

Executive Branch Personnel

Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

Van Schaack, Elizabeth

Ambassador-at-Large for Global Criminal Justice, Department of State

Other Federal Government Positions Held During the Preceding 12 Months:

Advisory Committee on International Law (U.S. State Department) (12/2010 - 9/2020) See endnote

Senior Fellow, Lieber Institute for Law & Land Warfare, West Point Military Academy (6/2016 - Present) See endnote

Names of Congressional Committees Considering Nomination:

- Committee on Foreign Relations
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Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Van Schaack, Elizabeth [electronically signed on 08/11/2021 by Van Schaack, Elizabeth in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Huitema, David, Certifying Official [electronically signed on 10/29/2021 by Huitema, David in Integrity.gov]

Other review conducted by

U.S. Office of Government Ethics Certification

/s/ Apol, David, Certifying Official [electronically signed on 11/08/2021 by Apol, David in Integrity.gov]

1. Filer's Positions Held Outside United States Government

#	ORGANIZATION NAME		CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
1	Stanford Law School (Stanford University)		Palo Alto, California	University/College	Visiting Professor of Law/Lecturer in Law	9/2014	Present
2	The Center for Justice & Accountability	See Endnote	San Francisco, California	Non-Profit	Board of Directors Member	3/2018	Present
3	Nuru International	See Endnote	Washington, District of Columbia	Non-Profit	Secretary	2/2016	Present
4	Guernica Centre for International Justice	See Endnote	San Francisco, California	Non-Profit	Board of Directors Member	1/2017	Present
5	Institute for International Criminal Investigations	See Endnote	The Hague, Outside U.S.	Non-Profit	Board of Directors Member	5/2015	Present
6	University of California at Berkeley	See Endnote	Berkeley, California	University/College	Consultant	4/2020	7/2021
7	U.S. Holocaust Memorial Museum	See Endnote	Washington, District of Columbia	Non-Profit	Consultant	5/2017	6/2019
8	Clooney Foundation for Justice	See Endnote	New York City, New York	Non-Profit	Consultant	4/2017	Present
9	Institute for Integrated Transitions	See Endnote	Barcelona, Outside U.S.	Non-Profit	Practice Group Member	3/2016	Present
10	Just Security Blog	See Endnote	New York City, New York	Non-Profit	Executive Editor	8/2013	Present

#	ORGANIZATION NAME		CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
11	American Society of International Law	See Endnote	Washington, District of Columbia	Non-Profit	Task Force Co-Chair	9/2010	4/2021
12	Jenner & Block on behalf of Dr. Saad Aljabri	See Endnote	New York City, New York	Law Firm	Expert Witness	4/2021	7/2021
13	Family Revocable Trust		Los Altos, California	Trust	Trustee	3/2011	Present
14	Milly, Inc.	See Endnote	Los Angeles, California	Consulting	Editor	9/2020	10/2020
15	Human Rights Watch Silicon Valley Executive Committee	See Endnote	Palo Alto, California	Non-Profit	Member	3/2016	Present

2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	IRA #1	No			
1.1	US Investment Account (Cash)	N/A	\$15,001 - \$50,000		None (or less than \$201)
1.2	Vanguard 500 Index Fund ETF Shares (VOO)	Yes	\$500,001 - \$1,000,000		None (or less than \$201)
1.3	Vanguard Value Index Fund ETF Shares (VTV)	Yes	\$15,001 - \$50,000		None (or less than \$201)
1.4	iShares Core S&P Small-Cap ETF (IJR)	Yes	\$50,001 - \$100,000		None (or less than \$201)
1.5	Vanguard Small-Cap Value Index Fund ETF Class Shares (VBR)	Yes	\$15,001 - \$50,000		None (or less than \$201)
1.6	Vanguard Real Estate Index Fund ETF Shares (VNQ)	Yes	\$15,001 - \$50,000		None (or less than \$201)

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.7	iShares Currency Hedged MSCI EAFE ETF (HEFA)		Yes	\$100,001 - \$250,000		None (or less than \$201)
1.8	iShares Core MSCI EAFE ETF (IEFA)		Yes	\$100,001 - \$250,000		None (or less than \$201)
1.9	iShares Core MSCI Emerging Markets ETF (IEMG)		Yes	\$50,001 - \$100,000		None (or less than \$201)
1.10	Vanguard Global ex-US Real Estate Index Fund ETF Shares (VNQI)		Yes	\$15,001 - \$50,000		None (or less than \$201)
2	Stanford Law School		N/A		Salary	\$221,173
3	American Society of International Law	See Endnote	N/A		Task Force Co-Chair Payment.	\$5,000
4	Expert Witness Fees/Jenner & Block on behalf of Dr. Saad Aljabri	See Endnote	N/A			
4.1	Expert Witness Fees Received		N/A		Expert Witness Fees	\$11,400
4.2	Expert Witness Fees Owed		N/A	\$15,001 - \$50,000		None (or less than \$201)
5	Milly, Inc.	See Endnote	N/A		Editorial fees to assist with the publication of Amal Clooney & Philippa Webb, "The Right to a Fair Trial in International Law" (Oxford University Press 2021).	\$1,354
6	Royalties for "International Criminal Law & Its Enforcement" (Foundation Press 2019) - value not readily ascertainable	See Endnote	N/A		Rent or Royalties	\$2,501 - \$5,000

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
7	George Washington University	See Endnote	N/A		Teaching honorarium for my contributions to a course on National Security on March 9, 2021.	\$1,500
8	U.C. Berkeley	See Endnote	N/A		Consulting/editorial fees for a report on sectarian violence in India.	\$2,500
9	Imagining Justice for Syria, Oxford University Press (value not readily ascertainable)	See Endnote	N/A			None (or less than \$201)
10	International Criminal Law: Intersections & Contradictions, Foundation Press (value not readily ascertainable)	See Endnote	N/A			None (or less than \$201)
11	IRA #2		No			
11.1	US Investment Account (Cash)		N/A	\$15,001 - \$50,000		None (or less than \$201)
11.2	AT&T		N/A	\$15,001 - \$50,000		None (or less than \$201)
11.3	Amazon.com, Inc. (AMZN)		N/A	\$50,001 - \$100,000		None (or less than \$201)
11.4	Johnson & Johnson (JNJ)		N/A	\$15,001 - \$50,000		None (or less than \$201)
11.5	Kimberly-Clark Corp. (KMB)		N/A	\$15,001 - \$50,000		None (or less than \$201)
11.6	The Kraft Heinz Co. (KHC)		N/A	\$1,001 - \$15,000		None (or less than \$201)
11.7	Merck & Co., Inc. (MRK)		N/A	\$15,001 - \$50,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
11.8	Mondelez International, Inc. (MDLZ)	N/A	\$15,001 - \$50,000		None (or less than \$201)
11.9	Procter & Gamble Co. (PG)	N/A	\$15,001 - \$50,000		None (or less than \$201)
11.10	United Parcel Service, Inc. (UPS)	N/A	\$50,001 - \$100,000		None (or less than \$201)
11.11	AMCAP Fund Class F2 Shares (AMCFX)	Yes	\$100,001 - \$250,000		None (or less than \$201)
11.12	EuroPacific Growth Fund Class F2 Shares (AEPFX)	Yes	\$50,001 - \$100,000		None (or less than \$201)
11.13	Franklin Small Cap Value Fund Advisor Class (FVADX)	Yes	\$100,001 - \$250,000		None (or less than \$201)
11.14	ClearBridge Large Cap Value Fund Class I Shares (SAIFX)	Yes	\$100,001 - \$250,000		None (or less than \$201)
11.15	New World Fund, Inc Class F2 Shares (NFFFX)	Yes	\$50,001 - \$100,000		None (or less than \$201)
12	Stanford 457 Plan	No			
12.1	Vanguard Institutional Target Retirement 2035 Fund Institutional Class Shares (VITFX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
13	Stanford SCRP Plan	No			
13.1	Vanguard Institutional Target Retirement 2045 Fund Institutional Class Shares (VITLX)	Yes	\$100,001 - \$250,000		None (or less than \$201)
14	Institute for Integrated Transitions, consulting fees owed	See Endnote	N/A	\$1,001 - \$15,000	None (or less than \$201)

3. Filer's Employment Agreements and Arrangements

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
1	Stanford Law School	Palo Alto, California	After separating, my participation in Stanford's 457(b) plan will cease. I may continue to participate in the 403(b) plan; however, retirement contributions will cease.	9/2014

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

#	SOURCE NAME	CITY, STATE	BRIEF DESCRIPTION OF DUTIES	
1	Stanford Law School	Palo Alto, California	I teach a range of international law courses, including Human Rights: Theory & Practice; Human Trafficking: Law & Policy; and International Criminal Law & Its Enforcement. I have also directed the Human Rights & Conflict Resolution Clinic.	
2	U.S. Holocaust Memorial Museum	See Endnote	Washington, District of Columbia	Honorarium for assistance with atrocities prevention and response initiative.
3	Mohammed Saad K Al-Jabri	See Endnote	New York, New York	Expert witness fees

