

Executive Branch Personnel Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

Magnus, Christopher

Commissioner, U.S. Customs and Border Protection, Department of Homeland Security

Date of Termination: 11/12/2022

Other Federal Government Positions Held During the Preceding 12 Months:

None

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Magnus, Christopher [electronically signed on 12/08/2022 by Magnus, Christopher in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ O'Connor, Michael, Certifying Official [electronically signed on 03/08/2023 by O'Connor, Michael in Integrity.gov]

Other review conducted by

/s/ Falk, Scott K, Ethics Official [electronically signed on 02/03/2023 by Falk, Scott K in Integrity.gov]

U.S. Office of Government Ethics Certification

Data Revised 02/08/2023

1. Filer's Positions Held Outside United States Government

#	ORGANIZATION NAME	CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
1	Family Trust	Berkeley, California	Trust	Trustee	7/2014	Present

2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Brokerage 1	No			
1.1	Rollover IRA 1 - UMA	No			
1.1.1	U.S. brokerage money market account (cash)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.2	Aflac, Inc. (AFL)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$201 - \$1,000
1.1.3	Abbott Laboratories (ABT)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$201 - \$1,000
1.1.4	Alphabet, Inc. (GOOGL)	N/A	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
1.1.5	Amazon.com, Inc. (AMZN)	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
1.1.6	American Express Co. (AXP)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$1,001 - \$2,500
1.1.7	Apple, Inc. (AAPL)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$5,001 - \$15,000
1.1.8	Applied Materials, Inc. (AMAT)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.1.9	Automatic Data Processing, Inc. (ADP)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$201 - \$1,000
1.1.10	AutoZone, Inc. (AZO)	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
1.1.11	Broadcom, Inc. (AVGO)	N/A	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
1.1.12	Caterpillar, Inc. (CAT)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$201 - \$1,000
1.1.13	Charles River Laboratories International, Inc. (CRL)	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
1.1.14	Chevron Corp. (CVX)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$201 - \$1,000
1.1.15	Deckers Outdoor Corp. (DECK)	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
1.1.16	Eagle Materials, Inc. (EXP)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$1,001 - \$2,500
1.1.17	Eastman Chemical Co. (EMN)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$201 - \$1,000
1.1.18	EMCOR Group, Inc. (EME)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$1,001 - \$2,500
1.1.19	Equitable Holdings, Inc. (EQH)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$201 - \$1,000
1.1.20	Evercore, Inc. (EVR)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$201 - \$1,000
1.1.21	The Home Depot, Inc. (HD)	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
1.1.22	Infosys Ltd ADR	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.1.23	Interpublic Group of Cos., Inc. (IPG)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$1,001 - \$2,500
1.1.24	IQVIA Hldgs, Inc. (IOV)	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
1.1.25	iShares Core S&P Small-Cap ETF (IJR)	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.1.26	JPMorgan Chase & Co. (JPM)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$201 - \$1,000
1.1.27	Johnson & Johnson (JNJ)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$201 - \$1,000
1.1.28	L3Harris Technologies, Inc. (LHX)	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
1.1.29	Lam Research Corp. (LRCX)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$1,001 - \$2,500
1.1.30	Lockheed Martin Corp. (LMT)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$201 - \$1,000
1.1.31	Medical Properties Trust, Inc. (MPW)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$201 - \$1,000
1.1.32	Microsoft Corp. (MSFT)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$2,501 - \$5,000
1.1.33	Morgan Stanley, Inc. (MS)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$1,001 - \$2,500
1.1.34	NextEra Energy, Inc. (NEE)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$201 - \$1,000
1.1.35	ONEOK, Inc. (OKE)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$1,001 - \$2,500
1.1.36	Otis Worldwide Corp (OTIS)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.1.37	Procter & Gamble Co. (PG)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$201 - \$1,000
1.1.38	Prologis, Inc. (PLD)	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
1.1.39	Royal Bank of Canada (RY)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$201 - \$1,000
1.1.40	SPDR S&P 400 Mid Cap Growth ETF (MDYG)	Yes	\$15,001 - \$50,000		None (or less than \$201)
1.1.41	Skyworks Solutions, Inc. (SWKS)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$201 - \$1,000
1.1.42	Southern Company (SO)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$201 - \$1,000
1.1.43	Stryker Corp. (SYK)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$201 - \$1,000
1.1.44	TFI International, Inc. (TFII)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$1,001 - \$2,500
1.1.45	Tokyo Electron Ltd. (TOELY)	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
1.1.46	Toyota Motor Corporation (TM)	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
1.1.47	Truist Financial Corp (TFC)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$201 - \$1,000
1.1.48	Tyson Foods, Inc. (TSN)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$201 - \$1,000
1.1.49	United Dominion Realty Trust, Inc. (UDR)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$201 - \$1,000
1.1.50	UFP Industries, Inc. (UFPI)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.1.51	Union Pacific Corp. (UNP)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$201 - \$1,000
1.1.52	UnitedHealth Group, Inc. (UNH)	N/A	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
1.1.53	Waste Management, Inc. (WM)	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
1.1.54	Wells Fargo & Co. (WFC)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$201 - \$1,000
1.1.55	The Williams Cos., Inc. (WMB)	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
1.1.56	Williams-Sonoma, Inc. (WSM)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$1,001 - \$2,500
1.1.57	Janus Henderson Group Plc (JHG)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$201 - \$1,000
1.1.58	ICON PLC (ICLR)	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
1.1.59	Johnson Controls International Plc (JCI)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$201 - \$1,000
1.1.60	Linde Plc (LIN)	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
1.1.61	nVent Electric Plc (NVT)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$1,001 - \$2,500
1.1.62	Pentair Plc (PNR)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$201 - \$1,000
1.1.63	Chubb Ltd. (CB)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$201 - \$1,000
1.1.64	Garmin Ltd. (GRMN)	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.1.65	UBS Group AG (UBS)	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
1.1.66	iShares iBoxx \$ Investment Grade Corporate Bond ETF (LQD)	Yes	\$50,001 - \$100,000		\$201 - \$1,000
1.1.67	SPDR BLM Barclays High Yld Bond (JNK)	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.1.68	SPDR S&P 400 Mid Cap Value ETF (MDYV)	Yes	\$15,001 - \$50,000		None (or less than \$201)
1.1.69	Ajinomoto Co., Inc (AJINY)	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
1.1.70	Ameriprise Financial, Inc. (AMP)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$201 - \$1,000
1.1.71	ConocoPhillips (COP)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$1,001 - \$2,500
1.1.72	Danaher Corporation (DHR)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$201 - \$1,000
1.1.73	Diamondback Energy, Inc. (FANG)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$1,001 - \$2,500
1.1.74	Exxon Mobil Corp. (XOM)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$1,001 - \$2,500
1.1.75	Federal Realty Investment Trust (FRT)	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
1.1.76	The Goldman Sachs Group, Inc. (GS)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$201 - \$1,000
1.1.77	Kookmin Bank, Inc.(KB)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$201 - \$1,000
1.1.78	Nexstar Media Group, Inc. (NXST)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.1.79	Nippon Telegraph & Telephone Corp (NTTTY)	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
1.1.80	Navigator Tactical Fixed Income Fund Class I Shares (NTBIX)	Yes	\$50,001 - \$100,000		None (or less than \$201)
1.1.81	Fabrinet (FN)	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
1.1.82	BLACKSTONE INC (bx)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$201 - \$1,000
1.1.83	Arch Capital Group Ltd. (ACGL)	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
1.1.84	Eli Lilly & Co. (LLY)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$201 - \$1,000
1.1.85	EOG Resources, Inc. (EOG)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$1,001 - \$2,500
1.1.86	Jones Lang LaSalle, Inc. (JLL)	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
1.1.87	Schlumberger NV (SLB)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$201 - \$1,000
1.1.88	Texas Instruments Incorporated (TXN)	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
1.1.89	UNITED OVERSEAS BK SINGAPORE ADR (UOVEY)	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
1.1.90	ZIFF DAVIS INC (ZD)	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
1.1.91	ACUITY	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$201 - \$1,000
1.1.92	AbbVie, Inc. (ABBV)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.1.93	FRST TRST ISE REV NTRL GS IND ETF (FCG)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
1.1.94	Helmerich & Payne, Inc. (HP)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$201 - \$1,000
1.1.95	iShares Nasdaq Biotechnology ETF (IBB)	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.1.96	iShares Core MSCI Total International Stock ETF (IXUS)	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.1.97	iShares Core S&P 500 ETF (IVV)	Yes	\$15,001 - \$50,000		None (or less than \$201)
1.1.98	iShares Russell 2000 Growth ETF (IWO)	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.1.99	ISHARES S&P US PREFERRED STOCK INDEX (PFF)	Yes	\$15,001 - \$50,000		None (or less than \$201)
1.1.100	ISHARES TOTAL US STOCK MARKET ETF (ITOT)	Yes	None (or less than \$1,001)		\$5,001 - \$15,000
1.1.101	Schwab Fundamental International Large Company Index ETF (FNDF)	Yes	\$15,001 - \$50,000		None (or less than \$201)
1.1.102	Schwab Fundamental US Large Company Index ETF (FNDX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
1.1.103	Schwab US Large-Cap Growth ETF (SCHG)	Yes	\$15,001 - \$50,000		None (or less than \$201)
1.1.104	SPDR Bloomberg Barclays Short Term High Yield Bond ETF (SJNK)	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.1.105	SPDR Portfolio Short Term Corporate Bond ETF (SPSB)	Yes	\$15,001 - \$50,000		None (or less than \$201)
1.1.106	SPDR ICE PREFERRED SECURITIES ETF (PSK)	Yes	None (or less than \$1,001)		\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.1.107	SPDR S&P 600 Small Cap Value ETF (SLYV)	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.1.108	SPDR Portfolio S&P 500 ETF (SPLG)	Yes	\$15,001 - \$50,000		None (or less than \$201)
1.1.109	SPDR Portfolio S&P 500 Value ETF (SPYV)	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
1.1.110	SPDR S&P Bank ETF (KBE)	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.1.111	SPDR S&P Metals & Mining ETF (XME)	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.1.112	Vanguard FTSE All-World ex-US Small-Cap Index Fund ETF Shares (VSS)	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.1.113	Vanguard Industrials Index Fund ETF Class Shares (VIS)	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.1.114	Vanguard International Dividend Appreciation Index Fund ETF Shares (VIGI)	Yes	\$15,001 - \$50,000		None (or less than \$201)
1.1.115	Vanguard Energy Index Fund ETF Class Shares (VDE)	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.1.116	Schwab Fundamental International Small Company Index ETF (FNDC)	Yes	\$15,001 - \$50,000		None (or less than \$201)
1.1.117	Schwab Fundamental Emerging Markets Large Company Index ETF (FNDE)	Yes	\$15,001 - \$50,000		None (or less than \$201)
1.1.118	SPDR S&P Regional Banking ETF (KRE)	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.1.119	iShares US Broker-Dealers & Securities Exchanges ETF (IAI)	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.1.120	iShares US Aerospace & Defense ETF (ITA)	Yes	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.1.121	ISHARES TR MSCI USA MOMENTUM (MTUM)	Yes	\$15,001 - \$50,000		None (or less than \$201)
1.1.122	iShares MSCIEmerging Markets ex China ETF (EMXC)	Yes	\$15,001 - \$50,000		None (or less than \$201)
1.2	Rollover IRA 2	See Endnote	No		
1.2.1	AT&T, Inc., bonds A6RHJ4	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
1.2.2	AbbVie, Inc. bond A7YBF5	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
1.2.3	Avery Dennison Corp, bond A11AJ8	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
1.2.4	Brighthouse Fin, Inc., bond B2NAC7	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
1.2.5	CSX Corp, bond A44489	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
1.2.6	CVS Health Corp, bonds A39937	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
1.2.7	Citrix Systems, Inc., bond A36797	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
1.2.8	Goldman Sachs Group, Inc., bond G1GVR2	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
1.2.9	Mosaic Co, bond M5CAG8	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
1.2.10	Verizon Comm, Inc., bond A43958	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
2	Arizona Defined Contribution Plan 401(a)	No			
2.1	AmFds 2025 Target Date Retirement R6	Yes	\$50,001 - \$100,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3	CALpers, Defined Benefit Plan (value not readily ascertainable)	N/A		Retirement payments (annual)	\$71,016
4	City of Lansing, Defined Benefit Plan (value not readily ascertainable)	N/A		retirement payments (annual)	\$25,968
5	City of Tucson Executive 401(a) Retirement Plan	No			
5.1	American Funds Capital World Growth and Income Fund Class R-6 (RWIGX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
5.2	Fidelity Diversified International Fund (FDIVX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
5.3	Vanguard Small-Cap Index Fund Admiral Shares (VSMAX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
5.4	Victory Sycamore Small Company Opportunity Fund R6	Yes	\$1,001 - \$15,000		None (or less than \$201)
5.5	JPMorgan Equity Income Fund Class R6 (OIEJX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
5.6	Vanguard Institutional Index Fund Institutional Plus Shares (VIMI)	Yes	\$1,001 - \$15,000		None (or less than \$201)
5.7	Vanguard Mid-Cap Index Fund Admiral Shares (VIMAX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
5.8	Victory Sycamore Established Value Fund Class R6 (VEVRX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
5.9	Vanguard Total Bond Market Index Adm (VBTLX)	Yes	\$50,001 - \$100,000		None (or less than \$201)
5.10	PUTNAM STABLE VALUE FUND: 20BPS	Yes	\$15,001 - \$50,000		None (or less than \$201)
6	City of Tucson Defined Contribution Plan 457(b)	No			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
6.1	Great-West SecureFoundation Balanced Fd (MXSBX)	Yes	\$50,001 - \$100,000		None (or less than \$201)
6.2	AmFunds Capital World GH/I R6	Yes	\$1,001 - \$15,000		None (or less than \$201)
6.3	Vanguard Institutional Index I	Yes	\$1,001 - \$15,000		None (or less than \$201)
6.4	Vanguard Total Bond Market Index Fund Admiral Shares (VBTLX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
6.5	Putnam Stable Value Fund 20bps	Yes	\$1,001 - \$15,000		None (or less than \$201)
7	Roth IRA	No			
7.1	Franklin Growth Advantage (FCGAX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
7.2	Franklin Mutual Global Discovery (MDISX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
7.3	Invesco Diversified Dividend Fund Class Y Shares (LCEYX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
7.4	John Hancock Bond Fund (JHBIX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
8	City of Richmond	N/A		Disability payments	\$14,000

3. Filer's Employment Agreements and Arrangements

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
1	CALpers (on behalf of the City of Richmond, CA)	Sacramento, California	I continue to participate in this plan, receiving monthly distributions.	1/2006

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
2	City of Lansing	Lansing, Michigan	I continue to participate in this plan, receiving monthly distributions.	1/1985
3	City of Tucson	Tucson, Arizona	I will continue to participate in this defined contribution plan (457). The plan sponsor will not make further contributions after my separation.	1/2019
4	City of Tucson	Tucson, Arizona	I will continue to participate in this defined contribution plan (401(a)). The plan sponsor will not make further contributions after my separation.	1/2019
5	Arizona Defined Contribution Plan 401(a)	Tucson, Arizona	I will continue to participate in this defined contribution plan. The plan sponsor will not make further contributions after my separation.	1/2016
6	CALpers (on behalf of the City of Richmond, CA)	Sacramento, California	I will receive health insurance coverage for life.	2/2016
7	City of Richmond	Sacramento, California	I am entitled to receive supplemental monthly reimbursement for health insurance, excess of CALpers, for life, starting after receipt of my last disability payment.	2/2016
8	City of Richmond	Richmond, California	I will continue to receive bi-weekly disability payments until December 2024.	2/2016

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

(N/A) - Not required for this type of report

5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Pima County, Tucson, AZ	N/A		salary	

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
2	Contra Costa County Employee's Retirement Association, defined benefit plan (value not readily ascertainable)	N/A			None (or less than \$201)
3	Rollover IRA	No			
3.1	U.S. brokerage money market account (cash)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.2	Principal Blue Chip Fund Institutional Class Shares (PBCKX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
3.3	Principal Diversified International Fund Institutional Class Shares (PIIIX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
3.4	Principal Equity Income Fund Institutional Class Shares (PEIIX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
3.5	Principal International Fund I Institutional Class Shares (PINIX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.6	Principal International Emerging Markets Fund Institutional Class Shares (PIEIX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.7	Principal LargeCap Value Fund III Institutional Class Shares (PLVIX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
3.8	Principal MidCap Fund Institutional Class Shares (PCBIX)	Yes	\$1,001 - \$15,000		\$1,001 - \$2,500
3.9	Principal MidCap Value Fund I Institutional Class Shares (PVMIX)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
3.10	Principal Global Multi-Strategy Fund Institutional Class Shares (PSMIX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.11	Principal Overseas Fund Institutional Class Shares (PINZX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
3.12	Principal SmallCap Growth Fund I Institutional Class Shares (PGRTX)	Yes	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3.13	Principal SmallCap Value Fund II Institutional Class Shares (PPVIX)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
3.14	Principal Core Fixed Income Fund Institutional Class Shares (PIOIX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.15	Principal Core Plus Bond Fund Institutional Class Shares (PMSIX)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
3.16	Principal Finisterre Unconstrained Emerging Markets Bond Fund Institutional Class Shares (PFUMX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.17	Principal Short-Term Income Fund Institutional Class Shares (PSHIX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
4	Arizona State Retirement System, defined benefit plan (value not readily ascertainable)	N/A			None (or less than \$201)
5	Justice Management Institute	N/A		Salary	
6	Children's Advocacy Center of Southern Arizona	N/A	\$1,001 - \$15,000	Consulting work	
7	Lones Management Consulting	N/A	\$1,001 - \$15,000	Consulting work	
8	Mental Health Association of Oregon	N/A	\$1,001 - \$15,000	Consulting work	

