

Executive Branch Personnel Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

YUNG, RAYLENE

Chief of Staff, Office of Clean Energy Demonstrations, Department of Energy

Report Year: 2024

Other Federal Government Positions Held During the Preceding 12 Months:

Executive Director, Technology Modernization Fund, General Services Administration (9/2021 - 10/2023)

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ YUNG, RAYLENE [electronically signed on 05/15/2024 by YUNG, RAYLENE in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Sharp, Laura, Certifying Official [electronically signed on 07/02/2024 by Sharp, Laura in Integrity.gov]

Other review conducted by

/s/ Sharp, Laura, Ethics Official [electronically signed on 07/02/2024 by Sharp, Laura in Integrity.gov]

U.S. Office of Government Ethics Certification

Data Revised 07/02/2024

Data Revised 07/01/2024

1. Filer's Positions Held Outside United States Government

None

2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Stripe, Inc., restricted stock units	See Endnote	N/A	None (or less than \$1,001)	\$1,000,001 - \$5,000,000
2	Rollover IRA	No			
2.1	Cash in reserve	N/A	\$1,001 - \$15,000	Interest	None (or less than \$201)
2.2	iShares Core MSCI Emerging Markets ETF (IEMG)	Yes	\$15,001 - \$50,000		None (or less than \$201)
2.3	iShares Core MSCI EAFE ETF (IEFA)	Yes	\$15,001 - \$50,000		None (or less than \$201)
2.4	BlackRock Total Return Fund Institutional Shares (MAHQX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
2.5	Engine No. 1 Transform 500 ETF Engine No. 1 Transform 500 ETF (VOTE)	Yes	\$250,001 - \$500,000		None (or less than \$201)
2.6	iShares Core Total USD Bond Market ETF (IUSB)	Yes	\$50,001 - \$100,000		None (or less than \$201)
2.7	iShares Treasury Floating Rate Bond ETF (TFLO)	Yes	\$15,001 - \$50,000		None (or less than \$201)
2.8	iShares Currency Hedged MSCI EAFE ETF (HEFA)	Yes	\$15,001 - \$50,000		None (or less than \$201)
2.9	SPDR Portfolio Long Term Treasury ETF (SPTL)	Yes	\$15,001 - \$50,000		None (or less than \$201)

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3	Roth Contributory IRA		No			
3.1	Cash in reserve		N/A	\$1,001 - \$15,000		None (or less than \$201)
3.2	iShares Core MSCIEmerging Markets ETF (IEMG)		Yes	\$1,001 - \$15,000		None (or less than \$201)
3.3	iShares Core MSCI EAFE ETF (IEFA)		Yes	\$1,001 - \$15,000		None (or less than \$201)
3.4	BlackRock Total Return Fund Institutional Shares (MAHQX)		Yes	\$1,001 - \$15,000		None (or less than \$201)
3.5	Engine No. 1 Transform 500 ETF Engine No. 1 Transform 500 ETF (VOTE)		Yes	\$50,001 - \$100,000		None (or less than \$201)
3.6	iShares Core Total USD Bond Market ETF (IUSB)		Yes	\$15,001 - \$50,000		None (or less than \$201)
3.7	iShares Treasury Floating Rate Bond ETF (TFLO)		Yes	\$1,001 - \$15,000		None (or less than \$201)
3.8	iShares Currency Hedged MSCI EAFE ETF (HEFA)		Yes	\$1,001 - \$15,000		None (or less than \$201)
3.9	SPDR Portfolio Long Term Treasury ETF (SPTL)		Yes	\$1,001 - \$15,000		None (or less than \$201)
4	Community Initiatives 401k	See Endnote	No			
4.1	Mutual of America 2050 Retirement Fund	See Endnote	Yes	None (or less than \$1,001)		None (or less than \$201)
5	Stripe, Inc., stock options (value not readily ascertainable): NSOs: 1,155,782 exercisable options, vest 6/2015, exp 8/2025 and 5/2026		N/A			None (or less than \$201)