5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Vocera Communications, Inc.	N/A		salary, bonus	
2	Vocera Communications, Inc. Stock Options (Ordinary Income)	N/A	\$1,000,001 - \$5,000,000	Ordinary income earned at stock option exercise.	\$3,787,922
3	Vocera Communications, Inc. Restricted Stock	N/A	\$1,000,001 - \$5,000,000	Restricted stock income	
4	IRA #2	No			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
4.1	US Investment Account (Cash)	N/A	\$50,001 - \$100,000		None (or less than \$201)
4.2	T Rowe Price New Horizons Fund, Inc (PRNHX)	Yes	\$50,001 - \$100,000		None (or less than \$201)
4.3	iShares MSCI EAFE ETF (EFA)	Yes	\$250,001 - \$500,000		None (or less than \$201)
5	Vocera Communications, Inc. 401(k) Plan	No			
5.1	T Rowe Price Retirement 2040 Fund (TRRDY)	Yes	\$1,000,001 - \$5,000,000		None (or less than \$201)
6	Vocera Communications, Inc. 401(k) Plan	N/A		cash payments	\$16,870
7	IRA #3	No			
7.1	Amazon.com, Inc. (AMZN)	N/A	\$15,001 - \$50,000		None (or less than \$201)
7.2	Johnson & Johnson (JNJ)	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.3	US Investment Account (Cash)	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.4	Kimberly-Clark Corp. (KMB)	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.5	Mondelez International, Inc. (MDLZ)	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.6	Procter & Gamble Co. (PG)	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.7	AMCAP Fund Class F2 Shares (AMCFX)	Yes	\$50,001 - \$100,000		None (or less than \$201)
7.8	EuroPacific Growth Fund Class F2 Shares (AEPFX)	Yes	\$15,001 - \$50,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
7.9	Franklin Growth Fund Advisor Class (FCGAX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
7.10	Franklin Small Cap Value Fund Advisor Class (FVADX)	Yes	\$50,001 - \$100,000		None (or less than \$201)
7.11	ClearBridge Large Cap Value Fund Class I Shares (SAIFX)	Yes	\$50,001 - \$100,000		None (or less than \$201)
7.12	New World Fund, Inc Class F2 Shares (NFFFX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
7.13	T Rowe Price New Horizons Fund, Inc (PRNHX)	Yes	\$100,001 - \$250,000		None (or less than \$201)
8	Vocera Communications, Inc. Performance Stock	N/A	\$1,000,001 - \$5,000,000	Performance stock income	
9	Vocera Communications, Inc. Common Stock	N/A	\$5,000,001 - \$25,000,000	Capital Gains	\$15,001 - \$50,000

6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	US Bank Account (Cash) #1	N/A	\$250,001 - \$500,000	Interest	None (or less than \$201)
2	US Bank Account (Cash) #2	N/A	\$50,001 - \$100,000	Interest	None (or less than \$201)
3	US Bank Account (Cash) #3	N/A	\$250,001 - \$500,000	Interest	None (or less than \$201)
4	Better Therapeutics, Inc.	See Endnote	\$100,001 - \$250,000		None (or less than \$201)
5	Gen AI Long Short Fund, LP	See Endnote	\$50,001 - \$100,000		None (or less than \$201)

