

Executive Branch Personnel Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

LAMONT, EMILY

SPECIAL ASSISTANT, Department of Education

Report Year: 2022

Other Federal Government Positions Held During the Preceding 12 Months:

None

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ LAMONT, EMILY [electronically signed on 04/14/2022 by LAMONT, EMILY in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

Other review conducted by

U.S. Office of Government Ethics Certification

1. Filer's Positions Held Outside United States Government

#	ORGANIZATION NAME		CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
1	4-CT	See Endnote	Westport, Connecticut	Non-Profit	Board Member, Executive Committee	6/2019	Present

2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Oxeon Partners was my employer. Slavik401 is the service provider.	No			

3. Filer's Employment Agreements and Arrangements

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
1	Oxeon Partners was my employer. Slavik401 is the service provider.	New York, New York	I no longer participate in this defined contribution plan.	7/2016

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

(N/A) - Not required for this type of report

5. Spouse's Employment Assets & Income and Retirement Accounts

None

6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Cash - 03/24/2022	N/A	\$50,001 - \$100,000		\$201 - \$1,000
2	Advance Auto Parts, Inc.(AAP) - 03/24/2022	N/A	\$100,001 - \$250,000	Dividends	None (or less than \$201)
3	Alphabet Inc Class C (GOOG) - 03/24/2022	N/A	\$100,001 - \$250,000		None (or less than \$201)
4	Amazon.com, Inc. (AMZN) - 03/24/2022	N/A	\$100,001 - \$250,000		None (or less than \$201)
5	Canadian PAC Railway (CP) - 03/24/2022	N/A	\$100,001 - \$250,000	Dividends	None (or less than \$201)
6	Charles Schwab Corp (SCHW) - 03/24/2022	N/A	\$100,001 - \$250,000	Dividends	None (or less than \$201)
7	Charter Communications Inc (CHTR) - 03/24/2022	N/A	\$100,001 - \$250,000		None (or less than \$201)
8	Comcast Corp (CMCSA) - 03/24/2022	N/A	\$100,001 - \$250,000	Dividends	None (or less than \$201)
9	Fidelity National Information Servcs Inc (FIS) - 03/24/2022	N/A	\$50,001 - \$100,000		None (or less than \$201)
10	Lowes Cos Inc (LOW) - 03/24/2022	N/A	\$100,001 - \$250,000	Dividends	None (or less than \$201)
11	Mastercard (MA) - 03/24/2022	N/A	\$100,001 - \$250,000		None (or less than \$201)
12	Meta Platforms (FB) - 03/24/2022	N/A	\$100,001 - \$250,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
13	Microsoft Corp (MSFT) - 03/24/2022	N/A	\$100,001 - \$250,000	Dividends	None (or less than \$201)
14	Northrop Grumman Corporation (NOC) - 03/24/2022	N/A	\$100,001 - \$250,000	Dividends	None (or less than \$201)
15	Union Pacific Corp (UNP) - 03/24/2022	N/A	\$100,001 - \$250,000		None (or less than \$201)
16	UnitedHealth Group Inc (UNH) - 03/24/2022	N/A	\$100,001 - \$250,000	Dividends	None (or less than \$201)
17	Visa Inc (V) - 03/24/2022	N/A	\$15,001 - \$50,000	Dividends	None (or less than \$201)
18	iShares Select Dividend ETF (DVY) - 03/24/2022	Yes	\$500,001 - \$1,000,000		None (or less than \$201)
19	Vanguard Limited-Term Tax-Exempt Fund Admiral Shares (VMLUX) - 03/24/2022	Yes	\$250,001 - \$500,000		None (or less than \$201)
20	SPDR S&P 500 ETF Trust (SPY) - 03/24/2022	Yes	\$1,000,001 - \$5,000,000		\$5,001 - \$15,000
21	Monster Children, LLC - 03/24/2022	No	\$500,001 - \$1,000,000	Dividends Capital Gains	\$100,001 - \$1,000,000
21.1	Cash - 03/24/2022	N/A	\$50,001 - \$100,000		
21.2	Advance Auto Parts, Inc.(AAP) - 03/24/2022	N/A	\$50,001 - \$100,000		
21.3	Airbus SE (EADSF) - 03/24/2022	N/A	\$15,001 - \$50,000		
21.4	Alibaba Group Holding Ltd - ADR (BABA) - 03/24/2021	N/A	\$1,000,001 - \$5,000,000		
21.5	Alphabet Inc Class C (GOOG) - 03/24/2022	N/A	\$100,001 - \$250,000		

