

Executive Branch Personnel Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

Cheng, Dennis

Deputy Assistant to the President and Deputy Director of Political Strategy and Outreach, White House - Biden-Harris Administration

Date of Appointment: 11/06/2023

Other Federal Government Positions Held During the Preceding 12 Months:

None

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Cheng, Dennis W [electronically signed on 12/04/2023 by Cheng, Dennis W in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Dorsey, Osasumwen Z, Certifying Official [electronically signed on 02/02/2024 by Dorsey, Osasumwen Z in Integrity.gov]

Other review conducted by

/s/ Dorsey, Osasumwen Z, Ethics Official [electronically signed on 02/02/2024 by Dorsey, Osasumwen Z in Integrity.gov]

U.S. Office of Government Ethics Certification

Data Revised 12/22/2023

Data Revised 12/20/2023

Data Revised 12/11/2023

Data Revised 12/07/2023

1. Filer's Positions Held Outside United States Government

#	ORGANIZATION NAME	CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
1	Clinton Foundation	New York, New York	Non-Profit	Executive Vice President	1/2023	11/2023
2	Onward Together	New York, New York	Non-Profit	Senior Advisor and Board Member	5/2017	11/2023
3	Compass	New York, New York	Corporation	Chief of Staff to CEO	8/2021	12/2021
4	Student Freedom Initiative	Washington, District of Columbia	Non-Profit	Senior Advisor for Development and Outreach	4/2022	9/2022
5	Cheng Strategies LLC	New York, New York	LLC	Principal	5/2017	11/2023
6	The Seymour Condominium	New York, New York	Condominium Board	Board Member (unpaid)	6/2018	Present

2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Clinton Foundation	N/A		Salary	\$301,827
2	Onward Together	N/A		Salary	\$383,333
3	Cheng Strategies LLC	N/A			
3.1	Clinton Foundation	N/A		Consulting services	\$220,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3.2	Elton John AIDS Foundation	N/A		Consulting services	\$125,000
3.3	The Asian American Foundation	N/A		Consulting services	\$175,000
4	Student Freedom Initiative	N/A		Salary	\$96,750
5	Clinton Foundation 401k	Yes			
5.1	Vanguard Target Retirement 2050 Fund Investor Class Shares (VFIFX)	Yes	\$100,001 - \$250,000		None (or less than \$201)
5.2	Vanguard Target Retirement 2040 Fund Investor Class Shares (VFORX)	Yes	\$100,001 - \$250,000		None (or less than \$201)
6	IRA	No			
6.1	INVESCO S&P 500 EQUAL WEIGHT ETF	Yes	\$1,001 - \$15,000		None (or less than \$201)
6.2	ISHARES 20+ YEAR TREAS BOND ETF	Yes	\$1,001 - \$15,000		None (or less than \$201)
6.3	ISHARES BROAD USD INVT GRADE CORPORATE BOND ETF	Yes	\$1,001 - \$15,000		None (or less than \$201)
6.4	ISHARES CORE MSCI EAFE ETF	Yes	\$1,001 - \$15,000		None (or less than \$201)
6.5	VANGUARD MID-CAP ETF	Yes	\$1,001 - \$15,000		None (or less than \$201)
6.6	VANGUARD RUSSELL 1000 GROWTH ETF	Yes	\$1,001 - \$15,000		None (or less than \$201)
6.7	VANGUARD RUSSELL 1000 VALUE ETF	Yes	\$1,001 - \$15,000		None (or less than \$201)
6.8	VANGUARD RUSSELL 2000 ETF	Yes	\$1,001 - \$15,000		None (or less than \$201)
7	SEP IRA	No			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
7.1	INVESCO S&P 500 EQUAL WEIGHT ETF	Yes	\$1,001 - \$15,000		None (or less than \$201)
7.2	ISHARES 0-3 MNTH TREASURY BOND ETF	Yes	\$1,001 - \$15,000		None (or less than \$201)
7.3	ISHARES 20+ YEAR TREAS BOND ETF	Yes	\$1,001 - \$15,000		None (or less than \$201)
7.4	ISHARES BROAD USD INVT GRADE CORPORATE BOND ETF	Yes	\$1,001 - \$15,000		None (or less than \$201)
7.5	ISHARES CORE MSCI EAFE ETF	Yes	\$15,001 - \$50,000		None (or less than \$201)
7.6	VANGUARD MID-CAP ETF	Yes	\$1,001 - \$15,000		None (or less than \$201)
7.7	VANGUARD RUSSELL 1000 GROWTH ETF	Yes	\$15,001 - \$50,000		None (or less than \$201)
7.8	VANGUARD RUSSELL 1000 VALUE ETF	Yes	\$15,001 - \$50,000		None (or less than \$201)
7.9	VANGUARD RUSSELL 2000 ETF	Yes	\$1,001 - \$15,000		None (or less than \$201)
7.10	ISHARES CORE MSCI EMERGING MARKETS ETF	Yes	\$1,001 - \$15,000		None (or less than \$201)

3. Filer's Employment Agreements and Arrangements

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
1	Clinton Foundation	New York, New York	I will continue to participate in this defined contribution plan. The plan sponsor will not make further contributions after my separation.	7/2011

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
2	Cheng Strategies LLC	New York, New York	My sole proprietorship will be inactive during my appointment and all outstanding client fees were settled before I entered government service.	11/2023

