

Executive Branch Personnel

Public Financial Disclosure Report:

Periodic Transaction Report (OGE Form 278-T)

Filer's Information

Magnus, Christopher

Commissioner, Department of Homeland Security

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Magnus, Christopher [electronically signed on 04/02/2022 by Magnus, Christopher in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ O'Connor, Michael, Certifying Official [electronically signed on 11/18/2022 by O'Connor, Michael in Integrity.gov]

Other review conducted by

/s/ Falk, Scott K, Ethics Official [electronically signed on 11/14/2022 by Falk, Scott K in Integrity.gov]

U.S. Office of Government Ethics Certification

/s/ Granahan, Megan, Certifying Official [electronically signed on 05/09/2023 by Granahan, Megan in Integrity.gov]

Data Revised 05/09/2023

Comments of Reviewing Officials (public annotations):

| PART | # | REFERENCE | COMMENT |
|------|-----|-----------|--|
| N/A | N/A | General | (05/09/2023, Granahan, Megan): Inadvertent purchase of prohibited assets by financial advisor, all subsequently divested. Agency confirmed no conflicts. |

Transactions

| # | DESCRIPTION | TYPE | DATE | NOTIFICATION RECEIVED OVER 30 DAYS AGO | AMOUNT |
|----|---|------|------------|--|--------------------|
| 1 | Aflac, Inc. (AFL) | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 2 | AMN Healthcare Services, Inc. (AMN) | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 3 | AT&T INC (T) | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 4 | AT&T INC 4.10 02/15/2028 A6RGL0 | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 5 | AT&T INC 4.35 03/01/2029 A6RHJ4 | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 6 | ASE TECHNOLOGY HOLDING CO LT (ASX) | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 7 | Abbott Laboratories (ABT) | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 8 | AbbVie, Inc. (ABBV) | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 9 | Acuity Brands, Inc. (AYI) | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 10 | AGREE LP 2.90 10/01/2030 A13AA1 | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 11 | AJINOMOTO INC (AJINY) | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 12 | Ally Financial, Inc. (ALLY) | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 13 | ALLY FINANCIAL INC 5.75 11/20/2025 A5NBF6 | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |

| # | DESCRIPTION | TYPE | DATE | NOTIFICATION RECEIVED OVER 30 DAYS AGO | AMOUNT |
|----|---|------|------------|--|--------------------|
| 14 | Alphabet, Inc. (GOOGL) | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 15 | Amazon.com, Inc. (AMZN) | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 16 | American Express Co. (AXP) | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 17 | AMERICAN TOWER CORP 3.80 08/15/2029 A7XAW0 | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 18 | Ameriprise Financial, Inc. (AMP) | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 19 | Apple, Inc. (AAPL) | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 20 | Applied Materials, Inc. (AMAT) | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 21 | ASTRAZENECA PLC (AZN) | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 22 | ATHENE HOLDING LTD 3.50 01/15/2031 A6JAC5 | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 23 | Automatic Data Processing, Inc. (ADP) | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 24 | AutoZone, Inc. (AZO) | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 25 | Avery Dennison Corp 4.875 12/06/2028 A11AJ8 | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 26 | BNP PARIBAS ADR (BNPQY) | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 27 | Bank of America Corp. (BAC) | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 28 | BANC AMER CORP 4.183 11/25/2027 B1GGC7 | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 29 | BANK OF AMERICA CORP 3.824 01/20/2028 B1GGF0 | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 30 | BANK OF AMERICA 3.004 12/20/2023 B1GHC6 | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 31 | BAYER AG (BAYRY) | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 32 | Biogen Inc 3.625 09/15/2022 A22146 | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 33 | BLACKSTONE INC A (BX) | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |

| # | DESCRIPTION | TYPE | DATE | NOTIFICATION RECEIVED OVER 30 DAYS AGO | AMOUNT |
|----|--|------|------------|--|--------------------|
| 34 | Boeing Co 5.15 05/01/2030 B23CY9 | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 35 | BRIGHTHOUSE FINL INC 3.70 06/22/2027 B2NAC7 | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 36 | Broadcom, Inc. (AVGO) | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 37 | BUNGE LTD FIN CORP 3.25 08/15/2026 B68AX8 | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 38 | CNA FINL CORP 3.95 05/15/2024 C17AS9 | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 39 | CRH PLC (CRH) | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 40 | CSX Corp. 4.25 03/15/2029 A44489 | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 41 | CVS HLTH CORP 4.30 03/25/2028 A39937 | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 42 | CVS HLTH CORP 3.25 0/15/2029 C50DG2 | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 43 | CANADIAN NATIONAL RAILWAY COMPANY (CNI) | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 44 | Caterpillar, Inc. (CAT) | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 45 | CENTENE CORP DE 4.25 12/15/2027 C5BAR2 | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 46 | Charles River Laboratories International, Inc. (CRL) | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 47 | CHARTER COMMNS OPER LLC 4.20 03/15/2028 C75BK9 | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 48 | Chevron Corp. (CVX) | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 49 | CHURCH & DWIGHT INC 3.150 08/01/2027 C40AN2 | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 50 | Cisco Systems, Inc. (CSCO) | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 51 | CITIGROUP INC 3.300 04/27/2025 A18663 | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 52 | Citigroup, Inc. 3.057 01/25/2033 C7CAQ6 | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |

| # | DESCRIPTION | TYPE | DATE | NOTIFICATION RECEIVED OVER 30 DAYS AGO | AMOUNT |
|----|---|------|------------|--|--------------------|
| 53 | Cognizant Technology Solutions Corp. (CTSH) | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 54 | Comcast Corp. (CMCSA) | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 55 | ConocoPhillips (COP) | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 56 | Crane Co. (CR) | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 57 | DR HORTON INC (DHI) | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 58 | Danaher Corp. (DHR) | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 59 | DEUTSCHE POST AG ADR (DPSGY) | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 60 | DIAGEO PLC (DEO) | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 61 | Diamondback Energy, Inc. (FANG) | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 62 | ENI ADR (E) | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 63 | EOG Resources, Inc. (EOG) | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 64 | ETRADE FINL CORP 2.950 08/24/2022 A34794 | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 65 | Eagle Materials, Inc. (EXP) | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 66 | East West Bancorp, Inc. (EWBC) | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 67 | Eastman Chemical Co. (EMN) | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 68 | EMCOR Group, Inc. (EME) | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 69 | EQUINIX INC 2.625 11/18/2024 E4UBC9 | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 70 | Equitable Holdings, Inc. (EQH) | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 71 | Evercore, Inc. (EVR) | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 72 | Exxon Mobil Corp. (XOM) | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 73 | META PLATFORMS INC CLASS A (FB) | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |

| # | DESCRIPTION | TYPE | DATE | NOTIFICATION RECEIVED OVER 30 DAYS AGO | AMOUNT |
|----|--|------|------------|--|--------------------|
| 74 | FEDERAL RLTY INVT TR NEW (FRT) | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 75 | FEDEX CORP 3.100 08/05/2029 F8XBV7 | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 76 | FORD HLDGS INC 9.300 03/01/2030 A23096 | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 77 | Fortune Brands Home & Security, Inc. (FBHS) | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 78 | FREEPORT MCMORAN INC 5.250 09/01/2029 F1DCD5 | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 79 | FREEPORT MCMORAN INC 4.625 08/01/2030 A56170 | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 80 | GILDAN ACTIVEWEAR INC (GIL) | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 81 | GLAXOSMITHKLINE PLC (GSK) | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 82 | GOLDMAN SACHS GROUP INC 3.500 04/01/2025 G1GXJ8 | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 83 | GOLDMAN SACHS GRP INC 3.750 02/25/2026 G3U8H7 | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 84 | HCA Healthcare, Inc. (HCA) | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 85 | HEINEKEN NV SPONSORED NETHERLAND ADR (HEINY) | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 86 | Helmerich & Payne, Inc. (HP) | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 87 | HOLLYFRONTIER CORP 5.875 04/01/2026 H06AA6 | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 88 | INFOSYS LTD ADR (INFY) | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 89 | INTERPUBLIC GROUP OF COMPANIES INC (IPG) | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 90 | IQVIA Holdings, Inc. (IQV) | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 91 | JPMORGAN CHASE & CO (JPM) | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |

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|-----|---|------|------------|--|--------------------|
| 92 | JPMORGAN CHASE & CO 4.125 12/15/2026 J5HJZ4 | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 93 | JPMORGAN CHASE & CO 4.203 07/23/2029 J7PAV8 | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 94 | JPMORGAN CHASE & CO 4.023 12/05/2024 J7PAY2 | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 95 | JPMORGAN Chase & Co. 3.702 05/06/2030 J7PBD7 | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 96 | Johnson & Johnson (JNJ) | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 97 | Jones Lang LaSalle, Inc. (JLL) | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 98 | ZIFF DAVIS INC (ZD) | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 99 | KOOKMIN BANK INC ADR (KB) | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 100 | KB HM 6.875 06/15/2027 K6KAX7 | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 101 | KEYCORP 2.550 10/01/2029 K6EEJ8 | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 102 | Korn Ferry (KFY) | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 103 | Kraft Heinz Foods Co 3.75 04/01/2030 K7LAV8 | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 104 | KROGER CO 3.850 08/01/2023 KR4CS8 | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 105 | KUBOTA CORP ADR (KUBTY) | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 106 | LCI Industries (LCII) | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 107 | L3Harris Technologies, Inc. (LHX) | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 108 | LVMH MOET HENNESSY LOUIS VUITTON (LVMUY) | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 109 | Laboratory Corp. of America Holdings (LH) | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 110 | Lam Research Corp. (LRCX) | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |

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|-----|---|----------|------------|--|--------------------|
| 111 | LAS VEGAS SANDS CORP 3.500 08/18/2026 L34AE7 | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 112 | LENNAR CORP 4.500 04/30/2024 A32201 | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 113 | Eli Lilly & Co. (LLY) | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 114 | Lockheed Martin Corp. (LMT) | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 115 | LOEWS CORP 3.200 05/15/2030 L24AT5 | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 116 | LOWE'S COMPANIES INC (LOW) | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 117 | LOWES COS INC 3.650 04/05/2029 L61DR5 | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 118 | M/I HOMES INC 4.950 02/01/2028 M5BAS0 | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 119 | Mastercard, Inc. (MA) | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 120 | MICHELIN COMPAGNIE GENERALE ADR (MGDDY) | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 121 | Microsoft Corp. (MSFT) | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 122 | MOHAWK INDS INC 3.625 05/15/2030 M90AL8 | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 123 | MORGAN STANLEY INC (MS) | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 124 | MORGAN STANLEY 4.350 09/08/2026 M467Y9 | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 125 | MORGAN STANLEY 4.100 05/22/2023 M7YDU6 | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 126 | MORGAN STANLEY 4.875 11/01/2022 A12123 | Purchase | 03/07/2022 | No | \$1,001 - \$15,000 |
| 127 | MOSAIC CO 4.050 11/15/2027 M5CAG8 | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 128 | MURPHY OIL USA INC 4.750 09/15/2029 M38AE8 | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 129 | NRG ENERGY INC 5.750 01/15/2028 N77CCE0 | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 130 | NetApp, Inc. (NTAP) | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |

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|-----|---|------|------------|--|--------------------|
| 131 | Nexstar Media Group, Inc. (NXST) | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 132 | NextEra Energy, Inc. (NEE) | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 133 | NIPPON TELEGRAPH & TELEPHONE (NTTYY) | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 134 | NOVO-NORDISK AS ADR (NVO) | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 135 | OLIN CORP 5.625 08/01/2029 O65AL0 | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 136 | ONEOK, Inc. (OKE) | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 137 | The PNC Financial Services Group, Inc. (PNC) | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 138 | PERKINELMER INC 3.300 09/15/2029 | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 139 | Telkom Indonesia (Persero) Tbk PT-ADR | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 140 | Philip Morris International, Inc. (PM) | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 141 | Procter & Gamble Co. (PG) | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 142 | Prologis, Inc. (PLD) | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 143 | PUBLICIS SA NEW SPON ADR (PUBGY) | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 144 | RADIAN GRP INC 4.875 03/15/2027 A47573 | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 145 | RELIANCE STEEL & 4.500 04/15/2023 R09AE2 | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 146 | REPUBLIC SVCS INC 3.375 11/15/2027 A36742 | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 147 | ROYAL BANK CANADA MONTREAL (RY) | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 148 | SABINE PASS LIQUEFACTION 5.000 03/15/2027 A41623 | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 149 | SANOFI (SNY) | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 150 | Schlumberger NV (SLB) | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |

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|-----|---|------|------------|--|--------------------|
| 151 | CHARLES SCHWAB CORP. NEW 3.250 05/22/2029 T13BA2 | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 152 | SEKISUI HOUSE LTD (SKHSY) | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 153 | SIMON PPTY GRP LP 2.650 07/15/2030 S07DK0 | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 154 | Skyworks Solutions, Inc. (SWKS) | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 155 | The Southern Co. (SO) | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 156 | SYNOVUS FINL CORP 5.900 02/07/2029 S1CAM7 | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 157 | Sysco Corp. (SYY) | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 158 | TFI INTERNATIONAL INC (TFII) | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 159 | T-MOBILE USA INC 4.750 02/01/2028 T4AAV7 | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 160 | TAIWAN SEMICONDUCTOR MFG CO (TSM) | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 161 | TOKIO MARINE HOLDINGS ADR REP 1 (TKOMY) | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 162 | TOKYO ELECTRON LTD (TOELY) | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 163 | TOYOTA MOTOR ADR REP 10 CORP (TM) | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 164 | TRUIST FINANCIAL CORP (TFC) | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 165 | Tyson Foods, Inc. (TSN) | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 166 | UNITED DOMINION REALTY TRUST, INC. (UDR) | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 167 | UFP Industries, Inc. (UFPI) | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 168 | Union Pacific Corp. (UNP) | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 169 | UNITED OVERSEAS BK SINGAPORE ADR (UOVEY) | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 170 | UnitedHealth Group, Inc. (UNH) | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |

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|-----|--|------|------------|--|--------------------|
| 171 | VALERO ENERGY CORP 4.350 06/01/2028 V3YAV2 | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 172 | VEOLIA ENVIRONMENT SA ADR (VEOEY) | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 173 | VERISIGN INC 4.750 07/15/2027 V3EAL6 | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 174 | VISA INC 3.150 12/14/2025 V6CAD4 | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 175 | WAL MART STORES INC (WMT) | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 176 | WALGREEN BOOTS ALLIANCE 3.800 11/18/2024 A17866 | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 177 | WELLS FARGO & COMPANY (WFC) | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 178 | WELLS FARGO & CO 4.300 07/22/2027 W4BGL8 | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 179 | WESTERN DIGITAL CORP 4.750 02/15/2026 W02AM7 | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 180 | WELLTOWER INC 3.625 03/15/2024 W0QAG9 | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 181 | The Williams Cos., Inc. (WMB) | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 182 | Williams-Sonoma, Inc. (WSM) | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 183 | Zions Bancorporation NA (ZION) | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 184 | Arch Capital Group Ltd. (ACGL) | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 185 | Capri Holdings Ltd. (CPRI) | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 186 | Fabrinet (FN) | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 187 | Horizon Therapeutics Plc (HZNP) | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 188 | ICON PLC (ICLR) | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 189 | Johnson Controls International Plc (JCI) | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 190 | Linde Plc (LIN) | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |

| # | DESCRIPTION | TYPE | DATE | NOTIFICATION RECEIVED OVER 30 DAYS AGO | AMOUNT |
|-----|---|------|------------|--|--------------------|
| 191 | AT&T INC 4.350 03/01/2029 A6RHJ4 | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 192 | ABBVIE INC 4.250 11/14/2028 A7YBF5 | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 193 | AGREE LP 2.900 10/01/2030 A13AA1 | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 194 | ALLSTATE CORP 3.150 06/15/2023 A02AZ4 | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 195 | ALLY FINANCIAL INC 5.750 11/20/2025 A5NBF6 | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 196 | American Tower Corp 3.80 08/15/2029 A7XAW0 | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 197 | ANTHEM INC 3.350 12/01/2024 A38167 | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 198 | Apple, Inc. 3.00 11/13/2027 A33DK3 | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 199 | AVERY DENNISON CORP 4.875 12/06/2028 A11AJ8 | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 200 | BANK AMERICA CORP 4.200 08/26/2024 B1GFH7 | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 201 | BANC AMER CORP 4.183 11/25/2027 B1GGC7 | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 202 | Bank of America 3.004 12/20/2023 B1GHC8 | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 203 | BIOGEN INC 4.050 09/15/2025 A43788 | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 204 | Boeing Co 5.15 05/01/2030 B23CY9 | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 205 | BRIGHTHOUSE FINL INC 3.700 06/22/2027 B2NAC7 | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 206 | BUNGE LTD FIN CORP 3.250 08/15/2026 B68AX8 | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 207 | CSX CORP 4.250 03/15/2029 A44489 | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 208 | CVS HLTH CORP 4.300 03/25/2028 A39937 | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 209 | CVS HLTH CORP 3.250 08/15/2029 C50DG2 | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 210 | CIMAREX ENERGY CO 4.375 03/15/2029 C98AE1 | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |

| # | DESCRIPTION | TYPE | DATE | NOTIFICATION RECEIVED OVER 30 DAYS AGO | AMOUNT |
|-----|---|------|------------|--|--------------------|
| 211 | CITIGROUP INC 4.450 09/29/2027 A22966 | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 212 | Citigroup, Inc. 3.057 01/25/2033 C7CAQ6 | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 213 | CONSTELLATION BRANDS INC 3.150 08/01/2029 C6PBE7 | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 214 | ETRADE FINL CORP 2.950 08/24/2022 A34794 | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 215 | FREEPORT MCMORAN INC 5.250 09/01/2029 F1DCD5 | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 216 | FREEPORT MCMORAN INC 4.625 08/01/2030 A56170 | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 217 | GOLDMAN SACHS GROUP INC 3.500 04/01/2025 G1GXJ8 | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 218 | GOLDMAN SACHS GRP INC 3.750 02/25/2026 G3U8H7 | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 219 | HARRIS CORP 4.400 06/15/2028 H75AW5 | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 220 | HOLLYFRONTIER CORP 5.875 04/01/2026 H06AA6 | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 221 | HOLLYFRONTIER CORP 2.625 10/01/2023 A57389 | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 222 | JPMORGAN CHASE & CO 3.300 04/01/2026 J5HQQ3 | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 223 | JPMORGAN CHASE & CO 4.203 07/23/2029 J7PAV8 | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 224 | KB HOME 6.875 06/15/2027 K6KAX7 | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 225 | KRAFT FOODS GRP INC 3.500 06/06/2022 A17553 | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 226 | Kraft Heinz Foods Co 3.75 04/01/2030 K7LAV8 | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |

| # | DESCRIPTION | TYPE | DATE | NOTIFICATION RECEIVED OVER 30 DAYS AGO | AMOUNT |
|-----|--|------|------------|--|--------------------|
| 227 | LOWES COS INC 3.650 04/05/2029 L61DR5 | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 228 | MERITAGE HOMES CORP 6.000 06/01/2025 A36042 | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 229 | MOHAWK INDS INC 3.625 05/15/2030 M90AL8 | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 230 | MORGAN STANLEY 5.000 11/24/2025 M467X1 | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 231 | MORGAN STANLEY 4.100 05/22/2023 M7YDU6 | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 232 | MOSAIC CO 4.050 11/15/2027 M5CAG8 | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 233 | MURPHY OIL USA INC 4.750 09/15/2029 M38AE8 | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 234 | NUSTAR LOGISTICS LP 6.375 10/01/2030 N9TAH8 | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 235 | POPULAR INC 6.125 09/14/2023 A42874 | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 236 | Quest Diagnostics, Inc. 2.95 06/30/2030 Q4LBB5 | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 237 | RADIAN GRP INC 4.875 03/15/2027 A47573 | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 238 | RELIANCE STEEL & 4.500 04/15/2023 R09AE2 | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 239 | REPUBLIC SVCS INC 3.375 11/15/2027 A36742 | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 240 | CHARLES SCHWAB CORP NEW 3.250 05/22/2029 T13BA2 | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 241 | SHELL INTL FIN BV 2.750 04/06/2030 S82CG5 | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 242 | SYNOVUS FINL CORP 5.900 02/07/2029 S1CAM7 | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 243 | T-MOBILE USA INC 2.250 02/15/2026 T4ABR5 | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 244 | TEVA PHARM FIN 2.800 07/21/2023 T7AAD3 | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 245 | TORCHMARK CORP 4.550 09/15/2028 T27AS3 | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 246 | V F CORP 2.400 04/23/2025 V04BA5 | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |

| # | DESCRIPTION | TYPE | DATE | NOTIFICATION RECEIVED OVER 30 DAYS AGO | AMOUNT |
|-----|---|------|------------|--|--------------------|
| 247 | VERIZON COMMNS INC 4.329 09/21/2028 A43958 | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 248 | VISA INC 3.150 12/14/2025 V6CAD4 | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 249 | WELLS FARGO & CO 4.100 06/03/2026 W4BFY1 | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 250 | WELLS FARGO & CO 4.300 07/22/2027 W4BGL8 | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 251 | WELLTOWER INC 3.625 03/15/2024 W0QAG9 | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 252 | YUM BRANDS INC 4.625 01/31/2032 A61231 | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 253 | Aflac, Inc. (AFL) | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 254 | AMN Healthcare Services, Inc. (AMN) | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 255 | AT&T INC (T) | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 256 | ASE TECHNOLOGY HOLDING CO LTD (ASX) | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 257 | Abbott Laboratories (ABT) | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 258 | AbbVie, Inc. (ABBV) | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 259 | Acuity Brands, Inc. (AYI) | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 260 | AJINOMOTO INC (AJINY) | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 261 | Ally Financial, Inc. (ALLY) | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 262 | Alphabet, Inc. (GOOGL) | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 263 | Amazon.com, Inc. (AMZN) | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 264 | American Express Co. (AXP) | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 265 | Ameriprise Financial, Inc. (AMP) | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 266 | Apple, Inc. (AAPL) | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 267 | Applied Materials, Inc. (AMAT) | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |

| # | DESCRIPTION | TYPE | DATE | NOTIFICATION RECEIVED OVER 30 DAYS AGO | AMOUNT |
|-----|---|----------|------------|--|--------------------|
| 268 | ASTRAZENECA PLC (AZN) | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 269 | Automatic Data Processing, Inc. (ADP) | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 270 | AutoZone, Inc. (AZO) | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 271 | BNP PARIBAS ADR (BNPQY) | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 272 | Bank of America Corp. (BAC) | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 273 | BAYER AKTIENGESELLSCHAFT EACH RE ADR (BAYRY) | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 274 | BlackRock, Inc. (BLK) | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 275 | BLACKSTONE INC A (BLK) | Sale | 03/07/2022 | No | \$1,001 - \$15,000 |
| 276 | Deckers Outdoor Corp. (DECK) | Sale | 03/03/2022 | No | \$1,001 - \$15,000 |
| 277 | LOWE'S COMPANIES INC (LOW) | Purchase | 03/03/2022 | No | \$1,001 - \$15,000 |
| 278 | OTIS WORLDWIDE CORP (OTIS) | Sale | 03/03/2022 | No | \$1,001 - \$15,000 |
| 279 | AT&T INC 4.250 03/01/2027 A6RDQ2 | Sale | 03/02/2022 | No | \$1,001 - \$15,000 |
| 280 | Celanese Corp. (CE) | Sale | 03/01/2022 | No | \$1,001 - \$15,000 |
| 281 | DR HORTON INC (DHI) | Purchase | 03/01/2022 | No | \$1,001 - \$15,000 |
| 282 | Nexstar Media Group, Inc. (NXST) | Sale | 03/04/2022 | No | \$1,001 - \$15,000 |
| 283 | Darden Restaurants, Inc. (DRI) | Sale | 03/03/2022 | No | \$1,001 - \$15,000 |
| 284 | Deckers Outdoor Corp. (DECK) | Sale | 03/03/2022 | No | \$1,001 - \$15,000 |
| 285 | Ford Motor Co. (F) | Purchase | 03/03/2022 | No | \$1,001 - \$15,000 |
| 286 | LOWE'S COMPANIES INC (LOW) | Purchase | 03/03/2022 | No | \$1,001 - \$15,000 |
| 287 | OTIS WORLDWIDE CORP (OTIS) | Sale | 03/03/2022 | No | \$1,001 - \$15,000 |
| 288 | Zions Bancorporation NA (ZION) | Purchase | 03/03/2022 | No | \$1,001 - \$15,000 |

