

# Executive Branch Personnel Public Financial Disclosure Report (OGE Form 278e)

## Filer's Information

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Olick, Karen

Chief of Staff, Department of Homeland Security

Date of Termination: 09/24/2021

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Other Federal Government Positions Held During the Preceding 12 Months:

None

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Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Olick, Karen [electronically signed on 10/16/2021 by Olick, Karen in Integrity.gov]

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Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Dornburg, Erica M, Certifying Official [electronically signed on 11/26/2021 by Dornburg, Erica M in Integrity.gov]

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Other review conducted by

/s/ Dornburg, Erica M, Ethics Official [electronically signed on 11/26/2021 by Dornburg, Erica M in Integrity.gov]

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U.S. Office of Government Ethics Certification

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Data Revised 11/26/2021

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Comments of Reviewing Officials (public annotations):

PART	#	REFERENCE	COMMENT
N/A	N/A	General	(11/26/2021, Dornburg, Erica M): PGE guidance provided.

## 1. Filer's Positions Held Outside United States Government

#	ORGANIZATION NAME	CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
1	SKDKnickerbocker	Washington DC, District of Columbia	Corporation	Managing Director	3/2007	1/2021
2	Family Trust	Bethesda, Maryland	Trust	Trustee	6/2016	Present

## 2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	SKDKnickerbocker (Public Affairs, political consulting and advertising)	N/A		Salary/Bonus	\$878,881
2	Inherited IRA RMD	No		cash distribution	\$54,241
3	US bank 2 (cash)	N/A	\$50,001 - \$100,000		None (or less than \$201)
4	Royal Bank of Canada (cash)	N/A	\$1,000,001 - \$5,000,000		None (or less than \$201)
5	US credit union (cash)	N/A	\$100,001 - \$250,000		None (or less than \$201)
6	Family Trust - Marshfield 20	No			
6.1	U.S. brokerage money market account (cash)	N/A	\$15,001 - \$50,000		None (or less than \$201)
6.2	VANGUARD TREASURY MONEY MARKET	Yes	\$15,001 - \$50,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
6.3	AUTOZONE INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.4	CUMMINS INC	N/A	\$1,001 - \$15,000		\$201 - \$1,000
6.5	DEERE & CO	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.6	EXPEDITORS INTERNATIONAL OF WASHINGTON INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.7	GOLDMAN SACHS GROUP INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.8	ROSS STORES INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.9	STRATEGIC EDUCATION INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.10	TJX COMPANIES INC NEW	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.11	VISA INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.12	ARCH CAPITAL GROUP LTD	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.13	Treasury bonds	N/A	\$15,001 - \$50,000		\$1,001 - \$2,500
7	Family Trust - Pledged 42	No			
7.1	U.S. brokerage money market account (cash)	No	\$250,001 - \$500,000		None (or less than \$201)
7.2	BERKSHIRE HATHAWAY INCDEL	N/A	\$500,001 - \$1,000,000		None (or less than \$201)
7.3	NY STATE BONDS	N/A	\$250,001 - \$500,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
7.4	Royal Bank of Canada (cash)	No	\$50,001 - \$100,000		None (or less than \$201)
7.5	OWL ROCK TECHNOLOGY FINANCE CORP	N/A	\$50,001 - \$100,000		None (or less than \$201)
8	Family trust-MD state bonds		\$50,001 - \$100,000		None (or less than \$201)
9	Family Trust - NB 28	No			
9.1	U.S. brokerage money market account (cash)	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.2	ADOBE INC	N/A	\$1,001 - \$15,000		\$201 - \$1,000
9.3	ALPHABET INC	N/A	\$15,001 - \$50,000		None (or less than \$201)