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
6	Health Rhythms, Inc.	See Endnote	N/A	\$50,001 - \$100,000		None (or less than \$201)
7	HighGear Ventures Secondary II, LP		No			
7.1	Bloomreach, Inc.	See Endnote	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.2	Dataminr, Inc.	See Endnote	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.3	DigitalOcean Holdings, Inc.		N/A	\$1,001 - \$15,000		None (or less than \$201)
7.4	Domino Data Lab, Inc.	See Endnote	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.5	Exabeam, Inc	See Endnote	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.6	HireVue, Inc.	See Endnote	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.7	Marqeta, Inc.		N/A	\$1,001 - \$15,000		None (or less than \$201)
7.8	Netskope, Inc. via Forge Investments SPV	See Endnote	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.9	Tanium, Inc.	See Endnote	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.10	Tealium, Inc.	See Endnote	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.11	ThoughtSpot, Inc.	See Endnote	N/A	\$1,001 - \$15,000		None (or less than \$201)
8	PieEye, Inc.	See Endnote	N/A	\$50,001 - \$100,000		None (or less than \$201)
9	Snowline Ranch, LLC	See Endnote	No			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
9.1	Crook County, OR Farmland and Ranch	N/A	\$100,001 - \$250,000		None (or less than \$201)
10	US Bank Account (Cash) #4	N/A	\$1,001 - \$15,000		None (or less than \$201)
11	US Bank Account (Cash) #5	N/A	\$1,001 - \$15,000		None (or less than \$201)
12	Personal Loan to Family Members	See Endnote	\$100,001 - \$250,000		None (or less than \$201)
13	CollegeSave Bank of North Dakota 529 Plan (Child 2)	No			
13.1	Aggressive AgeBased Option: Conservative Growth	Yes	\$100,001 - \$250,000		None (or less than \$201)
14	CollegeSave Bank of North Dakota 529 Plan (Child 1)	No			
14.1	Aggressive AgeBased Option: Conservative Portfolio	Yes	\$15,001 - \$50,000		None (or less than \$201)
14.2	529 Plan Distribution (2020)	N/A		cash distribution	\$39,163
14.3	529 Plan Distribution (2021)	N/A		cash distribution	\$23,873
15	Family Irrevocable Trust #1	No			
15.1	iShares MSCI EAFE ETF (EFA)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
15.2	iShares MSCI Emerging Markets (EEM)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
15.3	iShares Russell 2000 ETF (IWM)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
15.4	SPDR S&P 500 ETF Trust (SPY)	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
15.5	U.S. investment account (cash)	N/A	None (or less than \$1,001)		None (or less than \$201)
16	Family Irrevocable Trust #2	No			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
16.1	iShares MSCI EAFE ETF (EFA)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
16.2	iShares MSCI Emerging Markets ETF (EEM)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
16.3	iShares Russell 2000 ETF (IWM)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
16.4	SPDR S&P 500 ETF Trust (SPY)	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
16.5	U.S. investment account (cash)	N/A	\$1,001 - \$15,000		None (or less than \$201)
17	US Investment Account #2	No			
17.1	U.S. investment account (cash)	N/A	\$15,001 - \$50,000	Interest	None (or less than \$201)
17.2	Amazon.com, Inc. (AMZN)	N/A	\$50,001 - \$100,000		None (or less than \$201)
17.3	CERENCE INC COM (CRNC)	N/A	\$1,001 - \$15,000		None (or less than \$201)
17.4	SunPower Corp. (SPWR)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18	US Investment Account #4	No			
18.1	SOCIETE GENERALE LINKED TO SP500 CONTINGENT COUPON WITH BUFFER DUE 7/29/2022	N/A	\$50,001 - \$100,000	Capital Gains	\$5,001 - \$15,000
18.2	MORGAN STANLEY LINKED TO SP500 CONTINGENT COUPON WITH BUFFER DUE 7/12/2023	N/A	\$50,001 - \$100,000	Capital Gains	\$5,001 - \$15,000
18.3	CIBC LINKED TO SP500 CONTINGENT COUPON WITH BUFFER DUE 11/16/2022	N/A	\$50,001 - \$100,000	Capital Gains	\$5,001 - \$15,000
18.4	JPM LINKED TO SP500 CONTINGENT COUPON WITH BUFFER DUE 1/25/2023	N/A	\$50,001 - \$100,000	Capital Gains	\$5,001 - \$15,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
18.5	UBS AG LINKED TO SP500 CONTINGENT COUPON WITH BUFFER DUE 5/9/2023	N/A	\$50,001 - \$100,000	Capital Gains	\$5,001 - \$15,000
18.6	BNP PARIBAS LNKD TO SP500 CONTINGENT CPN WITH BUFFER DUE 9/20/23	N/A	\$50,001 - \$100,000	Capital Gains	\$5,001 - \$15,000
18.7	BANK OF NOVA SCOTIA LINKED TO SP500 CONTINGENT COUPON WITH BUFFER DUE 9/28/2022	N/A	\$50,001 - \$100,000	Capital Gains	\$5,001 - \$15,000
18.8	TORONTO DOMINION BANK LINKED TO SP500 CONTINGENT COUPON WITH BUFFER DUE 9/16/2023	N/A	\$50,001 - \$100,000	Capital Gains	\$50,001 - \$100,000
19	US Investment Account #1	No			
19.1	Goldman Sachs High Yield Municipal Fund Class P Shares (GGLPX)	Yes	\$100,001 - \$250,000		\$2,501 - \$5,000
19.2	Vanguard High Dividend Yield Index Fund ETF Shares (VYM)	Yes	\$500,001 - \$1,000,000		\$15,001 - \$50,000
19.3	Amazon.com, Inc. (AMZN)	N/A	\$250,001 - \$500,000		None (or less than \$201)
19.4	T Rowe Price New Horizons Fund, Inc (PRNHX)	Yes	\$500,001 - \$1,000,000		None (or less than \$201)
19.5	Johnson & Johnson (JNJ)	N/A	\$250,001 - \$500,000	Dividends	\$5,001 - \$15,000
19.6	United Parcel Service, Inc. (UPS)	N/A	\$250,001 - \$500,000	Dividends	\$5,001 - \$15,000
19.7	Procter & Gamble Co. (PG)	N/A	\$250,001 - \$500,000	Dividends	\$5,001 - \$15,000
19.8	Merck & Co., Inc. (MRK)	N/A	\$250,001 - \$500,000	Dividends	\$5,001 - \$15,000
19.9	Mondelez International, Inc. (MDLZ)	N/A	\$250,001 - \$500,000	Dividends	\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
19.10	Pfizer Inc. (PFE)	N/A	\$250,001 - \$500,000	Dividends	\$5,001 - \$15,000
19.11	AMCAP Fund Class F2 Shares (AMCFX)	Yes	\$500,001 - \$1,000,000		\$1,001 - \$2,500
19.12	VIATRIS INC (VTRS)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
19.13	Avanos Medical, Inc. (AVNS)	N/A	\$1,001 - \$15,000		None (or less than \$201)
19.14	ORGANON & CO (OGN)	N/A	\$1,001 - \$15,000		None (or less than \$201)
19.15	Kimberly-Clark Corp. (KMB)	N/A	\$250,001 - \$500,000	Dividends	\$5,001 - \$15,000
19.16	Verizon Communications, Inc. (VZ)	N/A	\$100,001 - \$250,000	Dividends	\$5,001 - \$15,000
19.17	Chevron Corp. (CVX)	N/A	\$100,001 - \$250,000	Dividends	\$5,001 - \$15,000
19.18	McCormick & Co., Inc. (MKC)	N/A	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500
19.19	AT&T (T)	N/A	\$250,001 - \$500,000	Dividends	\$15,001 - \$50,000
19.20	SPDR S&P 500 ETF Trust (SPY)	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
19.21	Vanguard 500 Index Fund ETF Shares (VOO)	Yes	\$250,001 - \$500,000		\$5,001 - \$15,000
19.22	WisdomTree US High Dividend Fund (DHS)	Yes	\$500,001 - \$1,000,000		\$15,001 - \$50,000
19.23	Vanguard Small-Cap Value Index Fund ETF Class Shares (VBR)	Yes	\$500,001 - \$1,000,000		\$5,001 - \$15,000
19.24	Goldman Sachs Emerging Markets Equity Fund Class P Shares (GAHPX)	Yes	\$50,001 - \$100,000		\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
19.25	RBC LINKED TO ESTX BANKS BETTER OF DUE 7/31/2024	N/A	\$100,001 - \$250,000	Capital Gains	\$15,001 - \$50,000
19.26	Goldman Sachs Clean Energy Income Fund (GCEPX)	Yes	\$100,001 - \$250,000		\$201 - \$1,000
19.27	U.S. investment account (cash)	N/A	\$50,001 - \$100,000	Interest	\$201 - \$1,000
20	US Investment Account #3	No			
20.1	10X Genomics Inc (TXG)	N/A	\$1,001 - \$15,000		None (or less than \$201)
20.2	Agios Pharmaceuticals, Inc. (AGIO)	N/A	\$1,001 - \$15,000		None (or less than \$201)
20.3	AlphaHelix Molecular Diagnostics (ALHX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
20.4	Allegion Plc (ALLE)	N/A	\$1,001 - \$15,000		None (or less than \$201)
20.5	Allegro MicroSystems Inc (ALGM)	N/A	\$1,001 - \$15,000		None (or less than \$201)
20.6	Allogene Therapeutics, Inc. (ALLO)	N/A	\$1,001 - \$15,000		None (or less than \$201)
20.7	Alnylam Pharmaceuticals, Inc. (ALNY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
20.8	Argenx SE (ARGX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
20.9	Ashland Global Holdings, Inc. (ASH)	N/A	\$1,001 - \$15,000		None (or less than \$201)
20.10	Avalara, Inc. (AVLR)	N/A	\$1,001 - \$15,000		None (or less than \$201)
20.11	Avery Dennison Corp. (AVY)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
20.12	Azek Company Inc (AZEK)	N/A	\$1,001 - \$15,000		None (or less than \$201)