3. Filer's Employment Agreements and Arrangements

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
1	Stripe, Inc.	San Francisco, California	I will retain vested options	6/2015
2	Stripe, Inc.	San Francisco, California	All remaining RSUs were sold in 2023.	10/2017
3	Community Initiatives	Oakland, California	I will continue to participate in this defined contribution plan. The plan sponsor has and will not make further contributions after my separation.	7/2020

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

(N/A) - Not required for this type of report

5. Spouse's Employment Assets & Income and Retirement Accounts

None

6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Neo Fund 1.0 LP	No	\$50,001 - \$100,000	Capital Gains	None (or less than \$201)
2	Neo Fund 2.0 LP	No	\$50,001 - \$100,000		None (or less than \$201)
3	We Mission LLC	No	\$15,001 - \$50,000		None (or less than \$201)
4	Leadout Capital II	No	\$15,001 - \$50,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
5	Ford Street Ventures	No	\$100,001 - \$250,000		None (or less than \$201)
6	K06 Fund 1 (Kopperfield)	No	\$1,001 - \$15,000		None (or less than \$201)
7	(Cash) U.S. Bank (Charles Schwab)	N/A	\$500,001 - \$1,000,000	Interest	\$1,001 - \$2,500
8	(Cash) U.S. Bank (Wells Fargo)	N/A	\$500,001 - \$1,000,000	Interest	\$1,001 - \$2,500
9	Dance GmbH (e-bike service)	N/A	\$50,001 - \$100,000		None (or less than \$201)
10	Lumen Energy Inc (clean energy financing)	N/A	\$1,001 - \$15,000		None (or less than \$201)
11	Nova Credit Inc (international credit)	N/A	\$1,001 - \$15,000		None (or less than \$201)
12	Meta Platforms Inc (FB)	N/A	\$5,000,001 - \$25,000,000		None (or less than \$201)
13	SPDR S&P 500 ETF (SPY)	Yes	None (or less than \$1,001)		None (or less than \$201)
14	Vanguard FTSE Developed Markets ETF (VEA)	Yes	None (or less than \$1,001)		None (or less than \$201)
15	PIMCO High Yield Muni Bond Fund (PHMIX)	Yes	\$1,000,001 - \$5,000,000		\$100,001 - \$1,000,000
16	iShares Short-Term Muni ETF (SUB)	Yes	None (or less than \$1,001)		\$2,501 - \$5,000
17	Vanguard FTSE Emerging Markets ETF (VWO)	Yes	None (or less than \$1,001)		None (or less than \$201)
18	Vanguard Intermediate Treasury Index ETF (VGIT)	Yes	None (or less than \$1,001)		\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
19	iShares Trust (currency Hedged MSCI EAFE Etf) (HEFA)	Yes	None (or less than \$1,001)		None (or less than \$201)
20	Graniteshares Gold (TR SHS Ben Int) (BAR)	Yes	\$500,001 - \$1,000,000		None (or less than \$201)
21	SPDR Nuveen Bloomberg Barclays Municipal Bond (TFI)	Yes	None (or less than \$1,001)		\$201 - \$1,000
22	Vanguard Index FDS (vanguard Total STK MKT Etf) (VTI)	Yes	None (or less than \$1,001)		None (or less than \$201)