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

#	SOURCE NAME	CITY, STATE	BRIEF DESCRIPTION OF DUTIES
1	Clinton Foundation	New York, New York	Executive Vice President (2023); consulting services (Cheng Strategies, 2021-2022)
2	Onward Together	New York, New York	Senior Advisor and Board Member
3	Cheng Strategies LLC	New York, New York	Principal and Founder
4	Student Freedom Initiative	Washington, District of Columbia	Senior Advisor for Development and Outreach (part-time)
5	Elton John AIDS Foundation	New York, New York	Consulting services (Cheng Strategies)
6	Compass	New York, New York	Chief of Staff to CEO
7	Girls Who Code	New York, New York	Consulting services (Cheng Strategies)
8	SpringHill Entertainment	Akron, Ohio	Executive Producer for Recipe for Change: Stop Asian Hate
9	The Asian American Foundation	Washington, District of Columbia	Consulting services (Cheng Strategies)

5. Spouse's Employment Assets & Income and Retirement Accounts

None

6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	U.S. bank #1 (cash)	N/A	\$50,001 - \$100,000		None (or less than \$201)
2	U.S. bank #2 (cash)	N/A	\$50,001 - \$100,000	Interest	\$2,501 - \$5,000
3	U.S. bank #3 (cash)	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
4	U.S. savings bonds	N/A	\$15,001 - \$50,000		None (or less than \$201)
5	U.S. treasury bonds	N/A	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
6	Bitcoin (Coinbase account)	N/A	\$15,001 - \$50,000		None (or less than \$201)
7	Ethereum (Coinbase account)	N/A	\$1,001 - \$15,000		None (or less than \$201)
8	Betaworks Ventures 2.0, LP:	No	\$50,001 - \$100,000		None (or less than \$201)
8.1	Aconite Inc.	N/A			
8.2	Antares Systems Inc.	N/A			
8.3	Armillia Assurance Inc.	N/A			
8.4	Autobiography Studio, Inc.	N/A			
8.5	Backdrop Labs Ltd.	N/A			
8.6	Base Station Inc.	N/A			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
8.7	Batou XYZ	N/A			
8.8	Betaverse, Inc.	N/A			
8.9	Bionic Health, Inc.	N/A			
8.10	Blockchain Luxembourg S.A	N/A			
8.11	BV 2.0 Digital Assets Alpha, LLC	N/A			
8.12	Checkin or Egg, Inc.	N/A			
8.13	Collect Art, Inc.	N/A			
8.14	Commsor	N/A			
8.15	Deftly, Inc.	N/A			
8.16	Digs Space, Inc.	N/A			
8.17	E.A.T. Works, LLC	N/A			
8.18	Factorial Capital I, LP	N/A			
8.19	Fave Technologies, Inc.	N/A			
8.20	Fewer Meetings, Inc.	N/A			
8.21	FlowDex, Inc.	N/A			
8.22	Flower Labs, Inc.	N/A			
8.23	Friendly Apps, Inc.	N/A			
8.24	Gems Platforms, Inc.	N/A			
8.25	General Galactic Corporation	N/A			
8.26	Globe Knowledge Solutions, Corp.	N/A			
8.27	Granola, Inc.	N/A			
8.28	Headlight Corp	N/A			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
8.29	Hidden Door, Inc.	N/A			
8.30	Hyams Labs Inc	N/A			
8.31	Ink, Inc.	N/A			
8.32	International Persuasion Machines, Inc.	N/A			
8.33	Koodos, Inc.	N/A			
8.34	Lithium Media	N/A			
8.35	Marble Media Inc.	N/A			
8.36	Medallion Arts Inc.	N/A			
8.37	Metalabel, Inc.	N/A			
8.38	Mysteries Inc.	N/A			
8.39	Never Before Heard Sounds, Inc.	N/A			
8.40	Nomic, Inc.	N/A			
8.41	Open Souls Co.	N/A			
8.42	Origami, Inc.	N/A			
8.43	Pangaea Technologies, Inc.	N/A			
8.44	Parity Technologies, Inc.	N/A			
8.45	Pattern Engine, Inc.	N/A			
8.46	Plastic Labs Inc.	N/A			
8.47	Plexus Knowledge, Inc.	N/A			
8.48	Quadratic Growth Inc.	N/A			
8.49	Rainbow Labs Inc.	N/A			
8.50	Raraland Inc. Raraland Inc.	N/A			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
8.51	re:collect AI, Inc.	N/A			
8.52	Reactions Inc.	N/A			
8.53	Readwise, Inc.	N/A			
8.54	Rewordly, Inc.	N/A			
8.55	Riftweaver, Inc.	N/A			
8.56	Roam HQ, Inc.	N/A			
8.57	Rownd Inc.	N/A			
8.58	Sane Holdings Inc.	N/A			
8.59	Savepoint Games Inc.	N/A			
8.60	Shader Inc.	N/A			
8.61	Smalltalk Fam, Inc.	N/A			
8.62	Softspace Inc.	N/A			
8.63	Software Applications Incorporated	N/A			
8.64	Stability AI Inc	N/A			
8.65	Stardust App Inc	N/A			
8.66	Studio1x Limited	N/A			
8.67	Subconscious Inc.	N/A			
8.68	Sublime Internet	N/A			
8.69	Synthetaic, LLC	N/A			
8.70	Tea Inc.	N/A			
8.71	The Browser Company of New York Inc.	N/A			
8.72	Titles, Inc.	N/A			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
8.73	Unakin Ltd	N/A			
8.74	Vine Digital, Inc.	N/A			
8.75	Wand Technologies, Inc.	N/A			
8.76	Waverly AI, Inc.	N/A			
8.77	WiTag, Inc.	N/A			
8.78	XMTP, Inc.	N/A			
9	Betaworks Effervescence LLC:	No			
9.1	Bubble Group, Inc.	N/A	\$15,001 - \$50,000		None (or less than \$201)
10	AOF Partners Fund LLC	No	\$100,001 - \$250,000		None (or less than \$201)
11	Greenfield MHP Holdings, LLC (commercial storage facility)	N/A	\$15,001 - \$50,000	Distribution	\$13,799
12	Mossy Acres MHP Holdings LLC (commercial storage facility)	N/A	\$15,001 - \$50,000	Distribution	\$11,287
13	Idyllic Sugar II LLC (note)	N/A	\$100,001 - \$250,000		None (or less than \$201)
14	Pruco Life Insurance, variable life:	N/A			
14.1	Fidelity VIP Index 500 (FXVLT)	Yes	\$15,001 - \$50,000		
15	Brokerage account #1:	No			
15.1	APPLE INC (AAPL)	N/A	\$1,001 - \$15,000		None (or less than \$201)
15.2	AIRBNB INC (ABNB)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
15.3	DIDI GLOBAL INC (DIDIY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
15.4	DISNEY WALT CO (DIS)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
15.5	MATTEL INC (MAT)	N/A	\$1,001 - \$15,000		None (or less than \$201)
15.6	NETFLIX INC (NFLX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
15.7	PROSHARES TR PET CARE ETF (PAWZ)	N/A	\$1,001 - \$15,000		None (or less than \$201)
15.8	BLOCK INC (SQ)	N/A	\$1,001 - \$15,000		None (or less than \$201)
15.9	CONSTELLATION BRANDS INC (STZ)	N/A	\$1,001 - \$15,000		None (or less than \$201)
16	Brokerage account #2:	No			
16.1	Robinhood Markets Inc (HOOD)	N/A	\$1,001 - \$15,000		None (or less than \$201)
17	Brokerage account #3:	No			
17.1	BLACKSTONE PRIVATE CREDIT FUND (MFBZSG, private credit)	N/A	\$100,001 - \$250,000	Dividends	\$15,001 - \$50,000
17.2	BLACKSTONE REAL ESTATE INCOME TRUST INC (MFQGNJ, private credit)	N/A	\$100,001 - \$250,000	Dividends	\$5,001 - \$15,000
17.3	BARCLAYS BK PLC (bonds)	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