9.4	AMAZON.COM INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.5	APPLE INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.6	AUTODESK INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.7	BLACKROCK INC	N/A	\$1,001 - \$15,000		\$201 - \$1,000
9.8	BLACKSTONE GROUP INC (THE)	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.9	BOSTON SCIENTIFIC CORP	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.10	CHENIERE ENERGY INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.11	DOVER CORPORATION	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
9.12	DRAFTKINGS INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.13	FACEBOOK INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.14	FIDELITY NATIONAL INFORMATION SERVICES INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.15	GENERAL MOTORS COMPANY	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.16	HOME DEPOT INC	N/A	\$1,001 - \$15,000		\$201 - \$1,000
9.17	INTERCONTINENTAL EXCHANGE INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.18	INTL FLAVORS & FRAGRANCES INC	N/A	\$1,001 - \$15,000		\$201 - \$1,000
9.19	JPMORGAN CHASE & CO	N/A	\$1,001 - \$15,000		\$201 - \$1,000
9.20	JUNIPER INDUSTRIAL HOLDINGS INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.21	KRATOS DEFENSE & SEC SOLUTIONS	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.22	MICROSOFT CORP	N/A	\$15,001 - \$50,000		None (or less than \$201)
9.23	NEXTERA ENERGY INC	N/A	\$1,001 - \$15,000		\$201 - \$1,000
9.24	NVIDIA CORP	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.25	SALESFORCE.COM INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.26	SERVICE CORP INTERNATIONAL	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.27	SUNRUN INC	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
9.28	T MOBILE US INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.29	TJX COMPANIES INC NEW	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.30	UBER TECHNOLOGIES INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.31	VERISK ANALYTICS INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.32	VIRGIN GALACTIC HLDGS INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.33	VISA INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.34	WELLS FARGO & CO	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.35	ZOETIS INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.36	ASTRAZENECA PLC	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.37	MEDTRONIC PLC	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.38	NOVARTIS AG	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.39	WASTE CONNECTIONS INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.40	AMERICAN TOWER CORPORATION (REIT)	N/A	\$1,001 - \$15,000		\$201 - \$1,000
10	Inherited IRA #1	No			
10.1	U.S. brokerage money market account (cash)	No	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
10.2	HSBC USA INC Buffered Note	N/A	\$100,001 - \$250,000		None (or less than \$201)
10.3	VANGUARD/WELLINGTON FD INC (VWENX)	Yes	\$250,001 - \$500,000		None (or less than \$201)
11	Inherited IRA #2-Janus Managed Fund		\$250,001 - \$500,000		None (or less than \$201)
11.1	ABBVIE INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.2	AMAZON.COM INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.3	AMERICAN ELECTRIC POWER COMPANY INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.4	APOLLO GLOBAL MANAGEMENT INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.5	BOSTON SCIENTIFIC CORP	N/A	\$15,001 - \$50,000		None (or less than \$201)
11.6	CAESARS ENTERTAINMENT INC	N/A	\$15,001 - \$50,000		None (or less than \$201)
11.7	CAPITAL ONE FINANCIAL CORP	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.8	CERIDIAN HCM HOLDING INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.9	CITIGROUP INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.10	COLLEGIUM PHARMACEUTICAL INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.11	CROWN HOLDINGS INC	N/A	\$15,001 - \$50,000		None (or less than \$201)