23	KraneShares California Carbon Allowance ETF (KCCA)	Yes	\$250,001 - \$500,000		\$5,001 - \$15,000
24	iShares TR 20 YR TR (BD Etf) (TLT)	Yes	None (or less than \$1,001)		\$5,001 - \$15,000
25	Vanguard Scottsdale (FDS Long Term Treas) (VGLT)	Yes	\$500,001 - \$1,000,000		\$5,001 - \$15,000
26	Schwab US Small Cap ETF (SCHA)	Yes	None (or less than \$1,001)		None (or less than \$201)
27	Vanguard Mun BD FD (VTEB)	Yes	None (or less than \$1,001)		\$201 - \$1,000
28	Vanguard Small Cap ETF (VB)	Yes	None (or less than \$1,001)		None (or less than \$201)
29	Schwab Emerging Markets Equity ETF (SCHE)	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
30	Invesco California Amt-Free Municipal Bond (PWZ)	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
31	Schwab Funda Emg MKTS Large Com ETF (FNDE)	Yes	None (or less than \$1,001)		None (or less than \$201)
32	iShares Gold Trust ETF (IAU)	Yes	None (or less than \$1,001)		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
33	iShares Core MSCI Emerging ETF (IEMG)	Yes	\$1,000,001 - \$5,000,000		\$50,001 - \$100,000
34	MFC iShares Tr Russell 1000 ETF (IWB)	Yes	\$100,001 - \$250,000		Over \$5,000,000
35	iShares Core MSCI EAFE ETF (IEFA)	Yes	\$5,000,001 - \$25,000,000		\$100,001 - \$1,000,000
36	Federated Inst High Yield Bond FD Inst SHR (FIHBX)	Yes	None (or less than \$1,001)		None (or less than \$201)
37	Schwab US Small Cap ETF (SCHA)	Yes	None (or less than \$1,001)		None (or less than \$201)
38	iShares US Treasury Bond ETF (GOVT)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
39	MFC iShares Tr Russell 1000 ETF (IWB)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
40	iShares US Treasury Bond ETF (GOVT)	Yes	\$500,001 - \$1,000,000		\$15,001 - \$50,000
41	BlackRock National Municipal Fund Institutional Shares (MANLX)	Yes	\$1,000,001 - \$5,000,000		\$50,001 - \$100,000
42	Invesco California AMT-Free Municipal Bond ETF (PWZ)	Yes	None (or less than \$1,001)		\$201 - \$1,000
43	State Street Hedged Internatl Developed Eq Idx Fd Class K Shares (SSHQX)	Yes	\$1,000,001 - \$5,000,000		\$15,001 - \$50,000
44	SPDR Bloomberg Barclays 1-3 Month T-Bill ETF (BIL)	Yes	None (or less than \$1,001)		\$1,001 - \$2,500
45	Schwab U.S. Treasury Money Fund Ultra Shares (SUTXX)	Yes	\$500,001 - \$1,000,000		\$100,001 - \$1,000,000
46	Stripe Inc.	See Endnote	N/A	Capital Gains	Over \$5,000,000
47	Assembled, Inc.		N/A		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
48	Common Room	N/A	\$15,001 - \$50,000		None (or less than \$201)
49	Leap	No	None (or less than \$1,001)		None (or less than \$201)
50	Passport	N/A	\$1,001 - \$15,000		None (or less than \$201)
51	Stytch, Inc.	N/A	\$1,001 - \$15,000		None (or less than \$201)
52	California Rental Real Estate (908 Shotwell)	N/A	None (or less than \$1,001)		\$15,001 - \$50,000

