

Executive Branch Personnel

Public Financial Disclosure Report:

Periodic Transaction Report (OGE Form 278-T)

Filer's Information

Milstein, Constance J

Ambassador Extraordinary and Plenipotentiary, Department of State

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Milstein, Constance J [electronically signed on 11/03/2022 by Milstein, Constance J in Integrity.gov] - Filer received a 45 day filing extension.

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Green, Marcella, Certifying Official [electronically signed on 12/13/2022 by Green, Marcella in Integrity.gov]

Other review conducted by

/s/ Green, Marcella, Ethics Official [electronically signed on 12/13/2022 by Green, Marcella in Integrity.gov]

U.S. Office of Government Ethics Certification

/s/ Granahan, Megan, Certifying Official [electronically signed on 03/10/2023 by Granahan, Megan in Integrity.gov]

Data Revised 12/13/2022

Transactions

#	DESCRIPTION		TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
1	Blackrock Municipal Income Fund Inc (MUI)	See Endnote	Purchase	08/10/2022	Yes	\$1,001 - \$15,000
2	INVESCO SENIOR INCOME TRUST COM STK (VVR)		Sale	08/10/2022	Yes	\$1,001 - \$15,000
3	TEMPLETON GLOBAL INCOME FD COM (GIM)		Sale	08/10/2022	Yes	\$1,001 - \$15,000
4	Wells Fargo & Co. (WFC)		Sale	08/11/2022	Yes	\$15,001 - \$50,000
5	Amgen Inc. (AMGN)		Sale	08/11/2022	Yes	\$15,001 - \$50,000
6	Gilead Sciences, Inc. (GILD)		Sale	08/11/2022	Yes	\$15,001 - \$50,000
7	Takeda Pharmaceutical Co Ltd (TAK)		Sale	08/11/2022	Yes	\$1,001 - \$15,000
8	M&T Bank Corp. (MTB)		Sale	08/11/2022	Yes	\$500,001 - \$1,000,000
9	TEMPLETON GLOBAL INCOME FD COM (GIM)		Sale	08/12/2022	Yes	\$1,001 - \$15,000
10	BLACKROCK MUNICIPAL INCOME FUND INC (MUI)		Purchase	08/17/2022	Yes	\$1,001 - \$15,000
11	DOUBLELINE INCOME SOLUTIONS FD COM (DSL)		Sale	08/17/2022	Yes	\$1,001 - \$15,000
12	INVESCO SENIOR INCOME TRUST COM STK (VVR)		Sale	08/17/2022	Yes	\$1,001 - \$15,000
13	WESTERN ASSET EMER MKTS DEBT FD INC COM (EMD)		Purchase	08/17/2022	Yes	\$1,001 - \$15,000
14	Bond - New York City NY Mun 5% 6/15/26		Purchase	08/19/2022	Yes	\$1,001 - \$15,000
15	Bond - New York ST Pwr Auth 11/15/34		Sale	08/22/2022	Yes	\$15,001 - \$50,000
16	Bond - New York ST Pwr Auth 5% 11/15/35		Purchase	08/22/2022	Yes	\$15,001 - \$50,000

