

# Executive Branch Personnel

## Public Financial Disclosure Report (OGE Form 278e)

### Filer's Information

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Henley, Stephen

Administrative Law Judge, Department of Labor

Report Year: 2022

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Other Federal Government Positions Held During the Preceding 12 Months:

None

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Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Henley, Stephen [electronically signed on 04/29/2022 by Henley, Stephen in Integrity.gov]

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Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Myers, Vanessa J, Certifying Official [electronically signed on 12/05/2022 by Myers, Vanessa J in Integrity.gov]

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Other review conducted by

/s/ Brown, Marilyn C, Ethics Official [electronically signed on 11/29/2022 by Brown, Marilyn C in Integrity.gov]

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U.S. Office of Government Ethics Certification

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Data Revised 08/09/2022

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## 1. Filer's Positions Held Outside United States Government

None

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## 2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Wells Fargo IRA	No			
1.1	Accenture PLC	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
1.2	AFLAC	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
1.3	Analog Devices	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
1.4	Apple Inc	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
1.5	Automatic Data Processing	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
1.6	Becton Dickinson	No	None (or less than \$1,001)	Dividends	None (or less than \$201)
1.7	Blackrock Inc	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
1.8	Broadridge Financial Solutions	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
1.9	Chubb LTD	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
1.10	Cisco Systems	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.11	Clorox Company	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
1.12	Colgate Palmolive	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
1.13	Comcast Corp	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
1.14	Costco Whsl Corp	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
1.15	Eaton Vance Corp	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
1.16	Ecolab Inc	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
1.17	Emerson Electric Co	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
1.18	Federal Rlty Invt Tr	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
1.19	General Dynamics	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
1.20	Grainger WW Inc	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
1.21	Honeywell Intl	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
1.22	Illinois Tool Works Inc	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
1.23	Intercontinental Exchange	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
1.24	Linde PLC	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.25	Lockheed Martin Corp	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
1.26	Microsoft Corp	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
1.27	New Jersey Res Corp	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
1.28	PPG Industries	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
1.29	Paychex Inc	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
1.30	Pepsico Inc	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
1.31	Phillips 66	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
1.32	Raytheon Technologies	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
1.33	Sherwin Williams	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
1.34	Starbucks Corp	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
1.35	T Rowe Price	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
1.36	Inion Pacific Corp	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
1.37	United Healthcare Group	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
1.38	VF Corporation	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.39	Walmart Inc	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
1.40	Xylem Inc	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
2	Wells Fargo Account #1	N/A			
2.1	AT&T, Inc. (T)	No	\$1,001 - \$15,000	Dividends	\$1,001 - \$2,500
2.2	Bristol-Myers Squibb (BMY)	No	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500
2.3	Chevron Corp. (CVX)	No	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
2.4	Consolidated Edison, Inc. (ED)	No	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
2.5	Exxon Mobil Corp. (XOM)	No	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
2.6	JPMorgan Chase & Co. (JPM)	No	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
2.7	Stryker Corp. (SYK)	No	\$100,001 - \$250,000	Dividends	\$1,001 - \$2,500
2.8	The Travelers Cos., Inc. (TRV)	No	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
2.9	Verizon Communications, Inc. (VZ)	No	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
2.10	Zimmer Biomet Holdings, Inc. (ZBH)	No	\$15,001 - \$50,000	Dividends	None (or less than \$201)
3	Wells Fargo Account #2				
3.1	Accenture PLC Ireland	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
3.2	Aflac, Inc. (AFL)	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3.3	Analog Devices, Inc. (ADI)	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
3.4	Automatic Data Processing, Inc. (ADP)	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
3.5	Becton, Dickinson and Company (BDX)	No	None (or less than \$1,001)	Dividends	None (or less than \$201)
3.6	BlackRock, Inc. (BLK)	No	None (or less than \$1,001)	Dividends	None (or less than \$201)
3.7	Broadridge Financial Solutions, Inc. (BR)	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
3.8	Chubb Ltd. (CB)	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
3.9	Cisco Systems, Inc. (CSCO)	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
3.10	Colgate-Palmolive Co. (CL)	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
3.11	Comcast Corp. (CMCSA)	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
3.12	Costco Wholesale Corp. (COST)	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
3.13	Eaton Vance Corp. (EV)	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
3.14	Ecolab, Inc. (ECL)	No	\$1,001 - \$15,000	Dividends Capital Gains	None (or less than \$201)
3.15	emersen electric	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
3.16	Federal Realty Investment Trust (FRT)	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3.17	General Dynamics Corp. (GD)	No	\$1,001 - \$15,000	Dividends Rent or Royalties	None (or less than \$201)
3.18	Grainger W.W. Inc (GWW)	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
3.19	Honeywell International, Inc. (HON)	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
3.20	Illinois Tool Works, Inc. (ITW)	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
3.21	Intercontinental Exchange, Inc. (ICE)	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
3.22	Linde Plc (LIN)	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
3.23	Lockheed Martin Corp. (LMT)	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
3.24	Microsoft Corp. (MSFT)	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
3.25	New Jersey Resources Corp. (NJR)	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
3.26	PPG Industries, Inc. (PPG)	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
3.27	Paychex, Inc. (PAYX)	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
3.28	PepsiCo, Inc. (PEP)	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
3.29	Phillips 66 (PSX)	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
3.30	Raytheon Co. (RTN)	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)



