Executive Branch Personnel

Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

Mancini, Nadine N.

General Counsel, Occupational Safety and Health Review Commission

Report Year: 2023

Other Federal Government Positions Held During the Preceding 12 Months:
None

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Mancini, Nadine N. [electronically signed on 05/03/2023 by Mancini, Nadine N. in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).
/s/ Huls-Simpson, Natalie M, Certifying Official [electronically signed on 05/03/2023 by Huls-Simpson, Natalie M in Integrity.gov]

Other review conducted by
/s/ Huls-Simpson, Natalie M, Ethics Official [electronically signed on 05/03/2023 by Huls-Simpson, Natalie M in Integrity.gov]

U.S. Office of Government Ethics Certification

/s/ Granahan, Megan, Certifying Official [electronically signed on 05/05/2023 by Granahan, Megan in Integrity.gov]

1. Filer's Positions Held Outside United States Government

None

2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	City National Rochdale Fixed Income Opportunities Fund Class N Shares (RIMOX)	Yes	$15,001 - $50,000		$2,501 - $5,000
2	The TJX Cos., Inc. (TJX)	No	$1,001 - $15,000		None (or less than $201)
3	First Trust Senior Loan Fund (FTSL)	No	$15,001 - $50,000	Dividends	$201 - $1,000
4	Federated Institutional High Yield Bond Fund Institutional Shares (FIHBX)	Yes	$15,001 - $50,000		$2,501 - $5,000
5	iShares Russell 2000 ETF (IWM)	No	None (or less than $1,001)	Dividends	$201 - $1,000

3. Filer's Employment Agreements and Arrangements

None

4. Filer's Sources of Compensation Exceeding $5,000 in a Year

(N/A) - Not required for this type of report

5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Fidelis Cybersecurity	No		salary	
2	Apple, Inc. (AAPL)	No	$15,001 - $50,000	Dividends	None (or less than $201)
3	Danaher Corp. (DHR)	No	$15,001 - $50,000		None (or less than $201)
4	The Home Depot, Inc. (HD)	No	$1,001 - $15,000	Dividends	$201 - $1,000
5	JPMorgan Chase & Co. (JPM)	No	$15,001 - $50,000	Dividends	$1,001 - $2,500
6	Mastercard, Inc. (MA)	No	$1,001 - $15,000		None (or less than $201)
7	Walmart, Inc. (WMT)	No	$15,001 - $50,000	Dividends	$201 - $1,000
8	Zoetis, Inc. (ZTS)	No	$1,001 - $15,000		None (or less than $201)
9	City National Rochdale Fixed Income Opportunities Fund Class N Shares (RIMOX)	Yes	$100,001 - $250,000		$5,001 - $15,000
10	Vanguard Total Stock Market Index	Yes	$50,001 - $100,000		None (or less than $201)
11	Prudential Jennison Small Company	Yes	$15,001 - $50,000		None (or less than $201)
12	Vanguard REIT Index	Yes	$15,001 - $50,000		None (or less than $201)
13	Microsoft Corp. (MSFT)	No	$1,001 - $15,000		None (or less than $201)
14	PepsiCo, Inc. (PEP)	No	$15,001 - $50,000	Dividends	$201 - $1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
15	Alphabet, Inc. (GOOGL)	No	$1,001 - $15,000		None (or less than $201)
16	Alphabet, Inc. (GOOG)	No	$1,001 - $15,000		None (or less than $201)
17	Equinix, Inc. (EQIX)	No	$1,001 - $15,000	Dividends	$201 - $1,000
18	JNL/MC CnsrDiscrtry Sector	Yes	$15,001 - $50,000		None (or less than $201)
19	JNL/MC HealthCare Sector	Yes	$15,001 - $50,000		None (or less than $201)
20	Invesco American Value Ret Opt	Yes	$15,001 - $50,000		None (or less than $201)
21	State Street Intl Index Ret Opt	Yes	$15,001 - $50,000		None (or less than $201)
22	State Street S&P Mid Cap Index Ret Opt	Yes	$15,001 - $50,000		None (or less than $201)
23	Amazon.com, Inc. (AMZN)	No	$15,001 - $50,000		None (or less than $201)
24	Transamerica Small Cap Value Ret Opt	Yes	$15,001 - $50,000		None (or less than $201)
25	JNL/JP Morgan Mid Cap Growth	Yes	$15,001 - $50,000		None (or less than $201)
26	JNL/MC Info Tech Sector	Yes	$50,001 - $100,000		None (or less than $201)
27	JNL/PIMCO Inv Grade Cred Bd	Yes	$15,001 - $50,000		None (or less than $201)
28	JNL/American Growth	Yes	$50,001 - $100,000		None (or less than $201)
29	JNL/Baillie Giff Intl Growth	Yes	$15,001 - $50,000		None (or less than $201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
30	JNL/MC Nasdaq 100 Index	Yes	$15,001 - $50,000		None (or less than $201)
31	JNL/Invesco Small Cap Growth	Yes	$15,001 - $50,000		None (or less than $201)
32	JNL/PIMCO Real Return	Yes	$15,001 - $50,000		None (or less than $201)
33	Aon Plc (AON)	No	None (or less than $1,001)		None (or less than $201)
34	CME Group, Inc. (CME)	No	$15,001 - $50,000	Dividends	$201 - $1,000
35	The Walt Disney Co. (DIS)	No	$15,001 - $50,000		None (or less than $201)
36	Starbucks Corp. (SBUX)	No	$15,001 - $50,000	Dividends	$201 - $1,000
37	Thermo Fisher Scientific, Inc. (TMO)	No	$15,001 - $50,000		None (or less than $201)
38	Trane Technologies PLC	No	$15,001 - $50,000	Dividends	$201 - $1,000
39	Visa, Inc. (V)	No	$1,001 - $15,000		None (or less than $201)
40	iShares iBoxx $ High Yield Corporate Bond ETF (HYG)	No	None (or less than $1,001)	Dividends	$1,001 - $2,500
41	iShares Russell 2000 ETF (IWM)	No	None (or less than $1,001)	Dividends	$1,001 - $2,500
42	Becton, Dickinson and Company (BDX)	No	$1,001 - $15,000	Dividends	None (or less than $201)
43	PIMCO Income Fund Institutional Class Shares (PIMIX)	Yes	$15,001 - $50,000		$1,001 - $2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
44	PIMCO Income Fund Institutional Class Shares (PIMIX)	No	None (or less than $1,001)		$201 - $1,000