6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	US bank 1	N/A			
1.1	Savings Account (cash)	N/A	\$250,001 - \$500,000		None (or less than \$201)
2	US bank 2	N/A			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
2.1	Checking Account (cash)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3	Family Trust	No			
3.1	Residential real estate, Bisbee, Arizona	N/A	\$100,001 - \$250,000		None (or less than \$201)
4	Brokerage 1	See Endnote			
4.1	Alphabet, Inc. A - GOOGL	N/A	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
4.2	Amazon.com, Inc. - AMZN	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
4.3	American Express Company - AXP	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
4.4	Apple, Inc. - AAPL	N/A	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
4.5	Applied Materials, Inc. - AMAT	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
4.6	AutoZone, Inc. - AZO	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
4.7	BlackRock, Inc. - BLK	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
4.8	Broadcom, Inc. - AVGO	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
4.9	Charles River Laboratories Int'l - CRL	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
4.10	Deckers Outdoor Corp - DECK	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
4.11	Eagle Materials, Inc. - EXP	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
4.12	EMCOR Group, Inc. - EME	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
4.13	Evercore Partners, Inc. - EVR	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
4.14	Infosys Ltd ADR - INFY	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
4.15	Interpublic Group of Companies, Inc. - IPG	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
4.16	IQVIA Hldgs, Inc. - IQV	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
4.17	iShares S&P US PFD STOCK INDEX (PFF)	Yes	\$15,001 - \$50,000		None (or less than \$201)
4.18	Johnson & Johnson - JNJ	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
4.19	LAM Research Corp - LRCX	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
4.20	Microsoft Corporation - MSFT	N/A	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
4.21	Morgan Stanley, Inc. - MS	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
4.22	SPDR S&P 400 Mid Cap Growth - MDYG	Yes	\$15,001 - \$50,000		None (or less than \$201)
4.23	SPDR S&P 400 Mid Cap Value ETF - MDYV	Yes	\$15,001 - \$50,000		None (or less than \$201)
4.24	Southern Company (SO)	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
4.25	Stryker Corporation - SYK	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
4.26	TFI International, Inc. - TFII	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
4.27	Texas Instruments, Inc. - TXN	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
4.28	Tokyo Electron Ltd. - TOELY	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
4.29	Toyota Motor Corporation - TM	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
4.30	Truist Financial Corp - TFC	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
4.31	UFP Industries, Inc. - UFPI	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
4.32	Union Pacific Corp - UNP	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
4.33	UnitedHealth Group, Inc. - UNH	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
4.34	Williams Co., Inc. - WMB	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
4.35	Williams-Sonoma, Inc. - WSM	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
4.36	Janus Henderson Group PLC - JHG	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
4.37	ICON PLC - ICLR	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
4.38	Johnson Controls Intl PLC - JCI	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
4.39	Linde PLC - LIN	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
4.40	nVent Electric PLC - NVT	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
4.41	Pentair PLC - PNR	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
4.42	Chubb Ltd - CB	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
4.43	Garmin Ltd - GRMN	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
4.44	UBS Group AG - UBS	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
4.45	Brighthouse Fin, Inc., bond (B2NAC7)	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
4.46	Abbott Laboratories (ABT)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$201 - \$1,000
4.47	Ajinomoto Co., Inc. ADR (AJINY)	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
4.48	Ameriprise Financial, Inc. (AMP)	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
4.49	Diamondback Energy, Inc. (FANG)	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
4.50	Exxon Mobil Corp. (XOM)	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
4.51	The Goldman Sachs Group, Inc. (GS)	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
4.52	Kookmin Bank, Inc. (KB)	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
4.53	Nippon Telegraph & Telephone Corp (NTTY)	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
4.54	Royal Bank of Canada (RY)	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
4.55	U.S. brokerage money market account (cash)	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.56	Fabrinet (FN)	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
4.57	Wells Fargo & Co. (WFC)	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
4.58	AbbVie, Inc. (ABBV)	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
4.59	Arch Capital Group Ltd. (ACGL)	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
4.60	BLACKSTONE INC A (BX)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$201 - \$1,000
4.61	Chevron Corp. (CVX)	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
4.62	ConocoPhillips (COP)	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
4.63	EOG Resources, Inc. (EOG)	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
4.64	HELMERICH & PAYNE INC (HP)	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
4.65	The Home Depot, Inc. (HD)	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
4.66	iShares Core MSCI Total International Stock ETF (IXUS)	Yes	\$1,001 - \$15,000		None (or less than \$201)
4.67	iShares iBoxx \$ High Yield Corporate Bond ETF (HYG)	Yes	\$15,001 - \$50,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
4.68	iShares Russell 2000 Growth ETF (IWO)	Yes	\$1,001 - \$15,000		None (or less than \$201)
4.69	Jones Lang LaSalle, Inc. (JLL)	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
4.70	L3Harris Technologies, Inc. (LHX)	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
4.71	Lockheed Martin Corp. (LMT)	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
4.72	Navigator Tactical Fixed Income Fund Class I Shares (NTBIX)	Yes	\$50,001 - \$100,000		None (or less than \$201)
4.73	Nexstar Media Group, Inc. (NXST)	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
4.74	NextEra Energy, Inc. (NEE)	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
4.75	ONEOK, Inc. (OKE)	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
4.76	Prologis, Inc. (PLD)	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
4.77	Schlumberger NV (SLB)	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
4.78	Schwab Fundamental International Large Company Index ETF (FNDF)	Yes	\$15,001 - \$50,000		None (or less than \$201)
4.79	Schwab Fundamental US Large Company Index ETF (FNDX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
4.80	Schwab US Large-Cap Growth ETF (SCHG)	Yes	\$50,001 - \$100,000		None (or less than \$201)
4.81	SPDR Bloomberg Barclays Short Term High Yield Bond ETF (SJNK)	Yes	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
4.82	SPDR Bloomberg Barclays High Yield Bond ETF (JNK)	Yes	\$1,001 - \$15,000		None (or less than \$201)
4.83	SPDR Portfolio S&P 500 ETF (SPLG)	Yes	\$15,001 - \$50,000		None (or less than \$201)
4.84	SPDR S&P 600 Small Cap Value ETF (SLYV)	Yes	\$1,001 - \$15,000		None (or less than \$201)
4.85	UNITED DOMINION REALTY TRUST INC (UDR)	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
4.86	UNITED OVERSEAS BK SINGAPORE ADR (UOVEY)	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
4.87	Vanguard FTSE All-World ex-US Small-Cap Index Fund ETF Shares (VSS)	Yes	\$1,001 - \$15,000		None (or less than \$201)
4.88	Vanguard International Dividend Appreciation Index Fund ETF Shares (VIGI)	Yes	\$15,001 - \$50,000		None (or less than \$201)
4.89	Waste Management, Inc. (WM)	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
4.90	ZIFF DAVIS INC (ZD)	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
4.91	iShares iBoxx \$ Investment Grade Corporate Bond ETF (LQD)	Yes	\$50,001 - \$100,000		None (or less than \$201)
4.92	iShares MSCI Emerging Markets ex China ETF (EMXC)	Yes	\$1,001 - \$15,000		None (or less than \$201)
4.93	Schwab Fundamental Emerging Markets Large Company Index ETF (FNDE)	Yes	\$1,001 - \$15,000		None (or less than \$201)
4.94	Schwab Fundamental International Small Company Index ETF (FNDC)	Yes	\$1,001 - \$15,000		None (or less than \$201)
4.95	SPDR Portfolio Short Term Corporate Bond ETF (SPSB)	Yes	\$15,001 - \$50,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
4.96	iShares Core S&P Small-Cap ETF (IJR)	Yes	\$1,001 - \$15,000		None (or less than \$201)

