

Executive Branch Personnel

Public Financial Disclosure Report:

Periodic Transaction Report (OGE Form 278-T)

Filer's Information

Gibbons, John

United States Marshal, Department of Justice - U.S. Marshals Service

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Gibbons, John [electronically signed on 04/03/2020 by Gibbons, John in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Auerbach, Gerald, Certifying Official [electronically signed on 05/12/2020 by Auerbach, Gerald in Integrity.gov]

Other review conducted by

/s/ Marcovici, Robert, Ethics Official [electronically signed on 05/12/2020 by Marcovici, Robert in Integrity.gov]

U.S. Office of Government Ethics Certification

/s/ Granahan, Megan, Certifying Official [electronically signed on 06/10/2020 by Granahan, Megan in Integrity.gov]

Data Revised 04/23/2020

Transactions

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
1	Raymond James Gibbons Joint Freedom Bal w/Growth Mutual Fund Account: Metropolitan West Total Return Bond Fund Class 1 N/L (MWTIX)	Purchase	01/07/2020	Yes	\$1,001 - \$15,000
2	Northwestern Mutual-John Gibbons IRA FBO Rollover Mutual Fund Account-Apple Inc Com (Cusip: #037833-10-0)	Purchase	03/20/2020	No	\$1,001 - \$15,000
3	Northwestern Mutual- John Gibbons IRA FBO Rollover Mutual Fund Account- Amazon Com Inc (CUSIP: 023135-10-6)	Purchase	03/20/2020	No	\$1,001 - \$15,000
4	Northwestern Mutual-John Gibbons IRA FBO Rollover Mutual Fund Account-New Perspective Fund Class F-2 (Cusip: #648018-82-8)	Purchase	03/20/2020	No	\$1,001 - \$15,000
5	Northwestern Mutual-John Gibbons IRA FBO Rollover Mutual Fund Account- Blackrock IShares S&P 500 Index Fund Institutional Class (Cusip: #066923-55-8)	Purchase	03/20/2020	No	\$15,001 - \$50,000
6	Northwestern Mutual- John Gibbons IRA FBO Rollover Mutual Fund Account- Cohen & Steers Preferred Securities Income Fund Class I (Cusip: #19248X-30-7)	Purchase	03/20/2020	No	\$15,001 - \$50,000
7	Northwestern Mutual-John Gibbons IRA FBO Rollover Mutual Fund Account- IShares TR MSCI EAFE Minimum Volatility ETF (Cusip: # 46429B-68-9)	Purchase	03/20/2020	No	\$1,001 - \$15,000
8	Northwestern Mutual-John Gibbons IRA FBO Rollover Mutual Fund Account- Fidelity Advisor Total Bond Fund Class 1 (Cusip: #31617K-83-2)	Purchase	03/20/2020	No	\$15,001 - \$50,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
9	Northwestern Mutual- John Gibbons IRA FBO Rollover Mutual Fund Account- Fidelity Advisor International Growth Fund Class 1 (Cusip: #315910-37-2)	Purchase	03/20/2020	No	\$1,001 - \$15,000
10	Northwestern Mutual- John Gibbons IRA FBO Rollover Mutual Fund Account- FMI International Fund Institutional Class (Cusip: # 302933-70-0)	Purchase	03/20/2020	No	\$1,001 - \$15,000
11	Northwestern Mutual-John Gibbons IRA FBO Rollover Mutual Fund Account- Guggenheim Total Return Bond Fund Institutional Class (Cusip: # 40168W-52-5)	Purchase	03/20/2020	No	\$15,001 - \$50,000
12	Northwestern Mutual-John Gibbons IRA FBO Rollover Mutual Fund Account- Blackrock Total Return Fund Class Institutional (Cusip: #09252M-88-3)	Purchase	03/20/2020	No	\$15,001 - \$50,000
13	Northwestern Mutual-John Gibbons IRA FBO Rollover Mutual Fund Account- MFS Value Fund Class 1 (Cusip: #552983-69-4)	Purchase	03/20/2020	No	\$15,001 - \$50,000
14	Northwestern Mutual-John Gibbons IRA FBO Rollover Mutual Fund Account- Microsoft Corp Com (Cusip: #594918-10-4)	Purchase	03/20/2020	No	\$1,001 - \$15,000
15	Northwestern Mutual-John Gibbons IRA FBO Rollover Mutual Fund Account- New World Fund Class F-2 (Cusip: 649280-82-3)	Purchase	03/20/2020	No	\$1,001 - \$15,000
16	Northwestern Mutual- John Gibbons IRA FBO Rollover Mutual Fund Account- Europacific Growth Fund Class F-2 (Cusip: 29875E-10-0)	Sale	03/20/2020	No	\$1,001 - \$15,000
17	Northwestern Mutual- John Gibbons IRA FBO Rollover Mutual Fund Account- Vanguard BD Index FDS Vanguard Total BD Market ETF (Cusip # 921937-83-5)	Sale	03/20/2020	No	\$50,001 - \$100,000