17.4	TORONTO-DOMINION BK (bonds)	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
17.5	3M CO (MMM)	N/A	\$1,001 - \$15,000	Dividends	\$1,001 - \$2,500
17.6	CLOROX CO (CLX)	N/A	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
17.7	COMPASS INC (COMP)	N/A	\$15,001 - \$50,000		None (or less than \$201)
17.8	JOHNSON & JOHNSON COM (JNJ)	N/A	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
17.9	KENVUE INC (KVUE)	N/A	\$1,001 - \$15,000		None (or less than \$201)
17.10	LINDE PLC (LIN)	N/A	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500
17.11	STRYKER CORP (SYK)	N/A	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500
17.12	AMER FUNDS CAPITAL WORLD GROWTH & INCOME FUND CL A (CWGIX)	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
17.13	DODGE & COX INTERNATIONAL STOCK FUND CLASS I (DODFX)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
17.14	FIDELITY ADVISOR MID CAP II CLASS A (FIIAX)	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
17.15	JP MORGAN CORE PLUS FUND CLASS I	Yes	None (or less than \$1,001)		\$1,001 - \$2,500
17.16	VALLEY NATL BANK NJ US (bonds)	N/A	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
17.17	FIDELITY TAX-FREE BOND FUND	Yes	None (or less than \$1,001)		\$201 - \$1,000
17.18	JP MORGAN SHORT DURATION BOND FUND CLASS I	Yes	None (or less than \$1,001)		\$201 - \$1,000
17.19	JPMORGAN STRATEGIC INCOME OPPORTUNITIES	Yes	None (or less than \$1,001)		\$201 - \$1,000
18	Managed account #1:	No			
18.1	APOLLO DEBT SOLUTIONS BDC (private credit)	N/A	\$50,001 - \$100,000	Dividends	\$5,001 - \$15,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
18.2	BLACKSTONE PRIVATE CREDIT FUND (MFBZSG, private credit)	N/A	\$100,001 - \$250,000	Dividends	\$5,001 - \$15,000
18.3	STARWOOD REAL ESTATE INCOME TRUST, INC. (MFSBGG, private credit)	N/A	\$50,001 - \$100,000	Dividends	\$5,001 - \$15,000
18.4	AMER EXPRESS CO (bonds)	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
18.5	AMERISOURCEBERGEN CORP (bonds)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.6	ASTRAZENECA FIN LLC (bonds)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.7	AT&T INC (bonds)	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
18.8	BANK OF AMER CORP (bonds)	N/A	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500
18.9	BK OF MONTREAL (bonds)	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
18.10	BLOCK FINANCIAL LLC (bonds)	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
18.11	BOEING CO (bonds)	N/A	None (or less than \$1,001)	Interest	\$201 - \$1,000
18.12	BROADCOM INC (bonds)	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
18.13	BROOKFIELD FIN LLC (bonds)	N/A	\$1,001 - \$15,000	Interest	\$1,001 - \$2,500
18.14	CANADIAN IMPERIAL BANK (bonds)	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
18.15	CAPITAL ONE FINANCIAL CO (bonds)	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
18.16	CENTENE CORP (bonds)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.17	CHARTER COMM OPT LLC (bonds)	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
18.18	CHENIERE CORP (bonds)	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
18.19	CITIGROUP INC (bonds)	N/A	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500
18.20	CPTL ONE FINL CORP (bonds)	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
18.21	DISCOVER FINL SVCS (bonds)	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
18.22	GENL MOTORS FINL CO INC (bonds)	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
18.23	GOLDMAN SACHS GROUP INC (bonds)	N/A	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500
18.24	HCA INC (bonds)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.25	INTEL CORP (bonds)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.26	INTERCONTINENTAL (bonds)	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
18.27	JACKSON FINANCIAL INC (bonds)	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
18.28	JANUS CAPITAL GROUP INC (bonds)	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
18.29	JPMORGAN CHASE & CO (bonds)	N/A	\$1,001 - \$15,000	Interest	\$1,001 - \$2,500
18.30	KRAFT HEINZ FOODS CO (bonds)	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
18.31	LAZARD GROUP LLC (bonds)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.32	LYB INT FIN III (bonds)	N/A	\$15,001 - \$50,000		None (or less than \$201)
18.33	MORGAN STANLEY (bonds)	N/A	\$50,001 - \$100,000	Capital Gains Interest	\$2,501 - \$5,000
18.34	PAYPAL HLDGS INCORP (bonds)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
18.35	PFIZER (bonds)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.36	PNC FINANCIAL SERVICES (bonds)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.37	ROYAL BK OF CANADA (bonds)	N/A	\$15,001 - \$50,000	Interest	\$2,501 - \$5,000
18.38	SEMPRA ENERGY (bonds)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.39	SOUTHERN CAL EDISON (bonds)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.40	SOUTHERN CALIF EDISON CO (bonds)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.41	SUMITOMO MITSUI FINL GRP (bonds)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
18.42	T-MOBILE USA INC (bonds)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.43	TORONTO-DOMINION BK (bonds)	N/A	\$15,001 - \$50,000		None (or less than \$201)
18.44	VIRGINIA ELEC & PWR CO (bonds)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.45	WALGREENS BOOTS ALLIANCE (bonds)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.46	WALT DISNEY COMPANY (bonds)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.47	WELLS FARGO & CO (bonds)	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
18.48	WESTERN UNION CO (bonds)	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
18.49	ABB LTD (ABBNY)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
18.50	ABBOTT LABS (ABT)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.51	ABBVIE INC (ABBV)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