20.13	Beam Inc (BEAM)	N/A	\$1,001 - \$15,000		None (or less than \$201)
20.14	Bill.com Holdings Inc (BILL)	N/A	\$1,001 - \$15,000		None (or less than \$201)
20.15	Bio-Techne Corp. (TECH)	N/A	\$1,001 - \$15,000		None (or less than \$201)
20.16	BlackLine, Inc. (BL)	N/A	\$1,001 - \$15,000		None (or less than \$201)
20.17	Booz Allen Hamilton Holding Corp. (BAH)	N/A	\$1,001 - \$15,000		None (or less than \$201)
20.18	Brooks Automation, Inc. (BRKS)	N/A	\$1,001 - \$15,000		None (or less than \$201)
20.19	Brunswick Corp. (BC)	N/A	\$1,001 - \$15,000		None (or less than \$201)
20.20	Bumble Inc (BMBL)	N/A	\$1,001 - \$15,000		None (or less than \$201)
20.21	Castle Biosciences Inc (CSTL)	N/A	\$1,001 - \$15,000		None (or less than \$201)
20.22	Certara Inc (CERT)	N/A	\$1,001 - \$15,000		None (or less than \$201)
20.23	Chart Industries, Inc. (GTLS)	N/A	\$1,001 - \$15,000		None (or less than \$201)
20.24	Ciena Corp. (CIEN)	N/A	\$1,001 - \$15,000		None (or less than \$201)
20.25	Colfax Corp. (CFX)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
20.26	Core & Main Inc (CNM)	N/A	\$1,001 - \$15,000		None (or less than \$201)
20.27	Crocs, Inc. (CROX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
20.28	Deckers Outdoor Corp. (DECK)	N/A	\$1,001 - \$15,000		None (or less than \$201)
20.29	DigitalOcean Holdings Inc (DOCN)	N/A	\$1,001 - \$15,000		None (or less than \$201)
20.30	Dynatrace Holdings LLC (DT)	N/A	\$1,001 - \$15,000		None (or less than \$201)
20.31	Ecopetrol SA (EC)	N/A	\$1,001 - \$15,000		None (or less than \$201)
20.32	Entegris, Inc. (ENTG)	N/A	\$1,001 - \$15,000		None (or less than \$201)
20.33	EverCommerce Inc (EVCM)	N/A	\$1,001 - \$15,000		None (or less than \$201)
20.34	Evercore, Inc. (EVR)	N/A	\$1,001 - \$15,000		None (or less than \$201)
20.35	Exelixis, Inc. (EXEL)	N/A	\$1,001 - \$15,000		None (or less than \$201)
20.36	Five Below, Inc. (FIVE)	N/A	\$1,001 - \$15,000		None (or less than \$201)
20.37	Floor & Decor Holdings, Inc. (FND)	N/A	\$1,001 - \$15,000		None (or less than \$201)
20.38	Fox Factory Holding Corp. (FOXF)	N/A	\$1,001 - \$15,000		None (or less than \$201)
20.39	Freshpet Inc. (FRPT)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
20.40	Generac Holdings, Inc. (GNRC)	N/A	\$1,001 - \$15,000		None (or less than \$201)
20.41	Guardant Health, Inc. (GH)	N/A	\$1,001 - \$15,000		None (or less than \$201)
20.42	GXO Logistics Inc. (GXO)	N/A	\$1,001 - \$15,000		None (or less than \$201)
20.43	Halozyne Therapeutics, Inc. (HALO)	N/A	\$1,001 - \$15,000		None (or less than \$201)
20.44	Heineken N.V. (HEIA)	See Endnote	\$1,001 - \$15,000		None (or less than \$201)
20.45	IDEX Corp. (IEX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
20.46	Jacobs Engineering Group, Inc. (J)	N/A	\$1,001 - \$15,000		None (or less than \$201)
20.47	Kratos Defense & Security Solutions, Inc. (KTOS)	N/A	\$1,001 - \$15,000		None (or less than \$201)
20.48	Lattice Semiconductor Corp. (LSCC)	N/A	\$1,001 - \$15,000		None (or less than \$201)
20.49	Lennox International, Inc. (LII)	N/A	\$1,001 - \$15,000		None (or less than \$201)
20.50	Levi Strauss & Co. (LEVI)	N/A	\$1,001 - \$15,000		None (or less than \$201)
20.51	MKS Instruments, Inc. (MKSI)	N/A	\$1,001 - \$15,000		None (or less than \$201)
20.52	Molina Healthcare, Inc. (MOH)	N/A	\$1,001 - \$15,000		None (or less than \$201)
20.53	MongoDB, Inc. (MDB)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
20.54	Monolithic Power Systems, Inc. (MPWR)	N/A	\$1,001 - \$15,000		None (or less than \$201)
20.55	Ncino Inc. (NCNO)	N/A	\$1,001 - \$15,000		None (or less than \$201)
20.56	Neogen Corp. (NEOG)	N/A	\$1,001 - \$15,000		None (or less than \$201)
20.57	Neurocrine Biosciences, Inc. (NBIX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
20.58	Novanta, Inc. (NOVT)	N/A	\$1,001 - \$15,000		None (or less than \$201)
20.59	Oatly Group AB - ADR (OTLY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
20.60	Pacific Biosciences of California, Inc. (PACB)	N/A	\$1,001 - \$15,000		None (or less than \$201)
20.61	PerkinElmer, Inc. (United States) (PKI)	N/A	\$1,001 - \$15,000		None (or less than \$201)
20.62	Planet Fitness, Inc. (PLNT)	N/A	\$1,001 - \$15,000		None (or less than \$201)
20.63	Polaris Inc. (PII)	N/A	\$1,001 - \$15,000		None (or less than \$201)
20.64	Pool Corp. (POOL)	N/A	\$1,001 - \$15,000		None (or less than \$201)
20.65	Procore Technologies, Inc. (PCOR)	N/A	\$1,001 - \$15,000		None (or less than \$201)
20.66	Qualtrics International Inc (XM)	N/A	\$1,001 - \$15,000		None (or less than \$201)
20.67	Quanterix Corp. (QTRX)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
20.68	Rapid7, Inc. (RPD)	N/A	\$1,001 - \$15,000		None (or less than \$201)
20.69	RBC Bearings, Inc. (ROLL)	N/A	\$1,001 - \$15,000		None (or less than \$201)
20.70	Repligen Corp. (RGEN)	N/A	\$1,001 - \$15,000		None (or less than \$201)
20.71	Revolve Group, Inc. (RVLV)	N/A	\$1,001 - \$15,000		None (or less than \$201)
20.72	Restoration Hardware Holdings, Inc (RH)	N/A	\$1,001 - \$15,000		None (or less than \$201)
20.73	RPM International, Inc. (RPM)	N/A	\$1,001 - \$15,000		None (or less than \$201)
20.74	SailPoint Technologies Holdings, Inc. (SAIL)	N/A	\$1,001 - \$15,000		None (or less than \$201)
20.75	Shift4 Payments Inc (FOUR)	N/A	\$1,001 - \$15,000		None (or less than \$201)
20.76	Shell Midstream Partners LP (SHLX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
20.77	SiteOne Landscape Supply, Inc. (SITE)	N/A	\$1,001 - \$15,000		None (or less than \$201)
20.78	Smartsheet, Inc. (SMAR)	N/A	\$1,001 - \$15,000		None (or less than \$201)
20.79	Stevanato Group SpA (STVN)	N/A	\$1,001 - \$15,000		None (or less than \$201)
20.80	Stifel Financial Corp. (SF)	N/A	\$1,001 - \$15,000		None (or less than \$201)
20.81	Stitch Fix, Inc. (SFIX)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
20.82	Tandem Diabetes Care, Inc. (TNDM)	N/A	\$1,001 - \$15,000		None (or less than \$201)
20.83	Teledyne Technologies, Inc. (TDY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
20.84	Teleflex, Inc. (TFX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
20.85	Tetra Tech, Inc. (TTEK)	N/A	\$1,001 - \$15,000		None (or less than \$201)
20.86	Texas Roadhouse, Inc. (TXRH)	N/A	\$1,001 - \$15,000		None (or less than \$201)
20.87	Scotts Miracle-Gro Co. (SMG)	N/A	\$1,001 - \$15,000		None (or less than \$201)
20.88	The Toro Co. (TTC)	N/A	\$1,001 - \$15,000		None (or less than \$201)
20.89	Traeger Inc (COOK)	N/A	\$1,001 - \$15,000		None (or less than \$201)
20.90	Ultragenyx Pharmaceutical, Inc. (RARE)	N/A	\$1,001 - \$15,000		None (or less than \$201)
20.91	Varonis Systems, Inc. (VRNS)	N/A	\$1,001 - \$15,000		None (or less than \$201)
20.92	Wyndham Hotels & Resorts, Inc. (WH)	N/A	\$1,001 - \$15,000		None (or less than \$201)
20.93	XPO Logistics, Inc. (XPO)	N/A	\$1,001 - \$15,000		None (or less than \$201)
20.94	Y-mAbs Therapeutics, Inc. (YMAB)	N/A	\$1,001 - \$15,000		None (or less than \$201)
20.95	YETI Holdings, Inc. (YETI)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
20.96	Zendesk, Inc. (ZEN)	N/A	\$1,001 - \$15,000		None (or less than \$201)
20.97	Mercadolibre, Inc. (MELI)	N/A	\$15,001 - \$50,000		None (or less than \$201)
20.98	West Pharmaceutical Services, Inc. (WST)	N/A	\$15,001 - \$50,000		None (or less than \$201)
20.99	Shopify, Inc. (SHOP)	N/A	\$15,001 - \$50,000		None (or less than \$201)
20.100	Stryker Corp. (SYK)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
20.101	US Investment Account (Cash) #3	N/A	\$15,001 - \$50,000		None (or less than \$201)
20.102	Elanco Animal Health, Inc. (ELAN)	N/A	\$1,001 - \$15,000		None (or less than \$201)
21	US Investment Account #5	No			
21.1	The Sherwin-Williams Co. (SHW)	N/A	\$15,001 - \$50,000		None (or less than \$201)
21.2	LVMH Moet Hennessy Louis Vuitton (LVMUY) See Endnote	N/A	\$15,001 - \$50,000		None (or less than \$201)
21.3	Thermo Fisher Scientific, Inc. (TMO)	N/A	\$15,001 - \$50,000		None (or less than \$201)
21.4	First Republic Bank (San Francisco, California) (FRC)	N/A	\$15,001 - \$50,000		None (or less than \$201)
21.5	NIKE, Inc. (NKE)	N/A	\$15,001 - \$50,000		None (or less than \$201)
21.6	Visa, Inc. (V)	N/A	\$15,001 - \$50,000		None (or less than \$201)
21.7	Old Dominion Freight Line, Inc. (ODFL)	N/A	\$15,001 - \$50,000		None (or less than \$201)