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
21.6	Amazon.com, Inc. (AMZN) - 03/24/2022	N/A	\$50,001 - \$100,000		
21.7	Booking Holdings Inc (BKNG) - 03/16/2022	N/A	\$15,001 - \$50,000		
21.8	Canadian PAC Railway (CP) - 03/24/2022	N/A	\$15,001 - \$50,000		
21.9	Charles Schwab Corporation (SCHW) - 03/24/2022	N/A	\$100,001 - \$250,000		
21.10	Charter Communications Inc (CHTR) - 03/24/2022	N/A	\$50,001 - \$100,000		
21.11	Comcast Corporation (CMCSA) - 03/16/2022	N/A	\$50,001 - \$100,000		
21.12	Fidelity National Information Servcs Inc (FIS) - 03/16/2022	N/A	\$50,001 - \$100,000		
21.13	Lowe`s Companies Inc (LOW) - 03/24/2022	N/A	\$100,001 - \$250,000		
21.14	Mastercard Inc (MA) - 03/24/2022	N/A	\$15,001 - \$50,000		
21.15	Meta Platforms Inc (FB) - 03/24/2022	N/A	\$50,001 - \$100,000		
21.16	Microsoft Corp (MSFT) - 03/24/2022	N/A	\$50,001 - \$100,000		
21.17	Nike Inc (NKE) - 03/16/2022	N/A	\$15,001 - \$50,000		
21.18	Northrop Grumman Corporation (NOC) - 03/24/2022	N/A	\$100,001 - \$250,000		
21.19	S&P Global, Inc (SPGI) - 03/24/2022	N/A	\$50,001 - \$100,000		

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
21.20	Safran SA (SAFRF) - 03/24/2022	N/A	\$50,001 - \$100,000		
21.21	SYSCO Corporation (SYY) - 03/24/2022	N/A	\$50,001 - \$100,000		
21.22	Union Pacific Corp (UNP) - 03/24/2022	N/A	\$50,001 - \$100,000		
21.23	UnitedHealth Group Inc (UNH) - 03/24/2022	N/A	\$100,001 - \$250,000		
21.24	Visa Inc (V) - 03/24/2022	N/A	\$50,001 - \$100,000		
21.25	iShares Core S&P Small-Cap ETF (IJR) - 03/24/2022	N/A	\$1,000,001 - \$5,000,000		
21.26	iShares Select Dividend ETF (DVY) - 03/24/2022	N/A	\$500,001 - \$1,000,000		
21.27	SPDR S&P 500 ETF Trust (SPY) - 03/24/2022	N/A	\$1,000,001 - \$5,000,000		
21.28	Kratos Defense & Security Solutions, Inc (KTOS) - 03/24/2022	N/A	\$1,001 - \$15,000		
21.29	GS GQG Partners International Opportunities Fund (GGIPX) - 03/24/2022	N/A	\$500,001 - \$1,000,000		
21.30	BLOOMFIELD CONN GO 5% 08/01/22 FA GO REF BDS 2017 LT BEO - 02/22/2022	N/A	\$1,001 - \$15,000		
21.31	BROOKFIELD CONN GO 5% 11/15/26 MN GO BDS 2018 A LT BEO - 03/24/2022	N/A	\$1,001 - \$15,000		
21.32	CONN ST FOR ISSUES GO 5% 09/15/23-CA MS DTD PR TO 10/19/10 SER E BEO - 03/24/2022	N/A	\$1,001 - \$15,000		

