Periodic Transaction Report | U.S. Office of Government Ethics; 5 C.F.R. part 2634 (Updated Nov. 2019)

Executive Branch Personnel Public Financial Disclosure Report: Periodic Transaction Report (OGE Form 278-T)

Filer's Information

Runkel, Christopher M

Senior Counsel and DAEO, National Archives and Records Administration

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge. /s/ Runkel, Christopher M [electronically signed on 08/25/2022 by Runkel, Christopher M in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Klein, Jennifer A, Certifying Official [electronically signed on 09/09/2022 by Klein, Jennifer A in Integrity.gov]

Other review conducted by

U.S. Office of Government Ethics Certification

/s/ Granahan, Megan, Certifying Official [electronically signed on 10/04/2022 by Granahan, Megan in Integrity.gov]

Transactions

#	DESCRIPTION		TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
1	Brown Capital Management Small Company Fund Institutional Share (BCSSX)	See Endnote	Purchase	08/19/2022	No	\$1,001 - \$15,000
2	Vanguard Total Bond Market Index Fund ETF Shares (BND)	See Endnote	Purchase	08/22/2022	No	\$100,001 - \$250,000
3	Cohen & Steers Real Estate Securities Fund Cl I (CSDIX)	See Endnote	Purchase	08/19/2022	No	\$15,001 - \$50,000
4	iShares MSCI Eurozone ETF (EZU)	See Endnote	Purchase	08/22/2022	No	\$15,001 - \$50,000
5	Fidelity Advisor Total Bond Fund Class I Shares (FEPIX)	See Endnote	Purchase	08/19/2022	No	\$15,001 - \$50,000
6	FMI International Fund Institutional Class Share (FMIYX)	See Endnote	Purchase	08/19/2022	No	\$15,001 - \$50,000
7	Goldman Sachs International Small Cap Insights Fund Institutional Class Shares (GICIX)	See Endnote	Purchase	08/19/2022	No	\$15,001 - \$50,000
8	iShares Gold Trust (IAU)	See Endnote	Purchase	08/22/2022	No	\$15,001 - \$50,000
9	iShares Core S&P Mid-Cap ETF (IJH)	See Endnote	Purchase	08/22/2022	No	\$15,001 - \$50,000
10	iShares Core S&P Small-Cap ETF (IJR)	See Endnote	Purchase	08/22/2022	No	\$15,001 - \$50,000
11	iShares Core S&P 500 ETF (IVV)	See Endnote	Purchase	08/22/2022	No	\$100,001 - \$250,000
12	New World Fund, Inc Class F2 Shares (NFFFX)	See Endnote	Purchase	08/19/2022	No	\$15,001 - \$50,000
13	Oakmark Select Fund Advisor Class Shares (OAYLX)	See Endnote	Purchase	08/19/2022	No	\$15,001 - \$50,000
14	PIMCO CommoditiesPLUS Strategy Fund Class I-2 Shares (PCLPX)	See Endnote	Purchase	08/19/2022	No	\$15,001 - \$50,000
15	Parnassus Mid Cap Fund Institutional Class Shares (PFPMX)	See Endnote	Purchase	08/19/2022	No	\$15,001 - \$50,000
16	PIMCO Income Fund Class I-2 Shares (PONPX)	See Endnote	Purchase	08/19/2022	No	\$50,001 - \$100,000

