Executive Branch Personnel

Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

Runkel, Christopher M

Senior Counsel and DAEO, National Archives and Records Administration

Date of Termination: 01/31/2023

Other Federal Government Positions Held During the Preceding 12 Months:
None

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Runkel, Christopher M [electronically signed on 01/28/2023 by Runkel, Christopher M in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).
/s/ Klein, Jennifer A, Certifying Official [electronically signed on 03/28/2023 by Klein, Jennifer A in Integrity.gov]

Other review conducted by

U.S. Office of Government Ethics Certification
/s/ Granahan, Megan, Certifying Official [electronically signed on 03/31/2023 by Granahan, Megan in Integrity.gov]

Data Revised 03/28/2023

Data Revised 01/28/2023

Comments of Reviewing Officials (public annotations):

PART	#	REFERENCE	COMMENT
N/A	N/A	General	(01/28/2023, Runkel, Christopher M): The filer agreed to update the reported information if it changes before or on the indicated termination date.

1. Filer's Positions Held Outside United States Government

None

2. Filer's Employment Assets & Income and Retirement Accounts

None

3. Filer's Employment Agreements and Arrangements

None

4. Filer's Sources of Compensation Exceeding $5,000 in a Year

(N/A) - Not required for this type of report

5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Technology & Business Solutions, LLC, Dublin, OH (TBS) (Sells and maintains accounting/financial management software)	See Endnote	N/A		Salary, benefits, ownership draw (see endnote).	
2	Morgan Stanley IRA	See Endnote	No	None (or less than $1,001)		None (or less than $201)
3	BAE Systems 401(k)	See Endnote	No	None (or less than $1,001)		None (or less than $201)

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
4	Technology & Business Solutions 401(k) Plan	See Endnote	No	None (or less than $1,001)		None (or less than $201)
5	NWML IRA	See Endnote	No	$500,001 - $1,000,000		None (or less than $201)
5.1	Northwestern Mutual FDIC Insured Deposit Program (cash account)	See Endnote	No	$1,001 - $15,000		None (or less than $201)
5.2	Brown Capital Management Small Company Fund Institutional Share (BCSSX)		Yes	$1,001 - $15,000		None (or less than $201)
5.3	Cohen & Steers Real Estate Securities Fund Cl I (CSDIX)		Yes	$15,001 - $50,000		None (or less than $201)
5.4	Fidelity Advisor Total Bond Fund Class I Shares (FEPIX)		Yes	$15,001 - $50,000		None (or less than $201)
5.5	FMI International Fund Institutional Class Share (FMIYX)		Yes	$15,001 - $50,000		None (or less than $201)
5.6	Goldman Sachs International Small Cap Insights Fund Institutional Class Shares (GICIX)		Yes	$1,001 - $15,000		None (or less than $201)
5.7	iShares Gold Trust (IAU)		Yes	$1,001 - $15,000		None (or less than $201)
5.8	iShares MSCI Eurozone ETF (EZU)		Yes	$15,001 - $50,000		None (or less than $201)
5.9	iShares Core S&P Mid-Cap ETF (IJH)		Yes	$15,001 - $50,000		None (or less than $201)
5.10	iShares Core S&P Small-Cap ETF (IJR)		Yes	$15,001 - $50,000		None (or less than $201)
5.11	iShares Core S&P 500 ETF (IVV)		Yes	$100,001 - $250,000		None (or less than $201)
5.12	iShares Edge MSCI USA Value Factor ETF (VLUE)		Yes	$15,001 - $50,000		None (or less than $201)

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
5.13	New World Fund, Inc Class F2 Shares (NFFFX)		Yes	$15,001 - $50,000		None (or less than $201)
5.14	Oakmark Select Fund Advisor Class Shares (OAYLX)		Yes	$15,001 - $50,000		None (or less than $201)
5.15	Parnassus Mid Cap Fund Institutional Class Shares (PFPMX)		Yes	$15,001 - $50,000		None (or less than $201)
5.16	PIMCO CommoditiesPLUS Strategy Fund Class I-2 Shares (PCLPX)		Yes	$15,001 - $50,000		None (or less than $201)
5.17	PIMCO Income Fund Class I-2 Shares (PONPX)		Yes	$15,001 - $50,000		None (or less than $201)
5.18	Schwab International Equity ETF (SCHF)		Yes	$15,001 - $50,000		None (or less than $201)
5.19	Vanguard Total Bond Market Index Fund ETF Shares (BND)		Yes	$100,001 - $250,000		None (or less than $201)
5.20	Vanguard Emerging Markets Stock Index Fund ETF Shares (VWO)		Yes	$15,001 - $50,000		None (or less than $201)
5.21	Western Asset Core Bond Fund Class I Shares (WATFX)		Yes	$15,001 - $50,000		None (or less than $201)
6	Northwestern Mutual Life Insurance Company - Portfolio Deferred Income Annuity	See Endnote	No	$100,001 - $250,000		None (or less than $201)

