

## Executive Branch Personnel Public Financial Disclosure Report (OGE Form 278e)

### Filer's Information

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**Runkel, Christopher M**

Senior Counsel and DAEO, National Archives and Records Administration

Report Year: 2019

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Other Federal Government Positions Held During the Preceding 12 Months:

None

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Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

**/s/ Runkel, Christopher M [electronically signed on 05/13/2019 by Runkel, Christopher M in Integrity.gov]**

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Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

**/s/ Klein, Jennifer A, Certifying Official [electronically signed on 06/25/2019 by Klein, Jennifer A in Integrity.gov]**

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Other review conducted by

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U.S. Office of Government Ethics Certification

**/s/ Granahan, Megan, Certifying Official [electronically signed on 07/29/2019 by Granahan, Megan in Integrity.gov]**

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Data Revised 06/10/2019

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## 1. Filer's Positions Held Outside United States Government

None

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## 2. Filer's Employment Assets & Income and Retirement Accounts

None

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## 3. Filer's Employment Agreements and Arrangements

None

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## 4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

(N/A) - Not required for this type of report

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## 5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Technology & Business Solutions, LLC, Dublin, OH (TBS) (Sells and maintains accounting/financial management software)	See Endnote	N/A		Salary and benefits. No income was derived from ownership interest (see endnote).	
2	Morgan Stanley IRA					

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#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
2.1	DXC Technology Company Stock DXC		No	\$1,001 - \$15,000		None (or less than \$201)
2.2	First Eagle Overseas Fund CI C FESOX		Yes	\$1,001 - \$15,000		None (or less than \$201)
2.3	CSRA Inc.	See Endnote	No	None (or less than \$1,001)		None (or less than \$201)
2.4	Perspecta Inc. Stock PRSP	See Endnote	No	None (or less than \$1,001)		None (or less than \$201)
2.5	Morgan Stanley Bank Deposit Program	See Endnote	No	\$1,001 - \$15,000		None (or less than \$201)
3	BAE Systems 401(k)					
3.1	Dodge & Cox Stock Fund DODGX		Yes	\$50,001 - \$100,000		\$2,501 - \$5,000
3.2	Fidelity Contrafund FCNTX	See Endnote	Yes	None (or less than \$1,001)		\$201 - \$1,000
3.3	Westwood Mgmt Small/Mid Cap Institutional Fund WHGMX		Yes	\$15,001 - \$50,000		\$2,501 - \$5,000
3.4	AMG TimesSquare Small Cap Growth Fund TSCIX	See Endnote	Yes	\$15,001 - \$50,000		\$5,001 - \$15,000
3.5	Fidelity Contrafund Commingled Pool	See Endnote	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
4	Technology & Business Solutions 401(k) Plan					
4.1	Columbia Convertible Securities Fund Class R5 COCRX	See Endnote	Yes	None (or less than \$1,001)		\$201 - \$1,000
4.2	American Funds New World Fund Class R6 RNWGX		Yes	\$1,001 - \$15,000		None (or less than \$201)
4.3	Artisan International Value Fund Investor Class ARTKX		Yes	\$1,001 - \$15,000		\$201 - \$1,000

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
4.4	ClearBridge Large Cap Growth Fund Class I SBLYX		Yes	\$15,001 - \$50,000		\$201 - \$1,000
4.5	JPMorgan Equity Income Fund Class R6 OIEJX	See Endnote	Yes	None (or less than \$1,001)		\$1,001 - \$2,500
4.6	Principal MidCap Fund Institutional Class PCBIX		Yes	\$1,001 - \$15,000		\$2,501 - \$5,000
4.7	Dodge & Cox Income Fund DODIX		Yes	\$15,001 - \$50,000		\$201 - \$1,000
4.8	Principal Real Estate Securities Inst Fund PIREX		Yes	\$1,001 - \$15,000		\$201 - \$1,000
4.9	Vanguard Small Cap Index Fund Adm Shares VSMAX		Yes	\$1,001 - \$15,000		None (or less than \$201)
4.10	Virtus Seix U.S. Government Securities Ultra- Short Bond Fund Class I SIGVX		Yes	\$1,001 - \$15,000		None (or less than \$201)
4.11	Baird Short-Term Bond Fund Institutional Class BSBIX	See Endnote	Yes	None (or less than \$1,001)		\$201 - \$1,000
4.12	BlackRock Strategic Income Opportunities Fund Institutional Class BSIX	See Endnote	Yes	\$1,001 - \$15,000		\$201 - \$1,000
4.13	Federated Instl High Yield Bond Instl FIHBX	See Endnote	Yes	\$1,001 - \$15,000		\$201 - \$1,000
4.14	DoubleLine Low Duration Bond Fund Cl I DBLSX	See Endnote	Yes	\$1,001 - \$15,000		\$201 - \$1,000
4.15	Goldman Sachs International Small Cap Insights Fund Class R6 GICUX	See Endnote	Yes	\$1,001 - \$15,000		None (or less than \$201)
4.16	JPMorgan Equity Income Fund OIERX	See Endnote	Yes	\$15,001 - \$50,000		\$201 - \$1,000
4.17	Vanguard International Growth Fund Admiral Shares VWILX	See Endnote	Yes	\$1,001 - \$15,000		\$1,001 - \$2,500