18.52	ADOBE INC. (ADBE)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.53	ADVANCED MICRO DEVICES INC (AMD)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.54	AGCO CORP (AGCO)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.55	AIA GROUP LTD (AAGIY)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
18.56	AIB GROUP PLC EUR (AIBRF)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.57	AIR LIQUIDE (AIQUY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.58	AIR PROD & CHEMICAL INC (APD)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
18.59	AIRBUS SE (EADSY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.60	ALCON INC (ALC)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.61	ALIBABA GROUP HLDG LTD (BABA)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.62	ALLEGION PLC (ALLE)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.63	ALLSTATE CORP (ALL)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.64	ALPHABET INC (GOOGL)	N/A	\$15,001 - \$50,000		None (or less than \$201)
18.65	ALTRIA GROUP INC (MO)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
18.66	AMADEUS IT GROUP (AMADY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.67	AMAZON.COM INC (AMZN)	N/A	\$15,001 - \$50,000		None (or less than \$201)
18.68	AMER ELECTRIC POWER CO (AEP)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.69	AMER EXPRESS CO (AXP)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.70	AMERICAN TOWER CORP REIT (AMT)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
18.71	AMERIPRISE FINANCIAL INC (AMP)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.72	ANALOG DEVICES INC (ADI)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.73	ANHEUSER BUSCH INBEV (BUD)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.74	ANSYS INC (ANSS)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.75	APA CORP (APA)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.76	APPLE INC (AAPL)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.77	APTIV PLC (APTIV)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.78	ASHTREAD GROUP PLC (ASHTF)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.79	ASML HLDG NV (ASML)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.80	ASPEN TECHNOLOGY INC (AZPN)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
18.81	ASTRAZENECA PLC (AZN)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
18.82	ATLISSIAN CORP (TEAM)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.83	BANK OZK (OZK)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.84	BECTON DICKINSON & CO (BDX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.85	BENTLEY SYSTEMS INC (BSY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.86	BERKLEY W R CORP (WRB)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.87	BERKSHIRE HATHAWAY INC (BRKB)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.88	BIO RAD LABORATORIES INC (BIO)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.89	BLACKROCK INC (BLK)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
18.90	BLACKSTONE INC (BX)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
18.91	BRIGHT HORIZONS FAMILY SOLUTIONS INC (BFAM)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.92	BRITISH AMER TOBACCO PLC (BTI)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
18.93	BROADCOM INC (AVGO)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
18.94	BROADRIDGE FINANCIAL SOLUTIONS INC (BR)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.95	BRUNSWICK CORP (BC)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.96	BT GROUP PLC (BTGOF)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
18.97	BUNGE LIMITED (BG)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.98	CADENCE DESIGN SYSTEM (CDNS)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.99	CANADIAN PAC KANS CITY LTD (CP)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.100	CAPGEMINI S E (CGEMY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.101	CARLSBERG AS (CABGY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.102	CF INDUSTRIES HOLDINGS INC (CF)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.103	CHARLES RIVER LABORATORIES (CRL)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.104	CHEMED CORP (CHE)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.105	CHEVRON CORP (CVX)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
18.106	CHIPOTLE MEXICAN GRILL INC (CMG)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.107	CHIPOTLE MEXICAN GRILL INC (CMG)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.108	CHOICE HOTELS INTL INC (CHH)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.109	CINN FINANCIAL CORP (CINF)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.110	CISCO SYSTEMS INC (CSCO)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
18.111	CME GROUP INC (CME)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
18.112	COMCAST CORP (CMCSA)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
18.113	CONOCOPHILLIPS (COP)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
18.114	COOPER COMPANIES INC (COO)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.115	CROWN CASTLE INC REIT (CCI)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.116	CVS HEALTH CORP (CVS)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.117	DAIICHI SANKYO CO LTD (DSNKY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.118	DAIKIN INDS LTD (DKILY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.119	DANAHER CORP (DHR)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.120	DBS GROUP HLDGS LTD (DBSDY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.121	DIAGEO PLC (DEO)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
18.122	DNB BK ASA (DNBBY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.123	DOLBY LABORATORIES INC (DLB)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.124	DOLLAR TREE INC (DLTR)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.125	DOMINION ENERGY INC (D)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