#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3.31	The Sherwin-Williams Co. (SHW)	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
3.32	Starbucks Corp. (SBUX)	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
3.33	T. Rowe Price Group, Inc. (TROW)	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
3.34	Union Pacific Corp. (UNP)	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
3.35	UnitedHealth Group, Inc. (UNH)	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
3.36	VF Corporation	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
3.37	Walmart, Inc. (WMT)	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
3.38	Xylem, Inc. (XYL)	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
3.39	3M Co. (MMM)	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
4	Abbott Laboratories (ABT)	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
5	Air Products and Chemicals, Inc. (APD)	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
6	American Tower Corporation (REIT) (AMT)	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7	American Water Works Co., Inc. (AWK)	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
8	AmerisourceBergen Corp. (ABC)	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
9	Amgen Inc. (AMGN)	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
10	Atmos Energy Corp. (ATO)	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
11	Brown & Brown, Inc. (BRO)	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
12	Brown-Forman Corp B (BF.B)	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
13	Church & Dwight Co., Inc. (CHD)	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
14	CMS Energy Corp. (CMS)	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
15	Bank of Commerce Holdings (BOCH)	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
16	Crown Castle International Corp. (CCI)	No		Dividends	None (or less than \$201)
17	Eversource Energy (ES)	No		Dividends	None (or less than \$201)
18	FactSet Research Systems, Inc. (FDS)	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
19	General Dynamics Corp. (GD)	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
20	The Home Depot, Inc. (HD)	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
21	International Business Machines Corp. (IBM)	No	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
22	The J. M. Smucker Co. (SJM)	No	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
23	Jack Henry & Associates, Inc. (JKHY)	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
24	Johnson & Johnson (JNJ)	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
25	Kyndryl Holdings	No	None (or less than \$1,001)		None (or less than \$201)
26	Lowe's Companies	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
27	L3 Harris Technologies	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
28	McCormick & Co., Inc. (MKC)	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
29	McDonald's Corp. (MCD)	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
30	Medtronic Plc (MDT)	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
31	Mondelez International, Inc. (MDLZ)	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
32	NextEra Energy, Inc. (NEE)	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
33	NIKE, Inc. (NKE)	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
34	Orion Office REIT	No	None (or less than \$1,001)		None (or less than \$201)
35	Procter & Gamble Co. (PG)	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
36	Proshares TR ETF S&P Midcap 400 Aristocrats	No	\$15,001 - \$50,000	Dividends	None (or less than \$201)
37	Proshares TR ETF Russell 2000	No	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
38	QUALCOMM, Inc. (QCOM)	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
39	Realty Income Corp. (O)	No	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
40	S&P Global Inc	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
41	Target Corp. (TGT)	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
42	Texas Instruments Incorporated (TXN)	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
43	United Parcel Service, Inc. (UPS)	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
44	WEC Energy Group, Inc. (WEC)	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
45	Xcel Energy, Inc. (XEL)	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
46	Hartford Mutual Fund Balanced Income Fund	No	\$15,001 - \$50,000	Dividends	\$201 - \$1,000