#	DESCRIPTION		TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
17	Schwab International Equity ETF (SCHF)	See Endnote	Purchase	08/22/2022	No	\$50,001 - \$100,000
18	Vanguard Short-Term Corporate Bond Index Fund ETF Class Shares (VCSH)	See Endnote	Purchase	08/22/2022	No	\$15,001 - \$50,000
19	iShares Edge MSCI USA Value Factor ETF (VLUE)	See Endnote	Purchase	08/22/2022	No	\$15,001 - \$50,000
20	Vanguard Emerging Markets Stock Index Fund ETF Shares (VWO)	See Endnote	Purchase	08/22/2022	No	\$15,001 - \$50,000
21	Western Asset Core Bond Fund Class I Shares (WATFX)	See Endnote	Purchase	08/19/2022	No	\$15,001 - \$50,000
22	Invesco Equity & Income Fund Class A Shares (ACEIX)	See Endnote	Sale	08/19/2022	No	\$15,001 - \$50,000
23	Clipper Fund (CFIMX)	See Endnote	Sale	08/19/2022	No	\$1,001 - \$15,000
24	Charter Communications, Inc. Stock (CHTR)	See Endnote	Sale	08/22/2022	No	\$1,001 - \$15,000
25	Corning Inc Stock (GLW)	See Endnote	Sale	08/22/2022	No	\$15,001 - \$50,000
26	Intel Corp Stock (INTC)	See Endnote	Sale	08/22/2022	No	\$1,001 - \$15,000
27	Coca Cola Company Stock (KO)	See Endnote	Sale	08/22/2022	No	\$15,001 - \$50,000
28	Oakmark Fund Investor Class Shares (OAKMX)	See Endnote	Sale	08/19/2022	No	\$15,001 - \$50,000
29	Russell Balanced Strategy Fund Class A Shares (RBLAX)	See Endnote	Sale	08/19/2022	No	\$100,001 - \$250,000
30	Columbia Contrarian Core Fund Institutional Class Shares (SMGIX)	See Endnote	Sale	08/19/2022	No	\$1,001 - \$15,000
31	Schwab Market Track All Equity Fund (SWEGX)	See Endnote	Sale	08/19/2022	No	\$15,001 - \$50,000
32	AT&T Inc. Stock (T)	See Endnote	Sale	08/22/2022	No	\$1,001 - \$15,000
33	Target Corp. Stock (TGT)	See Endnote	Sale	08/22/2022	No	\$15,001 - \$50,000

Endnotes

PART	#	ENDNOTE
Transactions	1	New
Transactions	2	New
Transactions	3	New
Transactions	4	New
Transactions	5	New
Transactions	6	New
Transactions	7	New
Transactions	8	New
Transactions	9	New
Transactions	10	New
Transactions	11	New
Transactions	12	New
Transactions	13	New
Transactions	14	New
Transactions	15	New
Transactions	16	New
Transactions	17	New
Transactions	18	New
Transactions	19	New
Transactions	20	New. Also listed as the Vanguard FTSE Emerging Markets ETF (VWO).
Transactions	21	New

PART	#	ENDNOTE
Transactions	22	All sold. Proceeds rolled over into new NWML IRA.
Transactions	23	All sold. Proceeds rolled over into new NWML IRA.
Transactions	24	All sold. Proceeds rolled over into new NWML IRA.
Transactions	25	All sold. Proceeds rolled over into new NWML IRA.
Transactions	26	All sold. Proceeds rolled over into new NWML IRA.
Transactions	27	All sold. Proceeds rolled over into new NWML IRA.
Transactions	28	All sold. Proceeds rolled over into new NWML IRA.
Transactions	29	All sold. Proceeds rolled over into new NWML IRA.
Transactions	30	All sold. Proceeds rolled over into new NWML IRA.
Transactions	31	All sold. Proceeds rolled over into new NWML IRA.
Transactions	32	All sold. Proceeds rolled over into new NWML IRA.
Transactions	33	All sold. Proceeds rolled over into new NWML IRA.

Summary of Contents

The 278-T discloses purchases, sales, or exchanges of securities in excess of \$1,000 made on behalf of the filer, the filer's spouse, or dependent child. Transactions are required to be disclosed within 30 days of receiving notification of a transaction but not later than 45 days after the transaction. Filers need not disclose (1) mutual funds and other excepted investment funds; (2) certificates of deposit, savings or checking accounts, and money market accounts; (3) U.S. Treasury bills, notes, and bonds; (4) Thrift Savings Plan accounts; (5) real property; and (6) transactions that are solely by and between the filer, the filer's spouse, and the filer's dependent children.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of

violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections: (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court. orand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.