## 6. Other Assets and Income

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	U.S. Savings Bonds (Aggregate)		N/A	\$1,001 - \$15,000	Interest	None (or less than \$201)
2	Phyllis Runkel Revocable Trust	See Endnote	N/A	\$1,001 - \$15,000		None (or less than \$201)
3	Virginia Prepaid Education Program, VA College Savings Plan		N/A	\$15,001 - \$50,000		None (or less than \$201)
4	Pentagon Federal Credit Union (PFCU) Money Market Savings Account		N/A	\$15,001 - \$50,000		None (or less than \$201)
5	PFCU Checking Account		N/A	\$1,001 - \$15,000		None (or less than \$201)
6	PFCU Roth IRA Share Account	See Endnote	No	\$1,001 - \$15,000		None (or less than \$201)
7	PFCU Roth IRA 7-Year CD		No	\$1,001 - \$15,000	Interest	\$201 - \$1,000
8	Northwestern Mutual Life Insurance Company Whole Life Policies	See Endnote	No	\$50,001 - \$100,000	Dividends	\$2,501 - \$5,000
9	529 Plan Investments - Virginia College Savings Plan (Accounts Aggregated)	See Endnote				
9.1	American High-Income Trust-529A CITAX		Yes	\$1,001 - \$15,000		\$201 - \$1,000
9.2	Capital Income Builder Fund-529A CIRAX		Yes	\$1,001 - \$15,000		None (or less than \$201)
9.3	Capital World Growth and Income Fund-529A CWIAX		Yes	\$15,001 - \$50,000		\$2,501 - \$5,000
9.4	Growth Fund of America-529A CGFAX		Yes	\$15,001 - \$50,000		\$5,001 - \$15,000

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
9.5	American High-Income Trust-529C CITCX		Yes	\$1,001 - \$15,000		None (or less than \$201)
9.6	Capital Income Builder Fund-529C CIRCX		Yes	\$1,001 - \$15,000		None (or less than \$201)
9.7	Capital World Growth and Income Fund-529C CWICX	See Endnote	Yes	None (or less than \$1,001)		\$1,001 - \$2,500
9.8	Growth Fund of America-529C CGFCX		Yes	\$1,001 - \$15,000		\$1,001 - \$2,500
10	Northwestern Mutual IRA					
10.1	Coca Cola Company Stock KO		No	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
10.2	Corning Inc Stock GLW		No	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
10.3	Intel Corp Stock INTC		No	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
10.4	Red Hat Inc Stock RHT	See Endnote	No	\$15,001 - \$50,000		None (or less than \$201)
10.5	Target Corp Stock TGT		No	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
10.6	Time Inc. Stock TIME	See Endnote	No	None (or less than \$1,001)		None (or less than \$201)
10.7	AT&T Inc. Stock T	See Endnote	No	\$1,001 - \$15,000		None (or less than \$201)
10.8	Charter Communications, Inc. Stock CHTR		No	\$1,001 - \$15,000		None (or less than \$201)
10.9	Invesco Equity and Income A ACEIX		Yes	\$1,001 - \$15,000		None (or less than \$201)
10.10	Clipper Fund CFIMX		Yes	\$1,001 - \$15,000		None (or less than \$201)
10.11	Columbia Contrarian Core Fund, Class Z SMGIX		Yes	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
10.12	The Oakmark Fund, Class I OAKMX	Yes	\$1,001 - \$15,000		None (or less than \$201)
10.13	Russell LifePoints Balanced Strategy Fund, Class A RBLAX	Yes	\$100,001 - \$250,000		\$5,001 - \$15,000
10.14	Schwab Market Track All Equity Fund SWEEX	Yes	\$1,001 - \$15,000		None (or less than \$201)