18.126	DYNATRACE INC (DT)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.127	ECOLAB INC (ECL)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
18.128	EDWARDS LIFESCIENCES CORP (EW)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.129	EMERSON ELECTRIC CO (EMR)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.130	ENGIE (ENGIY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.131	EPIROC AKTIEBOLAG (EPOKY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.132	EQUIFAX INC (EFX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.133	ERSTE GROUP BANK AG (EBKOF)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
18.134	ESSILORLUXOTTICA (ESLOY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.135	EXPONENT INC (EXPO)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.136	EXXON MOBIL CORP (XOM)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.137	FAIR ISAAC CORP (FICO)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.138	FANUC CORP (FANUY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.139	FASTENAL CO (FAST)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.140	FEDEX CORP (FDX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.141	FIDELITY NATL INFORMATION SVCS (FIS)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
18.142	FORTINET INC (FTNT)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
18.143	FORTIVE CORP (FTV)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.144	GARTNER INC (IT)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.145	GENMAB A/S (GMAB)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.146	GIVAUDAN SA (GVDNY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.147	GLENCORE PLC (GLNCY)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
18.148	GOLDMAN SACHS GROUP INC (GS)	N/A	\$1,001 - \$15,000	Dividends	\$1,001 - \$2,500
18.149	HALEON PLC (HLNCF)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.150	HAYWARD HLDGS INC (HAYW)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.151	HDFC BANK LTD (HDB)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.152	HENRY JACK & ASSOC INC (JKHY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.153	HERMES INTL SCA (HESAY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.154	HEXPOL AB SEK (HXPLF)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.155	HOME DEPOT INC (HD)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.156	HUBSPOT INC (HUBS)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.157	ICICI BANK LTD (IBN)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
18.158	IDEXX LABS (IDXX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.159	INDUSTRIA DE DISENO TEXTIL (IDEXY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.160	INFINEON TECHNOLOGIES (IFNNY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.161	INGERSOLL RAND INC (IR)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.162	INTERACTIVE BROKERS GROUP INC (IBKR)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.163	INTUIT (INTU)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.164	ITOCHU CORP (ITOCY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.165	JOHNSON & JOHNSON (JNJ)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.166	JPMORGAN CHASE & CO (JPM)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.167	KERING S A (PPRUY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.168	KEYENCE CORP (KYCCF)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.169	KNORR BREMSE AG (KNBHF)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.170	KONINKLIJKE PHILIPS NV (PHG)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.171	LABORATORY CORP (LH)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
18.172	LAMB WESTON HLDGS INC (LW)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.173	LAS VEGAS SANDS CORP (LVS)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.174	LENNOX INTL INC (LII)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.175	LILLY ELI & CO (LLY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.176	LINDE PLC NEW EUR (LIN)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.177	LOCKHEED MARTIN CORP (LMT)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.178	LONDON STK EXCHANGE (LNSTY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.179	LONDON STOCK EXCHANGE GROUP PLC (LDNXF)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.180	LOREAL CO (LRLCY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.181	LOWES COMPANIES INC (LOW)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.182	LPL FINL HLDGS INC (LPLA)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
18.183	LULULEMON ATHLETICA INC (LULU)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.184	LVMH MOET HENNESSY LOUIS (LVMUY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.185	MARSH & MCLENNAN COS INC (MMC)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.186	MARUBENI CORP (MARUY)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
18.187	MARVELL TECHNOLOGY INC (MRVL)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.188	MASTERCARD INC (MA)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.189	MCDONALDS CORP (MCD)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.190	MERCADOLIBRE INC (MELI)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.191	MERCK & CO INC (MRK)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.192	META PLATFORMS INC (META)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.193	MICHELIN COMPAGNIE GENERALE (MGDDF)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
18.194	MICROSOFT CORP (MSFT)	N/A	\$15,001 - \$50,000		None (or less than \$201)
18.195	MONDELEZ INTL INC (MDLZ)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.196	MONOLITHIC POWER SYSTEMS INC (MPWR)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
18.197	MORGAN STANLEY (MS)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
18.198	MSCI INC (MSCI)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.199	NESTLE S A (NSRGY)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