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
21.8	Amphenol Corporation (APH)		N/A	\$15,001 - \$50,000		None (or less than \$201)
21.9	Taiwan Semiconductor Mfg. Co. Ltd. (TSM)		N/A	\$15,001 - \$50,000		None (or less than \$201)
21.10	Mettler-Toledo International, Inc. (MTD)		N/A	\$15,001 - \$50,000		None (or less than \$201)
21.11	Atlas Copco ADR Representing Ord Shs Class A (ATLKY)	See Endnote	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
21.12	MSCI, Inc. (MSCI)		N/A	\$1,001 - \$15,000		None (or less than \$201)
21.13	Graco, Inc. (GGG)		N/A	\$1,001 - \$15,000		None (or less than \$201)
21.14	Wuxi Biologics Cayman ADR (WXXWY)	See Endnote	N/A	\$1,001 - \$15,000		None (or less than \$201)
21.15	Adyen N.V. (ADYEY)	See Endnote	N/A	\$1,001 - \$15,000		None (or less than \$201)
21.16	HDFC Bank Limited (HDB)		N/A	\$1,001 - \$15,000		None (or less than \$201)
21.17	Pernod Ricard ADR (PDRDY)	See Endnote	N/A	\$1,001 - \$15,000		None (or less than \$201)
21.18	Evolution ADR (EVVTY)	See Endnote	N/A	\$1,001 - \$15,000		None (or less than \$201)
21.19	Fidelity National Financial, Inc. (FNTG)		N/A	\$1,001 - \$15,000		None (or less than \$201)
21.20	Church & Dwight Co., Inc. (CHD)		N/A	\$1,001 - \$15,000		None (or less than \$201)
21.21	Costco Wholesale Corp. (COST)		N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
21.22	Lam Research Corp. (LRCX)		N/A	\$1,001 - \$15,000		None (or less than \$201)
21.23	ServiceNow, Inc. (NOW)		N/A	\$1,001 - \$15,000		None (or less than \$201)
21.24	AIA Group ADR (AAGIY)	See Endnote	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
21.25	Fair Isaac Corp. (FICO)		N/A	\$1,001 - \$15,000		None (or less than \$201)
21.26	lululemon athletica, Inc. (LULU)		N/A	\$1,001 - \$15,000		None (or less than \$201)
21.27	Ferrari NV (RACE)		N/A	\$1,001 - \$15,000		None (or less than \$201)
21.28	Heineken N.V. (HEIA)	See Endnote	N/A	\$1,001 - \$15,000		None (or less than \$201)
21.29	Alcon AG (ALC)		N/A	\$1,001 - \$15,000		None (or less than \$201)
21.30	Csl ADR (CSLLY)	See Endnote	N/A	\$1,001 - \$15,000		None (or less than \$201)
21.31	Tencent Holdings ADR (TCEHY)	See Endnote	N/A	\$1,001 - \$15,000		None (or less than \$201)
22	US Investment Account #6		No			
22.1	Vanguard 500 Index Fund ETF Shares (VOO)		Yes	\$250,001 - \$500,000		\$2,501 - \$5,000
22.2	Vanguard Value Index Fund ETF Shares (VTV)		Yes	\$1,001 - \$15,000		\$201 - \$1,000
22.3	iShares Core S&P Small-Cap ETF (IJR)		Yes	\$15,001 - \$50,000		\$201 - \$1,000
22.4	Vanguard Small-Cap Value Index Fund ETF Class Shares (VBR)		Yes	\$1,001 - \$15,000		\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
22.5	Vanguard Real Estate Index Fund ETF Shares (VNQ)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
22.6	iShares Currency Hedged MSCI EAFE ETF (HEFA)	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
22.7	iShares Core MSCI EAFE ETF (IEFA)	Yes	\$50,001 - \$100,000		\$201 - \$1,000
22.8	iShares Core MSCI Emerging Markets ETF (IEMG)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
22.9	Vanguard Global ex-US Real Estate Index Fund ETF Shares (VNQI)	Yes	\$1,001 - \$15,000		None (or less than \$201)
23	US Investment Account #7	No			
23.1	Equinix, Inc. (EQIX)	N/A	\$15,001 - \$50,000		None (or less than \$201)
23.2	Prologis, Inc. (PLD)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
23.3	Public Storage (PSA)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
23.4	AvalonBay Communities, Inc. (AVB)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
23.5	Digital Realty Trust, Inc. (DLR)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
23.6	Alexandria Real Estate Equities, Inc. (ARE)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
23.7	Invitation Homes, Inc. (INVH)	N/A	\$1,001 - \$15,000		None (or less than \$201)
23.8	Essex Property Trust, Inc. (ESS)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
23.9	Duke Realty Corp. (DRE)	N/A	\$1,001 - \$15,000		None (or less than \$201)
23.10	Equity LifeStyle Properties, Inc. (ELS)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
23.11	Camden Property Trust (CPT)	N/A	\$1,001 - \$15,000		None (or less than \$201)
23.12	Healthpeak Properties Inc (PEAK)	N/A	\$1,001 - \$15,000		None (or less than \$201)
23.13	Welltower, Inc. (WELL)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
23.14	Ventas, Inc. (VTR)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
23.15	Boston Properties, Inc. (BXP)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
23.16	Cousins Properties, Inc. (CUZ)	N/A	\$1,001 - \$15,000		None (or less than \$201)
23.17	SBA Communications Corp. (SBAC)	N/A	\$1,001 - \$15,000		None (or less than \$201)
23.18	Highwoods Properties, Inc. (HIW)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
23.19	CubeSmart (CUBE)	N/A	\$1,001 - \$15,000		None (or less than \$201)
23.20	Host Hotels & Resorts, Inc. (HST)	N/A	\$1,001 - \$15,000		None (or less than \$201)
23.21	Equity Residential (EQR)	N/A	\$1,001 - \$15,000		None (or less than \$201)
23.22	EastGroup Properties, Inc. (EGP)	N/A	\$1,001 - \$15,000		None (or less than \$201)
23.23	American Tower Corporation (REIT) (AMT)	N/A	\$1,001 - \$15,000		None (or less than \$201)
23.24	Sun Communities, Inc. (SUI)	N/A	\$1,001 - \$15,000		None (or less than \$201)
23.25	Terreno Realty Corp. (TRNO)	N/A	\$1,001 - \$15,000		None (or less than \$201)
23.26	Kilroy Realty Corp. (KRC)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
23.27	CoreSite Realty Corp. (COR)	N/A	\$1,001 - \$15,000		None (or less than \$201)
23.28	Ryman Hospitality Properties, Inc. (RHP)	N/A	\$1,001 - \$15,000		None (or less than \$201)
23.29	Pebblebrook Hotel Trust (PEB)	N/A	\$1,001 - \$15,000		None (or less than \$201)
23.30	Hudson Pacific Properties, Inc. (HPP)	N/A	\$1,001 - \$15,000		None (or less than \$201)
23.31	Americold Realty Trust, Inc. (COLD)	N/A	\$1,001 - \$15,000		None (or less than \$201)
23.32	RLJ Lodging Trust (RLJ)	N/A	\$1,001 - \$15,000		None (or less than \$201)
23.33	Extra Space Storage, Inc. (EXR)	N/A	\$1,001 - \$15,000		None (or less than \$201)
24	US Investment Account #8	No			
24.1	Graphic Packaging Holding Co. (GPK)	N/A	\$1,001 - \$15,000		None (or less than \$201)
24.2	WESCO International, Inc. (WCC)	N/A	\$1,001 - \$15,000		None (or less than \$201)
24.3	Insight Enterprises, Inc. (NSIT)	N/A	\$1,001 - \$15,000		None (or less than \$201)
24.4	Concentrix Corp (CNXC)	N/A	\$1,001 - \$15,000		None (or less than \$201)
24.5	Valvoline, Inc. (VVV)	N/A	\$1,001 - \$15,000		None (or less than \$201)
24.6	SLM Corp. (SLM)	N/A	\$1,001 - \$15,000		None (or less than \$201)
24.7	Navient Corp. (NAVI)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
24.8	ABM Industries, Inc. (ABM)	N/A	\$1,001 - \$15,000		None (or less than \$201)
24.9	KAR Auction Services, Inc. (KAR)	N/A	\$1,001 - \$15,000		None (or less than \$201)
24.10	Assured Guaranty Ltd. (AGO)	N/A	\$1,001 - \$15,000		None (or less than \$201)
24.11	World Fuel Services Corp. (INT)	N/A	\$1,001 - \$15,000		None (or less than \$201)
24.12	SYNNEX Corp. (SNX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
24.13	Syneos Health, Inc. (SYNH)	N/A	\$1,001 - \$15,000		None (or less than \$201)
24.14	Envista Holdings Corp (NVST)	N/A	\$1,001 - \$15,000		None (or less than \$201)
24.15	ASGN, Inc. (ASGN)	N/A	\$1,001 - \$15,000		None (or less than \$201)
24.16	Evercore, Inc. (EVR)	N/A	\$1,001 - \$15,000		None (or less than \$201)
24.17	AXIS Capital Holdings Ltd. (AXS)	N/A	\$1,001 - \$15,000		None (or less than \$201)
24.18	Change Healthcare Inc (CHNG)	N/A	\$1,001 - \$15,000		None (or less than \$201)
24.19	NCR Corp. (NCR)	N/A	\$1,001 - \$15,000		None (or less than \$201)
24.20	The Hanover Insurance Group, Inc. (THG)	N/A	\$1,001 - \$15,000		None (or less than \$201)
24.21	ChampionX Corp (CHX)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
24.22	Energizer Holdings, Inc. (ENR)	N/A	\$1,001 - \$15,000		None (or less than \$201)
24.23	Stride Inc (LRN)	N/A	\$1,001 - \$15,000		None (or less than \$201)
24.24	Ortho Clinical Diagnostics Holdings PLC (OCDX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
24.25	First Hawaiian, Inc. (FHB)	N/A	\$1,001 - \$15,000		None (or less than \$201)
24.26	TTEC Holdings, Inc. (TTEC)	N/A	\$1,001 - \$15,000		None (or less than \$201)
24.27	Curtiss-Wright Corp. (CW)	N/A	\$1,001 - \$15,000		None (or less than \$201)
24.28	LCI Industries (LCII)	N/A	\$1,001 - \$15,000		None (or less than \$201)
24.29	Cousins Properties, Inc. (CUZ)	N/A	\$1,001 - \$15,000		None (or less than \$201)
24.30	Nomad Foods Ltd (NOMD)	N/A	\$1,001 - \$15,000		None (or less than \$201)
24.31	Sykes Enterprises, Inc.	See Endnote	\$1,001 - \$15,000		None (or less than \$201)
24.32	Foot Locker, Inc. (FL)	N/A	\$1,001 - \$15,000		None (or less than \$201)
24.33	InterDigital, Inc. (IDCC)	N/A	\$1,001 - \$15,000		None (or less than \$201)