6. Other Assets and Income

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	U.S. Savings Bonds (Aggregate)		N/A	$15,001 - $50,000	Interest	$201 - $1,000
2	Family Trust #1 (revocable)	See Endnote	No	$1,001 - $15,000		None (or less than $201)

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3	Pentagon Federal Credit Union (PFCU) Money Market Savings Account		N/A	$1,001 - $15,000		None (or less than $201)
4	PFCU Checking Account		N/A	$1,001 - $15,000		None (or less than $201)
5	PFCU Roth IRA Share Account	See Endnote	N/A	None (or less than $1,001)	Distributions - $12,505.86	
6	Northwestern Mutual Life Insurance Company Whole Life Policies	See Endnote	N/A	$100,001 - $250,000	Dividends	$1,001 - $2,500
7	529 Plan Investments - Virginia College Savings Plan (Accounts Aggregated)	See Endnote	No		Cash distribution - $15,000.	
7.1	American High-Income Trust-529A CITAX		Yes	$1,001 - $15,000		None (or less than $201)
7.2	Capital Income Builder Fund-529A CIRAX		Yes	$1,001 - $15,000		None (or less than $201)
7.3	Capital World Growth and Income Fund-529A CWIAX	See Endnote	Yes	$1,001 - $15,000		None (or less than $201)
7.4	Growth Fund of America-529A CGFAX		Yes	$15,001 - $50,000		None (or less than $201)
8	Northwestern Mutual IRA	See Endnote	No	None (or less than $1,001)		None (or less than $201)
9	Northwestern Mutual Life Insurance Company - Portfolio Deferred Income Annuity	See Endnote	No	$100,001 - $250,000		None (or less than $201)

7. Transactions

#	DESCRIPTION	TYPE	DATE	AMOUNT
1	Capital World Growth and Income Fund-529A CWIAX	Sale	06/23/2022	$1,001 - $15,000

#	DESCRIPTION		TYPE	DATE	AMOUNT
2	Brown Capital Management Small Company Fund Institutional Share (BCSSX)	See Endnote	Purchase	08/19/2022	$1,001 - $15,000
3	Vanguard Total Bond Market Index Fund ETF Shares (BND)	See Endnote	Purchase	08/22/2022	$100,001 - $250,000
4	Cohen & Steers Real Estate Securities Fund Cl I (CSDIX)	See Endnote	Purchase	08/19/2022	$15,001 - $50,000
5	iShares MSCI Eurozone ETF (EZU)	See Endnote	Purchase	08/22/2022	$15,001 - $50,000
6	Fidelity Advisor Total Bond Fund Class I Shares (FEPIX)	See Endnote	Purchase	08/19/2022	$15,001 - $50,000
7	FMI International Fund Institutional Class Share (FMIYX)	See Endnote	Purchase	08/19/2022	$15,001 - $50,000
8	Goldman Sachs International Small Cap Insights Fund Institutional Class Shares (GICIX)	See Endnote	Purchase	08/19/2022	$15,001 - $50,000
9	iShares Gold Trust (IAU)	See Endnote	Purchase	08/22/2022	$15,001 - $50,000
10	iShares Core S&P Mid-Cap ETF (IJH)	See Endnote	Purchase	08/22/2022	$15,001 - $50,000
11	iShares Core S&P Small-Cap ETF (IJR)	See Endnote	Purchase	08/22/2022	$15,001 - $50,000
12	iShares Core S&P 500 ETF (IVV)	See Endnote	Purchase	08/22/2022	$100,001 - $250,000
13	New World Fund, Inc Class F2 Shares (NFFFX)	See Endnote	Purchase	08/19/2022	$15,001 - $50,000
14	Oakmark Select Fund Advisor Class Shares (OAYLX)	See Endnote	Purchase	08/19/2022	$15,001 - $50,000
15	PIMCO CommoditiesPLUS Strategy Fund Class I-2 Shares (PCLPX)	See Endnote	Purchase	08/19/2022	$15,001 - $50,000