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18	Northwestern Mutual-John Gibbons IRA FBO Rollover Mutul Fund Account- IShares TR MSCI Emerging MKTS Index FD (Cusip: 464287-23-4)	Sale	03/20/2020	No	\$1,001 - \$15,000
19	Northwestern Mutual- John gibbons IRA FBO Rollover Mutual Fund Account- IShares TR MSCI Emerging MKTS Index FD (Cusip #464287-23-4)	Sale	03/20/2020	No	\$1,001 - \$15,000
20	Northwestern Mutual- John gibbons IRA FBO Rollover Mutual Fund Account-IShares TR MSCI EAFE ETF (Cusip: #464287-46-5)	Sale	03/20/2020	No	\$1,001 - \$15,000
21	Northwestern Mutual-John Gibbons IRA FBO Rollover Mutual Fund Account- IShares TR S&P 500 Value ETF (Cusip: #464287-40-8)	Sale	03/20/2020	No	\$1,001 - \$15,000
22	Northwestern Mutual-John Gibbons IRA FBO Rollover Mutual Fund Account- IShares TR S&P 500 Growth ETF	Sale	03/20/2020	No	\$15,001 - \$50,000
23	Northwestern Mutual-John Gibbons IRA FBO Rollover Mutual Fund Account- SPDR SER TR Bloomberg Barclays High Yield BD ETF New (Cusip: #78468R-62-2)	Sale	03/20/2020	No	\$15,001 - \$50,000
24	Northwestern Mutual- John Gibbons IRA FBO Rollover Mutual Fund Account- Northern Small Cap Value Fund (Cusip: #665162-40-0)	Sale	03/20/2020	No	\$1,001 - \$15,000
25	Northwestern Mutual- John Gibbons IRA FBO Rollover Mutual Fund account- IShares TR PFD & Income SECS ETF (Cusip: #464288-68-7)	Sale	03/20/2020	No	\$15,001 - \$50,000
26	Northwestern Mutual- John Gibbons IRA FBO Rollover Mutual Fund Account- SPDR S&P 500 ETF TR TR Unit (Cusip: #78462F-10-3)	Sale	03/20/2020	No	\$15,001 - \$50,000
27	Northwestern Mutual- John Gibbons IRA FBO Rollover Mutual Fund account- Vanguard INTL FD FTSE Developed MKTS ETF	Sale	03/20/2020	No	\$1,001 - \$15,000

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28	Northwestern Mutual-Spouse IRA FBO Rollover Mutual Fund Account-Apple Inc Com (Cusip:#037833-10-0)	Purchase	03/20/2020	No	\$1,001 - \$15,000
29	Northwestern Mutual-Spouse IRA FBO Rollover Mutual Fund account-Amazon Com Inc (Cusip: #023135-10-6)	Purchase	03/20/2020	No	\$1,001 - \$15,000
30	Northwestern Mutual-Spouse IRA FBO Rollover Mutual Fund Account- New Perspective Fund Class F-2 (Cusip: 648018-82-8)	Purchase	03/20/2020	No	\$1,001 - \$15,000
31	Northwestern Mutual- Spouse IRA FBO Rollover Mutual Fund Account- Blackrock IShares S&P 500 Index Fund Institutional Class (Cusip: 066923-55-8)	Purchase	03/20/2020	No	\$1,001 - \$15,000
32	Northwestern Mutual- Spouse IRA FBO Rollover Mutual Fund Account- Cohen & Steers Preferred Securities Income Fund Class 1	Purchase	03/20/2020	No	\$1,001 - \$15,000
33	Northwestern Mutual-Spouse IRA FBO Rollover Mutual Fund Account- IShares TR MSCI EAFE Minimum Volatility ETF (Cusip: 46429B-68-9)	Purchase	03/20/2020	No	\$1,001 - \$15,000
34	Northwestern Mutual- Spouse IRA FBO Rollover Mutual Fund account- Fidelity Advisor International Growth Fund Class 1 UCusip: 315910-37-2)	Purchase	03/20/2020	No	\$1,001 - \$15,000
35	Northwestern Mutual-Spouse IRA FBO Rollover Mutual Fund Account- FMI International Fund Institutional Class (Cusip: 302933-70-0)	Purchase	03/20/2020	No	\$1,001 - \$15,000
36	Northwestern Mutual- Spouse IRA FBO Rollover Mutual Fund account- Guggenheim Total Return Bond Fund Institutional Class (Cusip: 40168W-52-5)	Purchase	03/20/2020	No	\$1,001 - \$15,000
37	Northwestern Mutual- Spouse IRA FBO Rollover Mutual Fund Account- Blackrock Total Return Fund Class Institutional (Cusip: 09252M-88-3)	Purchase	03/20/2020	No	\$1,001 - \$15,000