7. Transactions

#	DESCRIPTION	TYPE	DATE	AMOUNT
1	Aflac, Inc. (AFL)	Sale	03/07/2022	\$1,001 - \$15,000
2	AMN Healthcare Services, Inc. (AMN)	Sale	03/07/2022	\$1,001 - \$15,000
3	AT&T INC (T)	Sale	03/07/2022	\$1,001 - \$15,000
4	AT&T INC 4.10 02/15/2028 A6RGL0	Sale	03/07/2022	\$1,001 - \$15,000
5	AT&T INC 4.35 03/01/2029 A6RHJ4	Sale	03/07/2022	\$1,001 - \$15,000
6	ASE TECHNOLOGY HOLDING CO LT (ASX)	Sale	03/07/2022	\$1,001 - \$15,000
7	Abbott Laboratories (ABT)	Sale	03/07/2022	\$1,001 - \$15,000
8	AbbVie, Inc. (ABBV)	Sale	03/07/2022	\$1,001 - \$15,000
9	Acuity Brands, Inc. (AYI)	Sale	03/07/2022	\$1,001 - \$15,000
10	AGREE LP 2.90 10/01/2030 A13AA1	Sale	03/07/2022	\$1,001 - \$15,000
11	AJINOMOTO INC (AJINY)	Sale	03/07/2022	\$1,001 - \$15,000
12	Ally Financial, Inc. (ALLY)	Sale	03/07/2022	\$1,001 - \$15,000
13	ALLY FINANCIAL INC 5.75 11/20/2025 A5NBF6	Sale	03/07/2022	\$1,001 - \$15,000
14	Alphabet, Inc. (GOOGL)	Sale	03/07/2022	\$1,001 - \$15,000
15	Amazon.com, Inc. (AMZN)	Sale	03/07/2022	\$1,001 - \$15,000
16	American Express Co. (AXP)	Sale	03/07/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
17	AMERICAN TOWER CORP 3.80 08/15/2029 A7XAW0	Sale	03/07/2022	\$1,001 - \$15,000
18	Ameriprise Financial, Inc. (AMP)	Sale	03/07/2022	\$1,001 - \$15,000
19	Apple, Inc. (AAPL)	Sale	03/07/2022	\$1,001 - \$15,000
20	Applied Materials, Inc. (AMAT)	Sale	03/07/2022	\$1,001 - \$15,000
21	ASTRAZENECA PLC (AZN)	Sale	03/07/2022	\$1,001 - \$15,000
22	ATHENE HOLDING LTD 3.50 01/15/2031 A6JAC5	Sale	03/07/2022	\$1,001 - \$15,000
23	Automatic Data Processing, Inc. (ADP)	Sale	03/07/2022	\$1,001 - \$15,000
24	AutoZone, Inc. (AZO)	Sale	03/07/2022	\$1,001 - \$15,000
25	Avery Dennison Corp 4.875 12/06/2028 A11AJ8	Sale	03/07/2022	\$1,001 - \$15,000
26	BNP PARIBAS ADR (BNPQY)	Sale	03/07/2022	\$1,001 - \$15,000
27	Bank of America Corp. (BAC)	Sale	03/07/2022	\$1,001 - \$15,000
28	BANC AMER CORP 4.183 11/25/2027 B1GGC7	Sale	03/07/2022	\$1,001 - \$15,000
29	BANK OF AMERICA CORP 3.824 01/20/2028 B1GGF0	Sale	03/07/2022	\$1,001 - \$15,000
30	BANK OF AMERICA 3.004 12/20/2023 B1GHC6	Sale	03/07/2022	\$1,001 - \$15,000
31	BAYER AG (BAYRY)	Sale	03/07/2022	\$1,001 - \$15,000
32	Biogen Inc 3.625 09/15/2022 A22146	Sale	03/07/2022	\$1,001 - \$15,000
33	BLACKSTONE INC A (BX)	Sale	03/07/2022	\$1,001 - \$15,000
34	Boeing Co 5.15 05/01/2030 B23CY9	Sale	03/07/2022	\$1,001 - \$15,000
35	BRIGHTHOUSE FINL INC 3.70 06/22/2027 B2NAC7	Sale	03/07/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
36	Broadcom, Inc. (AVGO)	Sale	03/07/2022	\$1,001 - \$15,000
37	BUNGE LTD FIN CORP 3.25 08/15/2026 B68AX8	Sale	03/07/2022	\$1,001 - \$15,000
38	CNA FINL CORP 3.95 05/15/2024 C17AS9	Sale	03/07/2022	\$1,001 - \$15,000
39	CRH PLC (CRH)	Sale	03/07/2022	\$1,001 - \$15,000
40	CSX Corp. 4.25 03/15/2029 A44489	Sale	03/07/2022	\$1,001 - \$15,000
41	CVS HLTH CORP 4.30 03/25/2028 A39937	Sale	03/07/2022	\$1,001 - \$15,000
42	CVS HLTH CORP 3.25 0/15/2029 C50DG2	Sale	03/07/2022	\$1,001 - \$15,000
43	CANADIAN NATIONAL RAILWAY COMPANY (CNI)	Sale	03/07/2022	\$1,001 - \$15,000
44	Caterpillar, Inc. (CAT)	Sale	03/07/2022	\$1,001 - \$15,000
45	CENTENE CORP DE 4.25 12/15/2027 C5BAR2	Sale	03/07/2022	\$1,001 - \$15,000
46	Charles River Laboratories International, Inc. (CRL)	Sale	03/07/2022	\$1,001 - \$15,000
47	CHARTER COMMNS OPER LLC 4.20 03/15/2028 C75BK9	Sale	03/07/2022	\$1,001 - \$15,000
48	Chevron Corp. (CVX)	Sale	03/07/2022	\$1,001 - \$15,000
49	CHURCH & DWIGHT INC 3.150 08/01/2027 C40AN2	Sale	03/07/2022	\$1,001 - \$15,000
50	Cisco Systems, Inc. (CSCO)	Sale	03/07/2022	\$1,001 - \$15,000
51	CITIGROUP INC 3.300 04/27/2025 A18663	Sale	03/07/2022	\$1,001 - \$15,000
52	Citigroup, Inc. 3.057 01/25/2033 C7CAQ6	Sale	03/07/2022	\$1,001 - \$15,000
53	Cognizant Technology Solutions Corp. (CTSH)	Sale	03/07/2022	\$1,001 - \$15,000
54	Comcast Corp. (CMCSA)	Sale	03/07/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
55	ConocoPhillips (COP)	Sale	03/07/2022	\$1,001 - \$15,000
56	Crane Co. (CR)	Sale	03/07/2022	\$1,001 - \$15,000
57	DR HORTON INC (DHI)	Sale	03/07/2022	\$1,001 - \$15,000
58	Danaher Corp. (DHR)	Sale	03/07/2022	\$1,001 - \$15,000
59	DEUTSCHE POST AG ADR (DPSGY)	Sale	03/07/2022	\$1,001 - \$15,000
60	DIAGEO PLC (DEO)	Sale	03/07/2022	\$1,001 - \$15,000
61	Diamondback Energy, Inc. (FANG)	Sale	03/07/2022	\$1,001 - \$15,000
62	ENI ADR (E)	Sale	03/07/2022	\$1,001 - \$15,000
63	EOG Resources, Inc. (EOG)	Sale	03/07/2022	\$1,001 - \$15,000
64	ETRADE FINL CORP 2.950 08/24/2022 A34794	Sale	03/07/2022	\$1,001 - \$15,000
65	Eagle Materials, Inc. (EXP)	Sale	03/07/2022	\$1,001 - \$15,000
66	East West Bancorp, Inc. (EWBC)	Sale	03/07/2022	\$1,001 - \$15,000
67	Eastman Chemical Co. (EMN)	Sale	03/07/2022	\$1,001 - \$15,000
68	EMCOR Group, Inc. (EME)	Sale	03/07/2022	\$1,001 - \$15,000
69	EQUINIX INC 2.625 11/18/2024 E4UBC9	Sale	03/07/2022	\$1,001 - \$15,000
70	Equitable Holdings, Inc. (EQH)	Sale	03/07/2022	\$1,001 - \$15,000
71	Evercore, Inc. (EVR)	Sale	03/07/2022	\$1,001 - \$15,000
72	Exxon Mobil Corp. (XOM)	Sale	03/07/2022	\$1,001 - \$15,000
73	META PLATFORMS INC CLASS A (FB)	Sale	03/07/2022	\$1,001 - \$15,000
74	FEDERAL RLTY INVT TR NEW (FRT)	Sale	03/07/2022	\$1,001 - \$15,000
75	FEDEX CORP 3.100 08/05/2029 F8XBV7	Sale	03/07/2022	\$1,001 - \$15,000
76	FORD HLDGS INC 9.300 03/01/2030 A23096	Sale	03/07/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
77	Fortune Brands Home & Security, Inc. (FBHS)	Sale	03/07/2022	\$1,001 - \$15,000
78	FREEPORT MCMORAN INC 5.250 09/01/2029 F1DCD5	Sale	03/07/2022	\$1,001 - \$15,000
79	FREEPORT MCMORAN INC 4.625 08/01/2030 A56170	Sale	03/07/2022	\$1,001 - \$15,000
80	GILDAN ACTIVEWEAR INC (GIL)	Sale	03/07/2022	\$1,001 - \$15,000
81	GLAXOSMITHKLINE PLC (GSK)	Sale	03/07/2022	\$1,001 - \$15,000
82	GOLDMAN SACHS GROUP INC 3.500 04/01/2025 G1GXJ8	Sale	03/07/2022	\$1,001 - \$15,000
83	GOLDMAN SACHS GRP INC 3.750 02/25/2026 G3U8H7	Sale	03/07/2022	\$1,001 - \$15,000
84	HCA Healthcare, Inc. (HCA)	Sale	03/07/2022	\$1,001 - \$15,000
85	HEINEKEN NV SPONSORED NETHERLAND ADR (HEINY)	Sale	03/07/2022	\$1,001 - \$15,000
86	Helmerich & Payne, Inc. (HP)	Sale	03/07/2022	\$1,001 - \$15,000
87	HOLLYFRONTIER CORP 5.875 04/01/2026 H06AA6	Sale	03/07/2022	\$1,001 - \$15,000
88	INFOSYS LTD ADR (INFY)	Sale	03/07/2022	\$1,001 - \$15,000
89	INTERPUBLIC GROUP OF COMPANIES INC (IPG)	Sale	03/07/2022	\$1,001 - \$15,000
90	IQVIA Holdings, Inc. (IQV)	Sale	03/07/2022	\$1,001 - \$15,000
91	JPMORGAN CHASE & CO (JPM)	Sale	03/07/2022	\$1,001 - \$15,000
92	JPMORGAN CHASE & CO 4.125 12/15/2026 J5HJZ4	Sale	03/07/2022	\$1,001 - \$15,000
93	JPMORGAN CHASE & CO 4.203 07/23/2029 J7PAV8	Sale	03/07/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
94	JPMORGAN CHASE & CO 4.023 12/05/2024 J7PAY2	Sale	03/07/2022	\$1,001 - \$15,000
95	JPMORGAN Chase & Co. 3.702 05/06/2030 J7PBD7	Sale	03/07/2022	\$1,001 - \$15,000
96	Johnson & Johnson (JNJ)	Sale	03/07/2022	\$1,001 - \$15,000
97	Jones Lang LaSalle, Inc. (JLL)	Sale	03/07/2022	\$1,001 - \$15,000
98	ZIFF DAVIS INC (ZD)	Sale	03/07/2022	\$1,001 - \$15,000
99	KOOKMIN BANK INC ADR (KB)	Sale	03/07/2022	\$1,001 - \$15,000
100	KB HM 6.875 06/15/2027 K6KAX7	Sale	03/07/2022	\$1,001 - \$15,000
101	KEYCORP 2.550 10/01/2029 K6EEJ8	Sale	03/07/2022	\$1,001 - \$15,000
102	Korn Ferry (KFY)	Sale	03/07/2022	\$1,001 - \$15,000
103	Kraft Heinz Foods Co 3.75 04/01/2030 K7LAV8	Sale	03/07/2022	\$1,001 - \$15,000
104	KROGER CO 3.850 08/01/2023 KR4CS8	Sale	03/07/2022	\$1,001 - \$15,000
105	KUBOTA CORP ADR (KUBTY)	Sale	03/07/2022	\$1,001 - \$15,000
106	LCI Industries (LCII)	Sale	03/07/2022	\$1,001 - \$15,000
107	L3Harris Technologies, Inc. (LHX)	Sale	03/07/2022	\$1,001 - \$15,000
108	LVMH MOET HENNESSY LOUIS VUITTON (LVMUY)	Sale	03/07/2022	\$1,001 - \$15,000
109	Laboratory Corp. of America Holdings (LH)	Sale	03/07/2022	\$1,001 - \$15,000
110	Lam Research Corp. (LRCX)	Sale	03/07/2022	\$1,001 - \$15,000
111	LAS VEGAS SANDS CORP 3.500 08/18/2026 L34AE7	Sale	03/07/2022	\$1,001 - \$15,000
112	LENNAR CORP 4.500 04/30/2024 A32201	Sale	03/07/2022	\$1,001 - \$15,000
113	Eli Lilly & Co. (LLY)	Sale	03/07/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
114	Lockheed Martin Corp. (LMT)	Sale	03/07/2022	\$1,001 - \$15,000
115	LOEWS CORP 3.200 05/15/2030 L24AT5	Sale	03/07/2022	\$1,001 - \$15,000
116	LOWE'S COMPANIES INC (LOW)	Sale	03/07/2022	\$1,001 - \$15,000
117	LOWES COS INC 3.650 04/05/2029 L61DR5	Sale	03/07/2022	\$1,001 - \$15,000
118	M/I HOMES INC 4.950 02/01/2028 M5BAS0	Sale	03/07/2022	\$1,001 - \$15,000
119	Mastercard, Inc. (MA)	Sale	03/07/2022	\$1,001 - \$15,000
120	MICHELIN COMPAGNIE GENERALE ADR (MGDDY)	Sale	03/07/2022	\$1,001 - \$15,000
121	Microsoft Corp. (MSFT)	Sale	03/07/2022	\$1,001 - \$15,000
122	MOHAWK INDS INC 3.625 05/15/2030 M90AL8	Sale	03/07/2022	\$1,001 - \$15,000
123	MORGAN STANLEY INC (MS)	Sale	03/07/2022	\$1,001 - \$15,000
124	MORGAN STANLEY 4.350 09/08/2026 M467Y9	Sale	03/07/2022	\$1,001 - \$15,000
125	MORGAN STANLEY 4.100 05/22/2023 M7YDU6	Sale	03/07/2022	\$1,001 - \$15,000
126	MORGAN STANLEY 4.875 11/01/2022 A12123	Purchase	03/07/2022	\$1,001 - \$15,000
127	MOSAIC CO 4.050 11/15/2027 M5CAG8	Sale	03/07/2022	\$1,001 - \$15,000
128	MURPHY OIL USA INC 4.750 09/15/2029 M38AE8	Sale	03/07/2022	\$1,001 - \$15,000
129	NRG ENERGY INC 5.750 01/15/2028 N77CCE0	Sale	03/07/2022	\$1,001 - \$15,000
130	NetApp, Inc. (NTAP)	Sale	03/07/2022	\$1,001 - \$15,000
131	Nexstar Media Group, Inc. (NXST)	Sale	03/07/2022	\$1,001 - \$15,000
132	NextEra Energy, Inc. (NEE)	Sale	03/07/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
133	NIPPON TELEGRAPH & TELEPHONE (NTTYY)	Sale	03/07/2022	\$1,001 - \$15,000
134	NOVO-NORDISK AS ADR (NVO)	Sale	03/07/2022	\$1,001 - \$15,000
135	OLIN CORP 5.625 08/01/2029 O65AL0	Sale	03/07/2022	\$1,001 - \$15,000
136	ONEOK, Inc. (OKE)	Sale	03/07/2022	\$1,001 - \$15,000
137	The PNC Financial Services Group, Inc. (PNC)	Sale	03/07/2022	\$1,001 - \$15,000
138	PERKINELMER INC 3.300 09/15/2029	Sale	03/07/2022	\$1,001 - \$15,000
139	Telkom Indonesia (Persero) Tbk PT-ADR	Sale	03/07/2022	\$1,001 - \$15,000
140	Philip Morris International, Inc. (PM)	Sale	03/07/2022	\$1,001 - \$15,000
141	Procter & Gamble Co. (PG)	Sale	03/07/2022	\$1,001 - \$15,000
142	Prologis, Inc. (PLD)	Sale	03/07/2022	\$1,001 - \$15,000
143	PUBLICIS SA NEW SPON ADR (PUBGY)	Sale	03/07/2022	\$1,001 - \$15,000
144	RADIAN GRP INC 4.875 03/15/2027 A47573	Sale	03/07/2022	\$1,001 - \$15,000
145	RELIANCE STEEL & 4.500 04/15/2023 R09AE2	Sale	03/07/2022	\$1,001 - \$15,000
146	REPUBLIC SVCS INC 3.375 11/15/2027 A36742	Sale	03/07/2022	\$1,001 - \$15,000
147	ROYAL BANK CANADA MONTREAL (RY)	Sale	03/07/2022	\$1,001 - \$15,000
148	SABINE PASS LIQUEFACTION 5.000 03/15/2027 A41623	Sale	03/07/2022	\$1,001 - \$15,000
149	SANOFI (SNY)	Sale	03/07/2022	\$1,001 - \$15,000
150	Schlumberger NV (SLB)	Sale	03/07/2022	\$1,001 - \$15,000
151	CHARLES SCHWAB CORP. NEW 3.250 05/22/2029 T13BA2	Sale	03/07/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
152	SEKISUI HOUSE LTD (SKHSY)	Sale	03/07/2022	\$1,001 - \$15,000
153	SIMON PPTY GRP LP 2.650 07/15/2030 S07DK0	Sale	03/07/2022	\$1,001 - \$15,000
154	Skyworks Solutions, Inc. (SWKS)	Sale	03/07/2022	\$1,001 - \$15,000
155	The Southern Co. (SO)	Sale	03/07/2022	\$1,001 - \$15,000
156	SYNOVUS FINL CORP 5.900 02/07/2029 S1CAM7	Sale	03/07/2022	\$1,001 - \$15,000
157	Sysco Corp. (SYY)	Sale	03/07/2022	\$1,001 - \$15,000
158	TFI INTERNATIONAL INC (TFII)	Sale	03/07/2022	\$1,001 - \$15,000
159	T-MOBILE USA INC 4.750 02/01/2028 T4AAV7	Sale	03/07/2022	\$1,001 - \$15,000
160	TAIWAN SEMICONDUCTOR MFG CO (TSM)	Sale	03/07/2022	\$1,001 - \$15,000
161	TOKIO MARINE HOLDINGS ADR REP 1 (TKOMY)	Sale	03/07/2022	\$1,001 - \$15,000
162	TOKYO ELECTRON LTD (TOELY)	Sale	03/07/2022	\$1,001 - \$15,000
163	TOYOTA MOTOR ADR REP 10 CORP (TM)	Sale	03/07/2022	\$1,001 - \$15,000
164	TRUIST FINANCIAL CORP (TFC)	Sale	03/07/2022	\$1,001 - \$15,000
165	Tyson Foods, Inc. (TSN)	Sale	03/07/2022	\$1,001 - \$15,000
166	UNITED DOMINION REALTY TRUST, INC. (UDR)	Sale	03/07/2022	\$1,001 - \$15,000
167	UFP Industries, Inc. (UFPI)	Sale	03/07/2022	\$1,001 - \$15,000
168	Union Pacific Corp. (UNP)	Sale	03/07/2022	\$1,001 - \$15,000
169	UNITED OVERSEAS BK SINGAPORE ADR (UOVEY)	Sale	03/07/2022	\$1,001 - \$15,000
170	UnitedHealth Group, Inc. (UNH)	Sale	03/07/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
171	VALERO ENERGY CORP 4.350 06/01/2028 V3YAV2	Sale	03/07/2022	\$1,001 - \$15,000
172	VEOLIA ENVIRONMENT SA ADR (VEOEY)	Sale	03/07/2022	\$1,001 - \$15,000
173	VERISIGN INC 4.750 07/15/2027 V3EAL6	Sale	03/07/2022	\$1,001 - \$15,000
174	VISA INC 3.150 12/14/2025 V6CAD4	Sale	03/07/2022	\$1,001 - \$15,000
175	WAL MART STORES INC (WMT)	Sale	03/07/2022	\$1,001 - \$15,000
176	WALGREEN BOOTS ALLIANCE 3.800 11/18/2024 A17866	Sale	03/07/2022	\$1,001 - \$15,000
177	WELLS FARGO & COMPANY (WFC)	Sale	03/07/2022	\$1,001 - \$15,000
178	WELLS FARGO & CO 4.300 07/22/2027 W4BGL8	Sale	03/07/2022	\$1,001 - \$15,000
179	WESTERN DIGITAL CORP 4.750 02/15/2026 W02AM7	Sale	03/07/2022	\$1,001 - \$15,000
180	WELLTOWER INC 3.625 03/15/2024 W0QAG9	Sale	03/07/2022	\$1,001 - \$15,000
181	The Williams Cos., Inc. (WMB)	Sale	03/07/2022	\$1,001 - \$15,000
182	Williams-Sonoma, Inc. (WSM)	Sale	03/07/2022	\$1,001 - \$15,000
183	Zions Bancorporation NA (ZION)	Sale	03/07/2022	\$1,001 - \$15,000
184	Arch Capital Group Ltd. (ACGL)	Sale	03/07/2022	\$1,001 - \$15,000
185	Capri Holdings Ltd. (CPRI)	Sale	03/07/2022	\$1,001 - \$15,000
186	Fabrinet (FN)	Sale	03/07/2022	\$1,001 - \$15,000
187	Horizon Therapeutics Plc (HZNP)	Sale	03/07/2022	\$1,001 - \$15,000
188	ICON PLC (ICLR)	Sale	03/07/2022	\$1,001 - \$15,000
189	Johnson Controls International Plc (JCI)	Sale	03/07/2022	\$1,001 - \$15,000
190	Linde Plc (LIN)	Sale	03/07/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
191	AT&T INC 4.350 03/01/2029 A6RHJ4	Sale	03/07/2022	\$1,001 - \$15,000
192	ABBVIE INC 4.250 11/14/2028 A7YBF5	Sale	03/07/2022	\$1,001 - \$15,000
193	AGREE LP 2.900 10/01/2030 A13AA1	Sale	03/07/2022	\$1,001 - \$15,000
194	ALLSTATE CORP 3.150 06/15/2023 A02AZ4	Sale	03/07/2022	\$1,001 - \$15,000
195	ALLY FINANCIAL INC 5.750 11/20/2025 A5NBF6	Sale	03/07/2022	\$1,001 - \$15,000
196	American Tower Corp 3.80 08/15/2029 A7XAW0	Sale	03/07/2022	\$1,001 - \$15,000
197	ANTHEM INC 3.350 12/01/2024 A38167	Sale	03/07/2022	\$1,001 - \$15,000
198	Apple, Inc. 3.00 11/13/2027 A33DK3	Sale	03/07/2022	\$1,001 - \$15,000
199	AVERY DENNISON CORP 4.875 12/06/2028 A11AJ8	Sale	03/07/2022	\$1,001 - \$15,000
200	BANK AMERICA CORP 4.200 08/26/2024 B1GFH7	Sale	03/07/2022	\$1,001 - \$15,000
201	BANC AMER CORP 4.183 11/25/2027 B1GGC7	Sale	03/07/2022	\$1,001 - \$15,000
202	Bank of America 3.004 12/20/2023 B1GHC8	Sale	03/07/2022	\$1,001 - \$15,000
203	BIOGEN INC 4.050 09/15/2025 A43788	Sale	03/07/2022	\$1,001 - \$15,000
204	Boeing Co 5.15 05/01/2030 B23CY9	Sale	03/07/2022	\$1,001 - \$15,000
205	BRIGHTHOUSE FINL INC 3.700 06/22/2027 B2NAC7	Sale	03/07/2022	\$1,001 - \$15,000
206	BUNGE LTD FIN CORP 3.250 08/15/2026 B68AX8	Sale	03/07/2022	\$1,001 - \$15,000
207	CSX CORP 4.250 03/15/2029 A44489	Sale	03/07/2022	\$1,001 - \$15,000
208	CVS HLTH CORP 4.300 03/25/2028 A39937	Sale	03/07/2022	\$1,001 - \$15,000
209	CVS HLTH CORP 3.250 08/15/2029 C50DG2	Sale	03/07/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
210	CIMAREX ENERGY CO 4.375 03/15/2029 C98AE1	Sale	03/07/2022	\$1,001 - \$15,000
211	CITIGROUP INC 4.450 09/29/2027 A22966	Sale	03/07/2022	\$1,001 - \$15,000