#	DESCRIPTION		TYPE	DATE	AMOUNT
16	Parnassus Mid Cap Fund Institutional Class Shares (PFPMX)	See Endnote	Purchase	08/19/2022	$15,001 - $50,000
17	PIMCO Income Fund Class I-2 Shares (PONPX)	See Endnote	Purchase	08/19/2022	$50,001 - $100,000
18	Schwab International Equity ETF (SCHF)	See Endnote	Purchase	08/22/2022	$50,001 - $100,000
19	Vanguard Short-Term Corporate Bond Index Fund ETF Class Shares (VCSH)	See Endnote	Purchase	08/22/2022	$15,001 - $50,000
20	iShares Edge MSCI USA Value Factor ETF (VLUE)	See Endnote	Purchase	08/22/2022	$15,001 - $50,000
21	Vanguard Emerging Markets Stock Index Fund ETF Shares (VWO)	See Endnote	Purchase	08/22/2022	$15,001 - $50,000
22	Western Asset Core Bond Fund Class I Shares (WATFX)	See Endnote	Purchase	08/19/2022	$15,001 - $50,000
23	Invesco Equity & Income Fund Class A Shares (ACEIX)	See Endnote	Sale	08/19/2022	$15,001 - $50,000
24	Clipper Fund (CFIMX)	See Endnote	Sale	08/19/2022	$1,001 - $15,000
25	Charter Communications, Inc. Stock (CHTR)	See Endnote	Sale	08/22/2022	$1,001 - $15,000
26	Corning Inc Stock (GLW)	See Endnote	Sale	08/22/2022	$15,001 - $50,000
27	Intel Corp Stock (INTC)	See Endnote	Sale	08/22/2022	$1,001 - $15,000
28	Coca Cola Company Stock (KO)	See Endnote	Sale	08/22/2022	$15,001 - $50,000
29	Oakmark Fund Investor Class Shares (OAKMX)	See Endnote	Sale	08/19/2022	$15,001 - $50,000
30	Russell Balanced Strategy Fund Class A Shares (RBLAX)	See Endnote	Sale	08/19/2022	$100,001 - $250,000
31	Columbia Contrarian Core Fund Institutional Class Shares (SMGIX)	See Endnote	Sale	08/19/2022	$1,001 - $15,000

#	DESCRIPTION		TYPE	DATE	AMOUNT
32	Schwab Market Track All Equity Fund (SWEGX)	See Endnote	Sale	08/19/2022	$15,001 - $50,000
33	AT&T Inc. Stock (T)	See Endnote	Sale	08/22/2022	$1,001 - $15,000
34	Target Corp. Stock (TGT)	See Endnote	Sale	08/22/2022	$15,001 - $50,000
35	First Eagle Overseas Fund Class A Shares (SGOVX)	See Endnote	Sale	08/23/2022	$1,001 - $15,000
36	DXC Technology Company Stock (DXC)	See Endnote	Sale	08/24/2022	$1,001 - $15,000
37	iShares Core S&P 500 ETF (IVV)	See Endnote	Purchase	09/02/2022	$1,001 - $15,000
38	Fidelity Advisor Total Bond Fund Class I Shares (FEPIX)	See Endnote	Purchase	10/17/2022	$1,001 - $15,000
39	iShares Core S&P Mid-Cap ETF (IJH)	See Endnote	Purchase	10/17/2022	$1,001 - $15,000
40	Vanguard Total Bond Market Index Fund ETF Shares (BND)	See Endnote	Purchase	10/17/2022	$15,001 - $50,000
41	Western Asset Core Bond Fund Class I Shares (WATFX)	See Endnote	Purchase	10/17/2022	$15,001 - $50,000
42	iShares Gold Trust (IAU)	See Endnote	Sale	10/17/2022	$1,001 - $15,000
43	New World Fund, Inc Class F2 Shares (NFFFX)	See Endnote	Sale	10/17/2022	$1,001 - $15,000
44	Vanguard Short-Term Corporate Bond Index Fund ETF Class Shares (VCSH)	See Endnote	Sale	10/17/2022	$15,001 - $50,000
45	Dodge & Cox Stock Fund DODGX	See Endnote	Sale	08/18/2022	$100,001 - $250,000
46	Westwood Mgmt Small/Mid Cap Institutional Fund WHGMX	See Endnote	Sale	08/18/2022	$15,001 - $50,000
47	Fidelity Contrafund Commingled Pool	See Endnote	Sale	03/31/2022	$50,001 - $100,000