24.34	Nexstar Media Group, Inc. (NXST)	N/A	\$1,001 - \$15,000		None (or less than \$201)
24.35	Belden, Inc. (BDC)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
24.36	Science Applications International Corp. (SAIC)	N/A	\$1,001 - \$15,000		None (or less than \$201)
24.37	Callaway Golf Co. (ELY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
24.38	Starwood Property Trust, Inc. (STWD)	N/A	\$1,001 - \$15,000		None (or less than \$201)
24.39	Blackstone Mortgage Trust, Inc. (BXMT)	N/A	\$1,001 - \$15,000		None (or less than \$201)
24.40	White Mountains Insurance Group Ltd. (WTM)	N/A	\$1,001 - \$15,000		None (or less than \$201)
24.41	Frontdoor, Inc. (FTDR)	N/A	\$1,001 - \$15,000		None (or less than \$201)
24.42	Spectrum Brands Holdings, Inc. (SPB)	N/A	\$1,001 - \$15,000		None (or less than \$201)
24.43	FTI Consulting, Inc. (FCN)	N/A	\$1,001 - \$15,000		None (or less than \$201)
24.44	Steven Madden Ltd. (SHOO)	N/A	\$1,001 - \$15,000		None (or less than \$201)
24.45	TEGNA, Inc. (TGNA)	N/A	\$1,001 - \$15,000		None (or less than \$201)
24.46	Essent Group Ltd. (ESNT)	N/A	\$1,001 - \$15,000		None (or less than \$201)
24.47	BankUnited, Inc. (BKU)	N/A	\$1,001 - \$15,000		None (or less than \$201)
24.48	Synovus Financial Corp. (SNV)	N/A	\$1,001 - \$15,000		None (or less than \$201)
24.49	Unisys Corp. (UIS)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
24.50	Harley-Davidson, Inc. (HOG)	N/A	\$1,001 - \$15,000		None (or less than \$201)
24.51	HollyFrontier Corp. (HFC)	N/A	\$1,001 - \$15,000		None (or less than \$201)
24.52	Schweitzer-Mauduit International, Inc. (SWM)	N/A	\$1,001 - \$15,000		None (or less than \$201)
24.53	Walker & Dunlop, Inc. (WD)	N/A	\$1,001 - \$15,000		None (or less than \$201)
24.54	Yelp, Inc. (YELP)	N/A	\$1,001 - \$15,000		None (or less than \$201)
24.55	First American Financial Corp. (FAF)	N/A	\$1,001 - \$15,000		None (or less than \$201)
24.56	Artisan Partners Asset Management Inc. (APAM)	N/A	\$1,001 - \$15,000		None (or less than \$201)
24.57	Valley National Bancorp (VLY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
24.58	SKECHERS USA, Inc. (SKX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
24.59	Ultra Clean Holdings, Inc. (UCTT)	N/A	\$1,001 - \$15,000		None (or less than \$201)
24.60	CommScope Holding Co., Inc. (COMM)	N/A	\$1,001 - \$15,000		None (or less than \$201)
24.61	Fresh Del Monte Produce, Inc. (FDP)	N/A	\$1,001 - \$15,000		None (or less than \$201)
24.62	MAXIMUS, Inc. (MMS)	N/A	\$1,001 - \$15,000		None (or less than \$201)
24.63	Realogy Holdings Corp. (RLGY)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
24.64	Hanesbrands, Inc. (HBI)	N/A	\$1,001 - \$15,000		None (or less than \$201)
24.65	EnerSys (ENS)	N/A	\$1,001 - \$15,000		None (or less than \$201)
24.66	PacWest Bancorp (PACW)	N/A	\$1,001 - \$15,000		None (or less than \$201)
24.67	EVERTEC, Inc. (EVTC)	N/A	\$1,001 - \$15,000		None (or less than \$201)
24.68	Ingevity Corp. (NGVT)	N/A	\$1,001 - \$15,000		None (or less than \$201)
24.69	Umpqua Holdings Corp. (UMPQ)	N/A	\$1,001 - \$15,000		None (or less than \$201)
24.70	Meritage Homes Corp. (MTH)	N/A	\$1,001 - \$15,000		None (or less than \$201)
24.71	Sally Beauty Holdings, Inc. (SBH)	N/A	\$1,001 - \$15,000		None (or less than \$201)
24.72	Mr. Cooper Group, Inc. (COOP)	N/A	\$1,001 - \$15,000		None (or less than \$201)
24.73	Tempur Sealy International, Inc. (TPX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
24.74	Universal Corp. (UVV)	N/A	\$1,001 - \$15,000		None (or less than \$201)
24.75	Harsco Corp. (HSC)	N/A	\$1,001 - \$15,000		None (or less than \$201)
24.76	Federal Agricultural Mortgage Corp. (AGM)	N/A	\$1,001 - \$15,000		None (or less than \$201)
24.77	Avnet, Inc. (AVT)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
24.78	NMI Holdings, Inc. (NMIH)	N/A	\$1,001 - \$15,000		None (or less than \$201)
24.79	Helmerich & Payne, Inc. (HP)	N/A	\$1,001 - \$15,000		None (or less than \$201)
24.80	PennyMac Financial Services, Inc. (PFSI)	N/A	\$1,001 - \$15,000		None (or less than \$201)
24.81	Masonite International Corp. (DOOR)	N/A	\$1,001 - \$15,000		None (or less than \$201)
24.82	First Merchants Corp. (Indiana) (FRME)	N/A	\$1,001 - \$15,000		None (or less than \$201)
24.83	PetIQ, Inc. (PETQ)	N/A	\$1,001 - \$15,000		None (or less than \$201)
24.84	Investors Bancorp, Inc. (New Jersey) (ISBC)	N/A	\$1,001 - \$15,000		None (or less than \$201)
24.85	Allison Transmission Holdings, Inc. (ALSN)	N/A	\$1,001 - \$15,000		None (or less than \$201)
24.86	The Brink's Co. (BCO)	N/A	\$1,001 - \$15,000		None (or less than \$201)
24.87	ProAssurance Corp. (PRA)	N/A	\$1,001 - \$15,000		None (or less than \$201)
24.88	Cactus, Inc. (WHD)	N/A	\$1,001 - \$15,000		None (or less than \$201)
24.89	Nelnet, Inc. (NNI)	N/A	\$1,001 - \$15,000		None (or less than \$201)
24.90	PRA Group, Inc. (PRAA)	N/A	\$1,001 - \$15,000		None (or less than \$201)
24.91	Kosmos Energy Ltd. (KOS)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
24.92	ACCO Brands Corp. (ACCO)	N/A	\$1,001 - \$15,000		None (or less than \$201)
25	US Investment Account #9	No			
25.1	Apple, Inc. (AAPL)	N/A	\$1,001 - \$15,000		None (or less than \$201)
25.2	Microsoft Corp. (MSFT)	N/A	\$1,001 - \$15,000		None (or less than \$201)
25.3	Alphabet, Inc. (GOOGL)	N/A	\$1,001 - \$15,000		None (or less than \$201)
25.4	Amazon.com, Inc. (AMZN)	N/A	\$1,001 - \$15,000		None (or less than \$201)
25.5	Facebook, Inc. (FB)	N/A	\$1,001 - \$15,000		None (or less than \$201)
25.6	Tesla, Inc. (TSLA)	N/A	\$1,001 - \$15,000		None (or less than \$201)
25.7	NVIDIA Corp. (NVDA)	N/A	\$1,001 - \$15,000		None (or less than \$201)
25.8	Berkshire Hathaway Inc. (BRKB)	N/A	\$1,001 - \$15,000		None (or less than \$201)
25.9	JPMorgan Chase & Co. (JPM)	N/A	\$1,001 - \$15,000		None (or less than \$201)
25.10	Johnson & Johnson (JNJ)	N/A	\$1,001 - \$15,000		None (or less than \$201)
25.11	UnitedHealth Group, Inc. (UNH)	N/A	\$1,001 - \$15,000		None (or less than \$201)
25.12	Visa, Inc. (V)	N/A	\$1,001 - \$15,000		None (or less than \$201)
25.13	The Walt Disney Co. (DIS)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
25.14	Adobe, Inc. (ADBE)	N/A	\$1,001 - \$15,000		None (or less than \$201)
25.15	Procter & Gamble Co. (PG)	N/A	\$1,001 - \$15,000		None (or less than \$201)
25.16	Mastercard, Inc. (MA)	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
25.17	Bank of America Corp. (BAC)	N/A	\$1,001 - \$15,000		None (or less than \$201)
25.18	The Home Depot, Inc. (HD)	N/A	\$1,001 - \$15,000		None (or less than \$201)
25.19	Thermo Fisher Scientific, Inc. (TMO)	N/A	\$1,001 - \$15,000		None (or less than \$201)
25.20	Pfizer Inc. (PFE)	N/A	\$1,001 - \$15,000		None (or less than \$201)
25.21	Netflix, Inc. (NFLX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
25.22	Comcast Corp. (CMCSA)	N/A	\$1,001 - \$15,000		None (or less than \$201)
25.23	Exxon Mobil Corp. (XOM)	N/A	\$1,001 - \$15,000		None (or less than \$201)
25.24	The Coca-Cola Co. (KO)	N/A	\$1,001 - \$15,000		None (or less than \$201)
25.25	Verizon Communications, Inc. (VZ)	N/A	\$1,001 - \$15,000		None (or less than \$201)
25.26	Intel Corp. (INTC)	N/A	\$1,001 - \$15,000		None (or less than \$201)
25.27	Eli Lilly & Co. (LLY)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
25.28	Costco Wholesale Corp. (COST)	N/A	\$1,001 - \$15,000		None (or less than \$201)
25.29	Accenture Plc (ACN)	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
25.30	PepsiCo, Inc. (PEP)	N/A	\$1,001 - \$15,000		None (or less than \$201)
25.31	Danaher Corp. (DHR)	N/A	\$1,001 - \$15,000		None (or less than \$201)
25.32	Salesforce.com, Inc. (CRM)	N/A	\$1,001 - \$15,000		None (or less than \$201)
25.33	Abbott Laboratories (ABT)	N/A	\$1,001 - \$15,000		None (or less than \$201)
25.34	NIKE, Inc. (NKE)	N/A	\$1,001 - \$15,000		None (or less than \$201)
25.35	McDonald's Corp. (MCD)	N/A	\$1,001 - \$15,000		None (or less than \$201)
25.36	Wells Fargo & Co. (WFC)	N/A	\$1,001 - \$15,000		None (or less than \$201)
25.37	AbbVie, Inc. (ABBV)	N/A	\$1,001 - \$15,000		None (or less than \$201)
25.38	Merck & Co., Inc. (MRK)	N/A	\$1,001 - \$15,000		None (or less than \$201)
25.39	Cisco Systems, Inc. (CSCO)	N/A	\$1,001 - \$15,000		None (or less than \$201)
25.40	Chevron Corp. (CVX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
25.41	QUALCOMM, Inc. (QCOM)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
25.42	Medtronic Plc (MDT)	N/A	\$1,001 - \$15,000		None (or less than \$201)
25.43	Citigroup, Inc. (C)	N/A	\$1,001 - \$15,000		None (or less than \$201)
25.44	Bristol-Myers Squibb (BMY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
25.45	Walmart, Inc. (WMT)	N/A	\$1,001 - \$15,000		None (or less than \$201)
26	US Investment Account #10	No			
26.1	Brown Advisory Sustainable Growth Fund Institutional Class Shares (BAFWX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