#	DESCRIPTION		TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
17	Bond - United States Treasury Bill 10/20/2022		Purchase	08/22/2022	Yes	\$15,001 - \$50,000
18	INVESCO MUNICIPAL TRUST (VKQ)		Purchase	08/22/2022	Yes	\$1,001 - \$15,000
19	VANGUARD MUN BD FDS TAX EXEMPT BD (VTEB)		Sale	08/22/2022	Yes	\$1,001 - \$15,000
20	INVESCO MUNICIPAL TRUST (VKQ)		Purchase	08/23/2022	Yes	\$1,001 - \$15,000
21	Partnership Interest in Series 7 of Ogden CAP Associates, LLC	See Endnote	Sale	08/24/2022	Yes	\$50,001 - \$100,000
22	Partnership Interest in Series 6 of Ogden CAP Associates, LLC		Sale	08/24/2022	Yes	\$15,001 - \$50,000
23	Partnership Interest in Series 10 of Ogden CAP Associates, LLC		Sale	08/24/2022	Yes	\$15,001 - \$50,000
24	Partnership Interest in Series 14 of Ogden CAP Associates, LLC		Sale	08/24/2022	Yes	\$15,001 - \$50,000
25	Partnership Interest in Series 23 of Ogden CAP Associates, LLC		Sale	08/24/2022	Yes	\$50,001 - \$100,000
26	Bond - Kentucky ST Property 5% 11/1/26		Sale	08/26/2022	Yes	\$1,001 - \$15,000
27	NUVEEN MUNICIPAL CREDIT INC FD COM SH (NZF)		Sale	08/31/2022	Yes	\$1,001 - \$15,000
28	Partnership Interest in Series 40 of Ogden CAP Associates, LLC		Sale	09/01/2022	Yes	\$1,001 - \$15,000
29	Partnership Interest in Series 22 of Ogden CAP Associates, LLC		Sale	09/01/2022	Yes	\$1,001 - \$15,000
30	Partnership Interest in Series 45 of Ogden CAP Associates, LLC		Sale	09/01/2022	Yes	\$250,001 - \$500,000
31	Partnership Interest in Series 45 of Ogden CAP Associates, LLC		Sale	09/01/2022	Yes	\$100,001 - \$250,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
32	BOND - NEW YORK CITY NY V-D 0.850% 11/01/36	Sale	09/07/2022	Yes	\$50,001 - \$100,000
33	BLACKROCK MUNIHOLDINGS FUND (MHD)	Sale	09/14/2022	Yes	\$1,001 - \$15,000
34	INVESCO EXCH TRADED FD TR II SR LN ETF (BKLN)	Purchase	09/14/2022	Yes	\$1,001 - \$15,000
35	INVESCO MUNICIPAL OPPORTUNITY TRUST (VMO)	Sale	09/14/2022	Yes	\$1,001 - \$15,000
36	INVESCO SENIOR INCOME TRUST COM STK (VVR)	Sale	09/14/2022	Yes	\$1,001 - \$15,000
37	ISHARES NATIONAL MUNI BOND ETF (MUB)	Purchase	09/14/2022	Yes	\$15,001 - \$50,000
38	SHARES TR ISHS 1-5YR INVS (IGSB)	Purchase	09/14/2022	Yes	\$1,001 - \$15,000
39	SHARES TR JP MOR EM MK ETF (EMB)	Purchase	09/14/2022	Yes	\$1,001 - \$15,000
40	NUVEEN AMT FREE QLTY MUN INCME COM (NEA)	Sale	09/14/2022	Yes	\$1,001 - \$15,000
41	SPDR BLOOMBERG HIGH YIELD BOND ETF (JNK)	Purchase	09/14/2022	Yes	\$1,001 - \$15,000
42	SSGA ACTIVE ETF TR BLACKSTONE SENR (SRLN)	Purchase	09/14/2022	Yes	\$1,001 - \$15,000
43	VANGUARD SCOTTSDALE FDS VANGUARD (VCSH)	Purchase	09/14/2022	Yes	\$1,001 - \$15,000
44	ISHARES NATIONAL MUNI BOND ETF (MUB)	Purchase	09/15/2022	Yes	\$1,001 - \$15,000
45	BLACKROCK CAP ALLOCATION TR COM (BCAT)	Sale	09/16/2022	Yes	\$1,001 - \$15,000
46	BLACKROCK MUNICIPAL INCOME TRUST II (BLE)	Sale	09/19/2022	Yes	\$1,001 - \$15,000
47	DOUBLELINE INCOME SOLUTIONS FD COM (DSL)	Sale	09/19/2022	Yes	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
48	INVESCO EXCH TRADED FD TR II SR LN ETF (BKLN)	Purchase	09/19/2022	Yes	\$1,001 - \$15,000
49	INVESCO MUNICIPAL OPPORTUNITY TRUST (VMO)	Sale	09/19/2022	Yes	\$1,001 - \$15,000
50	INVESCO SENIOR INCOME TRUST COM STK (VVR)	Sale	09/19/2022	Yes	\$1,001 - \$15,000
51	INVESCO SENIOR INCOME TRUST COM STK (VVR)	Sale	09/19/2022	Yes	\$1,001 - \$15,000
52	ISHARES NATIONAL MUNI BOND ETF (MUB)	Purchase	09/19/2022	Yes	\$1,001 - \$15,000
53	ISHARES TR BROAD USD HIGH (USHY)	Purchase	09/19/2022	Yes	\$1,001 - \$15,000