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
21.33	CONN ST HEALTH & EDL FACS AUTH PUT 5.0000% 07/01/40-PT JJ AUTH BDS YALE UNIV 2017 C-1 CPN 12/22/17 5.0000% - 03/24/2022	N/A	\$1,001 - \$15,000		
21.34	CONNECTICUT ST REVOLVING FD REV 5% 05/01/34-CA MN GEN REV GREEN BDS- SER A BEO - 02/22/2022	N/A	\$1,001 - \$15,000		
21.35	CONNECTICUT ST HEALTH & EDL REV 4% 07/01/28 JJ FACS AUTH REV BDS TAFT SCHOOL CORP K BEO S-T X-ORD RDM - 03/24/2022	N/A	\$1,001 - \$15,000		
21.36	CONNECTICUT ST SPL TAX OBLIG REV 5% 09/01/24 MS REV TRANSN INFRASTRUCTURE-SER A BEO - 03/24/2022	N/A	\$1,001 - \$15,000		
21.37	FAIRFIELD CONN GO 5% 07/15/24 JJ GO REF BDS 2016 LT BEO SR LIEN - 03/24/2022	N/A	\$1,001 - \$15,000		
21.38	HARTFORD CNTY CONN MET DIST GO 5% 07/15/28 JJ GO REF BDS 2019C LT BEO - 02/22/2022	N/A	\$1,001 - \$15,000		
21.39	HAWAII ST GO 4% 01/01/32-CA JJ GO BDS 2018 FT UT BEO - 03/24/2022	N/A	\$1,001 - \$15,000		
21.40	KENOSHA CNTY WIS GO 3% 09/01/25 MS GO PROM NTS 2019-A UT BEO - 02/07/2022	N/A	\$1,001 - \$15,000		
21.41	KING CNTY WASH SCH DIST NO 415 GO 4% 12/01/34-CA JD KENT UNLTD TAX GO BDS 2019 UT BEO - 03/24/2022	N/A	\$15,001 - \$50,000		
21.42	MAINLAND N J REGL HIGH SCH GO 4% 10/15/25 AO DIST REF GO BDS 2017 UT BEO - 03/24/2022	N/A	\$1,001 - \$15,000		

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
21.43	MASS SCH BLDG AUTH GO 0.7850% 08/15/24 FA DEDICATED SALES TAX REFUNDING BONDS, 2020 SERIES TAXBL BEO - 03/24/2022	N/A	\$1,001 - \$15,000		
21.44	MASSACHUSETTS ST DEV FIN AGY REV 5% 07/01/28 JJ REV BDS BOSTON COLLEGE 2020 U BEO - 03/24/2022	N/A	\$1,001 - \$15,000		
21.45	MERIDEN, CONNECTICUT GO 5.0000% 05/01/22 MN (CITY OF) BEO - 03/24/2022	N/A	\$1,001 - \$15,000		
21.46	MILFORD CONN GO 5% 11/01/23 MN GO REF BDS 2016 LT BEO SR LIEN - 03/24/2022	N/A	\$1,001 - \$15,000		
21.47	NEW CANAAN CONN GO 5% 08/01/22 FA GO REF BDS B UT BEO - 02/22/2022	N/A	\$1,001 - \$15,000		
21.48	NEW YORK ST DORM AUTH ST PERS REV 2.7770% 02/15/30 FA INCOME TAX REV TAXABLE GEN PURP BDS 2019 F TAXBL BEO - 02/22/2022	N/A	\$15,001 - \$50,000		
21.49	NORTH HAVEN CONN GO 5% 08/01/28 FA GO REF BDS 2019 A LT BEO - 02/07/2022	N/A	\$15,001 - \$50,000		
21.50	NORTH RIDGEVILLE OHIO CITY SCH GO 4% 12/01/25 JD DIST GO SCH FACS IMPT REF BDS 2017 UT BEO - 03/24/2022	N/A	\$1,001 - \$15,000		
21.51	NORWALK CONN GO 5% 07/01/29 JJ GO REF BDS 2019 B LT BEO - 02/07/2022	N/A	\$1,001 - \$15,000		
21.52	NORWALK CONN GO 5% 08/15/25 FA GO REF BDS 2015 B LT BEO SR LIEN - 02/22/2022	N/A	\$1,001 - \$15,000		
21.53	OLD SAYBROOK CONN GO 4% 08/15/31-CA FA GO REF BDS 2019 LT BEO - 03/24/2022	N/A	\$1,001 - \$15,000		
21.54	QUINCY MASS GO 2% 01/13/23 JJ GO BD ANTIC NTS LT BEO - 02/22/2022	N/A	\$1,001 - \$15,000		