| # | DESCRIPTION | TYPE | DATE | NOTIFICATION RECEIVED OVER 30 DAYS AGO | AMOUNT |
|-----|--|----------|------------|--|--------------------|
| 289 | Celanese Corp. (CE) | Sale | 03/02/2022 | No | \$1,001 - \$15,000 |
| 290 | Celanese Corp. (CE) | Sale | 03/01/2022 | No | \$1,001 - \$15,000 |
| 291 | DR HORTON INC (DHI) | Purchase | 03/01/2022 | No | \$1,001 - \$15,000 |
| 292 | GILDAN ACTIVEWEAR INC (GIL) | Purchase | 03/01/2022 | No | \$1,001 - \$15,000 |
| 293 | ROCHE HLDGS LTD ADR (RHHBY) | Sale | 03/01/2022 | No | \$1,001 - \$15,000 |
| 294 | MICROSOFT CORP 3.300 02/06/2027 A31543 | Sale | 03/03/2022 | No | \$1,001 - \$15,000 |
| 295 | SHELL INTL FIN BV 2.750 04/06/2030 S82CG5 | Purchase | 03/03/2022 | No | \$1,001 - \$15,000 |
| 296 | AT&T INC 4.250 03/01/2027 A6RDQ2 | Sale | 03/02/2022 | No | \$1,001 - \$15,000 |
| 297 | Quest Diagnostics, Inc. 2.95 06/30/2030 Q4LBB5 | Purchase | 03/02/2022 | No | \$1,001 - \$15,000 |
| 298 | TEVA PHARM FIN 2.800 07/21/2023 T7AAD3 | Purchase | 03/02/2022 | No | \$1,001 - \$15,000 |
| 299 | VISA INC 3.150 12/14/2025 V6CAD4 | Purchase | 03/01/2022 | No | \$1,001 - \$15,000 |
| 300 | OCCIDENTAL PETE CORP 3.500 06/15/2025 O99CG8 | Sale | 02/28/2022 | No | \$1,001 - \$15,000 |
| 301 | ORACLE CORP 3.400 07/08/2024 O9XAU9 | Sale | 02/28/2022 | No | \$1,001 - \$15,000 |
| 302 | ALLY FINANCIAL INC 5.750 11/20/2025 A5NBF6 | Purchase | 02/22/2022 | No | \$1,001 - \$15,000 |
| 303 | APACHE CORP 4.375 10/15/2028 A11BE4 | Sale | 02/16/2022 | No | \$1,001 - \$15,000 |
| 304 | JPMORGAN CHASE & CO 3.300 04/01/2026 J5HQB3 | Purchase | 02/03/2022 | No | \$1,001 - \$15,000 |
| 305 | Citigroup, Inc. 3.057 01/25/2033 C7CAQ6 | Purchase | 02/02/2022 | No | \$1,001 - \$15,000 |
| 306 | FREEMPORTMCMORAN INC 4.125 03/01/2028 F1DCE3 | Sale | 01/31/2022 | No | \$1,001 - \$15,000 |
| 307 | OCCIDENTAL PETE CORP 3.500 06/15/2025 O99CG8 | Purchase | 01/28/2022 | No | \$1,001 - \$15,000 |

| # | DESCRIPTION | TYPE | DATE | NOTIFICATION RECEIVED OVER 30 DAYS AGO | AMOUNT |
|-----|--|----------|------------|--|--------------------|
| 308 | OCCIDENTAL PETE CORP 3.500 08/15/2029 O99CS2 | Sale | 01/28/2022 | No | \$1,001 - \$15,000 |
| 309 | ALLY FINANCIAL INC 5.750 11/20/2025 A5NBF6 | Purchase | 01/24/2022 | No | \$1,001 - \$15,000 |
| 310 | Kraft Heinz Foods Co 3.75 04/01/2030 K7LAV8 | Purchase | 12/14/2021 | No | \$1,001 - \$15,000 |
| 311 | TRI POINTE GRP INC 5.250 06/01/2027 T5HAF6 | Sale | 12/14/2021 | No | \$1,001 - \$15,000 |
| 312 | MICROSOFT CORP 3.125 11/03/2025 A22008 | Sale | 01/21/2022 | No | \$1,001 - \$15,000 |
| 313 | LOWES COS INC 3.650 04/05/2029 L61DR5 | Sale | 01/20/2022 | No | \$1,001 - \$15,000 |
| 314 | Apple, Inc. 3.00 11/13/2027 A33DK3 | Purchase | 01/07/2022 | No | \$1,001 - \$15,000 |
| 315 | BANK AMERICA CORP 3.300 01/11/2023 B1GEU9 | Sale | 01/07/2022 | No | \$1,001 - \$15,000 |
| 316 | Bank of America 3.004 12/20/2023 B1GHC6 | Purchase | 01/07/2022 | No | \$1,001 - \$15,000 |
| 317 | T-MOBILE USA INC 2.250 02/15/2026 T4ABR5 | Purchase | 01/07/2022 | No | \$1,001 - \$15,000 |
| 318 | CITRIX SYS INC 4.500 12/01/2027 A36797 | Sale | 01/06/2022 | No | \$1,001 - \$15,000 |
| 319 | GOLDMAN SACHS GROUP INC 4.250 10/21/2025 G1GVR2 | Sale | 01/06/2022 | No | \$1,001 - \$15,000 |
| 320 | GOLDMAN SACHS GROUP INC 3.500 04/01/2025 G1GXJ8 | Purchase | 01/06/2022 | No | \$1,001 - \$15,000 |
| 321 | ENI ADR (E) | Purchase | 12/21/2021 | No | \$1,001 - \$15,000 |
| 322 | NOVO-NORDISK AS ADR (NVO) | Sale | 12/21/2021 | No | \$1,001 - \$15,000 |
| 323 | Synchrony Financial (SYF) | Sale | 12/20/2021 | No | \$1,001 - \$15,000 |
| 324 | DIAGEO PLC (DEO) | Purchase | 12/17/2021 | No | \$1,001 - \$15,000 |
| 325 | NETEASE INC (NTES) | Sale | 12/17/2021 | No | \$1,001 - \$15,000 |
| 326 | Pfizer Inc. (PFE) | Purchase | 12/15/2021 | No | \$1,001 - \$15,000 |