54	ISHARES TR ISHS 1-5YR INVS (IGSB)	Purchase	09/19/2022	Yes	\$1,001 - \$15,000
55	ISHARES TR JP MOR EM MK ETF (EMB)	Purchase	09/19/2022	Yes	\$1,001 - \$15,000
56	NUVEEN AMT FREE QLTY MUN INCME COM (NEA)	Sale	09/19/2022	Yes	\$1,001 - \$15,000
57	SSGA ACTIVE ETF TR BLACKSTONE SENR (SRLN)	Purchase	09/19/2022	Yes	\$1,001 - \$15,000
58	TEMPLETON GLOBAL INCOME FD COM (GIM)	Sale	09/19/2022	Yes	\$1,001 - \$15,000
59	WESTERN ASSET DIVERSIFIED INCM COM (WDI)	Sale	09/19/2022	Yes	\$1,001 - \$15,000
60	BLACKROCK CAP ALLOCATION TR COM (BCAT)	Sale	09/21/2022	Yes	\$1,001 - \$15,000
61	BLACKROCK MUNICIPAL INCOME FUND INC (MUI)	Sale	09/21/2022	Yes	\$1,001 - \$15,000
62	DOUBLELINE INCOME SOLUTIONS FD COM (DSL)	Sale	09/21/2022	Yes	\$1,001 - \$15,000
63	EATON VANCE MUN BD FD COM (EIM)	Sale	09/21/2022	Yes	\$1,001 - \$15,000
64	INVESCO QUALITY MUNICIPAL INCOME (IQI)	Sale	09/21/2022	Yes	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
65	ISHARES TR ISHS 1-5YR INVS (IGSB)	Purchase	09/21/2022	Yes	\$1,001 - \$15,000
66	ISHARES TR JP MOR EM MK ETF (EMB)	Purchase	09/21/2022	Yes	\$1,001 - \$15,000
67	NUVEEN AMT FREE QLTY MUN INCME COM (NEA)	Sale	09/21/2022	Yes	\$1,001 - \$15,000
68	NUVEEN AMT FREE QLTY MUN INCME COM (NEA)	Sale	09/21/2022	Yes	\$1,001 - \$15,000
69	VANGUARD MUN BD FDS TAX EXEMPT BD (VTEB)	Purchase	09/21/2022	Yes	\$15,001 - \$50,000
70	VANGUARD SCOTTSDALE FDS VANGUARD (VCSH)	Purchase	09/21/2022	Yes	\$1,001 - \$15,000
71	Bond - Kentucky State Property 5% 11/1/26	Sale	09/27/2022	Yes	\$15,001 - \$50,000
72	Bond - New York State Pwr Auth 5% 11/15/35	Sale	09/27/2022	Yes	\$50,001 - \$100,000
73	Bond - Kentucky ST Property 5.0% 5/01/26	Purchase	09/27/2022	Yes	\$15,001 - \$50,000
74	Bond - New York ST Pwr Auth 5.0% 11/15/34	Purchase	09/27/2022	Yes	\$15,001 - \$50,000
75	Bond - Utility Debt Securitized 5.0% 12/15/33	Purchase	09/29/2022	Yes	\$1,001 - \$15,000
76	Bond - New York ST Dorm Aut 5.0% 7/01/35	Purchase	09/29/2022	Yes	\$15,001 - \$50,000
77	Baron Partners Fund Retail Shares (BPTRX)	Purchase	09/29/2022	Yes	\$1,001 - \$15,000
78	Bond - New York ST Dorm Aut 5% 3/15/26	Sale	09/30/2022	Yes	\$15,001 - \$50,000
79	Partnership Interest in Series 44i of Ogden CAP Associates, LLC	Sale	09/30/2022	Yes	\$500,001 - \$1,000,000

Endnotes

PART	#	ENDNOTE
Transactions	1	The filer received extensions of time to file this 278-T reporting transactions that occurred in August and September, 2022. The filer was sworn-in on August 10, 2022 and some divestitures occurred prior to that date. Those divestitures are not required to be reported and are not shown in this report.
Transactions	21	Ogden CAP Associates, LLC is a Delaware series LLC that is an investment vehicle of the filer's family.

Summary of Contents

The 278-T discloses purchases, sales, or exchanges of securities in excess of \$1,000 made on behalf of the filer, the filer's spouse, or dependent child. Transactions are required to be disclosed within 30 days of receiving notification of a transaction but not later than 45 days after the transaction. Filers need not disclose (1) mutual funds and other excepted investment funds; (2) certificates of deposit, savings or checking accounts, and money market accounts; (3) U.S. Treasury bills, notes, and bonds; (4) Thrift Savings Plan accounts; (5) real property; and (6) transactions that are solely by and between the filer, the filer's spouse, and the filer's dependent children.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or

confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.