26.2	Invesco Global Clean Energy ETF (PBD)	Yes	\$1,001 - \$15,000		None (or less than \$201)
26.3	iShares ESG MSCI EAFE ETF (ESGD)	Yes	\$15,001 - \$50,000		None (or less than \$201)
26.4	iShares ESG MSCI USA ETF (ESGU)	Yes	\$15,001 - \$50,000		None (or less than \$201)
26.5	iShares MSCI USA ESG Select ETF (SUSA)	Yes	\$50,001 - \$100,000		\$201 - \$1,000
26.6	iShares Edge MSCI USA Value Factor ETF (VLUE)	Yes	\$1,001 - \$15,000		None (or less than \$201)
26.7	Mirova Global Sustainable Equity Fund Class N Shares (ESGNX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
26.8	Parnassus Core Equity Fund Institutional Shares (PRILX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
26.9	Pax Global Environmental Markets Fund Institutional Class Shares (PGINX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
26.10	UBS International Sustainable Equity Fund Class P Shares (BNUEX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
27	US Investment Account #11	No			
27.1	Vanguard European Stock Index Fund ETF Shares (VGK)	Yes	\$1,001 - \$15,000		None (or less than \$201)
27.2	iShares MSCI EAFE ETF (EFA)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
27.3	iShares MSCI Emerging Markets ETF (EEM)	Yes	\$1,001 - \$15,000		None (or less than \$201)
27.4	iShares Russell 2000 ETF (IWM)	Yes	\$1,001 - \$15,000		None (or less than \$201)
27.5	SPDR S&P 500 ETF Trust (SPY)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
27.6	iShares MSCI EAFE ETF (EFA)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
27.7	iShares MSCI Emerging Markets ETF (EEM)	Yes	\$1,001 - \$15,000		None (or less than \$201)
27.8	iShares Russell 2000 ETF (IWM)	Yes	\$1,001 - \$15,000		None (or less than \$201)
27.9	SPDR S&P 500 ETF Trust (SPY)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
27.10	Ashmore Emerging Markets Corporate Income Fund Institutional Class Shares (EMCIX)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
27.11	Columbia Greater China Fund Institutional 3 Class Shares (CGCYX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
27.12	Cullen Emerging Markets High Dividend Fund Class I Shares (CEMFX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
27.13	Deleware Emerging Markets Fund Class R6 Shares (DEMZX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
27.14	DoubleLine Low Duration Emerging Markets Fixed Income Fund Class I Shares (DBLLX)	Yes	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
27.15	Eaton Vance Greater India Fund Class I Shares (EGIIX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
27.16	iShares MSCI Brazil ETF (EWZ)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
27.17	iShares MSCI China A ETF (CNYA)	Yes	\$1,001 - \$15,000		None (or less than \$201)
27.18	iShares MSCI Mexico ETF (EWW)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
27.19	iShares MSCI Russia ETF (ERUS)	Yes	\$15,001 - \$50,000		None (or less than \$201)
27.20	iShares MSCI South Korea ETF (EWY)	Yes	\$1,001 - \$15,000		None (or less than \$201)
27.21	iShares MSCI Taiwan ETF (EWT)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
27.22	iShares MSCI Thailand ETF (THD)	Yes	\$1,001 - \$15,000		None (or less than \$201)
27.23	JPMorgan Emerging Markets Equity Fund Class R6 Shares (JEMWX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
27.24	MFS Emerging Markets Debt Fund Class R6 Shares (MEDHX)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
27.25	T Rowe Price New Asia Fund Class I Shares (PNSIX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
27.26	WCM Focused Global Growth Fund Institutional Class Shares (WCMGX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
27.27	William Blair Emerging Markets Small Cap Growth Fund Class R6 Shares (WESJX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
28	US Investment Account #12	No			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
28.1	Allstate Depository Shares Representing 1/1000th Shares Perpetual Preferred Series H (ALL-H)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
28.2	ALLY FINL INC VAR RT PREFERRED STOCK MAT DTD 04/22/2021	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
28.3	American Equity Invt Life Hldg 1000 Depository Shares Representing Non Cum Prf Series A (AEL-A)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
28.4	Aspen Insurance Holdings (AHL-C)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
28.5	TuanChe Ltd (TC)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
28.6	Accelerated Technologies Holding Corp (ATH-C)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
28.7	Athene Holding DS Representing 1 1000 Pref Shs Series A (ATH-A)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
28.8	BANK AMER CORP PREFERRED STOCK 6.25% 12/29/99	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
28.9	BANK OF AMERICA CORP PREFERRED STOCK 6.5% 12/29/99	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
28.10	CAPITAL ONE FINL CO VAR RT PREFERRED STOCK MAT DTD 06/10/2021	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
28.11	CENTERPOINT ENERGY INC VAR RT PREFERRED STOCK MAT DTD 08/22/2018	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
28.12	CHARLES SCHWAB CORP VAR RT PREFERRED STOCK MAT DTD 04/30/2020	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
28.13	CITIGROUP INC PREFERRED STOCK 5.9% 12/29/99	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
28.14	CITIGROUP INC PREFERRED STOCK 6.25% 12/31/99	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
28.15	Citizens Financial Group Depository Shares Representing 1/40th Pref Shs Series D (CFG-D)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
28.16	DISCOVER FINL SVS VAR RT PREFERRED STOCK MAT DTD 6/22/20	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
28.17	DISCOVER FINL SVS VAR RT PREFERRED STOCK MAT DTD 10/31/17	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
28.18	ENBRIDGE INC MTN CDS PREFERRED STOCK 5.750% 07/15/80	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
28.19	Fifth Third Bancorp Depository Shares Representing 1/1000th Perp Pref Shs Series I (FITBI)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
28.20	GEN MOTORS FINL CO VAR RT PREFERRED STOCK MAT DTD 9/16/20	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
28.21	GEN MOTORS FINL CO VAR RT PREFERRED STOCK MAT DTD 9/20/17	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
28.22	GENERAL ELECTRIC CO PREFERRED STOCK 5.000% 12/29/99	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
28.23	GOLDMAN SACHS GROUP INC PREFERRED STOCK 5.300% 12/31/99	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
28.24	GOLDMAN SACHS GROUP INC VAR RT PREFERRED STOCK MAT DTD 06/17/2019	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
28.25	Goldman Sachs (GS-J)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
28.26	HUNTINGTON BANCSHARES VAR RT PREFERRED STOCK MAT DTD 06/03/2020	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
28.27	KEYCORP VAR RT PREFERRED STOCK MAT DTD 09/09/2016	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
28.28	M&T BK CORP VAR RT PREFERRED STOCK MAT DTD 07/30/2019	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
28.29	MARKEL CORP VAR RT PERP MAT DTD 05/27/2020	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
28.30	Morgan Stanley DS Representing (MS-I)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
28.31	Morgan Stanley Depository Shares Representing 1/1000th Fixed/Floating Non Cumulative Pref Shs Series E (MS-E)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
28.32	Regions Financial DS Rep (RF-B)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
28.33	Regions Financial DS Representing 1/40th (RF-C)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
28.34	SEMPRA ENERGY VAR RT PREFERRED STOCK MAT DTD 06/19/2020	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
28.35	State Street Depository Shares Representing (STT-D)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
28.36	SVB FINL GROUP VAR RT PREFERRED STOCK MAT DTD 05/13/2021	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
28.37	TRUIST FINL CORP VAR RT PREFERRED STOCK MAT DTD 06/19/2020	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
28.38	TRUIST FINL CORP VAR RT PREFERRED STOCK MAT DTD 07/29/2019	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
28.39	Voya Financial 40 DRC Representing Pref Shs Series B (VOYA-B)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
28.40	WELLS FARGO & CO VAR RT PREFERRED STOCK MAT DTD 01/23/2015	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
28.41	WELLS FARGO & CO VAR RT PREFERRED STOCK MAT DTD 01/26/2021	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
28.42	WELLS FARGO & CO VAR RT PREFERRED STOCK MAT DTD 04/22/2014	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000