212	Citigroup, Inc. 3.057 01/25/2033 C7CAQ6	Sale	03/07/2022	\$1,001 - \$15,000
213	CONSTELLATION BRANDS INC 3.150 08/01/2029 C6PBE7	Sale	03/07/2022	\$1,001 - \$15,000
214	ETRADE FINL CORP 2.950 08/24/2022 A34794	Sale	03/07/2022	\$1,001 - \$15,000
215	FREEPORT MCMORAN INC 5.250 09/01/2029 F1DCD5	Sale	03/07/2022	\$1,001 - \$15,000
216	FREEPORT MCMORAN INC 4.625 08/01/2030 A56170	Sale	03/07/2022	\$1,001 - \$15,000
217	GOLDMAN SACHS GROUP INC 3.500 04/01/2025 G1GXJ8	Sale	03/07/2022	\$1,001 - \$15,000
218	GOLDMAN SACHS GRP INC 3.750 02/25/2026 G3U8H7	Sale	03/07/2022	\$1,001 - \$15,000
219	HARRIS CORP 4.400 06/15/2028 H75AW5	Sale	03/07/2022	\$1,001 - \$15,000
220	HOLLYFRONTIER CORP 5.875 04/01/2026 H06AA6	Sale	03/07/2022	\$1,001 - \$15,000
221	HOLLYFRONTIER CORP 2.625 10/01/2023 A57389	Sale	03/07/2022	\$1,001 - \$15,000
222	JPMORGAN CHASE & CO 3.300 04/01/2026 J5Hqw3	Sale	03/07/2022	\$1,001 - \$15,000
223	JPMORGAN CHASE & CO 4.203 07/23/2029 J7PAV8	Sale	03/07/2022	\$1,001 - \$15,000
224	KB HOME 6.875 06/15/2027 K6KAX7	Sale	03/07/2022	\$1,001 - \$15,000
225	KRAFT FOODS GRP INC 3.500 06/06/2022 A17553	Sale	03/07/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
226	Kraft Heinz Foods Co 3.75 04/01/2030 K7LAV8	Sale	03/07/2022	\$1,001 - \$15,000
227	LOWES COS INC 3.650 04/05/2029 L61DR5	Sale	03/07/2022	\$1,001 - \$15,000
228	MERITAGE HOMES CORP 6.000 06/01/2025 A36042	Sale	03/07/2022	\$1,001 - \$15,000
229	MOHAWK INDS INC 3.625 05/15/2030 M90AL8	Sale	03/07/2022	\$1,001 - \$15,000
230	MORGAN STANLEY 5.000 11/24/2025 M467X1	Sale	03/07/2022	\$1,001 - \$15,000
231	MORGAN STANLEY 4.100 05/22/2023 M7YDU6	Sale	03/07/2022	\$1,001 - \$15,000
232	MOSAIC CO 4.050 11/15/2027 M5CAG8	Sale	03/07/2022	\$1,001 - \$15,000
233	MURPHY OIL USA INC 4.750 09/15/2029 M38AE8	Sale	03/07/2022	\$1,001 - \$15,000
234	NUSTAR LOGISTICS LP 6.375 10/01/2030 N9TAH8	Sale	03/07/2022	\$1,001 - \$15,000
235	POPULAR INC 6.125 09/14/2023 A42874	Sale	03/07/2022	\$1,001 - \$15,000
236	Quest Diagnostics, Inc. 2.95 06/30/2030 Q4LBB5	Sale	03/07/2022	\$1,001 - \$15,000
237	RADIAN GRP INC 4.875 03/15/2027 A47573	Sale	03/07/2022	\$1,001 - \$15,000
238	RELIANCE STEEL & 4.500 04/15/2023 R09AE2	Sale	03/07/2022	\$1,001 - \$15,000
239	REPUBLIC SVCS INC 3.375 11/15/2027 A36742	Sale	03/07/2022	\$1,001 - \$15,000
240	CHARLES SCHWAB CORP NEW 3.250 05/22/2029 T13BA2	Sale	03/07/2022	\$1,001 - \$15,000
241	SHELL INTL FIN BV 2.750 04/06/2030 S82CG5	Sale	03/07/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
242	SYNOVUS FINL CORP 5.900 02/07/2029 S1CAM7	Sale	03/07/2022	\$1,001 - \$15,000
243	T-MOBILE USA INC 2.250 02/15/2026 T4ABR5	Sale	03/07/2022	\$1,001 - \$15,000
244	TEVA PHARM FIN 2.800 07/21/2023 T7AAD3	Sale	03/07/2022	\$1,001 - \$15,000
245	TORCHMARK CORP 4.550 09/15/2028 T27AS3	Sale	03/07/2022	\$1,001 - \$15,000
246	V F CORP 2.400 04/23/2025 V04BA5	Sale	03/07/2022	\$1,001 - \$15,000
247	VERIZON COMMNS INC 4.329 09/21/2028 A43958	Sale	03/07/2022	\$1,001 - \$15,000
248	VISA INC 3.150 12/14/2025 V6CAD4	Sale	03/07/2022	\$1,001 - \$15,000
249	WELLS FARGO & CO 4.100 06/03/2026 W4BFY1	Sale	03/07/2022	\$1,001 - \$15,000
250	WELLS FARGO & CO 4.300 07/22/2027 W4BGL8	Sale	03/07/2022	\$1,001 - \$15,000
251	WELLTOWER INC 3.625 03/15/2024 W0QAG9	Sale	03/07/2022	\$1,001 - \$15,000
252	YUM BRANDS INC 4.625 01/31/2032 A61231	Sale	03/07/2022	\$1,001 - \$15,000
253	Aflac, Inc. (AFL)	Sale	03/07/2022	\$1,001 - \$15,000
254	AMN Healthcare Services, Inc. (AMN)	Sale	03/07/2022	\$1,001 - \$15,000
255	AT&T INC (T)	Sale	03/07/2022	\$1,001 - \$15,000
256	ASE TECHNOLOGY HOLDING CO LTD (ASX)	Sale	03/07/2022	\$1,001 - \$15,000
257	Abbott Laboratories (ABT)	Sale	03/07/2022	\$1,001 - \$15,000
258	AbbVie, Inc. (ABBV)	Sale	03/07/2022	\$1,001 - \$15,000
259	Acuity Brands, Inc. (AYI)	Sale	03/07/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
260	AJINOMOTO INC (AJINY)	Sale	03/07/2022	\$1,001 - \$15,000
261	Ally Financial, Inc. (ALLY)	Sale	03/07/2022	\$1,001 - \$15,000
262	Alphabet, Inc. (GOOGL)	Sale	03/07/2022	\$1,001 - \$15,000
263	Amazon.com, Inc. (AMZN)	Sale	03/07/2022	\$1,001 - \$15,000
264	American Express Co. (AXP)	Sale	03/07/2022	\$1,001 - \$15,000
265	Ameriprise Financial, Inc. (AMP)	Sale	03/07/2022	\$1,001 - \$15,000
266	Apple, Inc. (AAPL)	Sale	03/07/2022	\$1,001 - \$15,000
267	Applied Materials, Inc. (AMAT)	Sale	03/07/2022	\$1,001 - \$15,000
268	ASTRAZENECA PLC (AZN)	Sale	03/07/2022	\$1,001 - \$15,000
269	Automatic Data Processing, Inc. (ADP)	Sale	03/07/2022	\$1,001 - \$15,000
270	AutoZone, Inc. (AZO)	Sale	03/07/2022	\$1,001 - \$15,000
271	BNP PARIBAS ADR (BNPQY)	Sale	03/07/2022	\$1,001 - \$15,000
272	Bank of America Corp. (BAC)	Sale	03/07/2022	\$1,001 - \$15,000
273	BAYER AKTIENGESELLSCHAFT EACH RE ADR (BAYRY)	Sale	03/07/2022	\$1,001 - \$15,000
274	BlackRock, Inc. (BLK)	Sale	03/07/2022	\$1,001 - \$15,000
275	BLACKSTONE INC A (BLK)	Sale	03/07/2022	\$1,001 - \$15,000
276	Deckers Outdoor Corp. (DECK)	Sale	03/03/2022	\$1,001 - \$15,000
277	LOWE'S COMPANIES INC (LOW)	Purchase	03/03/2022	\$1,001 - \$15,000
278	OTIS WORLDWIDE CORP (OTIS)	Sale	03/03/2022	\$1,001 - \$15,000
279	AT&T INC 4.250 03/01/2027 A6RDQ2	Sale	03/02/2022	\$1,001 - \$15,000
280	Celanese Corp. (CE)	Sale	03/01/2022	\$1,001 - \$15,000
281	DR HORTON INC (DHI)	Purchase	03/01/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
282	Nexstar Media Group, Inc. (NXST)	Sale	03/04/2022	\$1,001 - \$15,000
283	Darden Restaurants, Inc. (DRI)	Sale	03/03/2022	\$1,001 - \$15,000
284	Deckers Outdoor Corp. (DECK)	Sale	03/03/2022	\$1,001 - \$15,000
285	Ford Motor Co. (F)	Purchase	03/03/2022	\$1,001 - \$15,000
286	LOWE'S COMPANIES INC (LOW)	Purchase	03/03/2022	\$1,001 - \$15,000
287	OTIS WORLDWIDE CORP (OTIS)	Sale	03/03/2022	\$1,001 - \$15,000
288	Zions Bancorporation NA (ZION)	Purchase	03/03/2022	\$1,001 - \$15,000
289	Celanese Corp. (CE)	Sale	03/02/2022	\$1,001 - \$15,000
290	Celanese Corp. (CE)	Sale	03/01/2022	\$1,001 - \$15,000
291	DR HORTON INC (DHI)	Purchase	03/01/2022	\$1,001 - \$15,000
292	GILDAN ACTIVEWEAR INC (GIL)	Purchase	03/01/2022	\$1,001 - \$15,000
293	ROCHE HLDGS LTD ADR (RHHBY)	Sale	03/01/2022	\$1,001 - \$15,000
294	MICROSOFT CORP 3.300 02/06/2027 A31543	Sale	03/03/2022	\$1,001 - \$15,000
295	SHELL INTL FIN BV 2.750 04/06/2030 S82CG5	Purchase	03/03/2022	\$1,001 - \$15,000
296	AT&T INC 4.250 03/01/2027 A6RDQ2	Sale	03/02/2022	\$1,001 - \$15,000
297	Quest Diagnostics, Inc. 2.95 06/30/2030 Q4LBB5	Purchase	03/02/2022	\$1,001 - \$15,000
298	TEVA PHARM FIN 2.800 07/21/2023 T7AAD3	Purchase	03/02/2022	\$1,001 - \$15,000
299	VISA INC 3.150 12/14/2025 V6CAD4	Purchase	03/01/2022	\$1,001 - \$15,000
300	OCCIDENTAL PETE CORP 3.500 06/15/2025 O99CG8	Sale	02/28/2022	\$1,001 - \$15,000
301	ORACLE CORP 3.400 07/08/2024 O9XAU9	Sale	02/28/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
302	ALLY FINANCIAL INC 5.750 11/20/2025 A5NBF6	Purchase	02/22/2022	\$1,001 - \$15,000
303	APACHE CORP 4.375 10/15/2028 A11BE4	Sale	02/16/2022	\$1,001 - \$15,000
304	JPMORGAN CHASE & CO 3.300 04/01/2026 J5HQQW3	Purchase	02/03/2022	\$1,001 - \$15,000
305	Citigroup, Inc. 3.057 01/25/2033 C7CAQ6	Purchase	02/02/2022	\$1,001 - \$15,000
306	FREEMPORTMCMORAN INC 4.125 03/01/2028 F1DCE3	Sale	01/31/2022	\$1,001 - \$15,000
307	OCCIDENTAL PETE CORP 3.500 06/15/2025 O99CG8	Purchase	01/28/2022	\$1,001 - \$15,000
308	OCCIDENTAL PETE CORP 3.500 08/15/2029 O99CS2	Sale	01/28/2022	\$1,001 - \$15,000
309	ALLY FINANCIAL INC 5.750 11/20/2025 A5NBF6	Purchase	01/24/2022	\$1,001 - \$15,000
310	Kraft Heinz Foods Co 3.75 04/01/2030 K7LAV8	Purchase	12/14/2021	\$1,001 - \$15,000
311	TRI POINTE GRP INC 5.250 06/01/2027 T5HAF6	Sale	12/14/2021	\$1,001 - \$15,000
312	MICROSOFT CORP 3.125 11/03/2025 A22008	Sale	01/21/2022	\$1,001 - \$15,000
313	LOWES COS INC 3.650 04/05/2029 L61DR5	Sale	01/20/2022	\$1,001 - \$15,000
314	Apple, Inc. 3.00 11/13/2027 A33DK3	Purchase	01/07/2022	\$1,001 - \$15,000
315	BANK AMERICA CORP 3.300 01/11/2023 B1GEU9	Sale	01/07/2022	\$1,001 - \$15,000
316	Bank of America 3.004 12/20/2023 B1GHC6	Purchase	01/07/2022	\$1,001 - \$15,000
317	T-MOBILE USA INC 2.250 02/15/2026 T4ABR5	Purchase	01/07/2022	\$1,001 - \$15,000
318	CITRIX SYS INC 4.500 12/01/2027 A36797	Sale	01/06/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
319	GOLDMAN SACHS GROUP INC 4.250 10/21/2025 G1GVR2	Sale	01/06/2022	\$1,001 - \$15,000
320	GOLDMAN SACHS GROUP INC 3.500 04/01/2025 G1GXJ8	Purchase	01/06/2022	\$1,001 - \$15,000
321	ENI ADR (E)	Purchase	12/21/2021	\$1,001 - \$15,000
322	NOVO-NORDISK AS ADR (NVO)	Sale	12/21/2021	\$1,001 - \$15,000
323	Synchrony Financial (SYF)	Sale	12/20/2021	\$1,001 - \$15,000
324	DIAGEO PLC (DEO)	Purchase	12/17/2021	\$1,001 - \$15,000
325	NETEASE INC (NTES)	Sale	12/17/2021	\$1,001 - \$15,000
326	Pfizer Inc. (PFE)	Purchase	12/15/2021	\$1,001 - \$15,000
327	Medtronic Plc (MDT)	Sale	12/15/2021	\$1,001 - \$15,000
328	HCA Healthcare, Inc. (HCA)	Sale	01/27/2022	\$1,001 - \$15,000
329	Netflix, Inc. (NFLX)	Sale	01/25/2022	\$1,001 - \$15,000
330	Procter & Gamble Co. (PG)	Purchase	01/25/2022	\$1,001 - \$15,000
331	The PNC Financial Services Group, Inc. (PNC)	Purchase	01/21/2022	\$1,001 - \$15,000
332	POSCO HLDGS INC SPON ADR (PKX)	Sale	01/19/2022	\$1,001 - \$15,000
333	The Goldman Sachs Group, Inc. (GS)	Sale	01/18/2022	\$1,001 - \$15,000
334	JPMorgan Chase & Co. (JPM)	Sale	01/18/2022	\$1,001 - \$15,000
335	JPMorgan Chase & Co. (JPM)	Sale	01/14/2022	\$1,001 - \$15,000
336	ROCHE HLDGS LTD ADR (RHHBY)	Purchase	01/14/2022	\$1,001 - \$15,000
337	ASML HOLDING NV (ASML)	Purchase	02/28/2022	\$1,001 - \$15,000
338	Honeywell International, Inc. (HON)	Sale	02/18/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
339	CHARLES RIVER LABORATORIES INT'L (CRL)	Purchase	02/17/2022	\$1,001 - \$15,000
340	COGNIZANT TECHNOLOGY SOLUTIONS CORP (CTSH)	Purchase	02/17/2022	\$1,001 - \$15,000
341	Garmin Ltd. (GRMN)	Sale	02/17/2022	\$1,001 - \$15,000
342	Medical Properties Trust, Inc. (MPW)	Sale	02/16/2022	\$1,001 - \$15,000
343	Medical Properties Trust, Inc. (MPW)	Sale	02/15/2022	\$1,001 - \$15,000
344	BNP PARIBAS ADR (BNPQY)	Sale	02/08/2022	\$1,001 - \$15,000
345	NXP SEMICONDUCTORS NV (NXPI)	Purchase	02/08/2022	\$1,001 - \$15,000
346	Capri Holdings Ltd. (CPRI)	Purchase	02/04/2022	\$1,001 - \$15,000
347	CANADIAN NATIONAL RAILWAY COMPANY (CNI)	Purchase	02/03/2022	\$1,001 - \$15,000
348	CANADIAN NATIONAL RAILWAY COMPANY (CNI)	Purchase	02/02/2022	\$1,001 - \$15,000
349	Invesco Ltd. (IVZ)	Sale	02/02/2022	\$1,001 - \$15,000
350	Invesco Ltd. (IVZ)	Sale	02/01/2022	\$1,001 - \$15,000
351	AT&T INC 4.100 02/15/2028 A6RGL0	Purchase	12/22/2021	\$1,001 - \$15,000
352	ENI ADR (E)	Purchase	12/21/2021	\$1,001 - \$15,000
353	NOVO-NORDISK AS ADR (NVO)	Sale	12/21/2021	\$1,001 - \$15,000
354	ORACLE CORP 3.250 11/15/2027 O9XBN4	Sale	12/21/2021	\$1,001 - \$15,000
355	DIAGEO PLC (DEO)	Purchase	12/17/2021	\$1,001 - \$15,000
356	NETEASE INC (NTES)	Sale	12/17/2021	\$1,001 - \$15,000
357	Kraft Heinz Foods Co 3.75 04/01/2030 K7LAV8	Purchase	12/14/2021	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
358	TRI POINTE GRP INC 5.250 06/01/2027 T5HAF6	Sale	12/14/2021	\$1,001 - \$15,000
359	ATHENE HLDG LTD 4.125 01/12/2028 A6JAA9	Sale	12/13/2021	\$1,001 - \$15,000
360	Athene Holding Ltd 3.50 01/15/2031 A6JAC5	Purchase	12/13/2021	\$1,001 - \$15,000
361	FREEPORTEMCMORAN INC 4.125 03/01/2028 F1DCE3	Sale	01/31/2022	\$1,001 - \$15,000
362	JPMORGAN Chase & Co. 3.702 05/06/2030 J7PBD7	Purchase	01/28/2022	\$1,001 - \$15,000
363	BRIGHTHOUSE FINL INC 3.700 06/22/2027 B2NAC7	Sale	01/27/2022	\$1,001 - \$15,000
364	HCA Healthcare, Inc. (HCA)	Sale	01/27/2022	\$1,001 - \$15,000
365	Procter & Gamble Co. (PG)	Purchase	01/25/2022	\$1,001 - \$15,000
366	MICROSOFT CORP 3.125 11/03/2025 A22008	Sale	01/21/2022	\$1,001 - \$15,000
367	The PNC Financial Services Group, Inc. (PNC)	Purchase	01/21/2022	\$1,001 - \$15,000
368	JPMorgan Chase & Co. (JPM)	Sale	01/18/2022	\$1,001 - \$15,000
369	ROCHE HLDGS LTD ADR (RHHBY)	Purchase	01/14/2022	\$1,001 - \$15,000
370	BANK AMERICA CORP 3.300 01/11/2023 B1GEU9	Sale	01/07/2022	\$1,001 - \$15,000
371	Bank of America 3.004 12/20/2023 B1GHC6	Purchase	01/07/2022	\$1,001 - \$15,000
372	GOLDMAN SACHS GROUP INC 4.250 10/21/2025 G1GVR2	Sale	01/06/2022	\$1,001 - \$15,000
373	GOLDMAN SACHS GROUP INC 3.500 04/01/2025 G1GXJ8	Purchase	01/06/2022	\$1,001 - \$15,000
374	OCCIDENTAL PETE CORP 3.200 08/15/2026 O99CR4	Sale	02/28/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
375	ASML HOLDING NV (ASML)	Purchase	02/28/2022	\$1,001 - \$15,000
376	ALLY FINANCIAL INC 5.750 11/20/2025 A5NBF6	Purchase	02/22/2022	\$1,001 - \$15,000
377	ALLY FINANCIAL INC 2.200 11/02/2028 A5NBP4	Sale	02/22/2022	\$1,001 - \$15,000
378	CHARLES RIVER LABORATORIES INT'L (CRL)	Purchase	02/17/2022	\$1,001 - \$15,000
379	Cognizant Technology Solutions Corp. (CTSH)	Purchase	02/17/2022	\$1,001 - \$15,000
380	WELLTOWER INC 3.625 03/15/2024 W0QAG9	Purchase	02/17/2022	\$1,001 - \$15,000
381	Garmin Ltd. (GRMN)	Sale	02/17/2022	\$1,001 - \$15,000
382	APACHE CORP 4.375 10/15/2028 A11BE4	Sale	02/14/2022	\$1,001 - \$15,000
383	CITIGROUP INC 3.300 04/27/2025 A18663	Purchase	02/09/2022	\$1,001 - \$15,000
384	NXP SEMICONDUCTORS NV (NXPI)	Purchase	02/08/2022	\$1,001 - \$15,000
385	BANK AMERICA CORP 4.450 03/03/2026 B1GFU8	Sale	02/04/2022	\$1,001 - \$15,000
386	T-MOBILE USA INC 4.750 02/01/2028 T4AAV7	Purchase	02/04/2022	\$1,001 - \$15,000
387	Capri Holdings Ltd. (CPRI)	Purchase	02/04/2022	\$1,001 - \$15,000
388	CANADIAN NATIONAL RAILWAY COMPANY (CNI)	Purchase	02/02/2022	\$1,001 - \$15,000
389	Citigroup, Inc. 3.057 01/25/2033 C7CAQ6	Purchase	02/02/2022	\$1,001 - \$15,000
390	Invesco Ltd. (IVZ)	Sale	02/01/2022	\$1,001 - \$15,000
391	COML METALS CO 5.375 07/15/2027 201723AL7	Sale	02/15/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
392	BlackRock High Yield Bond Portfolio Class K Shares (BRHYX)	Purchase	04/06/2022	\$1,001 - \$15,000
393	FIRST TRUST EXCHANGE TRADED FUND III PFD SECS & INCOME ETF - FPE	Purchase	04/06/2022	\$15,001 - \$50,000
394	ISHARES MSCI USA MIN VOLATILITY FACTOR ETF (USMV)	Purchase	04/06/2022	\$1,001 - \$15,000
395	iShares Edge MSCI Intl Value Factor ETF (IVLU)	Purchase	04/06/2022	\$1,001 - \$15,000
396	iShares MSCI EAFE Small-Cap ETF (SCZ)	Purchase	04/06/2022	\$1,001 - \$15,000
397	iShares Edge MSCI USA Momentum Factor ETF (MTUM)	Purchase	04/06/2022	\$1,001 - \$15,000
398	iShares Core MSCI Total International Stock ETF (IXUS)	Purchase	04/06/2022	\$1,001 - \$15,000
399	iShares Russell 2000 Growth ETF (IWO)	Purchase	04/06/2022	\$1,001 - \$15,000
400	iShares MSCI EAFE Value ETF (EFV)	Purchase	04/06/2022	\$1,001 - \$15,000
401	iShares MSCI EAFE Growth ETF (EFG)	Purchase	04/06/2022	\$15,001 - \$50,000
402	iShares Short Treasury Bond ETF (SHV)	Purchase	04/06/2022	\$15,001 - \$50,000
403	iShares Core S&P Small-Cap ETF (IJR)	Purchase	04/06/2022	\$1,001 - \$15,000
404	iShares Edge MSCI Intl Momentum Factor ETF (IMTM)	Purchase	04/06/2022	\$1,001 - \$15,000
405	JPMorgan Ultra-Short Income ETF (JPST)	Purchase	04/06/2022	\$1,001 - \$15,000
406	JPMorgan High Yield Fund Class I Shares (OHYFX)	Purchase	04/06/2022	\$1,001 - \$15,000
407	iShares Edge MSCI Min Vol EAFE ETF (EFAV)	Purchase	04/06/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
408	Lord Abbett High Yield Fund Class I Shares (LAHYX)	Purchase	04/06/2022	\$1,001 - \$15,000
409	Navigator Tactical Fixed Income Fund Class I Shares (NTBIX)	Purchase	04/06/2022	\$15,001 - \$50,000
410	Neuberger Berman High Income Bond Fund Institutional Class Shares (NHILX)	Purchase	04/06/2022	\$1,001 - \$15,000