6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Alphabet, Inc. (GOOGL)	No	$15,001 - $50,000		None (or less than $201)
2	Amgen Inc. (AMGN)	No	$1,001 - $15,000		None (or less than $201)
3	Apple, Inc. (AAPL)	No	$50,001 - $100,000	Dividends	$201 - $1,000
4	Comcast Corp. (CMCSA)	No	$1,001 - $15,000	Dividends	$201 - $1,000
5	Costco Wholesale Corp. (COST)	No	$15,001 - $50,000	Dividends	$201 - $1,000
6	Discover Financial Services (DFS)	No	$1,001 - $15,000	Dividends	None (or less than $201)
7	Edwards Lifesciences Corp. (EW)	No	$15,001 - $50,000		None (or less than $201)
8	The Home Depot, Inc. (HD)	No	$15,001 - $50,000	Dividends	$201 - $1,000
9	Microsoft Corp. (MSFT)	No	$50,001 - $100,000	Dividends	$201 - $1,000
10	Mastercard, Inc. (MA)	No	$15,001 - $50,000	Dividends	$201 - $1,000
11	Novo-Nordisk	No	$1,001 - $15,000		None (or less than $201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
12	Walmart, Inc. (WMT)	No	$1,001 - $15,000		None (or less than $201)
13	City National Rochdale Fixed Income Opportunities Fund Class N Shares (RIMOX)	Yes	$1,001 - $15,000		$2,501 - $5,000
14	Griffin Capital Essential Asset REIT	Yes	$15,001 - $50,000		$1,001 - $2,500
15	US bank account #1	No	$15,001 - $50,000	Interest	None (or less than $201)
16	US bank account #2	No	$100,001 - $250,000	Interest	$201 - $1,000
17	US bank account #3	No	$1,001 - $15,000	Interest	None (or less than $201)
18	US bank account #4	No	$1,001 - $15,000	Interest	None (or less than $201)
19	US Bank account #5	No	$1,001 - $15,000	Interest	None (or less than $201)
20	Navient Corp. (NAVI)	No	$1,001 - $15,000		None (or less than $201)
21	Vanguard Growth Index Fund ETF Class Shares (VUG)	Yes	$15,001 - $50,000		$201 - $1,000
22	Vanguard PRIMECAP Fund Investor	Yes	$100,001 - $250,000		$5,001 - $15,000
23	Adobe, Inc. (ADBE)	No	$15,001 - $50,000		None (or less than $201)
24	American Water Works Co., Inc. (AWK)	No	$15,001 - $50,000	Dividends	$201 - $1,000
25	Cintas Corp. (CTAS)	No	$15,001 - $50,000	Dividends	$201 - $1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
26	CME Group, Inc. (CME)	No	$1,001 - $15,000	Dividends	None (or less than $201)
27	Ford Motor Co. (F)	No	$1,001 - $15,000		None (or less than $201)
28	McDonald's Corp. (MCD)	No	$15,001 - $50,000	Dividends	$201 - $1,000
29	NextEra Energy, Inc. (NEE)	No	$15,001 - $50,000	Dividends	$201 - $1,000
30	Northrop Grumman Corp. (NOC)	No	$15,001 - $50,000	Dividends	None (or less than $201)
31	Roper Technologies, Inc. (ROP)	No	$15,001 - $50,000		None (or less than $201)
32	UnitedHealth Group, Inc. (UNH)	No	$50,001 - $100,000	Dividends	$201 - $1,000
33	Visa, Inc. (V)	No	$15,001 - $50,000		None (or less than $201)
34	Berkshire Hathaway Inc. (BRKB)	No	$15,001 - $50,000		None (or less than $201)
35	Charles River Laboratories International, Inc. (CRL)	No	$15,001 - $50,000		None (or less than $201)
36	Minnesota Life Insurance	Yes	$50,001 - $100,000		None (or less than $201)
37	Aon Plc (AON)	No	$1,001 - $15,000		None (or less than $201)
38	ASML Holding NV	No	$15,001 - $50,000		None (or less than $201)
39	Blackstone Group Inc	No	$15,001 - $50,000	Dividends	$201 - $1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
40	HCA Healthcare, Inc. (HCA)	No	$15,001 - $50,000	Dividends	$201 - $1,000
41	L3Harris Technologies, Inc. (LHX)	No	$1,001 - $15,000	Dividends	$201 - $1,000
42	Starbucks Corp. (SBUX)	No	$1,001 - $15,000	Dividends	$201 - $1,000
43	T-Mobile US, Inc. (TMUS)	No	$15,001 - $50,000		None (or less than $201)
44	Thermo Fisher Scientific, Inc. (TMO)	No	$1,001 - $15,000		None (or less than $201)
45	Ally Financial, Inc. (ALLY)	No	None (or less than $1,001)	Dividends	$201 - $1,000
46	S&P Global, Inc. (SPGI)	No	$1,001 - $15,000		None (or less than $201)
47	The Sherwin-Williams Co. (SHW)	No	$15,001 - $50,000	Dividends	None (or less than $201)
48	iShares iBoxx $ High Yield Corporate Bond ETF (HYG)	No	None (or less than $1,001)		$2,501 - $5,000
49	Old Dominion Freight Line, Inc. (ODFL)	No	$15,001 - $50,000		None (or less than $201)
50	US bank account #6	No	$1,001 - $15,000	Interest	
51	American Express Co. (AXP)	No	$1,001 - $15,000		None (or less than $201)
52	Anheuser-Busch Inbev SA Sponsored ADR (Belgium) (BUD)	No	$15,001 - $50,000		None (or less than $201)
53	Becton, Dickinson and Company (BDX)	No	$1,001 - $15,000		None (or less than $201)
54	Bank of America Corp. (BAC)	No	$1,001 - $15,000	Dividends	$201 - $1,000
55	Blackstone Inc. Common Stock (BX)	No	$1,001 - $15,000	Dividends	$201 - $1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
56	Quanta Services, Inc. (PWR)	No	$1,001 - $15,000		None (or less than $201)
57	Accenture Plc (ACN)	No	$15,001 - $50,000	Dividends	$201 - $1,000
58	Chubb Ltd. (CB)	No	$1,001 - $15,000		None (or less than $201)
59	Contura Energy, Inc. (CTRA)	No	$1,001 - $15,000	Dividends	$1,001 - $2,500
60	EOG Resources, Inc. (EOG)	No	$15,001 - $50,000	Dividends	$201 - $1,000
61	Gilead Sciences, Inc. (GILD)	No	$15,001 - $50,000	Dividends	$201 - $1,000
62	NVIDIA Corp. (NVDA)	No	$15,001 - $50,000		None (or less than $201)
63	Pioneer Natural Resources Co. (PXD)	No	$1,001 - $15,000		None (or less than $201)
64	salesforce.com, inc. (CRM)	No	$1,001 - $15,000		None (or less than $201)
65	The TJX Cos., Inc. (TJX)	No	$1,001 - $15,000		None (or less than $201)
66	City National Rochdale Dividend & Income Fund Class N Shares (RIMHX)	Yes	$1,001 - $15,000		$201 - $1,000
67	City National Rochdale US Core Equity Fund Class N Shares (CNRWX)	Yes	$50,001 - $100,000		None (or less than $201)

7. Transactions

#	DESCRIPTION	TYPE	DATE	AMOUNT
1	Zoetis, Inc. (ZTS)	Sale	01/07/2022	$1,001 - $15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
2	iShares Russell 2000 ETF (IWM)	Sale	02/03/2022	$50,001 - $100,000
3	The Home Depot, Inc. (HD)	Purchase	02/16/2022	$1,001 - $15,000
4	EOG Resources, Inc. (EOG)	Purchase	02/24/2022	$1,001 - $15,000
5	American Express Co. (AXP)	Purchase	01/07/2022	$1,001 - $15,000
6	The TJX Cos., Inc. (TJX)	Purchase	01/13/2022	$1,001 - $15,000
7	iShares Russell 2000 ETF (IWM)	Sale	02/03/2022	$50,001 - $100,000
8	KKR & Co., Inc. (KKR)	Purchase	02/24/2022	$1,001 - $15,000
9	Vanguard Small-Cap Index Fund ETF Shares (VB)	Purchase	02/03/2022	$15,001 - $50,000
10	iShares Russell 2000 ETF (IWM)	Sale	02/03/2022	$15,001 - $50,000
11	Touchstone Sands CapitalEmerging Markets Growth Fund Class Y Shares (TSEMX)	Sale	03/15/2022	$1,001 - $15,000
12	Aon Plc (AON)	Sale	03/24/2022	$15,001 - $50,000
13	Emerging Markets Great Consumer Fund Class I Shares (MICGX)	Sale	03/15/2022	$15,001 - $50,000
14	Touchstone Sands CapitalEmerging Markets Growth Fund Class Y Shares (TSEMX)	Sale	03/15/2022	$15,001 - $50,000
15	Bank of America Corp. (BAC)		03/24/2022	$1,001 - $15,000
16	Becton, Dickinson and Company (BDX)	Purchase	03/24/2022	$1,001 - $15,000
17	Quanta Services, Inc. (PWR)	Purchase	03/24/2022	$1,001 - $15,000
18	Quanta Services, Inc. (PWR)	Purchase	03/31/2022	$1,001 - $15,000
19	Aon Plc (AON)	Sale	03/24/2022	$1,001 - $15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
20	Synchrony Financial (SYF)	Sale	03/10/2022	$1,001 - $15,000
21	Applied Materials, Inc. (AMAT)	Purchase	03/31/2022	$1,001 - $15,000
22	NXP Semiconductors	Sale	03/22/2022	$1,001 - $15,000
23	Becton, Dickinson and Company (BDX)	Purchase	03/21/2022	$1,001 - $15,000
24	United Rentals, Inc. (URI)	Purchase	04/08/2022	$1,001 - $15,000
25	City National Rochdale Fixed Income Opportunities Fund Class N Shares (RIMOX)	Purchase	04/07/2022	$50,001 - $100,000
26	HCA Healthcare, Inc. (HCA)	Purchase	04/08/2022	$15,001 - $50,000
27	TransUnion (TRU)	Purchase	04/08/2022	$15,001 - $50,000
28	Bank of America Corp. (BAC)	Purchase	04/08/2022	$1,001 - $15,000
29	EOG Resources, Inc. (EOG)	Purchase	04/08/2022	$1,001 - $15,000
30	The TJX Cos., Inc. (TJX)	Purchase	04/08/2022	$1,001 - $15,000
31	City National Rochdale US Core Equity Fund Class N Shares (CNRWX)	Sale	05/24/2022	$15,001 - $50,000
32	Vanguard Index FDS Small Cap	Sale	05/25/2022	$1,001 - $15,000
33	BaronEmerging Markets Fund Institutional Class Shares (BEXIX)	Sale	05/13/2022	$1,001 - $15,000
34	City National Rochdale Dividend & Income Fund Class N Shares (RIMHX)	Sale	05/24/2022	$1,001 - $15,000
35	iShares iBoxx $ High Yield Corporate Bond ETF (HYG)	Sale	05/24/2022	$1,001 - $15,000
36	United Rentals, Inc. (URI)	Purchase	04/08/2022	$1,001 - $15,000
37	BaronEmerging Markets Fund Institutional Class Shares (BEXIX)	Sale	05/13/2022	$1,001 - $15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
38	iShares MSCI All Country Asia ex Japan ETF (AAXJ)	Sale	05/17/2022	$15,001 - $50,000
