$2,500
6.13	Clarion Global Real Estate Portfolio	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
7	Lincoln American Legacy C. Shares (variable annuity)				
7.1	American Blue Chip Income and Growth Fund	Yes	\$1,001 - \$15,000		\$201 - \$1,000
7.2	American Growth Fund	Yes	\$15,001 - \$50,000		\$201 - \$1,000
7.3	American Growth and Income	Yes	\$1,001 - \$15,000		\$201 - \$1,000
7.4	American New World Fund	Yes	\$1,001 - \$15,000		None (or less than \$201)
7.5	American Global Small Cap	Yes	\$1,001 - \$15,000		None (or less than \$201)
7.6	American International Fund	Yes	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
7.7	Global Growth -INC			\$1,001 - \$15,000		None (or less than \$201)
7.8	AmGlobal Growth		Yes	\$1,001 - \$15,000		None (or less than \$201)
7.9	AM Bond Fund		Yes	\$1,001 - \$15,000		None (or less than \$201)
7.10	AM Global Bond		Yes	\$1,001 - \$15,000		None (or less than \$201)
7.11	Gov't /AAA sec		Yes	\$1,001 - \$15,000		None (or less than \$201)
7.12	High Income Bond		Yes	\$1,001 - \$15,000		None (or less than \$201)
7.13	BH/Wellington Core Equity Portfolio	See Endnote	Yes	\$100,001 - \$250,000		\$201 - \$1,000
8	Genworth Financial Inc Commonwealth extra (variable annuity)					
8.1	ClearBridge Variable Equity Income Part I	See Endnote	Yes	\$1,001 - \$15,000		\$201 - \$1,000
8.2	Alger Sm cap growth Part C1 1-2		Yes	\$1,001 - \$15,000		None (or less than \$201)
8.3	Fidelity VIP Eq-inc Port -int CI		Yes	\$1,001 - \$15,000		\$201 - \$1,000
8.4	Fidelity VIP Contra Part-Init C1		Yes	\$15,001 - \$50,000		\$201 - \$1,000
8.5	Janus Aspen Series Int shs		Yes	\$1,001 - \$15,000		\$201 - \$1,000
8.6	GE Invest Funds S&P 500 Index Fund		Yes	\$1,001 - \$15,000		\$201 - \$1,000
8.7	GE Invest Funds RI est sec Fd C1 1		Yes	\$1,001 - \$15,000		None (or less than \$201)
8.8	Goldman sachs VIT Money MKT SER SH		N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
8.9	GE Invest Funds Sm cap Eqty FD C	Yes	\$1,001 - \$15,000		\$201 - \$1,000
8.10	Templeton Foreign VIP FND C1 1 SHS	Yes	\$1,001 - \$15,000		\$201 - \$1,000
9	Merrill Lynch mutual Funds /closed end funds			Dividends	
9.1	Blackrock Eqty Dividend	Yes	\$1,001 - \$15,000		\$201 - \$1,000
9.2	Deutsche Global/DWSRREEF	Yes	None (or less than \$1,001)		\$1,001 - \$2,500
9.3	Dreyfus Appreciation FD	Yes	\$1,001 - \$15,000		None (or less than \$201)
9.4	Franklin Rising Dividends Adv	Yes	\$1,001 - \$15,000		\$1,001 - \$2,500
9.5	IVY Global Natural	Yes	\$1,001 - \$15,000		None (or less than \$201)
9.6	John Hancock Classic	Yes	None (or less than \$1,001)		\$201 - \$1,000
9.7	Mainstay Large Cap	Yes	\$1,001 - \$15,000		None (or less than \$201)
9.8	MFS Research Bond Fund	Yes	\$1,001 - \$15,000		None (or less than \$201)
9.9	Neuberger German High Income Bond	Yes	\$1,001 - \$15,000		None (or less than \$201)
9.10	Oppenheimer Rising Dividends Y	Yes	\$1,001 - \$15,000		None (or less than \$201)
9.11	PIMCO Total Return P	Yes	\$1,001 - \$15,000		None (or less than \$201)
9.12	TCW TOTAL RETURN Bond Fund	Yes	\$1,001 - \$15,000		None (or less than \$201)