### 3. Filer's Employment Agreements and Arrangements

None

### 4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

(N/A) - Not required for this type of report

### 5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Belle Haven Animal Medical Center (Veterinary Hospital)	N/A		salary	
2	Wells Fargo IRA	N/A		cash payments	\$5,453
3	Wells Fargo IRA	No			
3.1	Abbott Laboratories	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
3.2	Accenture PLC Ireland	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
3.3	AFLAC Inc	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
3.4	Air products & Chemicals	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
3.5	American Tower Corp	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
3.6	American Water Works Inc	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
3.7	Amerisourcebergen Corp	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
3.8	Amgen Inc	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
3.9	Analog Devices Inc	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
3.10	Apple Inc	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
3.11	Atmos Energy Corp	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
3.12	Automatic Data processing	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3.13	Beckton & Dickinson	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
3.14	Blackrock Inc	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
3.15	Broadridge Financial Solutions	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
3.16	Brown and Brown Inc	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
3.17	Brown-Forman Corp	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
3.18	Chubb Ltd	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
3.19	Church & Dwight Inc	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
3.20	Cisco Systems Inc	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
3.21	Clorox Company	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
3.22	CMS Energy Corp	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
3.23	Colgate Palmolive Co	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
3.24	Comcast Corp	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
3.25	Commerce Bankshares Inc	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
3.26	Costco Whsl Corp	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3.27	Crown Castle Internaional Corp	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
3.28	Eaton Vance Corp	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
3.29	Ecolab Inc	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
3.30	Emerson Electric Co	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
3.31	Eversource Energy	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
3.32	Factset Research Systems	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
3.33	General Dynamics	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
3.34	Federal Rlty Invt tr	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
3.35	Grainger WW Inc	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
3.36	Home Depot Inc	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
3.37	Honeywell International	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
3.38	Illinois Tool Works	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
3.39	Intercontinental Exchange	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
3.40	IBM Corp	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3.41	JM Smucker Co	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
3.42	Jack henry & Associates	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
3.43	Johnson & Johnson	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
3.44	Linde PLC	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
3.45	Lockheed Martin Corp	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
3.46	Lowes Companies	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
3.47	I# Harris technologies	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
3.48	McCormick & Co	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
3.49	McDonalds Corp	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
3.50	Medtronic PLC	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
3.51	Microsoft Corp	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
3.52	Mondelez Intl Inc	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
3.53	New Jersey Res Corp	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
3.54	Nextera Energy Inc	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)



#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3.55	Nike Inc class B	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
3.56	PPG Industries Inc	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
3.57	Paychex Inc	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
3.58	Pepsico Inc	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
3.59	Phillips 66	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
3.60	Proctor & Gamble	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
3.61	Qualcomm Inc	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
3.62	Raytheon technologies Inc	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
3.63	Realty Income Corp	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
3.64	S&P Global Inc	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
3.65	Sherwin Williams Co	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
3.66	Starbucks Corp	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
3.67	Stryker Corp	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
3.68	T Rowe Price Group	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3.69	Texas Instruments	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
3.70	Union Pacific Corp	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
3.71	UPS-B	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
3.72	United Healthcare Group	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
3.73	VF Corporation	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
3.74	Verizon Communications	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
3.75	Walmart Inc	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
3.76	WEC Energy Group Inc	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
3.77	XCEL Energy Inc	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
3.78	Xylem Inc	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
3.79	3M Co	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
3.80	Hartford Mut Fds Inc	No	\$15,001 - \$50,000	Dividends	\$201 - \$1,000

## 6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	PenFed Checking	No	\$50,001 - \$100,000	Interest	None (or less than \$201)
2	BOA Checking	No	\$50,001 - \$100,000	Interest	None (or less than \$201)

## 7. Transactions

#	DESCRIPTION	TYPE	DATE	AMOUNT
1	Target Corp		10/04/2021	\$1,001 - \$15,000

## 8. Liabilities

None

## 9. Gifts and Travel Reimbursements

None

## Endnotes

# Summary of Contents

## 1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

## 2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

## 3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

#### 4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

#### 5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

#### 6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

## 7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during the reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

## 8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

## 9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$166 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

## Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

## Public Burden Information

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