411	PIMCO High Yield Fund Institutional Class Shares (PHIYX)	Purchase	04/06/2022	\$1,001 - \$15,000
412	PIMCO Enhanced Short Maturity Active Exchange-Traded Fund (MINT)	Purchase	04/06/2022	\$1,001 - \$15,000
413	SPDR Blackstone/GSO Senior Loan ETF (SRLN)	Purchase	04/06/2022	\$1,001 - \$15,000
414	Schwab US Large-Cap Growth ETF (SCHG)	Purchase	04/06/2022	\$15,001 - \$50,000
415	Schwab US Large-Cap Value ETF (SCHV)	Purchase	04/06/2022	\$15,001 - \$50,000
416	Schwab Fundamental US Large Company Index ETF (FNDX)	Purchase	04/06/2022	\$15,001 - \$50,000
417	Schwab Fundamental International Large Company Index ETF (FNDF)	Purchase	04/06/2022	\$1,001 - \$15,000
418	SPDR S&P 600 Small Cap Value ETF (SLYV)	Purchase	04/06/2022	\$1,001 - \$15,000
419	SPDR S&P 400 Mid Cap Growth ETF (MDYG)	Purchase	04/06/2022	\$15,001 - \$50,000
420	SPDR S&P 400 Mid Cap Value ETF (MDYV)	Purchase	04/06/2022	\$15,001 - \$50,000
421	SPDR Portfolio S&P 500 ETF (SPLG)	Purchase	04/06/2022	\$15,001 - \$50,000
422	SPDR Portfolio Intermediate Term Corporate Bond ETF (SPIB)	Purchase	04/06/2022	\$15,001 - \$50,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
423	SPDR Portfolio Long Term Corporate Bond ETF (SPLB)	Purchase	04/06/2022	\$15,001 - \$50,000
424	SPDR Bloomberg Barclays 1-3 Month T-Bill ETF (BIL)	Purchase	04/06/2022	\$15,001 - \$50,000
425	TIAA-CREF High-Yield Fund Institutional Class Shares (TIHYX)	Purchase	04/06/2022	\$1,001 - \$15,000
426	Vanguard FTSE All-World ex-US Small-Cap Index Fund ETF Shares (VSS)	Purchase	04/06/2022	\$1,001 - \$15,000
427	Western Asset Core Plus Bond Fund Class I Shares (WACPX)	Purchase	04/06/2022	\$15,001 - \$50,000
428	WISDOMTREE EMERGING MARKETS EX STATE OWNED ENTERPRISES ETF (XSOE)	Purchase	04/06/2022	\$1,001 - \$15,000
429	FIRST TRUST EXCHANGE TRADED FUND III PFD SECS & INCOME ETF (FPE)	Purchase	04/11/2022	\$1,001 - \$15,000
430	SPDR Blackstone/GSO Senior Loan ETF (SRLN)	Purchase	04/11/2022	\$1,001 - \$15,000
431	Schwab US Large-Cap Value ETF (SCHV)	Purchase	04/11/2022	\$15,001 - \$50,000
432	SPDR S&P 600 Small Cap Value ETF (SLYV)	Sale	04/11/2022	\$1,001 - \$15,000
433	SPDR S&P 400 Mid Cap Growth ETF (MDYG)	Sale	04/11/2022	\$1,001 - \$15,000
434	SPDR S&P 400 Mid Cap Value ETF (MDYV)	Sale	04/11/2022	\$1,001 - \$15,000
435	SPDR Portfolio Long Term Corporate Bond ETF (SPLB)	Sale	04/11/2022	\$1,001 - \$15,000
436	iShares 7-10 Year Treasury Bond ETF (IEF)	Purchase	04/26/2022	\$15,001 - \$50,000
437	FIRST TRUST EXCHANGE TRADED FUND III PFD SECS & INCOME ETF (FPE)	Sale	04/26/2022	\$1,001 - \$15,000
438	SPDR Blackstone/GSO Senior Loan ETF (SRLN)	Sale	04/26/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
439	Western Asset Core Plus Bond Fund Class I Shares (WACPX)	Sale	04/26/2022	\$1,001 - \$15,000
440	AMPLIFY BLOCKCHAIN LEADERS ETF	Purchase	04/06/2022	\$1,001 - \$15,000
441	ARK Innovation ETF (ARKK)	Purchase	04/06/2022	\$1,001 - \$15,000
442	BlackRock High Yield Bond Portfolio Class K Shares (BRHYX)	Purchase	04/06/2022	\$1,001 - \$15,000
443	FIRST TRUST EXCHANGE TRADED FUND III PFD SECS & INCOME ETF (FPE)	Purchase	04/06/2022	\$15,001 - \$50,000
444	First Trust Natural Gas ETF (FCG)	Purchase	04/06/2022	\$1,001 - \$15,000
445	ISHARES MSCI USA MIN VOLATILITY FACTOR ETF (USMV)	Purchase	04/06/2022	\$1,001 - \$15,000
446	Global X Copper Miners ETF (COPX)	Purchase	04/06/2022	\$1,001 - \$15,000
447	Invesco Solar ETF (TAN)	Purchase	04/06/2022	\$1,001 - \$15,000
448	iShares Edge MSCI Intl Value Factor ETF (IVLU)	Purchase	04/06/2022	\$1,001 - \$15,000
449	iShares MSCI EAFE Small-Cap ETF (SCZ)	Purchase	04/06/2022	\$1,001 - \$15,000
450	iShares Edge MSCI USA Momentum Factor ETF (MTUM)	Purchase	04/06/2022	\$1,001 - \$15,000
451	ISHARES CORE MSCI TOTAL INTL STOCK ETF (IXUS)	Purchase	04/06/2022	\$1,001 - \$15,000
452	iShares Russell 2000 Growth ETF (IWO)	Purchase	04/06/2022	\$1,001 - \$15,000
453	iShares MSCI EAFE Value ETF (EFV)	Purchase	04/06/2022	\$1,001 - \$15,000
454	iShares MSCI EAFE Growth ETF (EFG)	Purchase	04/06/2022	\$50,001 - \$100,000
455	iShares US Aerospace & Defense ETF (ITA)	Purchase	04/06/2022	\$1,001 - \$15,000
456	iShares Short Treasury Bond ETF (SHV)	Purchase	04/06/2022	\$15,001 - \$50,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
457	iShares Core S&P Small-Cap ETF (IJR)	Purchase	04/06/2022	\$1,001 - \$15,000
458	iShares S&P 500 Growth ETF (IVW)	Purchase	04/06/2022	\$50,001 - \$100,000
459	iShares Edge MSCI Intl Momentum Factor ETF (IMTM)	Purchase	04/06/2022	\$1,001 - \$15,000
460	JPMorgan Ultra-Short Income ETF (JPST)	Purchase	04/06/2022	\$1,001 - \$15,000
461	JPMorgan High Yield Fund Class I Shares (OHYFX)	Purchase	04/06/2022	\$1,001 - \$15,000
462	iShares Edge MSCI Min Vol EAFE ETF (EFAV)	Purchase	04/06/2022	\$1,001 - \$15,000
463	Lord Abbett High Yield Fund Class I Shares (LAHYX)	Purchase	04/06/2022	\$1,001 - \$15,000
464	Navigator Tactical Fixed Income Fund Class I Shares (NTBIX)	Purchase	04/06/2022	\$50,001 - \$100,000
465	Neuberger Berman High Income Bond Fund Institutional Class Shares (NHILX)	Purchase	04/06/2022	\$1,001 - \$15,000
466	PIMCO High Yield Fund Institutional Class Shares (PHIYX)	Purchase	04/06/2022	\$1,001 - \$15,000
467	PIMCO Enhanced Short Maturity Active Exchange-Traded Fund (MINT)	Purchase	04/06/2022	\$1,001 - \$15,000
468	SPDR Blackstone/GSO Senior Loan ETF (SRLN)	Purchase	04/06/2022	\$1,001 - \$15,000
469	Schwab US Large-Cap Growth ETF (SCHG)	Purchase	04/06/2022	\$15,001 - \$50,000
470	Schwab US Large-Cap Value ETF (SCHV)	Purchase	04/06/2022	\$15,001 - \$50,000
471	Schwab Fundamental US Large Company Index ETF (FNDX)	Purchase	04/06/2022	\$50,001 - \$100,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
472	Schwab Fundamental International Large Company Index ETF (FNDF)	Purchase	04/06/2022	\$1,001 - \$15,000
473	Utilities Select Sector SPDR Fund (XLU)	Purchase	04/06/2022	\$1,001 - \$15,000
474	SPDR S&P 600 Small Cap Value ETF (SLYV)	Purchase	04/06/2022	\$1,001 - \$15,000
475	SPDR S&P Metals & Mining ETF (XME)	Purchase	04/06/2022	\$1,001 - \$15,000
476	SPDR S&P Insurance ETF (KIE)	Purchase	04/06/2022	\$1,001 - \$15,000
477	SPDR S&P 400 Mid Cap Growth ETF (MDYG)	Purchase	04/06/2022	\$1,001 - \$15,000
478	SPDR S&P 400 Mid Cap Value ETF (MDYV)	Purchase	04/06/2022	\$15,001 - \$50,000
479	SPDR Portfolio S&P 500 ETF (SPLG)	Purchase	04/06/2022	\$1,001 - \$15,000
480	SPDR Portfolio Intermediate Term Corporate Bond ETF (SPIB)	Purchase	04/06/2022	\$15,001 - \$50,000
481	SPDR Portfolio Long Term Corporate Bond ETF (SPLB)	Purchase	04/06/2022	\$15,001 - \$50,000
482	SPDR Bloomberg Barclays 1-3 Month T-Bill ETF (BIL)	Purchase	04/06/2022	\$15,001 - \$50,000
483	TIAA-CREF High-Yield Fund Institutional Class Shares (TIHYX)	Purchase	04/06/2022	\$1,001 - \$15,000
484	VanEck Vectors Agribusiness ETF (MOO)	Purchase	04/06/2022	\$1,001 - \$15,000
485	VanEck Vectors Gold Miners ETF (GDX)	Purchase	04/06/2022	\$1,001 - \$15,000
486	Vanguard FTSE All-World ex-US Small-Cap Index Fund ETF Shares (VSS)	Purchase	04/06/2022	\$1,001 - \$15,000
487	Vanguard Materials Index Fund ETF Shares (VAW)	Purchase	04/06/2022	\$1,001 - \$15,000
488	Vanguard Energy Index Fund ETF Class Shares (VDE)	Purchase	04/06/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
489	Western Asset Core Plus Bond Fund Class I Shares (WACPX)	Purchase	04/06/2022	\$15,001 - \$50,000
490	WISDOMTREE EMERGING MKTS EX STATE OWNED ENTERPRISES ETF (XSOE)	Purchase	04/06/2022	\$15,001 - \$50,000
491	FIRST TRUST EXCHANGE TRADED FUND III PFD SECS & INCOME ETF (FPE)	Purchase	04/11/2022	\$1,001 - \$15,000
492	SPDR Blackstone/GSO Senior Loan ETF (SRLN)	Purchase	04/11/2022	\$1,001 - \$15,000
493	Schwab US Large-Cap Value ETF (SCHV)	Purchase	04/11/2022	\$1,001 - \$15,000
494	Consumer Staples Select Sector SPDR Fund (XLP)	Purchase	04/11/2022	\$1,001 - \$15,000
495	Vanguard Health Care Index Fund ETF Class Shares (VHT)	Purchase	04/11/2022	\$1,001 - \$15,000
496	AMPLIFY BLOCKCHAIN LEADERS ETF	Sale	04/11/2022	\$1,001 - \$15,000
497	ARK Innovation ETF (ARKK)	Sale	04/11/2022	\$1,001 - \$15,000
498	Global X Copper Miners ETF (COPX)	Sale	04/11/2022	\$1,001 - \$15,000
499	SPDR S&P 600 Small Cap Value ETF (SLYV)	Sale	04/11/2022	\$1,001 - \$15,000
500	SPDR S&P 400 Mid Cap Growth ETF (MDYG)	Sale	04/11/2022	\$1,001 - \$15,000
501	SPDR S&P 400 Mid Cap Value ETF (MDYV)	Sale	04/11/2022	\$1,001 - \$15,000
502	SPDR Portfolio Long Term Corporate Bond ETF (SPLB)	Sale	04/11/2022	\$1,001 - \$15,000
503	Vanguard Energy Index Fund ETF Class Shares (VDE)	Sale	04/11/2022	\$1,001 - \$15,000
504	ISHARES MSCI USA MIN VOLATILITY FACTOR ETF (USMV)	Purchase	04/12/2022	\$15,001 - \$50,000
505	iShares Core High Dividend ETF (HDV)	Purchase	04/12/2022	\$15,001 - \$50,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
506	iShares S&P 500 Growth ETF (IVW)	Sale	04/12/2022	\$1,001 - \$15,000
507	Schwab Fundamental US Large Company Index ETF (FNDX)	Sale	04/12/2022	\$1,001 - \$15,000
508	SPDR S&P 400 Mid Cap Value ETF (MDYV)	Sale	04/12/2022	\$15,001 - \$50,000
509	Vanguard Materials Index Fund ETF Shares (VAW)	Purchase	04/18/2022	\$1,001 - \$15,000
510	Invesco Solar ETF (TAN)	Sale	04/18/2022	\$1,001 - \$15,000
511	iShares Core High Dividend ETF (HDV)	Purchase	04/19/2022	\$1,001 - \$15,000
512	iShares Core S&P 500 ETF (IVV)	Purchase	04/19/2022	\$15,001 - \$50,000
513	iShares S&P 500 Growth ETF (IVW)	Sale	04/19/2022	\$15,001 - \$50,000
514	iShares Core S&P 500 ETF (IVV)	Purchase	04/25/2022	\$1,001 - \$15,000
515	Consumer Staples Select Sector SPDR Fund (XLP)	Purchase	04/25/2022	\$1,001 - \$15,000
516	Global X Copper Miners ETF (COPX)	Sale	04/25/2022	\$1,001 - \$15,000
517	VanEck Vectors Gold Miners ETF (GDX)	Sale	04/25/2022	\$1,001 - \$15,000
518	Vanguard Materials Index Fund ETF Shares (VAW)	Sale	04/25/2022	\$1,001 - \$15,000
519	iShares Core S&P 500 ETF (IVV)	Purchase	04/26/2022	\$15,001 - \$50,000
520	iShares 7-10 Year Treasury Bond ETF (IEF)	Purchase	04/26/2022	\$15,001 - \$50,000
521	FIRST TRUST EXCHANGE TRADED FUND III PFD SECS & INCOME ETF (FPE)	Sale	04/26/2022	\$15,001 - \$50,000
522	iShares Core High Dividend ETF (HDV)	Sale	04/26/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
523	SPDR Blackstone/GSO Senior Loan ETF (SRLN)	Sale	04/26/2022	\$15,001 - \$50,000
524	Schwab Fundamental US Large Company Index ETF (FNDX)	Sale	04/26/2022	\$1,001 - \$15,000
525	Western Asset Core Plus Bond Fund Class I Shares (WACPX)	Sale	04/26/2022	\$1,001 - \$15,000
526	iShares Convertible Bond ETF (ICVT)	Purchase	05/05/2022	\$15,001 - \$50,000
527	SPDR Portfolio Intermediate Term Corporate Bond ETF (SPIB)	Purchase	05/05/2022	\$15,001 - \$50,000
528	iShares 7-10 Year Treasury Bond ETF (IEF)	Sale	05/05/2022	\$15,001 - \$50,000
529	SPDR Portfolio Long Term Corporate Bond ETF (SPLB)	Sale	05/05/2022	\$15,001 - \$50,000
530	iShares Core S&P US Growth ETF (IUSG)	Purchase	05/13/2022	\$15,001 - \$50,000
531	ISHARES TRUST ISHARES PREFERRED & INCOME SECURITIES ETF (PFF)	Purchase	05/13/2022	\$1,001 - \$15,000
532	iShares Short Treasury Bond ETF (SHV)	Purchase	05/13/2022	\$15,001 - \$50,000
533	iShares Edge MSCI Intl Quality Factor ETF (IQLT)	Purchase	05/13/2022	\$1,001 - \$15,000
534	INVESCO EXCHANGE INTL BUYBACK ACHIEVERS ETF (IPKW)	Purchase	05/13/2022	\$1,001 - \$15,000
535	JPMorgan Ultra-Short Income ETF (JPST)	Purchase	05/13/2022	\$1,001 - \$15,000
536	PIMCO Enhanced Short Maturity Active Exchange-Traded Fund (MINT)	Purchase	05/13/2022	\$1,001 - \$15,000
537	SPDR Bloomberg Barclays 1-3 Month T-Bill ETF (BIL)	Purchase	05/13/2022	\$15,001 - \$50,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
538	BlackRock High Yield Bond Portfolio Class K Shares (BRHYX)	Sale	05/13/2022	\$1,001 - \$15,000
539	iShares Edge MSCI Min Vol USA ETF (USMV)	Sale	05/13/2022	\$1,001 - \$15,000
540	iShares Edge MSCI USA Momentum Factor ETF (MTUM)	Sale	05/13/2022	\$1,001 - \$15,000
541	iShares Core MSCI Total International Stock ETF (IXUS)	Sale	05/13/2022	\$1,001 - \$15,000
542	iShares Core S&P Small-Cap ETF (IJR)	Sale	05/13/2022	\$1,001 - \$15,000
543	iShares Edge MSCI Intl Momentum Factor ETF (IMTM)	Sale	05/13/2022	\$1,001 - \$15,000
544	JPMorgan High Yield Fund Class I Shares (OHYFX)	Sale	05/13/2022	\$1,001 - \$15,000
545	iShares Edge MSCI Min Vol EAFE ETF (EFAV)	Sale	05/13/2022	\$1,001 - \$15,000
546	Lord Abbett High Yield Fund Class I Shares (LAHYX)	Sale	05/13/2022	\$1,001 - \$15,000
547	Neuberger Berman High Income Bond Fund Institutional Class Shares (NHILX)	Sale	05/13/2022	\$1,001 - \$15,000
548	PIMCO High Yield Fund Institutional Class Shares (PHIYX)	Sale	05/13/2022	\$1,001 - \$15,000
549	SPDR Portfolio S&P 500 ETF (SPLG)	Sale	05/13/2022	\$1,001 - \$15,000
550	TIAA-CREF High-Yield Fund Institutional Class Shares (TIHYX)	Sale	05/13/2022	\$1,001 - \$15,000
551	Vanguard FTSE All-World ex-US Small-Cap Index Fund ETF Shares (VSS)	Sale	05/13/2022	\$1,001 - \$15,000
552	Western Asset Core Plus Bond Fund Class I Shares (WACPX)	Sale	05/13/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
553	X TRACKERS USD HIGH YIELD CORP BOND ETF (HYLB)	Purchase	05/31/2022	\$1,001 - \$15,000
554	iShares Core MSCI Total International Stock ETF (IXUS)	Purchase	05/31/2022	\$1,001 - \$15,000
555	iShares iBoxx \$ High Yield Corporate Bond ETF (HYG)	Purchase	05/31/2022	\$1,001 - \$15,000
556	iShares Core S&P Small-Cap ETF (IJR)	Purchase	05/31/2022	\$1,001 - \$15,000
557	iShares Broad USD High Yield Corporate Bond ETF (USHY)	Purchase	05/31/2022	\$1,001 - \$15,000
558	PIMCO 0-5 YR HIGH YIELD CORPORATE BOND INDEX ETF (HYS)	Purchase	05/31/2022	\$1,001 - \$15,000
559	SPDR Portfolio S&P 500 ETF (SPLG)	Purchase	05/31/2022	\$15,001 - \$50,000
560	SPDR Bloomberg Barclays Short Term High Yield Bond ETF (SJNK)	Purchase	05/31/2022	\$1,001 - \$15,000
561	SPDR Bloomberg Barclays High Yield Bond ETF (JNK)	Purchase	05/31/2022	\$1,001 - \$15,000
562	Vanguard FTSE All-World ex-US Small-Cap Index Fund ETF Shares (VSS)	Purchase	05/31/2022	\$1,001 - \$15,000
563	iShares Short Treasury Bond ETF (SHV)	Sale	05/31/2022	\$15,001 - \$50,000
564	JPMorgan Ultra-Short Income ETF (JPST)	Sale	05/31/2022	\$1,001 - \$15,000
565	PIMCO Enhanced Short Maturity Active Exchange-Traded Fund (MINT)	Sale	05/31/2022	\$1,001 - \$15,000
566	SPDR Bloomberg Barclays 1-3 Month T-Bill ETF (BIL)	Sale	05/31/2022	\$15,001 - \$50,000
567	iShares Core S&P 500 ETF (IVV)	Purchase	05/02/2022	\$1,001 - \$15,000
568	SPDR S&P Metals & Mining ETF (XME)	Sale	05/02/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
569	iShares Convertible Bond ETF (ICVT)	Purchase	05/05/2022	\$15,001 - \$50,000
570	SPDR Portfolio Intermediate Term Corporate Bond ETF (SPIB)	Purchase	05/05/2022	\$15,001 - \$50,000
571	iShares 7-10 Year Treasury Bond ETF (IEF)	Sale	05/05/2022	\$15,001 - \$50,000
572	SPDR Portfolio Long Term Corporate Bond ETF (SPLB)	Sale	05/05/2022	\$15,001 - \$50,000
573	iShares Core S&P 500 ETF (IVV)	Purchase	05/09/2022	\$1,001 - \$15,000
574	VanEck Vectors Agribusiness ETF (MOO)	Sale	05/09/2022	\$1,001 - \$15,000
575	Vanguard Health Care Index Fund ETF Class Shares (VHT)	Sale	05/09/2022	\$1,001 - \$15,000
576	iShares Core S&P US Growth ETF (IUSG)	Purchase	05/13/2022	\$15,001 - \$50,000
577	iShares Preferred and Income Securities ETF (PFF)	Purchase	05/13/2022	\$15,001 - \$50,000
578	iShares Short Treasury Bond ETF (SHV)	Purchase	05/13/2022	\$15,001 - \$50,000
579	iShares Edge MSCI Intl Quality Factor ETF (IQLT)	Purchase	05/13/2022	\$1,001 - \$15,000