## 7. Transactions

#	DESCRIPTION		TYPE	DATE	AMOUNT
1	CSRA Inc. Stock CSRA	See Endnote	Sale	04/03/18	\$1,001 - \$15,000
2	Time Warner Inc Stock TWX	See Endnote	Exchange	06/15/18	\$1,001 - \$15,000
3	AT&T Inc. Stock T	See Endnote	Exchange	06/15/18	\$1,001 - \$15,000
4	Capital World Growth and Income Fund - 529C CWICX	See Endnote	Sale	08/15/18	\$1,001 - \$15,000
5	Growth Fund of America - 529A CGFAX	See Endnote	Sale	08/15/18	\$1,001 - \$15,000
6	Capital World Growth and Income Fund - 529A CWIAX	See Endnote	Sale	08/15/18	\$1,001 - \$15,000
7	Growth Fund of America - 529C CGFCX	See Endnote	Sale	08/15/18	\$1,001 - \$15,000
8	Partial interest in Technology & Business Solutions, LLC, Dublin, OH (TBS) (Sells and maintains accounting/financial management software)	See Endnote	Purchase	08/22/18	\$15,001 - \$50,000
9	Russell LifePoints Balanced Strategy Fund, Class A RBLAX	See Endnote	Purchase	11/13/2018	\$1,001 - \$15,000
10	Columbia Convertible Securities Fund Class R5 COCRX	See Endnote	Sale	01/12/18	\$1,001 - \$15,000

#	DESCRIPTION		TYPE	DATE	AMOUNT
11	American Funds New World Fund Class R6 RNWGX	See Endnote	Purchase	01/12/18	\$1,001 - \$15,000
12	Artisan International Value Fund Investor Class ARTKX	See Endnote	Purchase	01/12/2018	\$1,001 - \$15,000
13	Artisan International Value Fund Investor Class ARTKX	See Endnote	Sale	06/19/18	\$1,001 - \$15,000
14	ClearBridge Large Cap Growth Fund Class I SBLYX	See Endnote	Sale	01/12/18	\$1,001 - \$15,000
15	ClearBridge Large Cap Growth Fund Class I SBLYX	See Endnote	Sale	06/19/18	\$1,001 - \$15,000
16	JPMorgan Equity Income Fund Class R6 OIEJX	See Endnote	Sale	01/12/18	\$1,001 - \$15,000
17	JPMorgan Equity Income Fund Class R6 OIEJX	See Endnote	Sale	06/19/18	\$15,001 - \$50,000
18	JPMorgan Mortgage-Backed Securities Fund CI R6 JMBUX	See Endnote	Sale	01/12/18	\$1,001 - \$15,000
19	Principal MidCap Fund Institutional Class PCBIX	See Endnote	Sale	01/12/18	\$1,001 - \$15,000
20	Principal MidCap Fund Institutional Class PCBIX	See Endnote	Purchase	12/21/18	\$1,001 - \$15,000
21	Dodge & Cox Income Fund DODIX	See Endnote	Purchase	01/12/18	\$1,001 - \$15,000
22	Dodge & Cox Income Fund DODIX	See Endnote	Sale	12/21/18	\$1,001 - \$15,000
23	Virtus Seix U.S. Government Securities Ultra- Short Bond Fund Class I SIGVX	See Endnote	Sale	01/12/18	\$1,001 - \$15,000
24	Goldman Sachs Global Income Fund Instl Class GSGLX	See Endnote	Sale	01/12/18	\$1,001 - \$15,000
25	Baird Short-Term Bond Fund Institutional Class BSBIX	See Endnote	Purchase	01/12/18	\$1,001 - \$15,000