#	DESCRIPTION		TYPE	DATE	AMOUNT
48	BlackRock Russell 1000 Fund	See Endnote	Purchase	03/31/2022	$50,001 - $100,000
49	AMG TimesSquare Small Cap Growth Fund Class Z Shares (TSCIX)	See Endnote	Sale	03/31/2022	$50,001 - $100,000
50	BlackRock Russell 2500 Fund	See Endnote	Purchase	03/31/2022	$50,001 - $100,000
51	BlackRock Russell 1000 Fund	See Endnote	Sale	08/18/2022	$15,001 - $50,000
52	BlackRock Russell 2500 Fund	See Endnote	Sale	08/18/2022	$50,001 - $100,000
53	Artisan International Value Fund Investor Class ARTKX	See Endnote	Sale	08/17/2022	$1,001 - $15,000
54	American Funds Inflation Linked Bond Fund Class R6 Shares (RILFX)	See Endnote	Sale	08/17/2022	$1,001 - $15,000
55	American Funds New World Fund Class R6 RNWGX	See Endnote	Sale	08/17/2022	$1,001 - $15,000
56	BlackRock Mid-Cap Growth Equity Portfolio Institutional Shares (CMGIX)	See Endnote	Sale	08/17/2022	$1,001 - $15,000
57	BlackRock Strategic Income Opportunities Portfolio Institutional Class BSIIX	See Endnote	Sale	08/17/2022	$1,001 - $15,000
58	Dodge & Cox Income Fund DODIX	See Endnote	Sale	08/17/2022	$15,001 - $50,000
59	Federated Hermes Instl High Yield Bond Instl Shares (IS) FIHBX	See Endnote	Sale	08/17/2022	$1,001 - $15,000
60	Federated Hermes Short-Intermediate Total Return Bond Fund Institutional Shares (FGCIX)	See Endnote	Sale	08/17/2022	$1,001 - $15,000
61	JPMorgan Equity Income Fund Class R5 Shares (OIERX)	See Endnote	Sale	08/17/2022	$15,001 - $50,000

#	DESCRIPTION		TYPE	DATE	AMOUNT
62	PIMCO International Bond Fund (U.S. Dollar-Hedged) Inst (PFORX)	See Endnote	Sale	08/17/2022	$1,001 - $15,000
63	Principal Real Estate Securities Fund Institutional Class Shares (PIREX)	See Endnote	Sale	08/17/2022	$1,001 - $15,000
64	Putnam Growth Opportunities Fund Class Y Shares (PGOYX)	See Endnote	Sale	08/17/2022	$15,001 - $50,000
65	Royce International Premier Fund Institutional Class Shares (RIPIX)	See Endnote	Sale	08/17/2022	$1,001 - $15,000
66	Vanguard GNMA Fund Admiral Shares (VFIJX)	See Endnote	Sale	08/17/2022	$1,001 - $15,000
67	Vanguard International Growth Fund Admiral Shares (VWILX)	See Endnote	Sale	08/17/2022	$1,001 - $15,000
68	Vanguard Small-Cap Index Fund Admiral Shares (VSMAX)	See Endnote	Sale	08/17/2022	$1,001 - $15,000
69	Victory Sycamore Established Value Fund Class I Shares (VEVIX)	See Endnote	Sale	08/17/2022	$1,001 - $15,000
70	Vanguard Total Bond Market Index Fund ETF Shares (BND)	See Endnote	Sale	01/18/2023	$15,001 - $50,000
71	iShares MSCI Eurozone ETF (EZU)	See Endnote	Sale	01/18/2023	$1,001 - $15,000
72	Fidelity Advisor Total Bond Fund Class I Shares (FEPIX)	See Endnote	Sale	01/18/2023	$1,001 - $15,000
73	FMI International Fund Institutional Class Share (FMIYX)	See Endnote	Sale	01/18/2023	$1,001 - $15,000
74	Goldman Sachs International Small Cap Insights Fund Institutional Class Shares (GICIX)	See Endnote	Sale	01/18/2023	$1,001 - $15,000
75	iShares Core S&P Mid-Cap ETF (IJH)	See Endnote	Sale	01/18/2023	$1,001 - $15,000
76	iShares Core S&P Small-Cap ETF (IJR)	See Endnote	Sale	01/18/2023	$1,001 - $15,000