580	INVESCO EXCHANGE INTL BUYBACK ACHIEVERS ETF (IPKW)	Purchase	05/13/2022	\$1,001 - \$15,000
581	JPMorgan Ultra-Short Income ETF (JPST)	Purchase	05/13/2022	\$1,001 - \$15,000
582	JPMorgan Ultra-Short Income ETF (JPST)	Purchase	05/13/2022	\$1,001 - \$15,000
583	PIMCO Enhanced Short Maturity Active Exchange-Traded Fund (MINT)	Purchase	05/13/2022	\$1,001 - \$15,000
584	SPDR Bloomberg Barclays 1-3 Month T-Bill ETF (BIL)	Purchase	05/13/2022	\$15,001 - \$50,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
585	BlackRock High Yield Bond Portfolio Class K Shares (BRHYX)	Sale	05/13/2022	\$1,001 - \$15,000
586	iShares Edge MSCI Min Vol USA ETF (USMV)	Sale	05/13/2022	\$1,001 - \$15,000
587	iShares Edge MSCI USA Momentum Factor ETF (MTUM)	Sale	05/13/2022	\$1,001 - \$15,000
588	iShares Core MSCI Total International Stock ETF (IXUS)	Sale	05/13/2022	\$1,001 - \$15,000
589	iShares Core S&P Small-Cap ETF (IJR)	Sale	05/13/2022	\$1,001 - \$15,000
590	iShares Edge MSCI Intl Momentum Factor ETF (IMTM)	Sale	05/13/2022	\$1,001 - \$15,000
591	JPMorgan High Yield Fund Class I Shares (OHYFX)	Sale	05/13/2022	\$1,001 - \$15,000
592	iShares Edge MSCI Min Vol EAFE ETF (EFAV)	Sale	05/13/2022	\$1,001 - \$15,000
593	Lord Abbett High Yield Fund Class I Shares (LAHYX)	Sale	05/13/2022	\$1,001 - \$15,000
594	Neuberger Berman High Income Bond Fund Institutional Class Shares (NHILX)	Sale	05/13/2022	\$1,001 - \$15,000
595	PIMCO High Yield Fund Institutional Class Shares (PHIYX)	Sale	05/13/2022	\$1,001 - \$15,000
596	SPDR Portfolio S&P 500 ETF (SPLG)	Sale	05/13/2022	\$1,001 - \$15,000
597	TIAA-CREF High-Yield Fund Institutional Class Shares (TIHYX)	Sale	05/13/2022	\$1,001 - \$15,000
598	Vanguard FTSE All-World ex-US Small-Cap Index Fund ETF Shares (VSS)	Sale	05/13/2022	\$1,001 - \$15,000
599	Western Asset Core Plus Bond Fund Class I Shares (WACPX)	Sale	05/13/2022	\$15,001 - \$50,000
600	VanEck Vectors Agribusiness ETF (MOO)	Purchase	05/23/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
601	Vanguard Health Care Index Fund ETF Class Shares (VHT)	Purchase	05/23/2022	\$1,001 - \$15,000
602	Vanguard Materials Index Fund ETF Shares (VAW)	Purchase	05/23/2022	\$1,001 - \$15,000
603	iShares US Aerospace & Defense ETF (ITA)	Sale	05/23/2022	\$1,001 - \$15,000
604	Consumer Staples Select Sector SPDR Fund (XLP)	Sale	05/23/2022	\$1,001 - \$15,000
605	X TRACKERS USD HIGH YIELD CORP BOND ETF	Purchase	05/31/2022	\$1,001 - \$15,000
606	iShares Core MSCI Total International Stock ETF (IXUS)	Purchase	05/31/2022	\$1,001 - \$15,000
607	iShares Core S&P 500 ETF (IVV)	Purchase	05/31/2022	\$1,001 - \$15,000
608	iShares iBoxx \$ High Yield Corporate Bond ETF (HYG)	Purchase	05/31/2022	\$1,001 - \$15,000
609	iShares Core S&P Small-Cap ETF (IJR)	Purchase	05/31/2022	\$1,001 - \$15,000
610	iShares Broad USD High Yield Corporate Bond ETF (USHY)	Purchase	05/31/2022	\$1,001 - \$15,000
611	PIMCO 0-5 Year High Yield Corporate Bond Index Exchange-Traded Fund (HYS)	Purchase	05/31/2022	\$1,001 - \$15,000
612	SPDR Portfolio S&P 500 ETF (SPLG)	Purchase	05/31/2022	\$1,001 - \$15,000
613	SPDR Bloomberg Barclays Short Term High Yield Bond ETF (SJNK)	Purchase	05/31/2022	\$1,001 - \$15,000
614	SPDR Bloomberg Barclays High Yield Bond ETF (JNK)	Purchase	05/31/2022	\$1,001 - \$15,000
615	Vanguard FTSE All-World ex-US Small-Cap Index Fund ETF Shares (VSS)	Purchase	05/31/2022	\$1,001 - \$15,000
616	iShares Short Treasury Bond ETF (SHV)	Sale	05/31/2022	\$15,001 - \$50,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
617	JPMorgan Ultra-Short Income ETF (JPST)	Sale	05/31/2022	\$1,001 - \$15,000
618	PIMCO Enhanced Short Maturity Active Exchange-Traded Fund (MINT)	Sale	05/31/2022	\$1,001 - \$15,000
619	Consumer Staples Select Sector SPDR Fund (XLP)	Sale	05/31/2022	\$1,001 - \$15,000
620	SPDR Bloomberg Barclays 1-3 Month T-Bill ETF (BIL)	Sale	05/31/2022	\$15,001 - \$50,000
621	X TRACKERS USD HIGH YIELD CORP BOND ETF (HYLB)	Purchase	06/01/2022	\$1,001 - \$15,000
622	iShares Core MSCI Total International Stock ETF (IXUS)	Purchase	06/01/2022	\$1,001 - \$15,000
623	iShares iBoxx \$ High Yield Corporate Bond ETF (HYG)	Purchase	06/01/2022	\$1,001 - \$15,000
624	iShares Core S&P Small-Cap ETF (IJR)	Purchase	06/01/2022	\$1,001 - \$15,000
625	iShares Broad USD High Yield Corporate Bond ETF (USHY)	Purchase	06/01/2022	\$1,001 - \$15,000
626	PIMCO 0-5 Year High Yield Corporate Bond Index Exchange-Traded Fund (HYS)	Purchase	06/01/2022	\$1,001 - \$15,000
627	SPDR Portfolio S&P 500 ETF (SPLG)	Purchase	06/01/2022	\$1,001 - \$15,000
628	SPDR Bloomberg Barclays Short Term High Yield Bond ETF (SJNK)	Purchase	06/01/2022	\$1,001 - \$15,000
629	SPDR Bloomberg Barclays High Yield Bond ETF (JNK)	Purchase	06/01/2022	\$1,001 - \$15,000
630	Vanguard FTSE All-World ex-US Small-Cap Index Fund ETF Shares (VSS)	Purchase	06/01/2022	\$1,001 - \$15,000
631	iShares Short Treasury Bond ETF (SHV)	Sale	06/01/2022	\$15,001 - \$50,000
632	JPMorgan Ultra-Short Income ETF (JPST)	Sale	06/01/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
633	PIMCO Enhanced Short Maturity Active Exchange-Traded Fund (MINT)	Sale	06/01/2022	\$1,001 - \$15,000
634	SPDR Bloomberg Barclays 1-3 Month T-Bill ETF (BIL)	Sale	06/01/2022	\$15,001 - \$50,000
635	iShares Core MSCI Emerging Markets ETF (IEMG)	Purchase	06/09/2022	\$15,001 - \$50,000
636	iShares S&P Mid-Cap 400 Growth ETF (IJK)	Purchase	06/09/2022	\$1,001 - \$15,000
637	iShares Core S&P US Growth ETF (IUSG)	Purchase	06/09/2022	\$50,001 - \$100,000
638	iShares Core 5-10 Year USD Bond ETF (IMTB)	Purchase	06/09/2022	\$50,001 - \$100,000
639	Schwab International Small-Cap Equity ETF (SCHC)	Purchase	06/09/2022	\$1,001 - \$15,000
640	SCHWAB STRATEGIC TR INTL DIVID EQUITY ETF (SCHY)	Purchase	06/09/2022	\$1,001 - \$15,000
641	SPDR S&P 600 Small Cap Growth ETF (SLYG)	Purchase	06/09/2022	\$1,001 - \$15,000
642	SPDR Bloomberg Barclays Convertible Securities ETF (CWB)	Purchase	06/09/2022	\$15,001 - \$50,000
643	SPDR ICE PREFERRED SECURITIES ETF (PSK)	Purchase	06/09/2022	\$1,001 - \$15,000
644	Vanguard International Dividend Appreciation Index Fund ETF Shares (VIGI)	Purchase	06/09/2022	\$15,001 - \$50,000
645	FIRST TRUST EXCHANGE TRADED FUND III PFD SECS & INCOME ETF (FPE)	Sale	06/09/2022	\$1,001 - \$15,000
646	iShares Convertible Bond ETF (ICVT)	Sale	06/09/2022	\$15,001 - \$50,000
647	iShares Edge MSCI Intl Value Factor ETF (IVLU)	Sale	06/09/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
648	iShares MSCI EAFE Small-Cap ETF (SCZ)	Sale	06/09/2022	\$1,001 - \$15,000
649	iShares Russell 2000 Growth ETF (IWO)	Sale	06/09/2022	\$1,001 - \$15,000
650	iShares MSCI EAFE Growth ETF (EFG)	Sale	06/09/2022	\$15,001 - \$50,000
651	Schwab US Large-Cap Growth ETF (SCHG)	Sale	06/09/2022	\$50,001 - \$100,000
652	SPDR S&P 400 MID CAP GROWTH	Sale	06/09/2022	\$1,001 - \$15,000
653	SPDR Portfolio Intermediate Term Corporate Bond ETF (SPIB)	Sale	06/09/2022	\$50,001 - \$100,000
654	WISDOMTREE EMERGING MKTS EX STATE OWNED ENTERPRISES ETF (XSOE)	Sale	06/09/2022	\$1,001 - \$15,000
655	iShares Short Treasury Bond ETF (SHV)	Purchase	06/13/2022	\$15,001 - \$50,000
656	JPMorgan Ultra-Short Income ETF (JPST)	Purchase	06/13/2022	\$1,001 - \$15,000
657	PIMCO Enhanced Short Maturity Active Exchange-Traded Fund (MINT)	Purchase	06/13/2022	\$1,001 - \$15,000
658	SPDR Bloomberg Barclays 1-3 Month T-Bill ETF (BIL)	Purchase	06/13/2022	\$15,001 - \$50,000
659	X TRACKERS USD HIGH YIELD CORP BOND ETF (HYLB)	Sale	06/13/2022	\$1,001 - \$15,000
660	iShares Core MSCI Total International Stock ETF (IXUS)	Sale	06/13/2022	\$1,001 - \$15,000
661	iShares iBoxx \$ High Yield Corporate Bond ETF (HYG)	Sale	06/13/2022	\$1,001 - \$15,000
662	iShares Core S&P Small-Cap ETF (IJR)	Sale	06/13/2022	\$1,001 - \$15,000
663	iShares Broad USD High Yield Corporate Bond ETF (USHY)	Sale	06/13/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
664	PIMCO 0-5 Year High Yield Corporate Bond Index Exchange-Traded Fund (HYS)	Sale	06/13/2022	\$1,001 - \$15,000
665	SPDR Portfolio S&P 500 ETF (SPLG)	Sale	06/13/2022	\$15,001 - \$50,000
666	SPDR Bloomberg Barclays Short Term High Yield Bond ETF (SJNK)	Sale	06/13/2022	\$1,001 - \$15,000
667	SPDR Bloomberg Barclays High Yield Bond ETF (JNK)	Sale	06/13/2022	\$1,001 - \$15,000
668	Vanguard FTSE All-World ex-US Small-Cap Index Fund ETF Shares (VSS)	Sale	06/13/2022	\$1,001 - \$15,000
669	ISHARES MSCI USA MIN VOLATILITY FACTOR ETF (USMV)	Sale	06/23/2022	\$15,001 - \$50,000
670	iShares 20+ Year Treasury Bond ETF (TLT)	Purchase	06/23/2022	\$15,001 - \$50,000
671	iShares MSCI All Country Asia ex Japan ETF (AAXJ)	Purchase	06/23/2022	\$1,001 - \$15,000
672	iShares S&P Small-Cap 600 Value ETF (IJS)	Purchase	06/23/2022	\$1,001 - \$15,000
673	iShares Preferred and Income Securities ETF (PFF)	Sale	06/23/2022	\$1,001 - \$15,000
674	iShares Core 5-10 Year USD Bond ETF (IMTB)	Sale	06/23/2022	\$1,001 - \$15,000
675	Schwab Fundamental US Large Company Index ETF (FNDX)	Sale	06/23/2022	\$15,001 - \$50,000
676	Schwab Fundamental International Large Company Index ETF (FNDF)	Sale	06/23/2022	\$1,001 - \$15,000
677	SPDR S&P 600 Small Cap Value ETF (SLYV)	Sale	06/23/2022	\$1,001 - \$15,000
678	X TRACKERS USD HIGH YIELD CORP BOND ETF (HYLB)	Purchase	06/01/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
679	iShares Core MSCI Total International Stock ETF (IXUS)	Purchase	06/01/2022	\$1,001 - \$15,000
680	iShares Core S&P 500 ETF (IVV)	Purchase	06/01/2022	\$15,001 - \$50,000
681	iShares iBoxx \$ High Yield Corporate Bond ETF (HYG)	Purchase	06/01/2022	\$1,001 - \$15,000
682	iShares Core S&P Small-Cap ETF (IJR)	Purchase	06/01/2022	\$1,001 - \$15,000
683	iShares Broad USD High Yield Corporate Bond ETF (USHY)	Purchase	06/01/2022	\$1,001 - \$15,000
684	PIMCO 0-5 Year High Yield Corporate Bond Index Exchange-Traded Fund (HYS)	Purchase	06/01/2022	\$1,001 - \$15,000
685	SPDR Portfolio S&P 500 ETF (SPLG)	Purchase	06/01/2022	\$1,001 - \$15,000
686	SPDR Bloomberg Barclays Short Term High Yield Bond ETF (SJNK)	Purchase	06/01/2022	\$1,001 - \$15,000
687	SPDR Bloomberg Barclays High Yield Bond ETF (JNK)	Purchase	06/01/2022	\$1,001 - \$15,000
688	Vanguard FTSE All-World ex-US Small-Cap Index Fund ETF Shares (VSS)	Purchase	06/01/2022	\$1,001 - \$15,000
689	iShares Edge MSCI Min Vol USA ETF (USMV)	Sale	06/01/2022	\$15,001 - \$50,000
690	iShares Short Treasury Bond ETF (SHV)	Sale	06/01/2022	\$15,001 - \$50,000
691	JPMorgan Ultra-Short Income ETF (JPST)	Sale	06/01/2022	\$1,001 - \$15,000
692	PIMCO Enhanced Short Maturity Active Exchange-Traded Fund (MINT)	Sale	06/01/2022	\$1,001 - \$15,000
693	SPDR Bloomberg Barclays 1-3 Month T-Bill ETF (BIL)	Sale	06/01/2022	\$15,001 - \$50,000
694	iShares Core S&P 500 ETF (IVV)	Purchase	06/06/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
695	Global X Lithium & Battery Tech ETF (LIT)	Purchase	06/06/2022	\$1,001 - \$15,000
696	Vanguard Health Care Index Fund ETF Class Shares (VHT)	Sale	06/06/2022	\$1,001 - \$15,000
697	iShares Core MSCIEmerging Markets ETF (IEMG)	Purchase	06/09/2022	\$15,001 - \$50,000
698	iShares S&P Mid-Cap 400 Growth ETF (IJK)	Purchase	06/09/2022	\$1,001 - \$15,000
699	iShares Core S&P US Growth ETF (IUSG)	Purchase	06/09/2022	\$15,001 - \$50,000
700	iShares Core 5-10 Year USD Bond ETF (IMTB)	Purchase	06/09/2022	\$50,001 - \$100,000
701	Schwab International Small-Cap Equity ETF (SCHC)	Purchase	06/09/2022	\$1,001 - \$15,000
702	SCHWAB STRATEGIC TR INTL DIVID EQUITY ETF (SCHY)	Purchase	06/09/2022	\$1,001 - \$15,000
703	SPDR S&P 600 Small Cap Growth ETF (SLYG)	Purchase	06/09/2022	\$1,001 - \$15,000
704	SPDR Bloomberg Barclays Convertible Securities ETF (CWB)	Purchase	06/09/2022	\$15,001 - \$50,000
705	SPDR ICE PREFERRED SECURITIES ETF (PSK)	Purchase	06/09/2022	\$15,001 - \$50,000
706	Vanguard International Dividend Appreciation Index Fund ETF Shares (VIGI)	Purchase	06/09/2022	\$15,001 - \$50,000
707	FIRST TRUST EXCHANGE TRADED FUND III PFD SECS & INCOME ETF (FPE)	Sale	06/09/2022	\$15,001 - \$50,000
708	iShares Convertible Bond ETF (ICVT)	Sale	06/09/2022	\$15,001 - \$50,000
709	iShares Edge MSCI Intl Value Factor ETF (IVLU)	Sale	06/09/2022	\$1,001 - \$15,000
710	iShares MSCI EAFE Small-Cap ETF (SCZ)	Sale	06/09/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
711	iShares Russell 2000 Growth ETF (IWO)	Sale	06/09/2022	\$1,001 - \$15,000
712	iShares MSCI EAFE Growth ETF (EFG)	Sale	06/09/2022	\$50,001 - \$100,000
713	Schwab US Large-Cap Growth ETF (SCHG)	Sale	06/09/2022	\$15,001 - \$50,000
714	SPDR S&P 400 Mid Cap Growth ETF (MDYG)	Sale	06/09/2022	\$1,001 - \$15,000
715	SPDR Portfolio Intermediate Term Corporate Bond ETF (SPIB)	Sale	06/09/2022	\$50,001 - \$100,000
716	WISDOMTREE EMERGING MKTS EX STATE OWNED ENTERPRISES ETF (XSOE)	Sale	06/09/2022	\$15,001 - \$50,000
717	iShares Short Treasury Bond ETF (SHV)	Purchase	06/13/2022	\$15,001 - \$50,000
718	JPMorgan Ultra-Short Income ETF (JPST)	Purchase	06/13/2022	\$1,001 - \$15,000
719	PIMCO Enhanced Short Maturity Active Exchange-Traded Fund (MINT)	Purchase	06/13/2022	\$1,001 - \$15,000
720	SPDR Bloomberg Barclays 1-3 Month T-Bill ETF (BIL)	Purchase	06/13/2022	\$15,001 - \$50,000
721	X TRACKERS USD HIGH YIELD CORP BOND ETF (HYLB)	Sale	06/13/2022	\$1,001 - \$15,000
722	iShares Core MSCI Total International Stock ETF (IXUS)	Sale	06/13/2022	\$1,001 - \$15,000
723	iShares iBoxx \$ High Yield Corporate Bond ETF (HYG)	Sale	06/13/2022	\$1,001 - \$15,000
724	iShares Core S&P Small-Cap ETF (IJR)	Sale	06/13/2022	\$1,001 - \$15,000
725	iShares Broad USD High Yield Corporate Bond ETF (USHY)	Sale	06/13/2022	\$1,001 - \$15,000
726	PIMCO 0-5 Year High Yield Corporate Bond Index Exchange-Traded Fund (HYS)	Sale	06/13/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
727	SPDR Portfolio S&P 500 ETF (SPLG)	Sale	06/13/2022	\$15,001 - \$50,000
728	SPDR Bloomberg Barclays Short Term High Yield Bond ETF (SJNK)	Sale	06/13/2022	\$1,001 - \$15,000
729	SPDR Bloomberg Barclays High Yield Bond ETF (JNK)	Sale	06/13/2022	\$1,001 - \$15,000
730	Vanguard FTSE All-World ex-US Small-Cap Index Fund ETF Shares (VSS)	Sale	06/13/2022	\$1,001 - \$15,000
731	iShares Core S&P 500 ETF (IVV)	Purchase	06/14/2022	\$1,001 - \$15,000
732	First Trust Natural Gas ETF (FCG)	Sale	06/14/2022	\$1,001 - \$15,000
733	Vanguard Materials Index Fund ETF Shares (VAW)	Sale	06/14/2022	\$1,001 - \$15,000
734	iShares Core S&P Total US Stock Market ETF (ITOT)	Purchase	06/17/2022	\$50,001 - \$100,000
735	SPDR Portfolio S&P 500 Value ETF (SPYV)	Purchase	06/17/2022	\$15,001 - \$50,000
736	iShares Core S&P 500 ETF (IVV)	Sale	06/17/2022	\$50,001 - \$100,000
737	Schwab Fundamental US Large Company Index ETF (FNDX)	Sale	06/17/2022	\$15,001 - \$50,000
738	iShares Core S&P Total US Stock Market ETF (ITOT)	Purchase	06/21/2022	\$1,001 - \$15,000
739	Vanguard Materials Index Fund ETF Shares (VAW)	Sale	06/21/2022	\$1,001 - \$15,000
740	iShares Edge MSCI Min Vol USA ETF (USMV)	Purchase	06/23/2022	\$1,001 - \$15,000
741	iShares 20+ Year Treasury Bond ETF (TLT)	Purchase	06/23/2022	\$15,001 - \$50,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
742	iShares MSCI All Country Asia ex Japan ETF (AAXJ)	Purchase	06/23/2022	\$1,001 - \$15,000
743	iShares S&P Small-Cap 600 Value ETF (IJS)	Purchase	06/23/2022	\$1,001 - \$15,000
744	iShares Preferred and Income Securities ETF (PFF)	Sale	06/23/2022	\$15,001 - \$50,000
745	iShares Core 5-10 Year USD Bond ETF (IMTB)	Sale	06/23/2022	\$15,001 - \$50,000
746	Schwab Fundamental US Large Company Index ETF (FNDX)	Sale	06/23/2022	\$1,001 - \$15,000