18.200	NETFLIX INC (NFLX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.201	NEXTERA ENERGY INC (NEE)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
18.202	NIKE INC (NKE)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.203	NINTENDO LTD (NTDOY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.204	NIPPON TELEG & TEL CORP (NTTY)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
18.205	NORDSON CORP (NDSN)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.206	NORFOLK STHN CORP (NSC)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.207	NOVARTIS AG (NVS)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
18.208	NOVO NORDISK (NVO)	N/A	\$1,001 - \$15,000	Dividends	\$1,001 - \$2,500
18.209	NVIDIA CORP (NVDA)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
18.210	ORACLE CORP (ORCL)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
18.211	ORIX CORP (IX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.212	PAYCHEX INC (PAYX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.213	PENTAIR PLC (PNR)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.214	PERNOD RICARD (PDRDF)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.215	PFIZER INC (PFE)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
18.216	PHILIP MORRIS INTL INC (PM)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
18.217	POOL CORP (POOL)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
18.218	PRADA S.P.A EUR (PRDSF)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.219	PROCTER & GAMBLE CO (PG)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.220	PROGRESSIVE CORP (PGR)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
18.221	PROLOGIS INC (PLD)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.222	RECKITT BENCKISER PLC (RBGLY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.223	REGAL REXNORD CORP (RRX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.224	ROLLINS INC (ROL)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.225	ROYAL KPN N.V. (KKPNY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.226	RTX CORP (RTX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.227	SAFRAN SA (SAFRY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.228	SAGE GROUP PLC (SGGEF)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.229	SAIA INC (SAIA)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.230	SALESFORCE, INC. (CRM)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.231	SAP SE (SAP)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.232	SCHWAB CHARLES CORP (SCHW)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
18.233	SERVICENOW INC (NOW)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.234	SHELL PLC (SHEL)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
18.235	SHIN ETSU CHEM CO LTD (SHECY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.236	SIKA AG (SXYAY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.237	SITEONE LANDSCAPE SUPPLY INC (SITE)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.238	SLM CORP VTG (SLM)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.239	SMC CORP (SMECF)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.240	SOFTBANK GROUP CORP (SFTBY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.241	SONY GROUP CORP (SONY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.242	SPLUNK INC (SPLK)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.243	STARBUCKS CORP (SBUX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.244	STMICROELECTRONICS N.V (STM)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.245	STRAUMANN HLDG AG (SAUHY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.246	SYSCO CORP (SYY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.247	TAIWAN SEMICONDUCTOR MFG CO LTD (TSM)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
18.248	TAKE-TWO INTERACTIVE SOFTWARE (TTWO)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.249	TARGET CORP (TGT)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
18.250	TELEDYNE TECHNOLOGIES INC (TDY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.251	TENCENT HLDGS LTD (TCEHY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.252	TERADYNE INC (TER)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.253	TESLA INC (TSLA)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.254	TEXAS INSTRUMENTS (TXN)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
18.255	TFI INTL INC (TFII)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.256	THERMO FISHER SCIENTIFIC INC (TMO)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.257	THOR INDUSTRIES INC (THO)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.258	TOKYO ELECTRON LTD (TOELY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.259	TOTALENERGIES SE (TTE)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.260	TRANSDIGM GROUP INC (TDG)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.261	TRAVELERS COS INC (TRV)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.262	UBER TECHNOLOGIES INC (UBER)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
18.263	UNILEVER PLC (UL)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.264	UNITED PARCEL SERVICE INC (UPS)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.265	UNITEDHEALTH GROUP INC (UNH)	N/A	\$15,001 - \$50,000		None (or less than \$201)
18.266	UNTD OVERSEAS BK LTD (UOVEY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.267	UNTD RENTALS INC (URI)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.268	VERISK ANALYTICS INC (VRSK)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.269	VERIZON COMMUNICATIONS INC (VZ)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
18.270	VERTEX PHARMACEUTICAL INC (VRTX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.271	VISA INC (V)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.272	VOYA FINL INC COM (VOYA)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.273	WALMART INC (WMT)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.274	WATSCO INC (WSO)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.275	WELLS FARGO & CO (WFC)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.276	WEST PHARMACEUTICAL SERVICES INC (WST)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.277	WILLIAMS COS INC (WMB)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