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38	Northwestern Mutual-Spouse IRA FBO Rollover Mutual Fund Account-MFS Value Fund Class 1	Purchase	03/20/2020	No	\$1,001 - \$15,000
39	Northwestern Mutual- Spouse IRA FBO Rollover Mutual Fund Account- Microsoft Corp Com (Cusip: 594918-10-4)	Purchase	03/20/2020	No	\$1,001 - \$15,000
40	Northwestern Mutual- Spouse IRA FBO Rollover Mutual Fund Account- New World Fund Class F-2 (Cusip: 649280-82-3)	Purchase	03/20/2020	No	\$1,001 - \$15,000
41	Northwestern Mutual- Spouse IRA FBO Rollover Mutual Fund Account- American Century Diversified Bond Investor Class (Cusip:024932-40-2)	Sale	03/20/2020	No	\$15,001 - \$50,000
42	Northwestern Mutual- Spouse IRA FBO Rollover Mutual Fund Account- Europacific Growth Fund Class F-2 (cisip: 29875E-10-0)	Sale	03/20/2020	No	\$1,001 - \$15,000
43	Northwestern Mutual- Spouse IRA FBO Rollover Mutual Fund Account-Vanguard BD Index FDS Vanguard Total BD Market ETF (Cusip: 921937-83-5)	Sale	03/20/2020	No	\$15,001 - \$50,000
44	Northwestern Mutual- Spouse IRA FBO Rollover Mutual Fund Account- IShares TR MSCI Emerging MKTS Index FD (Cusip: 464287-23-4)	Sale	03/20/2020	No	\$1,001 - \$15,000
45	Northwestern Mutual- Spouse IRA FBO Rollover Mutual Fund Account- IShares TR MSCI EAFE ETF (Cusip: 464287-46-5)	Sale	03/20/2020	No	\$1,001 - \$15,000
46	Northwestern Mutual-Spouse IRA FBO Rollover Mutual Fund Account- IShares TR S&P 500 Value ETF (Cusip: 464287-40-8)	Sale	03/20/2020	No	\$1,001 - \$15,000
47	Northwestern Mutual-Spouse IRA FBO Rollover Mutual Fund Account- IShares TR S&P 500 Growth ETF (Cusip: 464287-30-9)	Sale	03/20/2020	No	\$1,001 - \$15,000

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48	Northwestern Mutual- Spouse IRA FBO Rollover Mutual Fund account- SPDR SER TR Bloomberg Barclays High Yield BD ETF New (Cusip: 78468R-62-2)	Sale	03/20/2020	No	\$1,001 - \$15,000
49	Northwestern Mutual-Spouse IRA FBO Rollover Mutual Fund account- Northern Small Cap Value Fund (Cusip: 665162-40-0)	Sale	03/20/2020	No	\$1,001 - \$15,000
50	Northwestern Mutual- Spouse IRA FBO Rollover Mutual Fund account- SPDR S&P 500 ETF TR TR Unit (Cusip: 78462F-10-3)	Sale	03/20/2020	No	\$1,001 - \$15,000
51	Northwestern Mutual- Spouse IRA FBO Rollover Mutual Fund account- Vanguard INTL FD FTSE Developed MKTS ETF	Sale	03/20/2020	No	\$1,001 - \$15,000

Endnotes

Summary of Contents

The 278-T discloses purchases, sales, or exchanges of securities in excess of \$1,000 made on behalf of the filer, the filer's spouse, or dependent child. Transactions are required to be disclosed within 30 days of receiving notification of a transaction but not later than 45 days after the transaction. Filers need not disclose (1) mutual funds and other excepted investment funds; (2) certificates of deposit, savings or checking accounts, and money market accounts; (3) U.S. Treasury bills, notes, and bonds; (4) Thrift Savings Plan accounts; (5) real property; and (6) transactions that are solely by and between the filer, the filer's spouse, and the filer's dependent children.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the

disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.