747	Schwab Fundamental International Large Company Index ETF (FNDF)	Sale	06/23/2022	\$1,001 - \$15,000
748	SPDR S&P 600 Small Cap Value ETF (SLYV)	Sale	06/23/2022	\$1,001 - \$15,000
749	iShares Core S&P Total US Stock Market ETF (ITOT)	Purchase	06/27/2022	\$1,001 - \$15,000
750	SPDR S&P Pharmaceuticals ETF (XPH)	Purchase	06/27/2022	\$1,001 - \$15,000
751	First Trust Natural Gas ETF (FCG)	Sale	06/27/2022	\$1,001 - \$15,000
752	VanEck Vectors Agribusiness ETF (MOO)	Sale	06/27/2022	\$1,001 - \$15,000
753	Vanguard Energy Index Fund ETF Class Shares (VDE)	Sale	06/27/2022	\$1,001 - \$15,000
754	iShares Core S&P Total US Stock Market ETF (ITOT)	Purchase	06/28/2022	\$15,001 - \$50,000
755	SPDR Portfolio S&P 500 Value ETF (SPYV)	Sale	06/28/2022	\$15,001 - \$50,000
756	iShares 7-10 Year Treasury Bond ETF (IEF)	Purchase	07/01/2022	\$50,001 - \$100,000
757	SPDR Portfolio Intermediate Term Treasury ETF (SPTI)	Purchase	07/01/2022	\$15,001 - \$50,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
758	iShares Short Treasury Bond ETF (SHV)	Sale	07/01/2022	\$15,001 - \$50,000
759	JPMorgan Ultra-Short Income ETF (JPST)	Sale	07/01/2022	\$1,001 - \$15,000
760	PIMCO Enhanced Short Maturity Active Exchange-Traded Fund (MINT)	Sale	07/01/2022	\$1,001 - \$15,000
761	SPDR Bloomberg Barclays 1-3 Month T-Bill ETF (BIL)	Sale	07/01/2022	\$15,001 - \$50,000
762	BlackRock High Yield Bond Portfolio Class K Shares (BRHYX)	Purchase	07/20/2022	\$1,001 - \$15,000
763	X TRACKERS USD HIGH YIELD CORP BOND ETF (HYLB)	Purchase	07/20/2022	\$1,001 - \$15,000
764	iShares Core MSCI Total International Stock ETF (IXUS)	Purchase	07/20/2022	\$1,001 - \$15,000
765	iShares iBoxx \$ High Yield Corporate Bond ETF (HYG)	Purchase	07/20/2022	\$1,001 - \$15,000
766	iShares Core S&P Small-Cap ETF (IJR)	Purchase	07/20/2022	\$1,001 - \$15,000
767	iShares Broad USD High Yield Corporate Bond ETF (USHY)	Purchase	07/20/2022	\$1,001 - \$15,000
768	JPMorgan High Yield Fund Class I Shares (OHYFX)	Purchase	07/20/2022	\$1,001 - \$15,000
769	Lord Abbett High Yield Fund Class I Shares (LAHYX)	Purchase	07/20/2022	\$1,001 - \$15,000
770	Neuberger Berman High Income Bond Fund Institutional Class Shares (NHILX)	Purchase	07/20/2022	\$1,001 - \$15,000
771	PIMCO High Yield Fund Institutional Class Shares (PHIYX)	Purchase	07/20/2022	\$1,001 - \$15,000
772	SPDR Portfolio S&P 500 ETF (SPLG)	Purchase	07/20/2022	\$1,001 - \$15,000
773	SPDR Bloomberg Barclays Short Term High Yield Bond ETF (SJNK)	Purchase	07/20/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
774	SPDR Bloomberg Barclays High Yield Bond ETF (JNK)	Purchase	07/20/2022	\$1,001 - \$15,000
775	TIAA-CREF High-Yield Fund Institutional Class Shares (TIHYX)	Purchase	07/20/2022	\$1,001 - \$15,000
776	Vanguard FTSE All-World ex-US Small-Cap Index Fund ETF Shares (VSS)	Purchase	07/20/2022	\$1,001 - \$15,000
777	iShares 7-10 Year Treasury Bond ETF (IEF)	Sale	07/20/2022	\$50,001 - \$100,000
778	SPDR Portfolio Intermediate Term Treasury ETF (SPTI)	Sale	07/20/2022	\$15,001 - \$50,000
779	First Trust Preferred Securities and Income ETF (FPE)	Purchase	07/26/2022	\$1,001 - \$15,000
780	SPDR Portfolio Intermediate Term Corporate Bond ETF (SPIB)	Purchase	07/26/2022	\$50,001 - \$100,000
781	SPDR Portfolio Long Term Corporate Bond ETF (SPLB)	Purchase	07/26/2022	\$15,001 - \$50,000
782	iShares Core 5-10 Year USD Bond ETF (IMTB)	Sale	07/26/2022	\$50,001 - \$100,000
783	SPDR Bloomberg Barclays Convertible Securities ETF (CWB)	Sale	07/26/2022	\$15,001 - \$50,000
784	SPDR ICE PREFERRED SECURITIES ETF (PSK)	Sale	07/26/2022	\$1,001 - \$15,000
785	iShares MSCI EAFE Small-Cap ETF (SCZ)	Purchase	07/27/2022	\$1,001 - \$15,000
786	iShares MSCI EAFE Growth ETF (EFG)	Purchase	07/27/2022	\$15,001 - \$50,000
787	iShares Edge MSCI Intl Quality Factor ETF (IQLT)	Purchase	07/27/2022	\$1,001 - \$15,000
788	iShares MSCI Emerging Markets ex China ETF (EMXC)	Purchase	07/27/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
789	Schwab Fundamental International Large Company Index ETF (FNDF)	Purchase	07/27/2022	\$15,001 - \$50,000
790	Schwab Fundamental Emerging Markets Large Company Index ETF (FNDE)	Purchase	07/27/2022	\$1,001 - \$15,000
791	iShares Core MSCI Emerging Markets ETF (IEMG)	Sale	07/27/2022	\$15,001 - \$50,000
792	iShares MSCI EAFE Value ETF (EFV)	Sale	07/27/2022	\$1,001 - \$15,000
793	iShares MSCI All Country Asia ex Japan ETF (AAXJ)	Sale	07/27/2022	\$1,001 - \$15,000
794	INVESCO EXCHANGE INTL BUYBACK ACHIEVERS ETF (IPKW)	Sale	07/27/2022	\$1,001 - \$15,000
795	Schwab International Small-Cap Equity ETF (SCHC)	Sale	07/27/2022	\$1,001 - \$15,000
796	SCHWAB STRATEGIC TR INTL DIVID EQUITY ETF (SCHY)	Sale	07/27/2022	\$1,001 - \$15,000
797	Vanguard International Dividend Appreciation Index Fund ETF Shares (VIGI)	Sale	07/27/2022	\$1,001 - \$15,000
798	iShares 7-10 Year Treasury Bond ETF (IEF)	Purchase	07/01/2022	\$50,001 - \$100,000
799	SPDR Portfolio Intermediate Term Treasury ETF (SPTI)	Purchase	07/01/2022	\$15,001 - \$50,000
800	iShares Short Treasury Bond ETF (SHV)	Sale	07/01/2022	\$15,001 - \$50,000
801	JPMorgan Ultra-Short Income ETF (JPST)	Sale	07/01/2022	\$1,001 - \$15,000
802	PIMCO Enhanced Short Maturity Active Exchange-Traded Fund (MINT)	Sale	07/01/2022	\$1,001 - \$15,000
803	SPDR Bloomberg Barclays 1-3 Month T-Bill ETF (BIL)	Sale	07/01/2022	\$15,001 - \$50,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
804	Consumer Staples Select Sector SPDR Fund (XLP)	Purchase	07/05/2022	\$1,001 - \$15,000
805	Vanguard Health Care Index Fund ETF Class Shares (VHT)	Purchase	07/05/2022	\$1,001 - \$15,000
806	iShares Core S&P Total US Stock Market ETF (ITOT)	Sale	07/05/2022	\$1,001 - \$15,000
807	iShares Edge MSCI Min Vol USA ETF (USMV)	Purchase	07/06/2022	\$15,001 - \$50,000
808	iShares Core S&P Total US Stock Market ETF (ITOT)	Sale	07/06/2022	\$15,001 - \$50,000
809	iShares Core S&P Total US Stock Market ETF (ITOT)	Sale	07/11/2022	\$1,001 - \$15,000
810	iShares Core S&P 500 ETF (IVV)	Purchase	07/18/2022	\$15,001 - \$50,000
811	iShares Core S&P Total US Stock Market ETF (ITOT)	Sale	07/18/2022	\$15,001 - \$50,000
812	SPDR S&P Insurance ETF (KIE)	Sale	07/18/2022	\$1,001 - \$15,000
813	SPDR Portfolio S&P 500 Growth ETF (SPYG)	Purchase	07/19/2022	\$15,001 - \$50,000
814	iShares Core High Dividend ETF (HDV)	Sale	07/19/2022	\$15,001 - \$50,000
815	BlackRock High Yield Bond Portfolio Class K Shares (BRHYX)	Purchase	07/20/2022	\$1,001 - \$15,000
816	X TRACKERS USD HIGH YIELD CORP BOND ETF (HYLB)	Purchase	07/20/2022	\$1,001 - \$15,000
817	iShares Core MSCI Total International Stock ETF (IXUS)	Purchase	07/20/2022	\$1,001 - \$15,000
818	iShares iBoxx \$ High Yield Corporate Bond ETF (HYG)	Purchase	07/20/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
819	iShares Core S&P Small-Cap ETF (IJR)	Purchase	07/20/2022	\$1,001 - \$15,000
820	iShares Broad USD High Yield Corporate Bond ETF (USHY)	Purchase	07/20/2022	\$1,001 - \$15,000
821	JPMorgan High Yield Fund Class I Shares (OHYFX)	Purchase	07/20/2022	\$1,001 - \$15,000
822	Lord Abbett High Yield Fund Class I Shares (LAHYX)	Purchase	07/20/2022	\$1,001 - \$15,000
823	Neuberger Berman High Income Bond Fund Institutional Class Shares (NHILX)	Purchase	07/20/2022	\$1,001 - \$15,000
824	PIMCO High Yield Fund Institutional Class Shares (PHIYX)	Purchase	07/20/2022	\$1,001 - \$15,000
825	SPDR Portfolio S&P 500 ETF (SPLG)	Purchase	07/20/2022	\$15,001 - \$50,000
826	SPDR Bloomberg Barclays Short Term High Yield Bond ETF (SJNK)	Purchase	07/20/2022	\$1,001 - \$15,000
827	SPDR Bloomberg Barclays High Yield Bond ETF (JNK)	Purchase	07/20/2022	\$1,001 - \$15,000
828	TIAA-CREF High-Yield Fund Institutional Class Shares (TIHYX)	Purchase	07/20/2022	\$1,001 - \$15,000
829	Vanguard FTSE All-World ex-US Small-Cap Index Fund ETF Shares (VSS)	Purchase	07/20/2022	\$1,001 - \$15,000
830	iShares 7-10 Year Treasury Bond ETF (IEF)	Sale	07/20/2022	\$50,001 - \$100,000
831	SPDR Portfolio Intermediate Term Treasury ETF (SPTI)	Sale	07/20/2022	\$15,001 - \$50,000
832	SPDR S&P Homebuilders ETF (XHB)	Purchase	07/25/2022	\$1,001 - \$15,000
833	Vanguard Consumer Discretionary Index Fund ETF Shares (VCR)	Purchase	07/25/2022	\$1,001 - \$15,000
834	iShares Core S&P 500 ETF (IVV)	Sale	07/25/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
835	Consumer Staples Select Sector SPDR Fund (XLP)	Sale	07/25/2022	\$1,001 - \$15,000
836	First Trust Preferred Securities and Income ETF (FPE)	Purchase	07/26/2022	\$15,001 - \$50,000
837	iShares S&P Small-Cap 600 Growth ETF (IJT)	Purchase	07/26/2022	\$15,001 - \$50,000
838	SPDR Portfolio S&P 500 Growth ETF (SPYG)	Purchase	07/26/2022	\$1,001 - \$15,000
839	SPDR Portfolio Intermediate Term Corporate Bond ETF (SPIB)	Purchase	07/26/2022	\$50,001 - \$100,000
840	SPDR Portfolio Long Term Corporate Bond ETF (SPLB)	Purchase	07/26/2022	\$15,001 - \$50,000
841	iShares Core S&P Total US Stock Market ETF (ITOT)	Sale	07/26/2022	\$15,001 - \$50,000
842	iShares Core 5-10 Year USD Bond ETF (IMTB)	Sale	07/26/2022	\$50,001 - \$100,000
843	SPDR Bloomberg Barclays Convertible Securities ETF (CWB)	Sale	07/26/2022	\$15,001 - \$50,000
844	SPDR ICE PREFERRED SECURITIES ETF (PSK)	Sale	07/26/2022	\$15,001 - \$50,000
845	iShares MSCI EAFE Small-Cap ETF (SCZ)	Purchase	07/27/2022	\$1,001 - \$15,000
846	iShares MSCI EAFE Growth ETF (EFG)	Purchase	07/27/2022	\$15,001 - \$50,000
847	ISHARES MSCI INTERNATIONAL QUALITY FACTOR ETF (IQLT)	Purchase	07/27/2022	\$1,001 - \$15,000
848	iShares MSCIEmerging Markets ex China ETF (EMXC)	Purchase	07/27/2022	\$1,001 - \$15,000
849	Schwab Fundamental International Large Company Index ETF (FNDF)	Purchase	07/27/2022	\$15,001 - \$50,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
850	Schwab FundamentalEmerging Markets Large Company Index ETF (FNDE)	Purchase	07/27/2022	\$1,001 - \$15,000
851	iShares Core MSCIEmerging Markets ETF (IEMG)	Sale	07/27/2022	\$15,001 - \$50,000
852	iShares MSCI EAFE Value ETF (EFV)	Sale	07/27/2022	\$1,001 - \$15,000
853	iShares MSCI All Country Asia ex Japan ETF (AAXJ)	Sale	07/27/2022	\$1,001 - \$15,000
854	INVESCO EXCHANGE INTL BUYBACK ACHIEVERS ETF (IPKW)	Sale	07/27/2022	\$1,001 - \$15,000
855	Schwab International Small-Cap Equity ETF (SCHC)	Sale	07/27/2022	\$1,001 - \$15,000
856	SCHWAB STRATEGIC TR INTL DIVID EQUITY ETF (SCHY)	Sale	07/27/2022	\$1,001 - \$15,000
857	Vanguard International Dividend Appreciation Index Fund ETF Shares (VIGI)	Sale	07/27/2022	\$15,001 - \$50,000
858	iShares Short Treasury Bond ETF (SHV)	Purchase	08/30/2022	\$15,001 - \$50,000
859	JPMorgan Ultra-Short Income ETF (JPST)	Purchase	08/30/2022	\$1,001 - \$15,000
860	PIMCO Enhanced Short Maturity Active Exchange-Traded Fund (MINT)	Purchase	08/30/2022	\$1,001 - \$15,000
861	SPDR Bloomberg Barclays 1-3 Month T-Bill ETF (BIL)	Purchase	08/30/2022	\$15,001 - \$50,000
862	BlackRock High Yield Bond Portfolio Class K Shares (BRHYX)	Sale	08/30/2022	\$1,001 - \$15,000
863	X TRACKERS USD HIGH YIELD CORP BOND ETF (HYLB)	Sale	08/30/2022	\$1,001 - \$15,000
864	iShares Core MSCI Total International Stock ETF (IXUS)	Sale	08/30/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
865	iShares iBoxx \$ High Yield Corporate Bond ETF (HYG)	Sale	08/30/2022	\$1,001 - \$15,000
866	iShares Core S&P Small-Cap ETF (IJR)	Sale	08/30/2022	\$1,001 - \$15,000
867	iShares Broad USD High Yield Corporate Bond ETF (USHY)	Sale	08/30/2022	\$1,001 - \$15,000
868	JPMorgan High Yield Fund Class I Shares (OHYFX)	Sale	08/30/2022	\$1,001 - \$15,000
869	Lord Abbett High Yield Fund Class I Shares (LAHYX)	Sale	08/30/2022	\$1,001 - \$15,000
870	Neuberger Berman High Income Bond Fund Institutional Class Shares (NHILX)	Sale	08/30/2022	\$1,001 - \$15,000
871	PIMCO High Yield Fund Institutional Class Shares (PHIYX)	Sale	08/30/2022	\$1,001 - \$15,000
872	SPDR Portfolio S&P 500 ETF (SPLG)	Sale	08/30/2022	\$15,001 - \$50,000
873	SPDR Bloomberg Barclays Short Term High Yield Bond ETF (SJNK)	Sale	08/30/2022	\$1,001 - \$15,000
874	SPDR Bloomberg Barclays High Yield Bond ETF (JNK)	Sale	08/30/2022	\$1,001 - \$15,000
875	TIAA-CREF High-Yield Fund Institutional Class Shares (TIHYX)	Sale	08/30/2022	\$1,001 - \$15,000
876	Vanguard FTSE All-World ex-US Small-Cap Index Fund Admiral Shares (VFSAX)	Sale	08/30/2022	\$1,001 - \$15,000
877	Schwab Fundamental International Large Company Index ETF (FNDF)	Purchase	08/31/2022	\$1,001 - \$15,000
878	Schwab Fundamental Emerging Markets Large Company Index ETF (FNDE)	Purchase	08/31/2022	\$1,001 - \$15,000
879	SPDR Portfolio Short Term Corporate Bond ETF (SPSB)	Purchase	08/31/2022	\$15,001 - \$50,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
880	iShares Edge MSCI Intl Quality Factor ETF (IQLT)	Sale	08/31/2022	\$1,001 - \$15,000
881	SPDR Portfolio Long Term Corporate Bond ETF (SPLB)	Sale	08/31/2022	\$15,001 - \$50,000
882	SPDR S&P 400 Mid Cap Growth ETF (MDYG)	Purchase	08/01/2022	\$15,001 - \$50,000
883	Vanguard Information Technology Index Fund ETF Class Shares (VGT)	Purchase	08/01/2022	\$1,001 - \$15,000
884	iShares Edge MSCI Min Vol USA ETF (USMV)	Sale	08/01/2022	\$15,001 - \$50,000
885	iShares Core S&P 500 ETF (IVV)	Sale	08/01/2022	\$1,001 - \$15,000
886	SPDR S&P Pharmaceuticals ETF (XPH)	Sale	08/01/2022	\$1,001 - \$15,000
887	Amplify Online Retail ETF (IBUY)	Purchase	08/08/2022	\$1,001 - \$15,000
888	iShares Nasdaq Biotechnology ETF (IBB)	Purchase	08/08/2022	\$1,001 - \$15,000
889	Vanguard Industrials Index Fund ETF Class Shares (VIS)	Purchase	08/08/2022	\$1,001 - \$15,000
890	Vanguard Consumer Discretionary Index Fund ETF Shares (VCR)	Purchase	08/08/2022	\$1,001 - \$15,000
891	iShares Core S&P 500 ETF (IVV)	Sale	08/08/2022	\$1,001 - \$15,000
892	Vanguard Health Care Index Fund ETF Class Shares (VHT)	Sale	08/08/2022	\$1,001 - \$15,000
893	SPDR Portfolio S&P 500 Growth ETF (SPYG)	Purchase	08/09/2022	\$15,001 - \$50,000
894	SPDR S&P 400 Mid Cap Growth ETF (MDYG)	Purchase	08/09/2022	\$1,001 - \$15,000
895	iShares Core S&P Total US Stock Market ETF (ITOT)	Sale	08/09/2022	\$15,001 - \$50,000
896	iShares US Broker-Dealers & Securities Exchanges ETF (IAI)	Purchase	08/15/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
897	Utilities Select Sector SPDR Fund (XLU)	Purchase	08/15/2022	\$1,001 - \$15,000
898	SPDR S&P Health Care Equipment ETF (XHE)	Purchase	08/15/2022	\$1,001 - \$15,000
899	Vanguard Information Technology Index Fund ETF Class Shares (VGT)	Purchase	08/15/2022	\$1,001 - \$15,000
900	iShares Core S&P 500 ETF (IVV)	Sale	08/15/2022	\$1,001 - \$15,000
901	Vanguard Industrials Index Fund ETF Class Shares (VIS)	Purchase	08/22/2022	\$1,001 - \$15,000
902	Amplify Online Retail ETF (IBUY)	Sale	08/22/2022	\$1,001 - \$15,000
903	SPDR S&P 400 Mid Cap Value ETF (MDYV)	Purchase	08/23/2022	\$15,001 - \$50,000
904	SPDR S&P 400 Mid Cap Growth ETF (MDYG)	Sale	08/23/2022	\$15,001 - \$50,000
905	Invesco Solar ETF (TAN)	Purchase	08/29/2022	\$1,001 - \$15,000
906	iShares Core S&P 500 ETF (IVV)	Purchase	08/29/2022	\$15,001 - \$50,000
907	Vanguard Energy Index Fund ETF Class Shares (VDE)	Purchase	08/29/2022	\$1,001 - \$15,000
908	SPDR Portfolio S&P 500 Growth ETF (SPYG)	Sale	08/29/2022	\$15,001 - \$50,000
909	SPDR S&P Homebuilders ETF (XHB)	Sale	08/29/2022	\$1,001 - \$15,000
910	Vanguard Information Technology Index Fund ETF Class Shares (VGT)	Sale	08/29/2022	\$1,001 - \$15,000
911	iShares Short Treasury Bond ETF (SHV)	Purchase	08/30/2022	\$1,001 - \$15,000
912	JPMorgan Ultra-Short Income ETF (JPST)	Purchase	08/30/2022	\$1,001 - \$15,000
913	PIMCO Enhanced Short Maturity Active Exchange-Traded Fund (MINT)	Purchase	08/30/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
914	SPDR Bloomberg Barclays 1-3 Month T-Bill ETF (BIL)	Purchase	08/30/2022	\$15,001 - \$50,000
915	BlackRock High Yield Bond Portfolio Class K Shares (BRHYX)	Sale	08/30/2022	\$1,001 - \$15,000
916	X TRACKERS USD HIGH YIELD CORP BOND ETF (HYLB)	Sale	08/30/2022	\$1,001 - \$15,000
917	iShares Core MSCI Total International Stock ETF (IXUS)	Sale	08/30/2022	\$1,001 - \$15,000
918	iShares iBoxx \$ High Yield Corporate Bond ETF (HYG)	Sale	08/30/2022	\$1,001 - \$15,000
919	iShares Core S&P Small-Cap ETF (IJR)	Sale	08/30/2022	\$1,001 - \$15,000
920	iShares Broad USD High Yield Corporate Bond ETF (USHY)	Sale	08/30/2022	\$1,001 - \$15,000
921	JPMorgan High Yield Fund Class I Shares (OHYFX)	Sale	08/30/2022	\$1,001 - \$15,000
922	Lord Abbett High Yield Fund Class I Shares (LAHYX)	Sale	08/30/2022	\$1,001 - \$15,000
923	Neuberger Berman High Income Bond Fund Institutional Class Shares (NHILX)	Sale	08/30/2022	\$1,001 - \$15,000
924	PIMCO High Yield Fund Institutional Class Shares (PHIYX)	Sale	08/30/2022	\$1,001 - \$15,000
925	SPDR Portfolio S&P 500 ETF (SPLG)	Sale	08/30/2022	\$15,001 - \$50,000
926	SPDR Bloomberg Barclays Short Term High Yield Bond ETF (SJNK)	Sale	08/30/2022	\$1,001 - \$15,000
927	SPDR Bloomberg Barclays High Yield Bond ETF (JNK)	Sale	08/30/2022	\$1,001 - \$15,000
928	TIAA-CREF High-Yield Fund Institutional Class Shares (TIHYX)	Sale	08/30/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
929	Vanguard FTSE All-World ex-US Small-Cap Index Fund ETF Shares (VSS)	Sale	08/30/2022	\$1,001 - \$15,000
930	Schwab Fundamental International Large Company Index ETF (FNDF)	Purchase	08/31/2022	\$1,001 - \$15,000
931	Schwab Fundamental Emerging Markets Large Company Index Fund (SFENX)	Purchase	08/31/2022	\$1,001 - \$15,000
932	SPDR Portfolio Short Term Corporate Bond ETF (SPSB)	Purchase	08/31/2022	\$15,001 - \$50,000
933	iShares Edge MSCI Intl Quality Factor ETF (IQLT)	Sale	08/31/2022	\$15,001 - \$50,000
934	SPDR Portfolio Long Term Corporate Bond ETF (SPLB)	Sale	08/31/2022	\$15,001 - \$50,000
935	iShares iBoxx \$ Investment Grade Corporate Bond ETF (LQD)	Purchase	09/29/2022	\$50,001 - \$100,000
936	iShares Preferred and Income Securities ETF (PFF)	Purchase	09/29/2022	\$15,001 - \$50,000
937	First Trust Preferred Securities and Income ETF (FPE)	Sale	09/29/2022	\$1,001 - \$15,000
938	iShares 20+ Year Treasury Bond ETF (TLT)	Sale	09/29/2022	\$15,001 - \$50,000
939	SPDR Portfolio Intermediate Term Corporate Bond ETF (SPIB)	Sale	09/29/2022	\$50,001 - \$100,000
940	iShares Core S&P 500 ETF (IVV)	Purchase	09/06/2022	\$1,001 - \$15,000
941	SPDR S&P Homebuilders ETF (XHB)	Sale	09/06/2022	\$1,001 - \$15,000
942	SPDR S&P Health Care Equipment ETF (XHE)	Sale	09/06/2022	\$1,001 - \$15,000
943	Vanguard Information Technology Index Fund ETF Class Shares (VGT)	Sale	09/06/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
944	iShares Edge MSCI Min Vol USA ETF (USMV)	Purchase	09/07/2022	\$15,001 - \$50,000
945	iShares Core S&P 500 ETF (IVV)	Purchase	09/07/2022	\$15,001 - \$50,000
946	iShares S&P Small-Cap 600 Growth ETF (IJT)	Sale	09/07/2022	\$15,001 - \$50,000
947	SPDR Portfolio S&P 500 Growth ETF (SPYG)	Sale	09/07/2022	\$15,001 - \$50,000
948	iShares US Broker-Dealers & Securities Exchanges ETF (IAI)	Purchase	09/12/2022	\$1,001 - \$15,000
949	SPDR S&P Bank ETF (KBE)	Purchase	09/12/2022	\$1,001 - \$15,000
950	iShares Core S&P 500 ETF (IVV)	Sale	09/12/2022	\$1,001 - \$15,000
951	SPDR S&P Bank ETF (KBE)	Purchase	09/19/2022	\$1,001 - \$15,000
952	Vanguard Industrials Index Fund ETF Class Shares (VIS)	Sale	09/19/2022	\$1,001 - \$15,000
953	iShares Core S&P 500 ETF (IVV)	Purchase	09/27/2022	\$15,001 - \$50,000
954	SPDR S&P 400 Mid Cap Growth ETF (MDYG)	Sale	09/27/2022	\$1,001 - \$15,000
955	SPDR S&P 400 Mid Cap Value ETF (MDYV)	Sale	09/27/2022	\$15,001 - \$50,000
956	iShares iBoxx \$ Investment Grade Corporate Bond ETF (LQD)	Purchase	09/29/2022	\$50,001 - \$100,000
957	iShares Preferred and Income Securities ETF (PFF)	Purchase	09/29/2022	\$15,001 - \$50,000
958	First Trust Preferred Securities and Income ETF (FPE)	Sale	09/29/2022	\$15,001 - \$50,000
959	iShares 20+ Year Treasury Bond ETF (TLT)	Sale	09/29/2022	\$15,001 - \$50,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
960	SPDR Portfolio Intermediate Term Corporate Bond ETF (SPIB)	Sale	09/29/2022	\$50,001 - \$100,000
961	iShares Russell 2000 Growth ETF (IWO)	Purchase	10/06/2022	\$1,001 - \$15,000
962	iShares MSCI Emerging Markets ex China ETF (EMXC)	Purchase	10/06/2022	\$1,001 - \$15,000
963	Schwab US Large-Cap Growth ETF (SCHG)	Purchase	10/06/2022	\$50,001 - \$100,000
964	Schwab Fundamental US Large Company Index ETF (FNDX)	Purchase	10/06/2022	\$15,001 - \$50,000
965	Schwab Fundamental International Large Company Index ETF (FNDF)	Purchase	10/06/2022	\$1,001 - \$15,000
966	Schwab Fundamental International Small Company Index ETF (FNDC)	Purchase	10/06/2022	\$1,001 - \$15,000
967	SPDR S&P 600 Small Cap Value ETF (SLYV)	Purchase	10/06/2022	\$1,001 - \$15,000
968	SPDR S&P 400 Mid Cap Growth ETF (MDYG)	Purchase	10/06/2022	\$15,001 - \$50,000
969	SPDR S&P 400 Mid Cap Value ETF (MDYV)	Purchase	10/06/2022	\$1,001 - \$15,000
970	Vanguard International Dividend Appreciation Index Fund ETF Shares (VIGI)	Purchase	10/06/2022	\$1,001 - \$15,000
971	iShares Edge MSCI Min Vol USA ETF (USMV)	Sale	10/06/2022	\$15,001 - \$50,000
972	iShares MSCI EAFE Small-Cap ETF (SCZ)	Sale	10/06/2022	\$1,001 - \$15,000
973	iShares S&P Mid-Cap 400 Growth ETF (IJK)	Sale	10/06/2022	\$1,001 - \$15,000
974	iShares Core S&P US Growth ETF (IUSG)	Sale	10/06/2022	\$50,001 - \$100,000
975	iShares MSCI EAFE Growth ETF (EFG)	Sale	10/06/2022	\$15,001 - \$50,000
976	iShares S&P Small-Cap 600 Value ETF (IJS)	Sale	10/06/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
977	Schwab US Large-Cap Value ETF (SCHV)	Sale	10/06/2022	\$15,001 - \$50,000
978	SPDR S&P 600 Small Cap Growth ETF (SLYG)	Sale	10/06/2022	\$1,001 - \$15,000
979	iShares Core MSCI Total International Stock ETF (IXUS)	Purchase	10/28/2022	\$1,001 - \$15,000
980	iShares iBoxx \$ High Yield Corporate Bond ETF (HYG)	Purchase	10/28/2022	\$15,001 - \$50,000
981	iShares Core S&P Small-Cap ETF (IJR)	Purchase	10/28/2022	\$1,001 - \$15,000
982	SPDR Portfolio S&P 500 ETF (SPLG)	Purchase	10/28/2022	\$15,001 - \$50,000
983	SPDR Bloomberg Barclays Short Term High Yield Bond ETF (SJNK)	Purchase	10/28/2022	\$1,001 - \$15,000
984	SPDR Bloomberg Barclays High Yield Bond ETF (JNK)	Purchase	10/28/2022	\$1,001 - \$15,000
985	Vanguard FTSE All-World ex-US Small-Cap Index Fund ETF Shares (VSS)	Purchase	10/28/2022	\$1,001 - \$15,000
986	iShares Short Treasury Bond ETF (SHV)	Sale	10/28/2022	\$15,001 - \$50,000
987	JPMorgan Ultra-Short Income ETF (JPST)	Sale	10/28/2022	\$1,001 - \$15,000
988	PIMCO Enhanced Short Maturity Active Exchange-Traded Fund (MINT)	Sale	10/28/2022	\$1,001 - \$15,000
989	SPDR Bloomberg Barclays 1-3 Month T-Bill ETF (BIL)	Sale	10/28/2022	\$15,001 - \$50,000
990	iShares Core S&P 500 ETF (IVV)	Purchase	10/03/2022	\$1,001 - \$15,000
991	Global X Lithium & Battery Tech ETF (LIT)	Sale	10/03/2022	\$1,001 - \$15,000
992	Vanguard Consumer Discretionary Index Fund ETF Shares (VCR)	Sale	10/03/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
993	iShares Russell 2000 Growth ETF (IWO)	Purchase	10/06/2022	\$1,001 - \$15,000
994	iShares MSCI Emerging Markets ex China ETF (EMXC)	Purchase	10/06/2022	\$1,001 - \$15,000
995	Schwab US Large-Cap Growth ETF (SCHG)	Purchase	10/06/2022	\$15,001 - \$50,000
996	Schwab Fundamental US Large Company Index ETF (FNDX)	Purchase	10/06/2022	\$15,001 - \$50,000
997	Schwab Fundamental International Large Company Index ETF (FNDF)	Purchase	10/06/2022	\$1,001 - \$15,000
998	Schwab Fundamental International Small Company Index ETF (FNDC)	Purchase	10/06/2022	\$1,001 - \$15,000
999	SPDR S&P 600 Small Cap Value ETF (SLYV)	Purchase	10/06/2022	\$1,001 - \$15,000
1000	SPDR S&P 400 Mid Cap Growth ETF (MDYG)	Purchase	10/06/2022	\$15,001 - \$50,000
1001	SPDR S&P 400 Mid Cap Value ETF (MDYV)	Purchase	10/06/2022	\$1,001 - \$15,000
1002	Vanguard International Dividend Appreciation Index Fund ETF Shares (VIGI)	Purchase	10/06/2022	\$1,001 - \$15,000
1003	iShares Edge MSCI Min Vol USA ETF (USMV)	Sale	10/06/2022	\$1,001 - \$15,000
1004	iShares MSCI EAFE Small-Cap ETF (SCZ)	Sale	10/06/2022	\$1,001 - \$15,000
1005	iShares S&P Mid-Cap 400 Growth ETF (IJK)	Sale	10/06/2022	\$1,001 - \$15,000
1006	iShares Core S&P US Growth ETF (IUSG)	Sale	10/06/2022	\$50,001 - \$100,000
1007	iShares MSCI EAFE Growth ETF (EFG)	Sale	10/06/2022	\$15,001 - \$50,000
1008	iShares S&P Small-Cap 600 Value ETF (IJS)	Sale	10/06/2022	\$1,001 - \$15,000
1009	Schwab US Large-Cap Value ETF (SCHV)	Sale	10/06/2022	\$15,001 - \$50,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
1010	SPDR S&P 600 Small Cap Growth ETF (SLYG)	Sale	10/06/2022	\$1,001 - \$15,000
1011	First Trust Natural Gas ETF (FCG)	Purchase	10/10/2022	\$1,001 - \$15,000
1012	SPDR S&P Regional Banking ETF (KRE)	Purchase	10/10/2022	\$1,001 - \$15,000
1013	Vanguard Industrials Index Fund ETF Class Shares (VIS)	Purchase	10/10/2022	\$1,001 - \$15,000
1014	Invesco Solar ETF (TAN)	Sale	10/10/2022	\$1,001 - \$15,000
1015	Utilities Select Sector SPDR Fund (XLU)	Sale	10/10/2022	\$1,001 - \$15,000
1016	iShares Edge MSCI USA Momentum Factor ETF (MTUM)	Purchase	10/11/2022	\$15,001 - \$50,000
1017	SPDR S&P 400 Mid Cap Growth ETF (MDYG)	Purchase	10/11/2022	\$15,001 - \$50,000
1018	iShares Edge MSCI Min Vol USA ETF (USMV)	Sale	10/11/2022	\$15,001 - \$50,000
1019	iShares Core S&P 500 ETF (IVV)	Sale	10/11/2022	\$1,001 - \$15,000
1020	iShares Core S&P Total US Stock Market ETF (ITOT)	Sale	10/11/2022	\$1,001 - \$15,000
1021	SPDR S&P Regional Banking ETF (KRE)	Purchase	10/18/2022	\$1,001 - \$15,000
1022	iShares Core S&P 500 ETF (IVV)	Sale	10/18/2022	\$15,001 - \$50,000
1023	SPDR S&P 400 Mid Cap Value ETF (MDYV)	Purchase	10/18/2022	\$15,001 - \$50,000
1024	iShares US Aerospace & Defense ETF (ITA)	Purchase	10/24/2022	\$1,001 - \$15,000
1025	iShares Core S&P 500 ETF (IVV)	Sale	10/24/2022	\$1,001 - \$15,000
1026	Invesco Buyback Achievers ETF (PKW)	Purchase	10/25/2022	\$15,001 - \$50,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
1027	SPDR S&P 400 Mid Cap Growth ETF (MDYG)	Sale	10/25/2022	\$15,001 - \$50,000
1028	iShares Core MSCI Total International Stock ETF (IXUS)	Purchase	10/28/2022	\$1,001 - \$15,000
1029	iShares iBoxx \$ High Yield Corporate Bond ETF (HYG)	Purchase	10/28/2022	\$15,001 - \$50,000
1030	iShares Core S&P Small-Cap ETF (IJR)	Purchase	10/28/2022	\$1,001 - \$15,000
1031	SPDR Portfolio S&P 500 ETF (SPLG)	Purchase	10/28/2022	\$15,001 - \$50,000
1032	SPDR Bloomberg Barclays Short Term High Yield Bond ETF (SJNK)	Purchase	10/28/2022	\$1,001 - \$15,000
1033	SPDR Bloomberg Barclays High Yield Bond ETF (JNK)	Purchase	10/28/2022	\$1,001 - \$15,000
1034	Vanguard FTSE All-World ex-US Small-Cap Index Fund ETF Shares (VSS)	Purchase	10/28/2022	\$1,001 - \$15,000
1035	iShares Short Treasury Bond ETF (SHV)	Sale	10/28/2022	\$15,001 - \$50,000
1036	JPMorgan Ultra-Short Income ETF (JPST)	Sale	10/28/2022	\$1,001 - \$15,000
1037	PIMCO Enhanced Short Maturity Active Exchange-Traded Fund (MINT)	Sale	10/28/2022	\$1,001 - \$15,000
1038	SPDR Bloomberg Barclays 1-3 Month T-Bill ETF (BIL)	Sale	10/28/2022	\$15,001 - \$50,000
1039	SPDR S&P 600 Small Cap Value ETF (SLYV)	Sale	11/08/2022	\$1,001 - \$15,000
1040	SPDR S&P 400 Mid Cap Growth ETF (MDYG)	Sale	11/08/2022	\$1,001 - \$15,000
1041	SPDR S&P 400 Mid Cap Value ETF (MDYV)	Sale	11/08/2022	\$1,001 - \$15,000
1042	Schwab US Large-Cap Growth ETF (SCHG)	Purchase	11/08/2022	\$1,001 - \$15,000
1043	Schwab Fundamental International Large Company Index ETF (FNDF)	Sale	11/08/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
1044	Schwab Fundamental US Large Company Index ETF (FNDX)	Sale	11/08/2022	\$1,001 - \$15,000
1045	Vanguard International Dividend Appreciation Index Fund ETF Shares (VIGI)	Purchase	11/08/2022	\$1,001 - \$15,000
1046	iShares Core S&P 500 ETF (IVV)	Sale	11/07/2022	\$1,001 - \$15,000
1047	iShares US Aerospace & Defense ETF (ITA)	Purchase	11/07/2022	\$1,001 - \$15,000
1048	SPDR S&P Insurance ETF (KIE)	Purchase	11/07/2022	\$1,001 - \$15,000
1049	iShares Core S&P 500 ETF (IVV)	Sale	11/01/2022	\$15,001 - \$50,000
1050	iShares Edge MSCI USA Momentum Factor ETF (MTUM)	Purchase	11/01/2022	\$1,001 - \$15,000
1051	SPDR Portfolio S&P 500 Value ETF (SPYV)	Purchase	11/01/2022	\$15,001 - \$50,000
1052	iShares Russell 2000 Growth ETF (IWO)	Sale	11/08/2022	\$1,001 - \$15,000
1053	SPDR S&P 600 Small Cap Value ETF (SLYV)	Sale	11/08/2022	\$1,001 - \$15,000
1054	SPDR S&P 400 Mid Cap Growth ETF (MDYG)	Sale	11/08/2022	\$1,001 - \$15,000
1055	SPDR S&P 400 Mid Cap Value ETF (MDYV)	Sale	11/08/2022	\$1,001 - \$15,000
1056	Schwab US Large-Cap Growth ETF (SCHG)	Purchase	11/08/2022	\$1,001 - \$15,000
1057	Schwab Fundamental International Large Company Index ETF (FNDF)	Sale	11/08/2022	\$1,001 - \$15,000
1058	Schwab Fundamental US Large Company Index ETF (FNDX)	Sale	11/08/2022	\$1,001 - \$15,000
1059	Vanguard International Dividend Appreciation Index Fund ETF Shares (VIGI)	Purchase	11/08/2022	\$1,001 - \$15,000
1060	Principal Blue Chip Fund Institutional Class Shares (PBCKX)	Purchase	03/16/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
1061	Principal Overseas Fund Institutional Class Shares (PINZX)	Sale	03/16/2022	\$1,001 - \$15,000
1062	Principal SmallCap Value Fund II Institutional Class Shares (PPVIX)	Sale	03/16/2022	\$1,001 - \$15,000
1063	Principal MidCap Value Fund I Institutional Class Shares (PVMIX)	Sale	05/13/2022	\$1,001 - \$15,000
1064	Principal Core Fixed Income Fund Institutional Class Shares (PIOIX)	Sale	05/13/2022	\$1,001 - \$15,000
1065	Principal Overseas Fund Institutional Class Shares (PINZX)	Sale	05/13/2022	\$1,001 - \$15,000
1066	Principal MidCap Fund Institutional Class Shares (PCBIX)	Sale	05/13/2022	\$1,001 - \$15,000
1067	Principal LargeCap Value Fund III Institutional Class Shares (PLVIX)	Purchase	05/13/2022	\$1,001 - \$15,000
1068	Principal Equity Income Fund Institutional Class Shares (PEIIX)	Purchase	05/13/2022	\$1,001 - \$15,000
1069	Principal Blue Chip Fund Institutional Class Shares (PBCKX)	Purchase	05/13/2022	\$1,001 - \$15,000
1070	Principal MidCap Fund Institutional Class Shares (PCBIX)	Sale	08/16/2022	\$1,001 - \$15,000
1071	Principal International Fund I Institutional Class Shares (PINIX)	Purchase	08/16/2022	\$1,001 - \$15,000
1072	Principal International Fund I Institutional Class Shares (PINIX)	Sale	09/16/2022	\$1,001 - \$15,000
1073	Principal Diversified International Fund Institutional Class Shares (PIIIX)	Sale	09/16/2022	\$1,001 - \$15,000
1074	Principal Blue Chip Fund Institutional Class Shares (PBCKX)	Purchase	09/16/2022	\$1,001 - \$15,000

8. Liabilities

#	CREDITOR NAME	TYPE	AMOUNT	YEAR INCURRED	RATE	TERM
1	Mr. Cooper Mortgage Company	Mortgage on Personal Residence	\$500,001 - \$1,000,000	2022	3.250	30 years

9. Gifts and Travel Reimbursements

None

Endnotes

PART	#	ENDNOTE
2.	1.2	Account xxxx4454 is closed as of March 2022
6.	4	Non-Qualified Brokerage xxx2820

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during the reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$166 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

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