7. Transactions

(N/A) - Not required for this type of report

8. Liabilities

#	CREDITOR NAME	TYPE	AMOUNT	YEAR INCURRED	RATE	TERM
1	JPMorgan Chase Bank, N.A.	Mortgage on Personal Residence	\$1,000,001 - \$5,000,000	2017	2.75	30
2	HighGear Ventures Fund II	Capital Commitment	\$100,001 - \$250,000	2020	n/a	n/a
3	Ares Direct Lending Opportunities Access LLC	Capital Commitment	\$100,001 - \$250,000	2021	n/a	n/a

9. Gifts and Travel Reimbursements

(N/A) - Not required for this type of report

Endnotes

PART	#	ENDNOTE
Filer's Information	1	This is a volunteer advisory committee convened biannually by the Legal Adviser's Office of the U.S. State Department. We are classified as "Special Government Employees". The position lapsed at some point last year (date uncertain) and we have been invited to re-apply.
Filer's Information	2	I am one of several academics who serve as Senior Fellows with the Lieber Institute.

PART	#	ENDNOTE
1.	2	I serve on the board of this human rights organization that represents survivors of torture seeking legal redress; I once served as staff attorney and acting executive director with CJA.
1.	3	This is an organization that works to end extreme poverty in fragile areas that are vulnerable to violent extremist organizations.
1.	4	This is an organization that represents human rights victims in their efforts to achieve justice in U.S., foreign, and international courts.
1.	5	This organization trains war crimes investigators.
1.	6	I assisted a research team from U.C. Berkeley on a grant proposal, ultimately successful, to the U.S. Commission on International Religious Freedom to study sectarian violence in India. I met with the principal investigator several times and then reviewed and commented upon drafts and the final paper.
1.	7	I was retained by the U.S. Holocaust Memorial Museum to help conceptualize, and launch, the Ferencz Institute for International Justice. This involved several conferences and simulations, which I helped organize, and publications, to which I contributed.
1.	8	I was originally retained by the Clooney Foundation in 2017-2019 to help conceptualize and launch their Trial Watch global trial monitoring program. This involved designing the program, identifying potential implementing partners, writing the trial monitoring protocol and curriculum, designing an online course and monitoring phone app, etc. I then served as an expert to monitor an early trial in Zambia. I continue to advise the Foundation informally (unpaid) and serve as an expert for additional monitoring missions and human rights litigation.
1.	9	I am a member of IFIT's Law & Peace Practice Group. We are academics and advocates who advise policymakers and civil society actors on transitional justice options/mechanisms.
1.	10	This blog, hosted by New York University School of Law, is devoted to publishing content at the intersection of human rights and national security. My role as Executive Editor (unpaid) is to help identify important voices to feature, to vet and edit submissions, to organize online symposia, to advise the editorial board, and to otherwise promote the blog.
1.	11	Over the years, I have been invited by the American Society of International Law to contribute to several ongoing projects, such as a judicial benchbook on international law. Within the reporting period, I co-chaired a non-partisan Task Force on the relationship between the United States and the International Criminal Court. The Task Force produced a major report, launched in April 2021.
1.	12	I was retained to serve as an expert witness in a case involving an attempted extrajudicial killing brought on behalf of Dr. Saad Aljabri. I was asked to opine upon the cognizability of this cause of action under international law.

PART	#	ENDNOTE
1.	14	Editorial fees to assist with the publication of Amal Clooney & Philippa Webb, "The Right to a Fair Trial in International Law" (Oxford University Press 2021).
1.	15	This volunteer committee assists our local Human Rights Watch office with fundraising and human rights outreach within the local community, although we are not involved in the substance of their work.
2.	3	This was an honorarium from the American Society of International Law for co-chairing a Task Force on the U.S.-International Criminal Court relationship in 2020-21.
2.	4	I served as an expert witness in a human rights case in U.S. federal court.
2.	5	This involved helping to edit a hornbook on fair trial rights under international human rights law: Amal Clooney & Philippa Webb, "The Right to a Fair Trial in International Law" (Oxford University Press 2021).
2.	6	This is an annual royalty payment for a law casebook on International Criminal Law & Its Enforcement published by Foundation Press. The royalties are usually within this range, although the exact amount remains uncertain.
2.	7	I guest lectured and participated in a simulation with professionals enrolled in George Washington University's Senior Management Course in National Security Leadership.
2.	8	I contributed guidance and advice to a report on sectarian violence in India (e.g., helping to conceptualize the project, reviewing and commenting upon drafts, meeting with the lead authors and researchers, etc.).
2.	9	This is a recently published book that has not yet begun to receive royalties.
2.	10	This is a recently published book that has not yet begun to receive royalties.
2.	14	This organization provides advice about transitional justice options; I was retained to assist with projects related to Colombia, Venezuela, and Nigeria. Once these are complete, I will receive the full honorarium.
4.	2	I was retained as a consultant with the U.S. Holocaust Memorial Museum to assist with their atrocities prevention and response initiative, the Ferencz Institute for International Justice. This included organizing a survivors' forum and contributing to a handbook on international justice mechanisms.
4.	3	I served as an expert witness in a human rights case filed on behalf of Mr. Al Jabri by Jenner & Block in U.S. federal court.
6.	4	Line of Business: Digital therapeutics
6.	5	No individual holding met the reporting thresholds
6.	6	Line of business - Behavioral Health

PART	#	ENDNOTE
6.	7.1	Line of Business: Bloomreach is a leader in Commerce Experience™ The Bloomreach Experience Platform competes in three core categories: Engagement (CDP and marketing automation), Content (headless content and experience management), and Discovery (e-commerce search, merchandising, recommendations, and SEO).
6.	7.2	Line of Business: Dataminr is recognized as one of the world's leading AI businesses. The company's clients are the first to know about high-impact events and emerging risks so they can mitigate and manage crises more effectively.
6.	7.4	Line of Business: Domino is a Enterprise MLOps (Machine Learning Operations) and data science platform. Products enable data scientists to develop better medicines, grow more productive crops, adapt risk models to major economic shifts, build better cars, improve customer support, or simply recommend the best purchase to make at the right time.
6.	7.5	Line of Business: Exabeam powers IT security teams with analytics-driven insights to uncover, investigate, and resolve IT threats using analytics and automation for accelerated threat detection, investigation, and response (TDIR).
6.	7.6	Line of Business: HireVue transforms the way organizations discover, engage, and hire the best talent. Connecting companies and candidates anytime, anywhere. HireVue's industry leading end-to-end hiring platform features video interviewing, assessments and conversational AI. HireVue has hosted more than 23 million video interviews and 143M chat-based candidate engagements.
6.	7.8	Line of Business: Netskope is an American software company providing a computer security platform. The platform offers cloud-native solutions to businesses for data protection and defense against threats in cloud applications, cloud infrastructure, and the web.
6.	7.9	Line of Business: Tanium is a privately held cybersecurity and systems management company based in Kirkland, Washington. It empowers the organizations to manage and protect their mission-critical networks. The Tanium platform solves security and IT management challenges organizations face by providing the ability to see across computer networks.
6.	7.10	Line of Business: Tealium is a company that sells enterprise tag management, an API hub, a customer data platform with machine learning, and data management products.
6.	7.11	Line of Business: ThoughtSpot, Inc. is a technology company that produces business intelligence analytics search software.
6.	8	Line of Business - Data Management
6.	9	Line of Business: Farmland
6.	12	This was a loan to my husband's father and his wife when he was moving from his ranch to a new home. Although it existed at the time of original filing, the loan has since been repaid.

PART	#	ENDNOTE
6.	20.44	Line of Business: Brewing Company
6.	21.2	Line of Business: Luxury Goods
6.	21.11	Line of Business: Manufacturing
6.	21.14	Line of Business: Biologic Manufacturing
6.	21.15	Line of Business: Payment Platform
6.	21.17	Line of Business: Beverage Manufacturing
6.	21.18	Line of Business: Internet Services
6.	21.24	Line of Business: Insurance
6.	21.28	Line of Business: Brewing Company
6.	21.30	Line of Business: Biopharmaceutical
6.	21.31	Line of Business: Technology
6.	24.31	Line of Business: Information Technology

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during the reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$166 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

Public Burden Information

This collection of information is estimated to take an average of ten hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE), Suite 500, 1201 New York Avenue, N.W., Washington, DC 20005-3917.

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