9.13	THE OAKMARK Intl Fund	Yes	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
9.14	Vanguard Information	Yes	\$1,001 - \$15,000		None (or less than \$201)
10	Space Coast Credit Union	N/A	\$100,001 - \$250,000		None (or less than \$201)
11	Florida Pre paid College Plan for dependent child		\$1,001 - \$15,000	Interest	\$201 - \$1,000
12	Florida Pre Paid College Plan for minor grandchild	See Endnote	\$1,001 - \$15,000	Interest	\$201 - \$1,000
13	American Euro Pacific Growth Fund CL F2	Yes	\$1,001 - \$15,000		None (or less than \$201)
14	Lockheed Martin	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
15	Microsoft Corp	N/A	\$1,001 - \$15,000		None (or less than \$201)
16	Merck & Co Inc	N/A	\$1,001 - \$15,000		None (or less than \$201)
17	Pfizer Inc	N/A	\$1,001 - \$15,000		None (or less than \$201)
18	MFS Value FD CL 1	Yes	\$1,001 - \$15,000		\$201 - \$1,000
19	United Police CU (cash account)	N/A	\$100,001 - \$250,000		None (or less than \$201)
20	AT&T	N/A	\$1,001 - \$15,000		\$201 - \$1,000
21	Farm Credit Bank Callable Bonds	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
22	Federal Home Loan MTG Corp Callable Notes	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
23	Midland National (universal life insurance)	N/A	\$100,001 - \$250,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
24	American Equity qualified IRA (fixed index annuity)		\$250,001 - \$500,000	Interest	\$5,001 - \$15,000
25	MFS Value Fund CI 1	Yes	\$1,001 - \$15,000		None (or less than \$201)
26	Delaware Small Cap Core	Yes	\$1,001 - \$15,000		None (or less than \$201)
27	Vanguard FTSE Emerging Markets ETF	Yes	\$1,001 - \$15,000		None (or less than \$201)
28	Amazon.com Inc (AMZN)	N/A	\$1,001 - \$15,000		None (or less than \$201)
29	Oakmark International Fund CI Adv.	Yes	\$1,001 - \$15,000		None (or less than \$201)
30	Adobe Inc.	N/A	\$1,001 - \$15,000		None (or less than \$201)
31	Vanguard Communications Services ETF	Yes	\$1,001 - \$15,000		None (or less than \$201)
32	Vanguard Financials ETF	Yes	\$1,001 - \$15,000		None (or less than \$201)
33	Alphabet Inc.	N/A	\$1,001 - \$15,000		None (or less than \$201)
34	Mainstay Large Cap Growth	Yes	\$1,001 - \$15,000		None (or less than \$201)
35	The Walt Disney Co. (DIS)	N/A	\$1,001 - \$15,000		None (or less than \$201)
36	Wal- Mart	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
37	Southwest Airlines Co. (LUV)	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
38	Energy Select Sector SPDR Fund (XLE)		\$1,001 - \$15,000	Dividends	None (or less than \$201)
39	Wells Fargo bank	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
40	J P Morgan Chase&co	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
41	SPDR S&P Biotech ETF (XBI)	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
42	Vanguard Financials ETF	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
43	The Kraft Heinz Co. (KHC)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
44	General Motors Co. (GM)	N/A	\$1,001 - \$15,000		