7. Transactions

#	DESCRIPTION	TYPE	DATE	AMOUNT
1	META PLATFORMS INC CLASS A	Sale	03/20/2023	\$500,001 - \$1,000,000
2	Meta Platforms Inc. Class A Common Stock (META)	Sale	05/22/2023	\$500,001 - \$1,000,000
3	Stripe, Inc. Common Stock	Sale	05/23/2023	\$25,000,001 - \$50,000,000
4	WE Mission LLC (Focused Space Inc)	Purchase	10/05/2023	\$1,001 - \$15,000
5	Stripe, Inc. Common Stock (from net exercise)	Purchase	10/18/2023	\$1,000,001 - \$5,000,000
6	Real Property	Sale	09/18/2023	\$1,000,001 - \$5,000,000
7	BlackRock National Municipal Fund Institutional Shares (MANLX)	Purchase	03/10/2023	\$1,000,001 - \$5,000,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
8	BlackRock National Municipal Fund Institutional Shares (MANLX)	Purchase	03/20/2023	\$1,001 - \$15,000
9	BlackRock National Municipal Fund Institutional Shares (MANLX)	Purchase	05/03/2023	\$100,001 - \$250,000
10	BlackRock National Municipal Fund Institutional Shares (MANLX)	Purchase	06/13/2023	\$500,001 - \$1,000,000
11	BlackRock National Municipal Fund Institutional Shares (MANLX)	Purchase	07/25/2023	\$500,001 - \$1,000,000
12	BlackRock National Municipal Fund Institutional Shares (MANLX)	Purchase	09/07/2023	\$500,001 - \$1,000,000
13	BlackRock Total Return Fund Institutional Shares (MAHQX)	Purchase	02/03/2023	\$1,001 - \$15,000
14	BlackRock Total Return Fund Institutional Shares (MAHQX)	Sale	06/26/2023	\$1,001 - \$15,000
15	BlackRock Total Return Fund Institutional Shares (MAHQX)	Sale	06/26/2023	\$50,001 - \$100,000
16	BlackRock Total Return Fund Institutional Shares (MAHQX)	Purchase	07/20/2023	\$1,001 - \$15,000
17	BlackRock Total Return Fund Institutional Shares (MAHQX)	Purchase	07/25/2023	\$1,001 - \$15,000
18	Engine No. 1 Transform 500 ETF Engine No. 1 Transform 500 ETF (VOTE)	Purchase	06/26/2023	\$250,001 - \$500,000
19	Engine No. 1 Transform 500 ETF Engine No. 1 Transform 500 ETF (VOTE)	Purchase	07/20/2023	\$1,001 - \$15,000
20	Engine No. 1 Transform 500 ETF Engine No. 1 Transform 500 ETF (VOTE)	Purchase	07/25/2023	\$15,001 - \$50,000
21	Federated Institutional High Yield Bond Fund Institutional Shares (FIHBX)	Sale	06/26/2023	\$15,001 - \$50,000
22	GraniteShares Gold Trust (BAR)	Purchase	07/25/2023	\$100,001 - \$250,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
23	GraniteShares Gold Trust (BAR)	Purchase	09/06/2023	\$50,001 - \$100,000
24	GraniteShares Gold Trust (BAR)	Purchase	03/10/2023	\$15,001 - \$50,000
25	Invesco California AMT-Free Municipal Bond ETF (PWZ)	Sale	03/09/2023	\$15,001 - \$50,000
26	iShares 10-20 Year Treasury Bond ETF (TLH)	Purchase	06/26/2023	\$15,001 - \$50,000
27	iShares 10-20 Year Treasury Bond ETF (TLH)	Sale	07/20/2023	\$15,001 - \$50,000
28	iShares 20+ Year Treasury Bond ETF (TLT)	Purchase	02/08/2023	\$15,001 - \$50,000
29	iShares 20+ Year Treasury Bond ETF (TLT)	Purchase	03/10/2023	\$100,001 - \$250,000
30	iShares 20+ Year Treasury Bond ETF (TLT)	Purchase	09/06/2023	\$100,001 - \$250,000
31	iShares 20+ Year Treasury Bond ETF (TLT)	Sale	10/24/2023	\$500,001 - \$1,000,000