39	City National Rochdale Fixed Income Opportunities Fund Class N Shares (RIMOX)	Purchase	04/07/2022	$50,001 - $100,000
40	BaronEmerging Markets Fund Institutional Class Shares (BEXIX)	Sale	05/13/2022	$1,001 - $15,000
41	HCA Healthcare, Inc. (HCA)	Purchase	04/08/2022	$15,001 - $50,000
42	HCA Healthcare, Inc. (HCA)	Purchase	04/08/2022	$15,001 - $50,000
43	TransUnion (TRU)	Purchase	04/08/2022	$15,001 - $50,000
44	BaronEmerging Markets Fund Institutional Class Shares (BEXIX)	Sale	05/13/2022	$1,001 - $15,000
45	KKR & Co., Inc. (KKR)	Sale	05/11/2022	$1,001 - $15,000
46	Bank of America Corp. (BAC)	Purchase	04/08/2022	$1,001 - $15,000
47	EOG Resources, Inc. (EOG)	Purchase	04/08/2022	$1,001 - $15,000
48	The TJX Cos., Inc. (TJX)	Purchase	04/08/2022	$1,001 - $15,000
49	Ally Financial, Inc. (ALLY)	Sale	05/11/2022	$1,001 - $15,000
50	United Rentals, Inc. (URI)	Sale	06/10/2022	$1,001 - $15,000
51	Anheuser Busch	Purchase	06/14/2022	$15,001 - $50,000
52	United Rentals, Inc. (URI)	Sale	06/14/2022	$1,001 - $15,000
53	Contura Energy, Inc. (CTRA)	Purchase	06/14/2022	$1,001 - $15,000
54	Gilead Sciences, Inc. (GILD)	Purchase	06/14/2022	$1,001 - $15,000
55	NVIDIA Corp. (NVDA)	Purchase	06/15/2022	$15,001 - $50,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
56	salesforce.com, inc. (CRM)	Purchase	06/15/2022	$1,001 - $15,000
57	Ally Financial, Inc. (ALLY)	Sale	06/14/2022	$1,001 - $15,000
58	Applied Materials, Inc. (AMAT)	Sale	06/14/2022	$1,001 - $15,000
59	Contura Energy, Inc. (CTRA)	Purchase	08/17/2022	$1,001 - $15,000
60	Applied Materials, Inc. (AMAT)	Sale	08/17/2022	$1,001 - $15,000
61	Expedia Group, Inc. (EXPE)	Sale	08/17/2022	$1,001 - $15,000
62	Amazon.com, Inc. (AMZN)	Purchase	08/19/2022	$15,001 - $50,000
63	iShares Russell 2000 ETF (IWM)	Sale	08/11/2022	$100,001 - $250,000
64	City National Rochdale Fixed Income Opportunities Fund Class N Shares (RIMOX)	Purchase	08/12/2022	$1,001 - $15,000
65	City National Rochdale Fixed Income Opportunities Fund Class N Shares (RIMOX)	Purchase	08/18/2022	$1,001 - $15,000
66	iShares iBoxx $ High Yield Corporate Bond ETF (HYG)	Purchase	08/15/2022	$1,001 - $15,000
67	iShares iBoxx $ High Yield Corporate Bond ETF (HYG)	Purchase	08/19/2022	$1,001 - $15,000
68	iShares Russell 2000 ETF (IWM)	Sale	08/11/2022	$15,001 - $50,000
69	iShares iBoxx $ High Yield Corporate Bond ETF (HYG)	Purchase	08/18/2022	$1,001 - $15,000
70	McDonald's Corp. (MCD)	Purchase	10/04/2022	$1,001 - $15,000
71	Pioneer Natural Resources Co. (PXD)	Purchase	10/04/2022	$1,001 - $15,000
72	iShares iBoxx $ High Yield Corporate Bond ETF (HYG)	Sale	10/31/2022	$15,001 - $50,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
73	iShares iBoxx $ High Yield Corporate Bond ETF (HYG)	Sale	10/31/2022	$15,001 - $50,000
74	Becton, Dickinson and Company (BDX)	Purchase	10/13/2022	$1,001 - $15,000
75	iShares iBoxx $ High Yield Corporate Bond ETF (HYG)	Sale	10/31/2022	$1,001 - $15,000
76	Aon Plc (AON)	Purchase	12/01/2022	$1,001 - $15,000
77	Meta Platforms Inc.	Sale	11/03/2022	$1,001 - $15,000
78	PIMCO Income Fund Institutional Class Shares (PIMIX)	Purchase	11/22/2022	$15,001 - $50,000