7. Transactions

#	DESCRIPTION		TYPE	DATE	AMOUNT
1	Federal Farm Credit Bonds	See Endnote	Sale	12/10/2019	\$1,001 - \$15,000
2	Federal national Mortgage Bonds	See Endnote	Sale	12/24/2019	\$15,001 - \$50,000
3	Federal Farm Credit Bank Bonds		Purchase	02/06/2020	\$15,001 - \$50,000
4	Walt Disney Co (DIS)		Purchase	03/25/2020	\$1,001 - \$15,000
5	Alphabet INC SHS CI		Purchase	03/25/2020	\$1,001 - \$15,000
6	Wal-Mart Stores (WMT)		Purchase	03/25/2020	\$1,001 - \$15,000
7	Bloomin' Brands, Inc. (BLMN)		Purchase	04/03/2020	\$1,001 - \$15,000
8	Marriott Intl A (MAR)		Purchase	04/03/2020	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
9	United Airways HLDGS	Purchase	04/02/2020	\$1,001 - \$15,000
10	Marriott Intl A (MAR)	Purchase	04/01/2020	\$1,001 - \$15,000
11	United Airlines HLDGS	Purchase	04/01/2020	\$1,001 - \$15,000
12	Darden Restaurants Inc (DRI)	Purchase	04/02/2020	\$1,001 - \$15,000
13	Brinker International INC	Purchase	04/02/2020	\$1,001 - \$15,000
14	Darden Restaurants Inc (DRI)	Purchase	04/01/2020	\$1,001 - \$15,000
15	Bloomin' Brands, Inc. (BLMN)	Purchase	04/03/2020	\$1,001 - \$15,000
16	Marriott Intl A (MAR)	Sale	04/17/2020	\$1,001 - \$15,000
17	Darden Restaurants Inc (DRI)	Sale	04/22/2020	\$1,001 - \$15,000
18	Walt Disney Co (DIS)	Purchase	04/23/2020	\$1,001 - \$15,000
19	Bloomin' Brands, Inc. - Common Stock (BLMN)	Sale	04/29/2020	\$1,001 - \$15,000
20	Southwest Airlines Co (LUV)	Purchase	05/06/2020	\$1,001 - \$15,000
21	Brinker INTL INC	Sale	04/29/2020	\$1,001 - \$15,000
22	Simon Property Group (SPG)	Purchase	05/13/2020	\$1,001 - \$15,000
23	Sector SPDR energy XLE	Purchase	05/13/2020	\$1,001 - \$15,000
24	Wells Fargo Bank stock	Purchase	05/13/2020	\$1,001 - \$15,000
25	Pfizer Inc. (PFE)	Purchase	05/27/2020	\$1,001 - \$15,000
26	JP Morgan Chase&CO	Purchase	05/27/2020	\$1,001 - \$15,000
27	Wells Fargo & Co. (WFC)	Purchase	05/27/2020	\$1,001 - \$15,000
28	SPDR S&P Biotech ETF	Purchase	05/27/2020	\$1,001 - \$15,000
29	Vanguard Financials Index Fund ETF Shares (VFH)	Purchase	05/27/2020	\$1,001 - \$15,000

#	DESCRIPTION		TYPE	DATE	AMOUNT
30	AT&T, Inc. (T)		Purchase	05/27/2020	\$1,001 - \$15,000
31	Simon Property Group, Inc. (SPG)		Sale	06/05/2020	\$1,001 - \$15,000
32	Vanguard Financials ETF		Purchase	06/01/2020	\$1,001 - \$15,000
33	United Airlines Holdings, Inc. (UAL)		Sale	06/09/2020	\$1,001 - \$15,000
34	Altria Group, Inc. (MO)		Sale	06/09/2020	\$1,001 - \$15,000
35	Prudential Financial, Inc. (PRU)		Purchase	06/12/2020	\$1,001 - \$15,000
36	Kinder Morgan, Inc. (KMI)		Purchase	06/12/2020	\$1,001 - \$15,000
37	AT&T, Inc. (T)		Purchase	06/15/2020	\$1,001 - \$15,000
38	Microsoft Corp. (MSFT)	See Endnote	Sale	07/02/2020	\$1,001 - \$15,000
39	Emerson Electric Co. (EMR)		Sale	08/21/2020	\$1,001 - \$15,000
40	The Coca-Cola Co. (KO)		Sale	08/21/2020	\$1,001 - \$15,000
41	Prudential financial Inc		Sale	08/21/2020	\$1,001 - \$15,000
42	intl business machines corp IBM		Sale	08/21/2020	\$1,001 - \$15,000
43	Kinder Morgan, Inc. (KMI)		Sale	08/21/2020	\$1,001 - \$15,000
44	The Kraft Heinz Co. (KHC)		Purchase	11/12/2020	\$1,001 - \$15,000
45	General Motors Co. (GM)		Purchase	11/12/2020	\$1,001 - \$15,000

8. Liabilities

None

9. Gifts and Travel Reimbursements

None

Endnotes

PART	#	ENDNOTE
2.	3	cola increase
2.	4	Health care reimbursement investment
6.	4	Increase in value due to sale of homestead.
6.	5	Annuity consisting of various funds listed immediately after this listing
6.	6.12	Formerly Davis Venture.
6.	7.13	Formerly WMC Core Equity Opportunities Portfolio.
6.	8.1	Filer inadvertently left off earlier forms.
6.	12	Filer inadvertently left off prior forms.
7.	1	Full Call on investment
7.	2	Call on all bonds
7.	38	Sold 1/3 of my position in the stock retaining 2/3 's. The sale didnot effect the amt of transaction catagory.

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during the reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$166 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

Public Burden Information

This collection of information is estimated to take an average of ten hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE), Suite 500, 1201 New York Avenue, N.W., Washington, DC 20005-3917.

Pursuant to the Paperwork Reduction Act, as amended, an agency may not conduct or sponsor, and no person is required to respond to, a collection of information unless it displays a currently valid OMB control number (that number, 3209-0001, is displayed here and at the top of the first page of this OGE Form 278e).