32	iShares 20+ Year Treasury Bond ETF (TLT)	Purchase	05/03/2023	\$1,001 - \$15,000
33	iShares 20+ Year Treasury Bond ETF (TLT)	Purchase	06/13/2023	\$100,001 - \$250,000
34	iShares Core MSCI Emerging Markets ETF (IEMG)	Purchase	02/03/2023	\$1,001 - \$15,000
35	iShares Core MSCI Emerging Markets ETF (IEMG)	Purchase	02/08/2023	\$100,001 - \$250,000
36	iShares Core MSCI Emerging Markets ETF (IEMG)	Purchase	03/10/2023	\$1,000,001 - \$5,000,000
37	iShares Core MSCI Emerging Markets ETF (IEMG)	Purchase	03/20/2023	\$50,001 - \$100,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
38	iShares Core MSCIEmerging Markets ETF (IEMG)	Purchase	05/03/2023	\$50,001 - \$100,000
39	iShares Core MSCIEmerging Markets ETF (IEMG)	Purchase	06/13/2023	\$250,001 - \$500,000
40	iShares Core MSCIEmerging Markets ETF (IEMG)	Sale	06/26/2023	\$1,001 - \$15,000
41	iShares Core MSCIEmerging Markets ETF (IEMG)	Sale	06/26/2023	\$15,001 - \$50,000
42	iShares Core MSCIEmerging Markets ETF (IEMG)	Sale	07/20/2023	\$1,001 - \$15,000
43	iShares Core MSCIEmerging Markets ETF (IEMG)	Purchase	07/25/2023	\$250,001 - \$500,000
44	iShares Core MSCIEmerging Markets ETF (IEMG)	Purchase	09/06/2023	\$250,001 - \$500,000
45	iShares Core MSCIEmerging Markets ETF (IEMG)	Purchase	10/19/2023	\$1,001 - \$15,000
46	iShares Core MSCI EAFE ETF (IEFA)	Purchase	03/10/2023	\$1,000,001 - \$5,000,000
47	iShares Core MSCI EAFE ETF (IEFA)	Purchase	03/20/2023	\$100,001 - \$250,000
48	iShares Core MSCI EAFE ETF (IEFA)	Purchase	05/03/2023	\$15,001 - \$50,000
49	iShares Core MSCI EAFE ETF (IEFA)	Purchase	06/13/2023	\$500,001 - \$1,000,000
50	iShares Core MSCI EAFE ETF (IEFA)	Sale	06/26/2023	\$50,001 - \$100,000
51	iShares Core MSCI EAFE ETF (IEFA)	Purchase	07/25/2023	\$500,001 - \$1,000,000
52	iShares Core MSCI EAFE ETF (IEFA)	Purchase	09/06/2023	\$500,001 - \$1,000,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
53	iShares Core MSCI EAFE ETF (IEFA)	Sale	10/19/2023	\$1,001 - \$15,000
54	iShares Core MSCI EAFE ETF (IEFA)	Purchase	11/07/2023	\$1,001 - \$15,000
55	iShares Core MSCI EAFE ETF (IEFA)	Purchase	11/07/2023	\$1,001 - \$15,000
56	iShares Core S&P Mid-Cap ETF (IJH)	Purchase	07/20/2023	\$1,001 - \$15,000
57	iShares Core S&P Mid-Cap ETF (IJH)	Sale	10/19/2023	\$1,001 - \$15,000
58	iShares Core S&P Mid-Cap ETF (IJH)	Sale	10/19/2023	\$1,001 - \$15,000
59	iShares Currency Hedged MSCI EAFE ETF (HEFA)	Sale	03/09/2023	\$250,001 - \$500,000
60	iShares Currency Hedged MSCI EAFE ETF (HEFA)	Purchase	06/26/2023	\$1,001 - \$15,000
61	iShares Currency Hedged MSCI EAFE ETF (HEFA)	Purchase	06/26/2023	\$15,001 - \$50,000
62	iShares Currency Hedged MSCI EAFE ETF (HEFA)	Purchase	07/25/2023	\$1,001 - \$15,000
63	iShares Currency Hedged MSCI EAFE ETF (HEFA)	Purchase	07/25/2023	\$1,001 - \$15,000
64	iShares Currency Hedged MSCI EAFE ETF (HEFA)	Sale	10/19/2023	\$1,001 - \$15,000
65	iShares Gold Trust (IAU)	Sale	03/09/2023	\$15,001 - \$50,000
66	iShares Gold Trust (IAU)	Sale	03/09/2023	\$15,001 - \$50,000
67	iShares Russell 1000 ETF (IWB)	Purchase	03/10/2023	\$5,000,001 - \$25,000,000