| # | DESCRIPTION | TYPE | DATE | NOTIFICATION RECEIVED OVER 30 DAYS AGO | AMOUNT |
|-----|---|----------|------------|--|--------------------|
| 327 | Medtronic Plc (MDT) | Sale | 12/15/2021 | No | \$1,001 - \$15,000 |
| 328 | HCA Healthcare, Inc. (HCA) | Sale | 01/27/2022 | No | \$1,001 - \$15,000 |
| 329 | Netflix, Inc. (NFLX) | Sale | 01/25/2022 | No | \$1,001 - \$15,000 |
| 330 | Procter & Gamble Co. (PG) | Purchase | 01/25/2022 | No | \$1,001 - \$15,000 |
| 331 | The PNC Financial Services Group, Inc. (PNC) | Purchase | 01/21/2022 | No | \$1,001 - \$15,000 |
| 332 | POSCO HLDGS INC SPON ADR (PKX) | Sale | 01/19/2022 | No | \$1,001 - \$15,000 |
| 333 | The Goldman Sachs Group, Inc. (GS) | Sale | 01/18/2022 | No | \$1,001 - \$15,000 |
| 334 | JPMorgan Chase & Co. (JPM) | Sale | 01/18/2022 | No | \$1,001 - \$15,000 |
| 335 | JPMorgan Chase & Co. (JPM) | Sale | 01/14/2022 | No | \$1,001 - \$15,000 |
| 336 | ROCHE HLDGS LTD ADR (RHHBY) | Purchase | 01/14/2022 | No | \$1,001 - \$15,000 |
| 337 | ASML HOLDING NV (ASML) | Purchase | 02/28/2022 | No | \$1,001 - \$15,000 |
| 338 | Honeywell International, Inc. (HON) | Sale | 02/18/2022 | No | \$1,001 - \$15,000 |
| 339 | CHARLES RIVER LABORATORIES INT'L (CRL) | Purchase | 02/17/2022 | No | \$1,001 - \$15,000 |
| 340 | COGNIZANT TECHNOLOGY SOLUTIONS CORP (CTSH) | Purchase | 02/17/2022 | No | \$1,001 - \$15,000 |
| 341 | Garmin Ltd. (GRMN) | Sale | 02/17/2022 | No | \$1,001 - \$15,000 |
| 342 | Medical Properties Trust, Inc. (MPW) | Sale | 02/16/2022 | No | \$1,001 - \$15,000 |
| 343 | Medical Properties Trust, Inc. (MPW) | Sale | 02/15/2022 | No | \$1,001 - \$15,000 |
| 344 | BNP PARIBAS ADR (BNPQY) | Sale | 02/08/2022 | No | \$1,001 - \$15,000 |
| 345 | NXP SEMICONDUCTORS NV (NXPI) | Purchase | 02/08/2022 | No | \$1,001 - \$15,000 |
| 346 | Capri Holdings Ltd. (CPRI) | Purchase | 02/04/2022 | No | \$1,001 - \$15,000 |

| # | DESCRIPTION | TYPE | DATE | NOTIFICATION RECEIVED OVER 30 DAYS AGO | AMOUNT |
|-----|---|----------|------------|--|--------------------|
| 347 | CANADIAN NATIONAL RAILWAY COMPANY (CNI) | Purchase | 02/03/2022 | No | \$1,001 - \$15,000 |
| 348 | CANADIAN NATIONAL RAILWAY COMPANY (CNI) | Purchase | 02/02/2022 | No | \$1,001 - \$15,000 |
| 349 | Invesco Ltd. (IVZ) | Sale | 02/02/2022 | No | \$1,001 - \$15,000 |
| 350 | Invesco Ltd. (IVZ) | Sale | 02/01/2022 | No | \$1,001 - \$15,000 |
| 351 | AT&T INC 4.100 02/15/2028 A6RGL0 | Purchase | 12/22/2021 | No | \$1,001 - \$15,000 |
| 352 | ENI ADR (E) | Purchase | 12/21/2021 | No | \$1,001 - \$15,000 |
| 353 | NOVO-NORDISK AS ADR (NVO) | Sale | 12/21/2021 | No | \$1,001 - \$15,000 |
| 354 | ORACLE CORP 3.250 11/15/2027 O9XBN4 | Sale | 12/21/2021 | No | \$1,001 - \$15,000 |
| 355 | DIAGEO PLC (DEO) | Purchase | 12/17/2021 | No | \$1,001 - \$15,000 |
| 356 | NETEASE INC (NTES) | Sale | 12/17/2021 | No | \$1,001 - \$15,000 |
| 357 | Kraft Heinz Foods Co 3.75 04/01/2030 K7LAV8 | Purchase | 12/14/2021 | No | \$1,001 - \$15,000 |
| 358 | TRI POINTE GRP INC 5.250 06/01/2027 T5HAF6 | Sale | 12/14/2021 | No | \$1,001 - \$15,000 |
| 359 | ATHENE HLDG LTD 4.125 01/12/2028 A6JAA9 | Sale | 12/13/2021 | No | \$1,001 - \$15,000 |
| 360 | Athene Holding Ltd 3.50 01/15/2031 A6JAC5 | Purchase | 12/13/2021 | No | \$1,001 - \$15,000 |
| 361 | FREEMPORTMCMORAN INC 4.125 03/01/2028 F1DCE3 | Sale | 01/31/2022 | No | \$1,001 - \$15,000 |
| 362 | JPMORGAN Chase & Co. 3.702 05/06/2030 J7PBD7 | Purchase | 01/28/2022 | No | \$1,001 - \$15,000 |
| 363 | BRIGHTHOUSE FINL INC 3.700 06/22/2027 B2NAC7 | Sale | 01/27/2022 | No | \$1,001 - \$15,000 |
| 364 | HCA Healthcare, Inc. (HCA) | Sale | 01/27/2022 | No | \$1,001 - \$15,000 |
| 365 | Procter & Gamble Co. (PG) | Purchase | 01/25/2022 | No | \$1,001 - \$15,000 |