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
21.55	REGIONAL SCH DIST NO 5 CONN GO 5% 07/15/24 JJ GO REF BDS 2018 LT BEO - 03/24/2022	N/A	\$1,001 - \$15,000		
21.56	SOUTH CENT CONN REGL WTR AUTH REV 5.0000% 08/01/33-CA FA WTR SYS REV REV BDS TWENTY-EIGHT A BEO MSF - 03/24/2022	N/A	\$1,001 - \$15,000		
21.57	STATE OF CONNECTICUT REV 5.0000% 03/01/26-CA MS REVOLVING FUND GENERAL REV GREEN BONDS, 2015 SERIES A BEO - 02/22/2022	N/A	\$1,001 - \$15,000		
21.58	UNIVERSITY CONN GO 5.0000% 08/15/24- CA FA SER A BEO - 02/22/2022	N/A	\$1,001 - \$15,000		
21.59	UNIVERSITY MASS BLDG AUTH REV REV 1.6280% 11/01/28 MN REF TAXABLE SR BDS 2020 4 TAXBL BEO SR LIEN - 03/22/2022	N/A	\$1,001 - \$15,000		
21.60	SPDR Euro STOXX 50 FD ETF (FEZ) - 03/24/2022	N/A	\$250,001 - \$500,000		
21.61	Gold Shares Index Fund (SPDR) - 03/24/2022	N/A	\$250,001 - \$500,000		
21.62	Blackstone Total Alternatives Solution VI, L.P. - 09/30/2021	Yes	\$500,001 - \$1,000,000		
21.63	Goldman Sachs Capital Partners VI. L.P. / GSCP VI AIV - 09/30/2021	Yes	\$50,001 - \$100,000		
21.64	Whitehall Street Global Real Estate LTD Partnership 2005 - 09/30/2021	Yes	\$1,001 - \$15,000		
21.65	Vintage V GMBH & Co KG - 09/30/2021	Yes	\$15,001 - \$50,000		
21.66	Vintage VI, LP - 06/30/2021	Yes	\$100,001 - \$250,000		

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
21.67	Sheridan Square Fund II - 12/31/2021	Yes	\$1,000,001 - \$5,000,000		
21.68	Shorenstein Realty Investors Ten, L.P. - 12/31/2021	Yes	\$15,001 - \$50,000		
21.69	Stripes V, LP & Stripes V(A), LP - 09/30/2021	Yes	\$1,000,001 - \$5,000,000		
21.70	Oak Associates IX, L.L.C. - 09/30/2021	Yes	None (or less than \$1,001)		
21.71	Oak Associates X, L.L.C. - 09/30/2021	Yes	None (or less than \$1,001)		
21.72	Oak Associates XI, L.L.C. - 09/30/2021	Yes	\$250,001 - \$500,000		
21.73	Oak Associates XII, L.L.C. - 09/30/2021	Yes	\$500,001 - \$1,000,000		
21.74	Oak Associates XIII, L.L.C. - 09/30/2021	Yes	\$1,000,001 - \$5,000,000		

7. Transactions

#	DESCRIPTION		TYPE	DATE	AMOUNT
1	Advance Auto Parts, Inc. (AAP)		Purchase	06/18/2021	\$15,001 - \$50,000
2	Alphabet Inc. Class C (GOOG)		Purchase	06/18/2021	\$15,001 - \$50,000
3	Amazon.com, Inc. (AMZN)	See Endnote	Purchase	06/18/2021	\$15,001 - \$50,000
4	The Charles Schwab Corp. (SCHW)		Purchase	06/18/2021	\$15,001 - \$50,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
5	Charter Communications, Inc. (CHTR)	Purchase	06/18/2021	\$15,001 - \$50,000
6	Comcast Corp. (CMCSA)	Purchase	06/18/2021	\$15,001 - \$50,000
7	Facebook, Inc. (FB)	Purchase	06/18/2021	\$15,001 - \$50,000
8	Fidelity National Information Services, Inc. (FIS)	Purchase	06/18/2021	\$15,001 - \$50,000
9	Lowe's Cos., Inc. (LOW)	Purchase	06/18/2021	\$15,001 - \$50,000
10	Microsoft Corp. (MSFT)	Purchase	06/18/2021	\$100,001 - \$250,000
11	Northrop Grumman Corp. (NOC)	Purchase	06/18/2021	\$15,001 - \$50,000
12	Union Pacific Corp. (UNP)	Purchase	06/18/2021	\$15,001 - \$50,000
13	UnitedHealth Group, Inc. (UNH)	Purchase	06/18/2021	\$15,001 - \$50,000
14	Visa, Inc. (V)	Purchase	06/18/2021	\$15,001 - \$50,000
15	Canadian PAC Railway (CP)	Purchase	09/02/2021	\$50,001 - \$100,000
16	Union Pacific Corp. (UNP)	Sale	09/02/2021	\$50,001 - \$100,000
17	Mastercard, Inc. (MA)	Purchase	12/16/2021	\$100,001 - \$250,000
18	Visa, Inc. (V)	Sale	12/16/2021	\$100,001 - \$250,000
19	Alibaba Group Holding Ltd - ADR (BABA)	See Endnote	06/07/2021	\$1,001 - \$15,000