68	iShares Russell 1000 ETF (IWB)	Purchase	03/14/2023	\$100,001 - \$250,000
69	iShares Russell 1000 ETF (IWB)	Purchase	03/20/2023	\$50,001 - \$100,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
70	iShares Russell 1000 ETF (IWB)	Purchase	05/03/2023	\$250,001 - \$500,000
71	iShares Russell 1000 ETF (IWB)	Purchase	06/13/2023	\$1,000,001 - \$5,000,000
72	iShares Russell 1000 ETF (IWB)	Sale	06/26/2023	\$100,001 - \$250,000
73	iShares Russell 1000 ETF (IWB)	Purchase	07/25/2023	\$1,000,001 - \$5,000,000
74	iShares Russell 1000 ETF (IWB)	Purchase	09/06/2023	\$1,000,001 - \$5,000,000
75	iShares Russell 1000 ETF (IWB)	Purchase	11/07/2023	\$50,001 - \$100,000
76	iShares Short-Term National Muni Bond ETF (SUB)	Purchase	02/08/2023	\$1,001 - \$15,000
77	iShares Short-Term National Muni Bond ETF (SUB)	Sale	03/09/2023	\$1,000,001 - \$5,000,000
78	iShares Core Total USD Bond Market ETF (IUSB)	Purchase	06/26/2023	\$50,001 - \$100,000
79	iShares Core Total USD Bond Market ETF (IUSB)	Sale	07/20/2023	\$1,001 - \$15,000
80	iShares Core Total USD Bond Market ETF (IUSB)	Purchase	07/25/2023	\$1,001 - \$15,000
81	iShares Core Total USD Bond Market ETF (IUSB)	Sale	10/19/2023	\$1,001 - \$15,000
82	iShares Treasury Floating Rate Bond ETF (TFLO)	Purchase	07/20/2023	\$15,001 - \$50,000
83	iShares Treasury Floating Rate Bond ETF (TFLO)	Purchase	07/25/2023	\$1,001 - \$15,000
84	iShares Treasury Floating Rate Bond ETF (TFLO)	Sale	10/19/2023	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
85	iShares US Treasury Bond ETF (GOVT)	Purchase	03/10/2023	\$250,001 - \$500,000
86	iShares US Treasury Bond ETF (GOVT)	Purchase	03/20/2023	\$1,001 - \$15,000
87	iShares US Treasury Bond ETF (GOVT)	Purchase	06/13/2023	\$100,001 - \$250,000
88	iShares US Treasury Bond ETF (GOVT)	Purchase	06/26/2023	\$15,001 - \$50,000
89	iShares US Treasury Bond ETF (GOVT)	Sale	07/20/2023	\$15,001 - \$50,000
90	iShares US Treasury Bond ETF (GOVT)	Purchase	07/25/2023	\$250,001 - \$500,000
91	iShares US Treasury Bond ETF (GOVT)	Purchase	09/06/2023	\$100,001 - \$250,000
92	KraneShares California Carbon Allowance Strategy ETF KraneShares California Carbon Allowance Strategy ETF (KCCA)	Purchase	07/25/2023	\$100,001 - \$250,000
93	KraneShares California Carbon Allowance Strategy ETF KraneShares California Carbon Allowance Strategy ETF (KCCA)	Purchase	09/06/2023	\$15,001 - \$50,000
94	PIMCO High Yield Municipal Bond Fund Institutional Class Shares (PHMIX)	Sale	03/09/2023	\$1,001 - \$15,000
95	PIMCO High Yield Municipal Bond Fund Institutional Class Shares (PHMIX)	Purchase	03/13/2023	\$15,001 - \$50,000
96	PIMCO High Yield Municipal Bond Fund Institutional Class Shares (PHMIX)	Purchase	03/20/2023	\$15,001 - \$50,000
97	PIMCO High Yield Municipal Bond Fund Institutional Class Shares (PHMIX)	Purchase	05/03/2023	\$100,001 - \$250,000
98	PIMCO High Yield Municipal Bond Fund Institutional Class Shares (PHMIX)	Purchase	06/13/2023	\$500,001 - \$1,000,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