#	DESCRIPTION		TYPE	DATE	AMOUNT
77	iShares Core S&P 500 ETF (IVV)	See Endnote	Sale	01/18/2023	$15,001 - $50,000
78	New World Fund, Inc Class F2 Shares (NFFFX)	See Endnote	Sale	01/18/2023	$1,001 - $15,000
79	Oakmark Select Fund Advisor Class Shares (OAYLX)	See Endnote	Sale	01/18/2023	$1,001 - $15,000
80	PIMCO CommoditiesPLUS Strategy Fund Class I-2 Shares (PCLPX)	See Endnote	Sale	01/18/2023	$1,001 - $15,000
81	PIMCO Income Fund Class I-2 Shares (PONPX)	See Endnote	Sale	01/18/2023	$1,001 - $15,000
82	Schwab International Equity ETF (SCHF)	See Endnote	Sale	01/18/2023	$1,001 - $15,000
83	Vanguard Emerging Markets Stock Index Fund ETF Shares (VWO)	See Endnote	Sale	01/18/2023	$1,001 - $15,000
84	Western Asset Core Bond Fund Class I Shares (WATFX)	See Endnote	Sale	01/18/2023	$1,001 - $15,000

8. Liabilities

None

9. Gifts and Travel Reimbursements

None

Endnotes

PART	#	ENDNOTE
5.	1	Filer's spouse owns a 1.238% interest in the company. The value of that interest as of 1/31/2023, was not readily ascertainable. Ownership draw in 2022 > $200. No draw in 2023 as of yet.
5.	2	All assets in this IRA were rolled over into NWML IRA during 2022. See transactions and IRA reported in this Part. No distributions prior to roll over. Reported for tracking purposes.
5.	3	All assets in this 401(k) were rolled over into NWML IRA during 2022. See transactions and IRA reported in this Part. No distributions prior to roll over. Reported for tracking purposes.
5.	4	All assets in this 401(k) were rolled over into NWML IRA during 2022. See transactions and IRA reported in this Part. No distributions prior to roll over. Reported for tracking purposes.
5.	5	New IRA established during 2022. No distributions taken in 2022 or January 2023.
5.	5.1	Sweeps account.
5.	6	Annuity was purchased in January 2023. First payment scheduled for May 2044. This is connected to spouse's employer-sponsored benefit plan, so listed in this Part. Fixed annuity.
6.	2	Distributions - $0.00.
6.	5	Roth IRA liquidated during 2022.
6.	6	Cash value increase: $9,276.11
6.	7	"Aggregated" means filer, spouse, dependent child accounts combined. Those for the benefit of only the Dependent Child are indicated as such. For cash distribution, see 6/23/22, sale of CWIAX.
6.	7.3	One sale transaction.
6.	8	All assets in this 401(k) were rolled over into new NWML IRA during 2022. See transactions reported in Part 7 and IRA reported in Part 5. No distributions prior to roll over. Reported for tracking purposes.
6.	9	Annuity was purchased in October 2021. First payment scheduled for May 2039. This is not connected to an employer-sponsored benefit plan, so listed in this Part. Fixed annuity
7.	2	New
7.	3	New
7.	4	New
7.	5	New

PART	#	ENDNOTE
7.	6	New
7.	7	New
7.	8	New
7.	9	New
7.	10	New
7.	11	New
7.	12	New
7.	13	New
7.	14	New
7.	15	New
7.	16	New
7.	17	New
7.	18	New
7.	19	New
7.	20	New
7.	21	New. Also listed as the Vanguard FTSE Emerging Markets ETF (VWO).
7.	22	New
7.	23	All sold. Proceeds rolled over into new NWML IRA.
7.	24	All sold. Proceeds rolled over into new NWML IRA.
7.	25	All sold. Proceeds rolled over into new NWML IRA.
7.	26	All sold. Proceeds rolled over into new NWML IRA.
7.	27	All sold. Proceeds rolled over into new NWML IRA.