#	DESCRIPTION		TYPE	DATE	AMOUNT
20	Charter Communications, Inc. (CHTR)	See Endnote	Purchase	06/08/2021	\$1,001 - \$15,000
21	Facebook, Inc. (FB)	See Endnote	Purchase	06/07/2021	\$1,001 - \$15,000
22	Union Pacific Corp. (UNP)	See Endnote	Purchase	06/08/2021	\$1,001 - \$15,000
23	Visa, Inc. (V)	See Endnote	Purchase	06/09/2021	\$1,001 - \$15,000
24	Amazon.com, Inc. (AMZN)	See Endnote	Purchase	06/14/2021	\$1,001 - \$15,000
25	Charter Communications, Inc. (CHTR)	See Endnote	Purchase	06/14/2021	\$1,001 - \$15,000
26	Comcast Corp. (CMCSA)	See Endnote	Purchase	06/14/2021	\$1,001 - \$15,000
27	NIKE, Inc. (NKE)	See Endnote	Sale	06/14/2021	\$15,001 - \$50,000
28	Union Pacific Corp. (UNP)		Purchase	06/14/2021	\$1,001 - \$15,000
29	Canadian PAC Railway (CP)		Purchase	09/02/2021	\$15,001 - \$50,000
30	Union Pacific Corp. (UNP)		Sale	09/02/2021	\$15,001 - \$50,000
31	Alibaba Group Holding Ltd - ADR (BABA)		Sale	11/29/2021	\$15,001 - \$50,000
32	Microsoft Corp. (MSFT)		Purchase	11/29/2021	\$1,001 - \$15,000
33	Alibaba Group Holding Ltd - ADR (BABA)		Sale	11/30/2021	\$1,001 - \$15,000
34	Microsoft Corp. (MSFT)		Purchase	11/30/2021	\$1,001 - \$15,000
35	Alibaba Group Holding Ltd - ADR (BABA)		Sale	12/01/2021	\$1,001 - \$15,000
36	Microsoft Corp. (MSFT)		Purchase	12/01/2021	\$1,001 - \$15,000
37	Alibaba Group Holding Ltd - ADR (BABA)		Sale	12/02/2021	\$1,001 - \$15,000
38	Microsoft Corp. (MSFT)		Purchase	12/02/2021	\$1,001 - \$15,000

8. Liabilities

None

9. Gifts and Travel Reimbursements

None

Endnotes

PART	#	ENDNOTE
1.	1	I just realized i made a mistake last year. I had overlooked the part about fiduciary duties of non-profit.
7.	3	Note all these transactions on 6.18.21 stem from the fact that I changed banks
7.	19	The parent asset is Monster Children, which I do not control.
7.	20	The parent asset is Monster Children, which I do not control.
7.	21	Parent asset Monster Children
7.	22	parent asset monster children
7.	23	parent asset: monster children
7.	24	parent asset monster children
7.	25	parent asset monster children
7.	26	parent asset monster children
7.	27	Monster Children

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during the reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$166 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

Public Burden Information

This collection of information is estimated to take an average of ten hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE), Suite 500, 1201 New York Avenue, N.W., Washington, DC 20005-3917.

Pursuant to the Paperwork Reduction Act, as amended, an agency may not conduct or sponsor, and no person is required to respond to, a collection of information unless it displays a currently valid OMB control number (that number, 3209-0001, is displayed here and at the top of the first page of this OGE Form 278e).