| # | DESCRIPTION | TYPE | DATE | NOTIFICATION RECEIVED OVER 30 DAYS AGO | AMOUNT |
|-----|---|----------|------------|--|--------------------|
| 366 | MICROSOFT CORP 3.125 11/03/2025 A22008 | Sale | 01/21/2022 | No | \$1,001 - \$15,000 |
| 367 | The PNC Financial Services Group, Inc. (PNC) | Purchase | 01/21/2022 | No | \$1,001 - \$15,000 |
| 368 | JPMorgan Chase & Co. (JPM) | Sale | 01/18/2022 | No | \$1,001 - \$15,000 |
| 369 | ROCHE HLDGS LTD ADR (RHHBY) | Purchase | 01/14/2022 | No | \$1,001 - \$15,000 |
| 370 | BANK AMERICA CORP 3.300 01/11/2023 B1GEU9 | Sale | 01/07/2022 | No | \$1,001 - \$15,000 |
| 371 | Bank of America 3.004 12/20/2023 B1GHC6 | Purchase | 01/07/2022 | No | \$1,001 - \$15,000 |
| 372 | GOLDMAN SACHS GROUP INC 4.250 10/21/2025 G1GVR2 | Sale | 01/06/2022 | No | \$1,001 - \$15,000 |
| 373 | GOLDMAN SACHS GROUP INC 3.500 04/01/2025 G1GXJ8 | Purchase | 01/06/2022 | No | \$1,001 - \$15,000 |
| 374 | OCCIDENTAL PETE CORP 3.200 08/15/2026 O99CR4 | Sale | 02/28/2022 | No | \$1,001 - \$15,000 |
| 375 | ASML HOLDING NV (ASML) | Purchase | 02/28/2022 | No | \$1,001 - \$15,000 |
| 376 | ALLY FINANCIAL INC 5.750 11/20/2025 A5NBF6 | Purchase | 02/22/2022 | No | \$1,001 - \$15,000 |
| 377 | ALLY FINANCIAL INC 2.200 11/02/2028 A5NBP4 | Sale | 02/22/2022 | No | \$1,001 - \$15,000 |
| 378 | CHARLES RIVER LABORATORIES INT'L (CRL) | Purchase | 02/17/2022 | No | \$1,001 - \$15,000 |
| 379 | Cognizant Technology Solutions Corp. (CTSH) | Purchase | 02/17/2022 | No | \$1,001 - \$15,000 |
| 380 | WELLTOWER INC 3.625 03/15/2024 W0QAG9 | Purchase | 02/17/2022 | No | \$1,001 - \$15,000 |
| 381 | Garmin Ltd. (GRMN) | Sale | 02/17/2022 | No | \$1,001 - \$15,000 |
| 382 | APACHE CORP 4.375 10/15/2028 A11BE4 | Sale | 02/14/2022 | No | \$1,001 - \$15,000 |
| 383 | CITIGROUP INC 3.300 04/27/2025 A18663 | Purchase | 02/09/2022 | No | \$1,001 - \$15,000 |
| 384 | NXP SEMICONDUCTORS NV (NXPI) | Purchase | 02/08/2022 | No | \$1,001 - \$15,000 |
| 385 | BANK AMERICA CORP 4.450 03/03/2026 B1GFU8 | Sale | 02/04/2022 | No | \$1,001 - \$15,000 |

| # | DESCRIPTION | TYPE | DATE | NOTIFICATION RECEIVED OVER 30 DAYS AGO | AMOUNT |
|-----|---|----------|------------|--|--------------------|
| 386 | T-MOBILE USA INC 4.750 02/01/2028 T4AAV7 | Purchase | 02/04/2022 | No | \$1,001 - \$15,000 |
| 387 | Capri Holdings Ltd. (CPRI) | Purchase | 02/04/2022 | No | \$1,001 - \$15,000 |
| 388 | CANADIAN NATIONAL RAILWAY COMPANY (CNI) | Purchase | 02/02/2022 | No | \$1,001 - \$15,000 |
| 389 | Citigroup, Inc. 3.057 01/25/2033 C7CAQ6 | Purchase | 02/02/2022 | No | \$1,001 - \$15,000 |
| 390 | Invesco Ltd. (IVZ) | Sale | 02/01/2022 | No | \$1,001 - \$15,000 |
| 391 | COML METALS CO 5.375 07/15/2027 201723AL7 | Sale | 02/15/2022 | No | \$1,001 - \$15,000 |

Endnotes

Summary of Contents

The 278-T discloses purchases, sales, or exchanges of securities in excess of \$1,000 made on behalf of the filer, the filer's spouse, or dependent child. Transactions are required to be disclosed within 30 days of receiving notification of a transaction but not later than 45 days after the transaction. Filers need not disclose (1) mutual funds and other excepted investment funds; (2) certificates of deposit, savings or checking accounts, and money market accounts; (3) U.S. Treasury bills, notes, and bonds; (4) Thrift Savings Plan accounts; (5) real property; and (6) transactions that are solely by and between the filer, the filer's spouse, and the filer's dependent children.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant

to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.