99	PIMCO High Yield Municipal Bond Fund Institutional Class Shares (PHMIX)	Purchase	07/25/2023	\$250,001 - \$500,000
100	PIMCO High Yield Municipal Bond Fund Institutional Class Shares (PHMIX)	Purchase	09/07/2023	\$250,001 - \$500,000
101	PIMCO High Yield Municipal Bond Fund Institutional Class Shares (PHMIX)	Purchase	11/07/2023	\$50,001 - \$100,000
102	SchwabEmerging Markets Equity ETF (SCHE)	Sale	03/09/2023	\$15,001 - \$50,000
103	Schwab FundamentalEmerging Markets Large Company Index ETF (FNDE)	Sale	03/09/2023	\$15,001 - \$50,000
104	Schwab US Small-Cap ETF (SCHA)	Sale	03/09/2023	\$50,001 - \$100,000
105	Schwab US Small-Cap ETF (SCHA)	Sale	06/26/2023	\$15,001 - \$50,000
106	Schwab U.S. Treasury Money Fund Ultra Shares (SUTXX)	Purchase	03/24/2023	\$1,000,001 - \$5,000,000
107	Schwab U.S. Treasury Money Fund Ultra Shares (SUTXX)	Sale	04/17/2023	\$15,001 - \$50,000
108	Schwab U.S. Treasury Money Fund Ultra Shares (SUTXX)	Purchase	04/25/2023	\$250,001 - \$500,000
109	Schwab U.S. Treasury Money Fund Ultra Shares (SUTXX)	Sale	05/02/2023	\$500,001 - \$1,000,000
110	Schwab U.S. Treasury Money Fund Ultra Shares (SUTXX)	Purchase	05/24/2023	\$5,000,001 - \$25,000,000
111	Schwab U.S. Treasury Money Fund Ultra Shares (SUTXX)	Sale	06/06/2023	\$500,001 - \$1,000,000
112	Schwab U.S. Treasury Money Fund Ultra Shares (SUTXX)	Sale	06/12/2023	\$1,000,001 - \$5,000,000
113	Schwab U.S. Treasury Money Fund Ultra Shares (SUTXX)	Sale	07/18/2023	\$15,001 - \$50,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
114	Schwab U.S. Treasury Money Fund Ultra Shares (SUTXX)	Sale	07/24/2023	\$1,000,001 - \$5,000,000
115	Schwab U.S. Treasury Money Fund Ultra Shares (SUTXX)	Sale	09/05/2023	\$1,000,001 - \$5,000,000
116	Schwab U.S. Treasury Money Fund Ultra Shares (SUTXX)	Purchase	09/19/2023	\$500,001 - \$1,000,000
117	Schwab U.S. Treasury Money Fund Ultra Shares (SUTXX)	Sale	10/04/2023	\$15,001 - \$50,000
118	Schwab U.S. Treasury Money Fund Ultra Shares (SUTXX)	Sale	10/13/2023	\$1,001 - \$15,000
119	SPDR Bloomberg Barclays 1-3 Month T-Bill ETF (BIL)	Purchase	02/16/2023	\$250,001 - \$500,000
120	SPDR Bloomberg Barclays 1-3 Month T-Bill ETF (BIL)	Sale	03/23/2023	\$250,001 - \$500,000
121	SPDR Portfolio Long Term Treasury ETF (SPTL)	Purchase	07/20/2023	\$15,001 - \$50,000
122	SPDR Portfolio Long Term Treasury ETF (SPTL)	Purchase	07/25/2023	\$1,001 - \$15,000
123	SPDR Portfolio Long Term Treasury ETF (SPTL)	Purchase	10/19/2023	\$1,001 - \$15,000
124	SPDR NUVEEN BLOMBG MNPL BND ETF (TFI)	Sale	03/09/2023	\$100,001 - \$250,000
125	SPDR S&P 500 ETF Trust (SPY)	Purchase	02/08/2023	\$50,001 - \$100,000
126	SPDR S&P 500 ETF Trust (SPY)	Sale	03/09/2023	\$5,000,001 - \$25,000,000
127	State Street Hedged Internatl Developed Eq Idx Fd Class K Shares (SSHQX)	Purchase	03/10/2023	\$250,001 - \$500,000
128	State Street Hedged Internatl Developed Eq Idx Fd Class K Shares (SSHQX)	Purchase	03/20/2023	\$50,001 - \$100,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
129	State Street Hedged Internatl Developed Eq Idx Fd Class K Shares (SSHQX)	Purchase	05/03/2023	\$15,001 - \$50,000
