

Executive Branch Personnel Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

Mazur, Mark

Deputy Assistant Secretary (Tax Policy), Department of the Treasury

Date of Termination: 10/15/2021

Other Federal Government Positions Held During the Preceding 12 Months:

None

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Mazur, Mark [electronically signed on 10/25/2021 by Mazur, Mark in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Sonfield, Brian, Certifying Official [electronically signed on 11/18/2021 by Sonfield, Brian in Integrity.gov]

Other review conducted by

/s/ Furey, Christian, Ethics Official [electronically signed on 11/15/2021 by Furey, Christian in Integrity.gov]

U.S. Office of Government Ethics Certification

Data Revised 11/15/2021

1. Filer's Positions Held Outside United States Government

#	ORGANIZATION NAME	CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
1	Urban Institute	Washington, District of Columbia	Non-Profit	Director, Urban-Brookings Tax Policy Center	2/2017	1/2021

2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Vanguard Balanced Index Fund (IRA)		Yes	\$50,001 - \$100,000		None (or less than \$201)
2	TIAA Retirement Annuity (Traditional)		N/A	\$50,001 - \$100,000		None (or less than \$201)
3	CREF Stock Fund		Yes	\$50,001 - \$100,000		None (or less than \$201)
4	CREF Social Choice Fund		Yes	\$1,001 - \$15,000		None (or less than \$201)
5	Urban Institute Retirement Plan, defined contribution, Vanguard Wellington Fund	See Endnote	Yes	None (or less than \$1,001)		None (or less than \$201)
6	Vanguard Wellington Fund (IRA)	See Endnote	Yes	\$100,001 - \$250,000		None (or less than \$201)

3. Filer's Employment Agreements and Arrangements

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
1	Carnegie Mellon University	Pittsburgh, Pennsylvania	I continue to participate in this defined contribution plan, but the plan sponsor no longer makes contributions.	8/1989
2	Urban Institute	Washington, District of Columbia	No longer have financial connection. As of January 2021. I continued to participate in a defined contribution retirement plan, but the plan sponsor no longer made contributions. Account balance was moved to Vanguard IRA in March 2021.	1/2021

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

(N/A) - Not required for this type of report

5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Fidelity Inherited IRA	See Endnote		cash payments	\$400

6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Congressional Federal Credit Union Checking, Saving, Money Market Accounts	N/A	\$50,001 - \$100,000		None (or less than \$201)
2	Charles Schwab Deposit Account	N/A	\$1,001 - \$15,000		None (or less than \$201)
3	Vanguard Cash Reserves Federal Money Market Fund	Yes	\$50,001 - \$100,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
4	Vanguard Windsor II Fund	Yes	\$100,001 - \$250,000		\$5,001 - \$15,000
5	Vanguard Total Stock Fund	Yes	\$100,001 - \$250,000		\$5,001 - \$15,000
6	Vanguard Inflation-Protected Securities Fund	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
7	Maryland College Investment Fund (T. Rowe Price Portfolio for Education Today)	See Endnote	Yes	None (or less than \$1,001)	\$201 - \$1,000
8	Archer Daniels Midland Common Stock	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$201 - \$1,000
9	Cisco Systems Common Stock	N/A	\$1,001 - \$15,000		None (or less than \$201)
10	National Grid PLC ADR	N/A	\$1,001 - \$15,000		None (or less than \$201)
11	Capital One 360 Saving Account	N/A	\$1,001 - \$15,000		None (or less than \$201)
12	Chase Bank Checking Account	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
13	Capital One 360 Savings Account	N/A	\$15,001 - \$50,000		None (or less than \$201)
14	L-3 Communications Common Stock -- Inherited IRA at Fidelity Investments	N/A	\$1,001 - \$15,000		None (or less than \$201)
15	Newmont Mining Company Common Stock -- Inherited IRA at Fidelity Investments	N/A	\$1,001 - \$15,000		None (or less than \$201)
16	BP PLC ADR	N/A	\$1,001 - \$15,000		None (or less than \$201)
17	Kinder Morgan Inc. Common Stock	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
18	Apache Corp. Common Stock	N/A	\$1,001 - \$15,000		None (or less than \$201)
19	New York City Community Bank	N/A	\$1,001 - \$15,000		None (or less than \$201)
20	Prudential Financial Corp.	N/A	\$1,001 - \$15,000		None (or less than \$201)

7. Transactions

#	DESCRIPTION		TYPE	DATE	AMOUNT
1	Vanguard Wellington Fund (IRA)	See Endnote	Purchase	03/31/2021	\$100,001 - \$250,000
2	Vanguard Inflation-Protected Securities Fund		Purchase	02/16/2021	\$1,001 - \$15,000
3	Vanguard Total Stock Fund		Purchase	02/08/2021	\$1,001 - \$15,000
4	Vanguard Total Stock Fund		Purchase	03/08/2021	\$1,001 - \$15,000
5	Vanguard Inflation-Protected Securities Fund		Purchase	04/12/2021	\$1,001 - \$15,000
6	Vanguard Inflation-Protected Securities Fund		Purchase	06/01/2021	\$1,001 - \$15,000
7	Vanguard Total Stock Fund		Purchase	09/07/2021	\$1,001 - \$15,000

8. Liabilities

None

9. Gifts and Travel Reimbursements

None

Endnotes

PART	#	ENDNOTE
2.	5	Plan administered by Transamerica Moved to Vanguard Wellington Fund IRA in March 2021
2.	6	Transferred from Urban Institute defined contribution plan March 2021
5.	1	Underlying assets of this inherited IRA are reported in Part 6, lines 14 and 15.
6.	7	No longer held
7.	1	Transfer from Urban Institute Retirement Fund to IRA via direct rollover

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during the reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$166 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

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