#	DESCRIPTION		TYPE	DATE	AMOUNT
26	Baird Short-Term Bond Fund Institutional Class BSBIX	See Endnote	Sale	06/19/18	\$1,001 - \$15,000
27	BlackRock Strategic Income Opportunities Fund Institutional Class BSIX	See Endnote	Purchase	01/12/18	\$1,001 - \$15,000
28	Federated Instl High Yield Bond Fund Institutional Class FIHBX	See Endnote	Purchase	01/12/18	\$1,001 - \$15,000
29	DoubleLine Low Duration Bond Fund Cl I DBLSX	See Endnote	Purchase	06/19/18	\$1,001 - \$15,000
30	Goldman Sachs International Small Cap Insights Fund Institutional Class GICIX	See Endnote	Purchase	01/12/18	\$1,001 - \$15,000
31	Goldman Sachs International Small Cap Insights Fund Institutional Class GICIX	See Endnote	Sale	06/19/18	\$1,001 - \$15,000
32	Goldman Sachs International Small Cap Insights Fund Class R6 GICIX	See Endnote	Purchase	06/19/18	\$1,001 - \$15,000
33	JPMorgan Equity Income Fund OIERX	See Endnote	Purchase	06/19/18	\$15,001 - \$50,000
34	Vanguard International Growth Fund Admiral Shares VWILX	See Endnote	Purchase	06/19/18	\$1,001 - \$15,000
35	Vanguard International Growth Fund Admiral Shares VWILX	See Endnote	Purchase	12/21/18	\$1,001 - \$15,000
36	Fidelity Contrafund FCNTX	See Endnote	Sale	09/21/18	\$15,001 - \$50,000
37	Fidelity Contrafund Commingled Pool	See Endnote	Purchase	09/21/18	\$15,001 - \$50,000

## 8. Liabilities

None

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## 9. Gifts and Travel Reimbursements

None

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## Endnotes

PART	#	ENDNOTE
5.	1	Filer's spouse owns a 1.238% interest in the company. The value of that interest as of 12/31/2018 was not readily ascertainable.
5.	2.3	All stock was sold in April 2018 as part of company's purchase by General Dynamics. 2018 dividends < \$201. The stock is being reported here as plan administrator did not report capital gains information either at time of sale or as of year end, perhaps because the cash was being held in a Morgan Stanley Bank sweeps account as of 12/31/2018.
5.	2.4	Perspecta is a new company formed, effective June 1, 2018, by the spin-off of the DXC Technology U.S. Public Sector (USPS) business and its combination with Vencore Inc. and KeyPoint Government Solutions. The stock reported here came solely from the DXC spin-off. DXC stockholders received 1 share of Perspecta for every 2 shares of DXC held in the company as of May 25, 2018. Therefore, this was not reported as a transaction upon spin off because no sale, purchase, or exchange occurred. The value of the Perspecta stock was >\$1,000 on June 1, 2018, based on opening trading price.
5.	2.5	Sweeps account for Morgan Stanley IRA account.
5.	3.2	All moved in 2018 per plan administrator to Fidelity Contrafund Commingled Pool.
5.	3.4	Change in Value category is due to market conditions during 2018.
5.	3.5	New in 2018. Plan administrator moved Fidelity Contrafund holdings to this commingled fund. This offering is not available to individual investors, but is available to retirement plans like BAE. Per Fidelity website, this asset is invested in the same kind of large-cap companies as Fidelity Contrafund.
5.	4.1	All sold in 2018.
5.	4.5	All sold in 2018.

PART	#	ENDNOTE
5.	4.11	New in January 2018 and all sold June 2018. See transactions.
5.	4.12	New in 2018.
5.	4.13	New in 2018.
5.	4.14	New in 2018.
5.	4.15	New in 2018.
5.	4.16	New in 2018.
5.	4.17	New in 2018.
6.	2	Distributions - \$0.00.
6.	6	Roth IRA consists of savings account.
6.	8	Cash value increase: \$8,518.13.
6.	9	"Joint" means filer, spouse, dependent child accounts as aggregated. Those owned only by the Dependent Children are indicated as such.
6.	9.7	Value category decreased in 2018 due to partial distribution (see transaction) and market forces.
6.	10.4	Value category increased due to market forces.
6.	10.6	This stock reported for convenience of filer and reviewers. Time, Inc. was bought by the Meredith Corp., effective 2/1/2018, and Time, Inc. stock was fully liquidated (no exchange). No periodic transaction was reported because liquidated value was <\$1,001. Income in 2018 prior to liquidation was < \$201. This asset will not be listed going forward.
6.	10.7	New in 2018. AT&T and Time Warner Inc. merged, effective June 15, 2018. See transaction for additional information.
7.	1	All stock was sold as part of company's purchase by General Dynamics. 2018 dividends < \$201. The plan administrator did not report capital gains information either at time of sale or as of year end, perhaps because the cash was being held in a Morgan Stanley Bank sweeps account as of 12/31/2018.
7.	2	AT&T and Time Warner Inc. merged, effective June 15, 2018. The new company remains AT&T. Under the merger, Time Warner shareholders received 1.437 shares of AT&T common stock AND \$53.75 in cash for each share of Time Warner Inc. The total dollar value exchanged for Time Warner is covered by this transaction, and it equals < \$15,000. Time Warner earned < \$201 in dividends and capital gains in 2018 prior to sale.

