Periodic Transaction Report | U.S. Office of Government Ethics; 5 C.F.R. part 2634 (Updated Nov. 2019)

Executive Branch Personnel

Public Financial Disclosure Report:

Periodic Transaction Report (OGE Form 278-T)

Filer's Information

Harpootlian, Jamie L

Ambassador to the Republic of Slovenia, Department of State

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge. /s/ Harpootlian, Jamie L [electronically signed on 07/30/2022 by Harpootlian, Jamie L in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Green, Marcella, Certifying Official [electronically signed on 08/03/2022 by Green, Marcella in Integrity.gov]

Other review conducted by

/s/ Green, Marcella, Ethics Official [electronically signed on 08/03/2022 by Green, Marcella in Integrity.gov]

U.S. Office of Government Ethics Certification

/s/ Granahan, Megan, Certifying Official [electronically signed on 09/01/2022 by Granahan, Megan in Integrity.gov]

Transactions

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
1	Nintendo Ltd (NTDOY)	Sale	06/28/2022	No	\$15,001 - \$50,000
2	Intel Corp (INTC)	Sale	06/28/2022	No	\$15,001 - \$50,000
3	First Trust & Tactical High Yield ETF (HYLS)	Sale	06/28/2022	No	\$15,001 - \$50,000
4	Keyence Corp (KYCCF)	Sale	06/28/2022	No	\$15,001 - \$50,000
5	Nestle SA Cham Und Veve (NSRGF)	Sale	06/28/2022	No	\$15,001 - \$50,000
6	Workday, Inc. (WDAY)	Sale	06/28/2022	No	\$1,001 - \$15,000
7	Carlsberg A/S (CABJF)	Sale	06/28/2022	No	\$1,001 - \$15,000
8	Kering (PPRUF)	Sale	06/28/2022	No	\$1,001 - \$15,000
9	Schlumberger NV (SLB)	Sale	06/28/2022	No	\$1,001 - \$15,000
10	Franklin Resources, Inc. (BEN)	Sale	06/28/2022	No	\$1,001 - \$15,000
11	SMC Corporation (SMECF)	Sale	06/28/2022	No	\$1,001 - \$15,000
12	Enel Spa (ESOCF)	Sale	06/28/2022	No	\$1,001 - \$15,000
13	Givaudan SA (GVDNY)	Sale	06/28/2022	No	\$1,001 - \$15,000
14	Reckitt Benckiser Group (RBGPF)	Sale	06/28/2022	No	\$1,001 - \$15,000
15	AIR Products & Chem (APD)	Sale	06/28/2022	No	\$1,001 - \$15,000
16	SAP SE (SAPGF)	Sale	06/28/2022	No	\$1,001 - \$15,000
17	Shionogi & Co. Ltd. (SGIOF)	Sale	06/28/2022	No	\$1,001 - \$15,000
18	Engie SA (ENGQF)	Sale	06/28/2022	No	\$1,001 - \$15,000
19	SEI Invt Co Pa PV (SEIC)	Sale	06/28/2022	No	\$1,001 - \$15,000
20	Starbucks Corp. (SBUX)	Sale	06/28/2022	No	\$1,001 - \$15,000
21	Industria De Diseno Text (IDEXF)	Sale	06/28/2022	No	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
22	Anheuser-Busch Inbev Sa (BUDFF)	Sale	06/28/2022	No	\$1,001 - \$15,000
23	Banco Bilbao Vizcaya ARG (BBVXF)	Sale	06/28/2022	No	\$1,001 - \$15,000
24	EPIROC AB (EPIAF)	Sale	06/28/2022	No	\$1,001 - \$15,000
25	HOYA Corporation (HOCPF)	Sale	06/28/2022	No	\$1,001 - \$15,000
26	STMicroelectronics N.V. (STMEF)	Sale	06/28/2022	No	\$1,001 - \$15,000
27	Unicharm Corporation (UNCHF)	Sale	06/28/2022	No	\$1,001 - \$15,000
28	Dominion Energy, Inc (D)	Sale	06/28/2022	No	\$1,001 - \$15,000
29	Nitori Holdings (NCLTF)	Sale	06/28/2022	No	\$1,001 - \$15,000
30	Tokyo Electron Limited (TOELF)	Sale	06/28/2022	No	\$1,001 - \$15,000
31	Associated British Food (ASBFF)	Sale	06/28/2022	No	\$1,001 - \$15,000
32	Terumo Corporation (TRUMF)	Sale	06/28/2022	No	\$1,001 - \$15,000
33	Canadian National Railway (CNI)	Sale	06/28/2022	No	\$1,001 - \$15,000
34	Vale Sa (VALE)	Sale	06/28/2022	No	\$1,001 - \$15,000
35	BNP Parabas (BNPQF)	Sale	06/28/2022	No	\$1,001 - \$15,000
36	ING Group NV SHS (INGVF)	Sale	06/28/2022	No	\$1,001 - \$15,000
37	Rio Tinto PLC (RTPPF)	Sale	06/28/2022	No	\$1,001 - \$15,000
38	Koninklijke Philips NV (RYLPF)	Sale	06/28/2022	No	\$1,001 - \$15,000
39	SoftBank Group Corp. (SFTBF)	Sale	06/28/2022	No	\$1,001 - \$15,000
40	ATLASSIAN Corp PLC (TEAM)	Sale	06/28/2022	No	\$1,001 - \$15,000
41	Adidas AG (ADDF)	Sale	06/28/2022	No	\$1,001 - \$15,000
42	B&M European Value Retail (BMRPF)	Sale	06/28/2022	No	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
43	ABB LTD (ABLZF)	Sale	06/28/2022	No	\$1,001 - \$15,000
44	Grifols SA SHS-B-B (GIFOF)	Sale	06/28/2022	No	\$1,001 - \$15,000
45	Flutter Entertainment (PDYPF)	Sale	06/28/2022	No	\$1,001 - \$15,000
46	London Stock Exchange Group (LDNXF)	Sale	06/28/2022	No	\$1,001 - \$15,000
47	Mercado Libre Inc (MELI)	Sale	06/28/2022	No	\$1,001 - \$15,000
48	Altria Group INC (MO)	Sale	06/28/2022	No	\$1,001 - \$15,000
49	Straumann Holding AG (SAUHF)	Sale	06/28/2022	No	\$1,001 - \$15,000

Endnotes

Summary of Contents

The 278-T discloses purchases, sales, or exchanges of securities in excess of \$1,000 made on behalf of the filer, the filer's spouse, or dependent child. Transactions are required to be disclosed within 30 days of receiving notification of a transaction but not later than 45 days after the transaction. Filers need not disclose (1) mutual funds and other excepted investment funds; (2) certificates of deposit, savings or checking accounts, and money market accounts; (3) U.S. Treasury bills, notes, and bonds; (4) Thrift Savings Plan accounts; (5) real property; and (6) transactions that are solely by and between the filer, the filer's spouse, and the filer's dependent children.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U.S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant

to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspe