Periodic Transaction Report | U.S. Office of Government Ethics; 5 C.F.R. part 2634

Executive Branch Personnel Public Financial Disclosure Report: Periodic Transaction Report (OGE Form 278-T)

**Filer's Information** 

Gibbons, John

United States Marshal, Department of Justice - U.S. Marshals Service

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge. /s/ Gibbons, John [electronically signed on 02/20/2019 by Gibbons, John in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Auerbach, Gerald, Certifying Official [electronically signed on 03/25/2019 by Auerbach, Gerald in Integrity.gov]

Other review conducted by /s/ Marcovici, Robert, Ethics Official [electronically signed on 03/22/2019 by Marcovici, Robert in Integrity.gov]

U.S. Office of Government Ethics Certification /s/ Granahan, Megan, Certifying Official [electronically signed on 04/08/2019 by Granahan, Megan in Integrity.gov]

Transactions

#	DESCRIPTION	ТҮРЕ	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
1	Gibbons Joint Freedom Bal/w Growth Account- AQR Managed Futures Strategy Fund Class N N/L (AQMNX)	Sale	05/18/2018	No	\$1,001 - \$15,000
2	Gibbons Joint Freedom Bal w/Growth Mutual Fund Account-AMG River Road Small Cap Value Fund Class I N/L	Sale	05/18/2018	No	\$1,001 - \$15,000
3	Gibbons Joint Freedom Bal w/Growth Mutual Sale Fund Account-Baird Aggregate Bond Fund Instl Class N/L (BAGIX)		05/18/2018	No	\$1,001 - \$15,000
4	Gibbons Joint Freedom Bal w/Growth Mutual Fund Account-Brown Aggregate Bond Fund Instl (BIAGX)	Sale	05/18/2018	No	\$15,001 - \$50,000
5	Gibbons Joint Freedom Bal w/Growth Mutual Fund Account-Clarkston Partners Fund Institutional Class N/L (CISMX)	Sale	05/18/2018	No	\$1,001 - \$15,000
6	Gibbons Joint Freedomdom Bal w/Growth Mutual Fund Account-JPMorgan U.S. Large Cap Core Plus Fund Class I N/L (JLPSX)	Sale	05/18/2018	No	\$1,001 - \$15,000
7	Gibbons Joint Freedom Bal w/Growth Mutual Fund Account-JPMorgan Mid Cap Value Fund Class L N/L (FLMVX)	Sale	05/18/2018	No	\$1,001 - \$15,000
8	Gibbons Joint Freedom Bal w/Growth Mutual Fund Account-Lazard Emerging Markets Equity Port Institutional SHS N/L (LZEMX)	Sale	05/18/2018	No	\$1,001 - \$15,000
9	Gibbons Joint Freedom Bal w/Growth Mutual Fund Account-Metropolitan West Total Return Bond Fund Class I N/L (MWTIX)	Sale	05/18/2018	No	\$1,001 - \$15,000
10	Gibbons Joint Freedom Bal w/Growth Mutual Fund Account-Victory Trivalent Intl Small-Cap Fund Class Y N/L (MYSIX)	Sale	05/18/2018	No	\$1,001 - \$15,000

#	DESCRIPTION		ТҮРЕ	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
11	Gibbons Joint Freedom Bal w/Growth Mutual Fund Account-AMG Timessquare Small Cap Growth Fund Class N N/L (TSCPX)		Sale	05/18/2018	No	\$1,001 - \$15,000
12	Gibbons Joint Freedom Bal w/Growth Mutual Fund Account- SEI U.S. Managed Volatility Fund Class Y N/L (SUSYX)		Sale	05/18/2018	No	\$1,001 - \$15,000
13	Gibbons Joint Freedom Bal/w Growth Mutual Fund Account-Europacific Growth Fund Class F2- American Funds N/L (AEPFX)		Sale	05/21/18	No	\$1,001 - \$15,000
14	Gibbons Joint Freedom Bal/w Growth Mtual Fund Account-Hartford Midcap Fund Class I N/L (HFMIX)		Sale	05/21/2018	No	\$1,001 - \$15,000
15	Gibbons Joint Freedom Bal/w Growth Mutual Fund Account-John Hancock Disciplined Value Fund Class I N/L (JVLIX)		Sale	05/21/2018	No	\$1,001 - \$15,000
16	Gibbons Joint Freedom Bal/w Growth Mutual Fund Account-MFS Research Fund Class A M/F (MFRFX)		Sale	05/21/2018	No	\$1,001 - \$15,000
17	Gibbons Joint Freedom Bal/w Growth Mutual Fund Account-MFS International Growth Fund Class I N/L (MQGIX)		Sale	05/21/2018	No	\$1,001 - \$15,000
18	Gibbons Joint Freedom Bal/w Growth Mutual Fund account-Wells Fargo International Value Fund Class I N/L		Sale	05/21/2018	No	\$1,001 - \$15,000
19	Gibbons Joint Freedom Bal w/Growth Mutual Fund Account-ACH Disbursement	See Endnote	Exchange	05/22/2018	No	\$100,001 - \$250,000
20	Gibbons Joint Freedom Bal/w Growth Mutual Fund account	See Endnote	Exchange	06/07/2018	No	\$1,001 - \$15,000
21	Gibbons Joint Freedom Bal/w Growth Account	See Endnote	Exchange	06/07/2018	No	\$1,001 - \$15,000
22	Gibbons Joint Freedom Bal/w Growth Account	See Endnote	Exchange	08/06/18	No	\$1,001 - \$15,000

#	DESCRIPTION		ТҮРЕ	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
23	Raymond James Gibbons Joint Freedom Bal/w Growth Account	See Endnote	Exchange	12/06/1918	No	\$1,001 - \$15,000
24	Raymond James Gibbons Joint Freedom Bal/w Growth Mutual Fund account	See Endnote	Exchange	11/06/1918	Yes	\$1,001 - \$15,000
25	Raymond James Gibbons Joint Freedom Bal/w Growth Mutual Fund Account	See Endnote	Exchange	10/05/2018	Yes	\$1,001 - \$15,000
26	Raymond James Gibbons Joint Freedom Bal w/Growth Mutual Fund Account	See Endnote	Exchange	09/05/2018	Yes	\$1,001 - \$15,000
27	Raymond James Gibbons Joint Freedom Bal w/Growth Mutual Fund Account	See Endnote	Exchange	01/06/2019	Yes	\$1,001 - \$15,000
28	Raymond James Gibbons Joint Freedom Bal w/Growth Mutual Fund Account	See Endnote	Exchange	02/06/2019	No	\$1,001 - \$15,000

## Endnotes

PART	#	ENDNOTE
Transactions	19	Withdrawal-ACH to Berkshire Bank ACH Transfer of \$150,000.00
Transactions	20	Deposit-ACH cash transfer from Berkshire Bank Savings Account to Gibbons Joint Freedom Bal w/Growth Mutual Fund Account
Transactions	21	ACH transfer of \$4,000.00 from Gibbons Joint Berkshire Bank Account to Raymond James Gibbons Joint Freedom Bal/w Growth Account
Transactions	22	\$4000.00 transfer from Berkshire Bank Savings account to Gibbons Joint Freedom Bal w/Growth Mutual Fund Account
Transactions	23	\$4000.00 transfer from Berkshire Bank savings account to Gibbons Joint Freedom Bal/w Growth Mutual Fund Account.
Transactions	24	\$4000.00 Transfer from Berkshire Bank Savings account to Gibbons Joint Freedom Bal/w Growth Mutual Fund Account.
Transactions	24	\$4000.00 Transfer from Berkshire Bank Savings account to Gibbons Joint Freedom Bal/w C Mutual Fund Account.

PART	#	ENDNOTE
Transactions	25	\$4000.00 Transfer from Berkshire Bank Savings to Gibbons Joint Freedom Bal/w Growth Mutual Fund Account
Transactions	26	\$4000.00 Transfer from Berkshire Bank Savings Account to Gibbons Joint Freedom Bal/w Growth Mutual Fund Account
Transactions	27	RJ Gibbons Joint Freedom Bal/w Growth Mutual Fund Account- \$4,000 transferred from Berkshire Bank Savings Account
Transactions	28	\$4000.00 Transfer from Berkshire Bank account to Raymond James Gibbons Joint Freedom Bal w/Growth Mutual Fund Account

## Summary of Contents

The 278-T discloses purchases, sales, or exchanges of securities in excess of \$1,000 made on behalf of the filer, the filer's spouse, or dependent child. Transactions are required to be disclosed within 30 days of receiving notification of a transaction but not later than 45 days after the transaction. Filers need not disclose (1) mutual funds and other excepted investment funds; (2) certificates of deposit, savings or checking accounts, and money market accounts; (3) U.S. Treasury bills, notes, and bonds; (4) Thrift Savings Plan accounts; (5) real property; and (6) transactions that are solely by and between the filer, the filer's spouse, and the filer's dependent children.

## Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to another Federal agency, court or party in a court or Federal administrative proceeding when the Government is a party or in order to comply with a judge-issued subpoena; (4) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (5) to the National Archives and Records Administration or the General Services Administration in records management inspections; (6) to the Office of Management and Budget during legislative coordination on private relief legislation; (7) to the Department of Justice or in certain legal proceedings when the disclosing agency, an employee of the disclosing agency, or the United States is a party to litigation or has an interest in the litigation and the use of such records is deemed relevant and necessary to the litigation; (8) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another; (9) to a Member of Congress or a congressional office in response to an inquiry made on behalf of an individual who is the subject of the record; (10) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to an OGE Government-wide system of records; and (11) on the OGE Website and to any person, department or agency, any written ethics agreement filed with OGE by an

individual nominated by the President to a position requiring Senate confirmation. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.