130	State Street Hedged Internatl Developed Eq Idx Fd Class K Shares (SSHQX)	Purchase	05/03/2023	\$100,001 - \$250,000
131	State Street Hedged Internatl Developed Eq Idx Fd Class K Shares (SSHQX)	Purchase	06/13/2023	\$100,001 - \$250,000
132	State Street Hedged Internatl Developed Eq Idx Fd Class K Shares (SSHQX)	Purchase	07/25/2023	\$15,001 - \$50,000
133	State Street Hedged Internatl Developed Eq Idx Fd Class K Shares (SSHQX)	Purchase	09/07/2023	\$100,001 - \$250,000
134	State Street Hedged Internatl Developed Eq Idx Fd Class K Shares (SSHQX)	Purchase	11/07/2023	\$50,001 - \$100,000
135	TCW TRANSFORM 500 ETF (VOTE)	Purchase	10/19/2023	\$15,001 - \$50,000
136	Vanguard Developed Markets Index Fund ETF Shares (VEA)	Sale	03/09/2023	\$1,000,001 - \$5,000,000
137	VanguardEmerging Markets Stock Index Fund ETF Shares (VWO)	Sale	03/09/2023	\$1,000,001 - \$5,000,000
138	Vanguard Intermediate-Term Treasury Index Fund ETF Class Shares (VGIT)	Sale	03/09/2023	\$250,001 - \$500,000
139	Vanguard Long-Term Treasury Index Fund ETF Class Shares (VGLT)	Purchase	10/24/2023	\$500,001 - \$1,000,000
140	Vanguard Long-Term Treasury Index Fund ETF Class Shares (VGLT)	Purchase	11/07/2023	\$15,001 - \$50,000
141	Vanguard Long-Term Treasury Index Fund ETF Class Shares (VGLT)	Sale	03/09/2023	\$100,001 - \$250,000
142	Vanguard Tax-Exempt Bond Index Fund ETF Shares (VTEB)	Sale	03/09/2023	\$50,001 - \$100,000
143	Vanguard Small-Cap Index Fund ETF Shares (VB)	Sale	03/09/2023	\$50,001 - \$100,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
144	Vanguard Total Stock Market Index Fund ETF Class Shares (VTI)	Sale	03/09/2023	\$100,001 - \$250,000

8. Liabilities

None

9. Gifts and Travel Reimbursements

None

Endnotes

PART	#	ENDNOTE
2.	1	Income on vested RSUs due to triggering liquidity event. All RSUs sold in 2023.
2.	4	Account rolled over to Rollover IRA - closed in 2023
2.	4.1	Account rolled over to Rollover IRA - closed in 2023
6.	46	Income from sale of exercised options.

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during the reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$480 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$480 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$192 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. § 13101 et seq., as amended by the Representative Louise McIntosh Slaughter Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13989 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

Public Burden Information

This collection of information is estimated to take an average of ten hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE) Suite 500, 1201 New York Avenue, N.W., Washington, DC 20005-3917.

Pursuant to the Paperwork Reduction Act, as amended, an agency may not conduct or sponsor, and no person is required to respond to, a collection of information unless it displays a currently valid OMB control number (that number, 3209-0001, is displayed here and at the top of the first page of this OGE Form 278e).