18.278	WIZZ AIR HOLDINGS PLC (WZZAF)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.279	ZEBRA TECHNOLOGIES CORP (ZBRA)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.280	ZURN ELKAY WATER SOLUTION CORP (ZWS)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.281	CHICAGO IL O HARE INTL (bonds)	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
18.282	CHICAGO IL WSTWTR TRANSM (bonds)	N/A	\$15,001 - \$50,000		None (or less than \$201)
18.283	ILLINOIS ST (bonds)	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
18.284	ILLINOIS ST TOLL HWY (bonds)	N/A	\$50,001 - \$100,000	Interest	\$2,501 - \$5,000
18.285	LONG IS PWR AUTH NY (bonds)	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
18.286	LONG ISLAND NY PWR (bonds)	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
18.287	LOWER CO RIV AUTH TX (bonds)	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
18.288	LOWER CO TX (bonds)	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
18.289	METROPOLITAN TRANSN (bonds)	N/A	\$15,001 - \$50,000	Interest	\$2,501 - \$5,000
18.290	NEW JERSEY ST TRANSN (bonds)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.291	NEW YORK CITY NY HLTH & RV (bonds)	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
18.292	NEW YORK CITY TRANSIT (bonds)	N/A	\$50,001 - \$100,000	Interest	\$2,501 - \$5,000
18.293	NEW YORK NY FOR PREVIOUS (bonds)	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
18.294	NEW YORK ST DORM AUTH (bonds)	N/A	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
18.295	NEW YORK ST TWY AUTH (bonds)	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
18.296	OYSTER BAY TOWN, NY (bonds)	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
18.297	SAN ANTONIO TX ELEC & GAS (bonds)	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
18.298	ST DORM AUTH SALES TAX (bonds)	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
18.299	SUFFOLK CNTY NY (bonds)	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
18.300	SUFFOLK CNTY NY (bonds)	N/A	\$15,001 - \$50,000		None (or less than \$201)
18.301	TRIBOROUGH BRDG & TUNL (bonds)	N/A	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
18.302	ALGER SMALL CAP FOCUS FUND CLASS Z (AGOZX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
18.303	DELAWARE SMALL CAP CORE I (DCCIX)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
18.304	FEDERATED HERMES GOVT OBL FUND CLASS ADVISOR (GOVXX)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
18.305	HARTFORD STRATEGIC INCOME FUND CLASS I (HSNIX)	Yes	\$50,001 - \$100,000		\$5,001 - \$15,000
18.306	JOHN HANCOCK DISCIPLINED VALUE MID CAP FUND CLASS I (JVMIX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
18.307	MARTIN CURRIE SMA-SHARES SERIES EM FUND (LCSMX)	Yes	None (or less than \$1,001)		\$201 - \$1,000
18.308	PEAR TREE POLARIS FOREIGN VALUE FUND INSTL (QFVIX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
18.309	PERFORMANCE TRUST STRATEGIC FUND CLASS I (PTIAX)	Yes	\$50,001 - \$100,000		\$5,001 - \$15,000
18.310	UBS FUNDS UBS EMERGING MARKETS EQUITY OPPORTUNITY FUND CL P2 (EMPTX)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
18.311	GS FINANCE CORP (structured note)	N/A	\$15,001 - \$50,000		None (or less than \$201)
18.312	JPMORGAN CHASE FINANCIAL (structured note)	N/A	\$15,001 - \$50,000		None (or less than \$201)
18.313	JPMORGAN CHASE FINANCIAL MED TERM (structured note)	N/A	\$15,001 - \$50,000		None (or less than \$201)
18.314	FIDELITY INTERNATIONAL INDEX FUND (MFFDYW)	Yes	None (or less than \$1,001)		\$1,001 - \$2,500
18.315	ISHARES CORE MSCI EMERGING MARKETS ETF (IEMG)	Yes	None (or less than \$1,001)		\$1,001 - \$2,500
18.316	J P MORGAN BETABUILDERS DEVELOPED ASIA PAC (BBAX)	Yes	None (or less than \$1,001)		\$2,501 - \$5,000
18.317	JP MORGAN INTERMEDIATE TAX FREE BOND FUND (MFVIRG)	Yes	None (or less than \$1,001)		\$1,001 - \$2,500
18.318	PGIM HIGH YIELD FUND (MFTGAR)	Yes	None (or less than \$1,001)		\$5,001 - \$15,000
18.319	SIX CIRCLES INTERNATIONAL UNCON EQ (MFXVBZ)	Yes	None (or less than \$1,001)		\$2,501 - \$5,000
18.320	SPDR PORTFOLIO S&P EMERGING MARKETS ETF (SPEM)	Yes	None (or less than \$1,001)		\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
18.321	INVESCO OPTIMUM YIELD DIVERSIFIED COMMODITY (PDBC)	Yes	None (or less than \$1,001)		\$201 - \$1,000
18.322	ISHARES MSCI BRAZIL ETF (EWZ)	Yes	None (or less than \$1,001)		\$201 - \$1,000
18.323	VANGUARD FTSE EUROPE ETF (VGK)	Yes	None (or less than \$1,001)		\$201 - \$1,000
18.324	COOK CNTY IL (bonds)	N/A	None (or less than \$1,001)	Interest	\$201 - \$1,000
18.325	NASSAU NY INTERIM (bonds)	N/A	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
18.326	NEW MEXICO ST SEVERANCE (bonds)	N/A	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
18.327	NEW YORK NY GO BDS (bonds)	N/A	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
18.328	NEW YORK ST ENVIRON FACS (bonds)	N/A	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
18.329	ROCHESTER NY GENL OBLIG (bonds)	N/A	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
18.330	NISOURCE INC (NI)	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
18.331	NEXSTAR MEDIA GROUP INC (NXST)	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
18.332	MANTECH INTL CORP	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
18.333	AMDOCS LTD (DOX)	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
18.334	PENSKE AUTOMOTIVE GROUP (PAG)	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
18.335	ENPHASE ENERGY INC (ENPH)	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
18.336	CSG SYSTEMS INTL INC (CSGS)	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
18.337	SILICON MOTION (SIMO)	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
18.338	COMM SERVICES SELECT SECTOR SPDR ETF (XLC)	Yes	\$50,001 - \$100,000		\$201 - \$1,000