PART	#	ENDNOTE
7.	3	New in 2018. AT&T and Time Warner Inc. merged, effective June 15, 2018. The new company remains AT&T. Under the merger, Time Warner shareholders received 1.437 shares of AT&T common stock AND \$53.75 in cash for each share of Time Warner Inc. The total dollar value of the exchange equals < \$15,000.
7.	4	Partial distribution.
7.	5	Partial distribution.
7.	6	Partial distribution.
7.	7	Partial distribution. .
7.	8	Purchase of 1.238% interest in company.
7.	9	Accretion.
7.	10	All sold. Income reported in Spouse's Employment Assets.
7.	11	Accretion.
7.	12	Accretion.
7.	13	Partial sale.
7.	14	Partial sale.
7.	15	Partial sale.
7.	16	Partial sale.
7.	17	All sold. Income reported in Spouse's Employment Assets.
7.	18	All sold. 2018 income prior to sale < \$201.
7.	19	Partial sale.
7.	20	Accretion.
7.	21	Accretion.
7.	22	Partial sale.
7.	23	Partial sale.

PART	#	ENDNOTE
7.	24	All sold. Cap gains and 2018 dividends earned prior to sale < \$201.
7.	25	New.
7.	26	Fund was purchased in January 2018 and all sold this date. Income earned prior to sale > \$200 and reported in Spouse's Employment Assets.
7.	27	New.
7.	28	New.
7.	29	New.
7.	30	New.
7.	31	Fund purchased in January 2018 and all sold this transaction. Income earned prior to sale < \$201.
7.	32	New.
7.	33	New.
7.	34	New.
7.	35	Accretion.
7.	36	All shares sold/transferred to Fidelity Contrafund Commingled Pool. Income earned prior to sale/transfer is reported in Spouse's Employment Assets.
7.	37	New.

# Summary of Contents

## 1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

## 2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

## 3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

#### **4. Filer's Sources of Compensation Exceeding \$5,000 in a Year**

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

#### **5. Spouse's Employment Assets & Income and Retirement Accounts**

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

#### **6. Other Assets and Income**

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

## 7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

## 8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

## 9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$390 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$390 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$156 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

## Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to another Federal agency, court or party in a court or Federal administrative proceeding when the Government is a party or in order to comply with a judge-issued subpoena; (4) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (5) to the National Archives and Records Administration or the General Services Administration in records management inspections; (6) to the Office of Management and Budget during legislative coordination on private relief legislation; (7) to the Department of Justice or in certain legal proceedings when the disclosing agency, an employee of the disclosing agency, or the United States is a party to litigation or has an interest in the litigation and the use of such records is deemed relevant and necessary to the litigation; (8) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another; (9) to a Member of Congress or a congressional office in response to an inquiry made on behalf of an individual who is the subject of the record; (10) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to an OGE Government-wide system of records; and (11) on the OGE Website and to any person, department or agency, any written ethics agreement filed with OGE by an individual nominated by the President to a position requiring Senate confirmation. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

## Public Burden Information

This collection of information is estimated to take an average of ten hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE), Suite 500, 1201 New York Avenue, NW., Washington, DC 20005-3917.

Pursuant to the Paperwork Reduction Act, as amended, an agency may not conduct or sponsor, and no person is required to respond to, a collection of information unless it displays a currently valid OMB control number (that number, 3209-0001, is displayed here and at the top of the first page of this OGE Form 278e).

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