PART	#	ENDNOTE
7.	28	All sold. Proceeds rolled over into new NWML IRA.
7.	29	All sold. Proceeds rolled over into new NWML IRA.
7.	30	All sold. Proceeds rolled over into new NWML IRA.
7.	31	All sold. Proceeds rolled over into new NWML IRA.
7.	32	All sold. Proceeds rolled over into new NWML IRA.
7.	33	All sold. Proceeds rolled over into new NWML IRA.
7.	34	All sold. Proceeds rolled over into new NWML IRA.
7.	35	All sold. Proceeds rolled over into new NWML IRA.
7.	36	All sold. Proceeds rolled over into new NWML IRA.
7.	37	Accretion.
7.	38	Accretion
7.	39	Accretion
7.	40	Accretion
7.	41	Accretion
7.	42	Purchased in August 2022 and now partial sale.
7.	43	Purchased in August 2022 and now partial sale.
7.	44	Purchased new in August 2022 and now all sold. NWML IRA.
7.	45	All shares of this fund were rolled over from BAE Systems 401(k) to a new NWML IRA.
7.	46	All shares of this fund were rolled over from BAE Systems 401(k) to a new NWML IRA.
7.	47	All sold.
7.	48	New. BAE 401k. No ticker - collective investment trust.
7.	49	All sold.

PART	#	ENDNOTE
7.	50	New. BAE 401k. No ticker - collective investment trust.
7.	51	Purchased in March 2022 and now all sold and proceeds rolled over from BAE Systems 401(k) to a new NWML IRA. Decline in value from purchase date is due to market forces.
7.	52	Purchased in March 2022 and now all sold and proceeds rolled over from BAE Systems 401(k) to a new NWML IRA.
7.	53	All sold and proceeds rolled over from Technology & Business Solutions 401(k) to a new NWML IRA. Value category of this asset declined from 12/31/2021, due to market forces.
7.	54	All sold and proceeds rolled over from Technology & Business Solutions 401(k) to a new NWML IRA.
7.	55	All sold and proceeds rolled over from Technology & Business Solutions 401(k) to a new NWML IRA.
7.	56	All sold and proceeds rolled over from Technology & Business Solutions 401(k) to a new NWML IRA.
7.	57	All sold and proceeds rolled over from Technology & Business Solutions 401(k) to a new NWML IRA.
7.	58	All sold and proceeds rolled over from Technology & Business Solutions 401(k) to a new NWML IRA.
7.	59	All sold and proceeds rolled over from Technology & Business Solutions 401(k) to a new NWML IRA.
7.	60	All sold and proceeds rolled over from Technology & Business Solutions 401(k) to a new NWML IRA.
7.	61	All sold and proceeds rolled over from Technology & Business Solutions 401(k) to a new NWML IRA.
7.	62	All sold and proceeds rolled over from Technology & Business Solutions 401(k) to a new NWML IRA.
7.	63	All sold and proceeds rolled over from Technology & Business Solutions 401(k) to a new NWML IRA.
7.	64	All sold and proceeds rolled over from Technology & Business Solutions 401(k) to a new NWML IRA.
7.	65	All sold and proceeds rolled over from Technology & Business Solutions 401(k) to a new NWML IRA.
7.	66	All sold and proceeds rolled over from Technology & Business Solutions 401(k) to a new NWML IRA.
7.	67	All sold and proceeds rolled over from Technology & Business Solutions 401(k) to a new NWML IRA. Value category of this asset declined from 12/31/2021, due to market forces.
7.	68	All sold and proceeds rolled over from Technology & Business Solutions 401(k) to a new NWML IRA.
7.	69	All sold and proceeds rolled over from Technology & Business Solutions 401(k) to a new NWML IRA.

PART	#	ENDNOTE
7.	70	Partial sale.
7.	71	Partial sale.
7.	72	Partial sale.
7.	73	Partial sale.
7.	74	Partial sale.
7.	75	Partial sale.
7.	76	Partial sale.
7.	77	Partial sale.
7.	78	Partial sale.
7.	79	Partial sale
7.	80	Partial sale.
7.	81	Partial sale.
7.	82	Partial sale.
7.	83	Partial sale.
7.	84	Partial sale.

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than $200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than $1,000 or (2) from which more than $200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is $0 - $200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding $5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than $5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than $1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than $200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than $1,000 or (2) from which more than $200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is $0 - $200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than $1,000 or (2) from which more than $200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of $5,000 or less (unless more than $200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is $0 - $200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of $1,000 made on behalf of the filer, the filer's spouse or dependent child during the reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over $10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed $10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than $415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than $415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of $166 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

Public Burden Information

This collection of information is estimated to take an average of ten hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE), Suite 500, 1201 New York Avenue, N.W., Washington, DC 20005-3917.

Pursuant to the Paperwork Reduction Act, as amended, an agency may not conduct or sponsor, and no person is required to respond to, a collection of information unless it displays a currently valid OMB control number (that number, 3209-0001, is displayed here and at the top of the first page of this OGE Form 278e).