18.339	CONSUMER DISCRETIONARY SELECT SECTOR SPDR FUND ETF (XLY)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
18.340	ENERGY SELECT SECTOR SPDR FUND ETF (XLE)	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
18.341	FINANCIAL SELECT SECTOR SPDR FUND ETF (XLF)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
18.342	HEALTH CARE SELECT SECTOR SPDR FUND ETF (XLV)	Yes	\$50,001 - \$100,000		\$201 - \$1,000
18.343	INDUSTRIAL SELECT SECTOR SPDR FUND ETF (XLI)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
18.344	INVESCO S&P 500 EQUAL WEIGHT ETF (RSP)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
18.345	INVESCO S&P INTL DEVELOPED LOW VOLATILITY ETF (IDLV)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
18.346	ISHARES CORE S&P MIDCAP ETF (IJH)	Yes	\$1,001 - \$15,000		None (or less than \$201)
18.347	ISHARES CORE S&P SMALL-CAP ETF (IJR)	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
18.348	ISHARES MSCI CHINA ETF (MCHI)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
18.349	ISHARES MSCI EAFE ETF (EFA)	Yes	\$50,001 - \$100,000		\$5,001 - \$15,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
18.350	ISHARES S&P 500 GROWTH ETF (IVW)	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
18.351	ISHARES S&P 500 VALUE ETF (IVE)	Yes	\$50,001 - \$100,000		\$2,501 - \$5,000
18.352	JPMORGAN BETABUILDERS CANADA ETF (BBCA)	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
18.353	JPMORGAN BETABUILDERS JAPAN ETF (BBJP)	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
18.354	MATERIALS SELECT SECTOR SPDR TRUST ETF (XLB)	Yes	\$1,001 - \$15,000		None (or less than \$201)
18.355	REAL ESTATE SELECT SECT SPDR ETF (XLRE)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
18.356	SCHWAB EMERGING MARKETS EQUITY ETF (SCHE)	Yes	\$1,001 - \$15,000		None (or less than \$201)
18.357	SCHWAB US SMALL-CAP ETF (SCHA)	Yes	\$15,001 - \$50,000		None (or less than \$201)
18.358	SPDR S&P 500 ETF TR (SPY)	Yes	\$500,001 - \$1,000,000		\$15,001 - \$50,000
18.359	SPDR S&P CHINA ETF (GXC)	Yes	\$1,001 - \$15,000		None (or less than \$201)
18.360	TECHNOLOGY SELECT SECTOR SPDR ETF (XLK)	Yes	\$50,001 - \$100,000		\$201 - \$1,000
18.361	UTILITIES SECTOR SPDR FUND ETF (XLU)	Yes	\$1,001 - \$15,000		None (or less than \$201)
18.362	VANGUARD ENERGY ETF (VDE)	Yes	\$1,001 - \$15,000		None (or less than \$201)
18.363	VANGUARD EXTD MKT ETF (VXF)	Yes	\$15,001 - \$50,000		\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
18.364	VANGUARD FTSE DEVELOPED MKT ETF (VEA)	Yes	\$1,001 - \$15,000		None (or less than \$201)
18.365	VANGUARD FTSE EMERGING MARKETS ETF (VWO)	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
18.366	VANGUARD HEALTH CARE ETF (VHT)	Yes	\$1,001 - \$15,000		None (or less than \$201)
18.367	VANGUARD INFORMATION TECHNOLOGY ETF (VGT)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
18.368	VANGUARD MID-CAP ETF (VO)	Yes	\$1,001 - \$15,000		None (or less than \$201)
18.369	VANGUARD RUSSELL 1000 VALUE ETF (VONV)	Yes	None (or less than \$1,001)		\$201 - \$1,000
18.370	VANGUARD SMALL-CAP ETF (VB)	Yes	\$1,001 - \$15,000		None (or less than \$201)
18.371	VANGUARD SMALL-CAP VALUE ETF (VBR)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
18.372	VANGUARD VALUE ETF (VTV)	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
18.373	WISDOMTREE EUROPE HEDGED EQUITY FUND ETF (HEDJ)	Yes	\$1,001 - \$15,000		None (or less than \$201)
18.374	WISDOMTREE JAPAN HEDGED EQUITY FUND ETF (DXJ)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
18.375	XTRACKERS HARVEST CSI 300 CHINA ETF (ASHR)	Yes	\$1,001 - \$15,000		None (or less than \$201)
18.376	ISHARES MSCI GLOBAL MIN (ACWV)	Yes	None (or less than \$1,001)		\$201 - \$1,000
18.377	INVESCO S&P 500 QUALITY (SPHQ)	Yes	None (or less than \$1,001)		\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
18.378	SIX CIRCLES INTL (CIUEX)	Yes	None (or less than \$1,001)		\$2,501 - \$5,000
18.379	FIDELITY 500 INDEX FUND (FXAIX)	Yes	None (or less than \$1,001)		\$201 - \$1,000
18.380	MARTIN CURRIE SMA-SHARES SERIES EM FUND CLASS NL AS OF 12/15/22	Yes	None (or less than \$1,001)		\$201 - \$1,000
18.381	OCI (OCINF)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
18.382	CITIGROUP GLOBAL MARKETS (structured note)	N/A	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
18.383	COMCAST CORP (bonds)	N/A	None (or less than \$1,001)	Interest	\$201 - \$1,000
18.384	CREDICORP LTD	N/A	None (or less than \$1,001)	Dividends	\$201 - \$1,000
18.385	HEALTH CARE SELECT SECTOR SPDR FUND ETF	Yes	None (or less than \$1,001)		\$201 - \$1,000
18.386	MORGAN STANLEY INTERNATIONAL ADVANTAGE (MFMSUZ)	Yes	None (or less than \$1,001)		\$201 - \$1,000
18.387	ISHARES TRUST ISHARES 1-5 YEAR INVNT GRADE ETF	Yes	None (or less than \$1,001)		\$201 - \$1,000
18.388	J P MORGAN EXCHANGE-TRADED FD TR	Yes	None (or less than \$1,001)		\$201 - \$1,000
18.389	ORACLE CORP (bonds)	N/A	None (or less than \$1,001)	Dividends	\$201 - \$1,000
18.390	SCHWAB SHORT TERM US TREAS ETF	Yes	None (or less than \$1,001)		\$201 - \$1,000

7. Transactions

(N/A) - Not required for this type of report

8. Liabilities

None

9. Gifts and Travel Reimbursements

(N/A) - Not required for this type of report

Endnotes

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during the reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$480 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$480 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$192 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

Public Burden Information

This collection of information is estimated to take an average of ten hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE) Suite 500, 1201 New York Avenue, N.W., Washington, DC 20005-3917.

Pursuant to the Paperwork Reduction Act, as amended, an agency may not conduct or sponsor, and no person is required to respond to, a collection of information unless it displays a currently valid OMB control number (that number, 3209-0001, is displayed here and at the top of the first page of this OGE Form 278e).