79	Federated Institutional High Yield Bond Fund Institutional Shares (FIHBX)	Purchase	11/22/2022	$15,001 - $50,000
80	First Trust Senior Loan Fund (FTSL)	Purchase	11/23/2022	$15,001 - $50,000
81	City National Rochdale Fixed Income Opportunities Fund Class N Shares (RIMOX)	Sale	11/10/2022	$1,001 - $15,000
82	City National Rochdale Fixed Income Opportunities Fund Class N Shares (RIMOX)	Sale	11/25/2022	$1,001 - $15,000
83	City National Rochdale US Core Equity Fund Class N Shares (CNRWX)	Sale	11/25/2022	$15,001 - $50,000
84	Accenture Plc (ACN)	Purchase	12/22/2022	$15,001 - $50,000
85	Bank of America Corp. (BAC)	Purchase	12/13/2022	$1,001 - $15,000
86	Chubb Ltd. (CB)	Purchase	12/15/2022	$1,001 - $15,000
87	Vertex Pharmaceuticals, Inc. (VRTX)	Purchase	12/15/2022	$1,001 - $15,000
88	TransUnion (TRU)	Sale	12/15/2022	$1,001 - $15,000
89	Amazon.com, Inc. (AMZN)	Purchase	12/13/2022	$1,001 - $15,000
90	CME Group, Inc. (CME)	Purchase	12/23/2022	$1,001 - $15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
91	Danaher Corp. (DHR)	Purchase	12/23/2022	$1,001 - $15,000
92	The Walt Disney Co. (DIS)	Purchase	12/23/2022	$1,001 - $15,000
93	JP Morgan Chase & Co	Purchase	12/23/2022	$1,001 - $15,000
94	PepsiCo, Inc. (PEP)	Purchase	12/23/2022	$1,001 - $15,000
95	City National Rochdale Dividend & Income Fund Class N Shares (RIMHX)	Purchase	12/27/2022	$1,001 - $15,000
96	City National Rochdale US Core Equity Fund Class N Shares (CNRWX)	Purchase	12/28/2022	$1,001 - $15,000

8. Liabilities

None

9. Gifts and Travel Reimbursements

None

Endnotes

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than $200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than $1,000 or (2) from which more than $200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is $0 - $200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding $5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than $5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than $1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than $200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than $1,000 or (2) from which more than $200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is $0 - $200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than $1,000 or (2) from which more than $200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of $5,000 or less (unless more than $200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is $0 - $200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of $1,000 made on behalf of the filer, the filer's spouse or dependent child during the reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over $10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed $10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than $415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than $415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of $166 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

Public Burden Information

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