#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
11.12	ELANCO ANIMAL HEALTH INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.13	FREEMPORT MCMORAN INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.14	GLOBUS MEDICAL INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.15	HASBRO INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.16	HOWMET AEROSPACE INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.17	INSMED INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.18	LAM RESEARCH CORP	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.19	LIBERTY MEDIA CORPORATION	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.20	L3HARRIS TECHNOLOGIES INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.21	MICROCHIP TECHNOLOGY INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.22	MONARCH CASINO & RESORT INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.23	MORGAN STANLEY	N/A	\$15,001 - \$50,000		None (or less than \$201)
11.24	NEUROCRINE BIOSCIENCES INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.25	ONEMAIN HOLDINGS INC	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
11.26	SEMPRA ENERGY	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.27	SNAP INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.28	SUMMIT MATERIALS INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.29	T MOBILE US INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.30	TERMINIX GLOBAL HOLDINGS INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.31	UNDER ARMOUR INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.32	WARNER MUSIC GROUP CORP	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.33	ZENDESK INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.34	ATOTECH LIMITED	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.35	CONSTELLIUM SE	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.36	FERGUSON PLC	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.37	HORIZON THERAPEUTICS PUBLIC	N/A	\$15,001 - \$50,000		None (or less than \$201)
11.38	MARVELL TECHNOLOGY GROUP	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.39	PATRIA INVESTMENTS LIMITED	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
11.40	UTS VESPER HEALTHCARE ACQUISITION CORP	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.41	VICI PROPERTIES INC	N/A	\$15,001 - \$50,000		None (or less than \$201)
12	Inherited IRA #3- NB 99	No			
12.1	ADOBE INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
12.2	ALPHABET INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
12.3	AMAZON.COM INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
12.4	APPLE INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
12.5	AUTODESK INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
12.6	BLACKROCK INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
12.7	BLACKSTONE GROUP INC (THE)	N/A	\$1,001 - \$15,000		None (or less than \$201)
12.8	BOSTON SCIENTIFIC CORP	N/A	\$1,001 - \$15,000		None (or less than \$201)
12.9	CHENIERE ENERGY INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
12.10	DOVER CORPORATION	N/A	\$1,001 - \$15,000		None (or less than \$201)
12.11	FACEBOOK INC	N/A	None (or less than \$1,001)		None (or less than \$201)
12.12	FIDELITY NATIONAL INFORMATION SERVICES INC	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
12.13	GENERAL MOTORS COMPANY	N/A	\$1,001 - \$15,000		None (or less than \$201)
12.14	HOME DEPOT INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
12.15	INTERCONTINENTAL EXCHANGE INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
12.16	INTL FLAVORS & FRAGRANCES INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
12.17	JPMORGAN CHASE & CO	N/A	\$1,001 - \$15,000		None (or less than \$201)
12.18	JUNIPER INDUSTRIAL HOLDINGS INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
12.19	KRATOS DEFENSE & SEC SOLUTIONS	N/A	\$1,001 - \$15,000		None (or less than \$201)
12.20	MICROSOFT CORP	N/A	\$15,001 - \$50,000		None (or less than \$201)
12.21	NEXTERA ENERGY INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
12.22	NVIDIA CORP	N/A	\$1,001 - \$15,000		None (or less than \$201)
12.23	SALESFORCE.COM INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
12.24	SERVICE CORP INTERNATIONAL	N/A	\$1,001 - \$15,000		None (or less than \$201)
12.25	SUNRUN INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
12.26	T MOBILE US INC	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
12.27	TJX COMPANIES INC NEW	N/A	\$1,001 - \$15,000		None (or less than \$201)
12.28	UBER TECHNOLOGIES INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
12.29	VERISK ANALYTICS INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
12.30	VIRGIN GALACTIC HLDGS INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
12.31	VISA INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
12.32	WELLS FARGO & CO	N/A	\$1,001 - \$15,000		None (or less than \$201)
12.33	ZOETIS INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
12.34	ASTRAZENECA PLC	N/A	\$1,001 - \$15,000		None (or less than \$201)
12.35	MEDTRONIC PLC	N/A	\$1,001 - \$15,000		None (or less than \$201)
12.36	NOVARTIS AG	N/A	\$1,001 - \$15,000		None (or less than \$201)
12.37	WASTE CONNECTIONS INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
12.38	AMERICAN TOWER CORPORATION REIT	N/A	\$1,001 - \$15,000		None (or less than \$201)
13	Inherited IRA #4 - PI 04	No			
13.1	U.S. brokerage money market account (cash)	N/A	\$1,001 - \$15,000	Dividends Capital Gains	None (or less than \$201)
13.2	U.S. brokerage money market account (cash)	N/A	\$1,001 - \$15,000	Dividends Capital Gains	None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
13.3	ABBVIE INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
13.4	ALTRIA GROUP INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
13.5	BLACKROCK INC	N/A	\$15,001 - \$50,000		None (or less than \$201)
13.6	CATERPILLAR INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
13.7	CHEVRON CORPORATION	N/A	\$1,001 - \$15,000		None (or less than \$201)
13.8	CISCO SYSTEMS INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
13.9	CVS HEALTH CORPORATION	N/A	\$1,001 - \$15,000		None (or less than \$201)
13.10	DOMINION ENERGY INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
13.11	DUKE ENERGY CORPORATION	N/A	\$1,001 - \$15,000		None (or less than \$201)
13.12	GILEAD SCIENCES INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
13.13	INTERNATIONAL BUSINESS MACHINES	N/A	\$1,001 - \$15,000		None (or less than \$201)
13.14	KEYCORP NEW	N/A	\$1,001 - \$15,000		None (or less than \$201)
13.15	LOCKHEED MARTIN CORP	N/A	\$1,001 - \$15,000		None (or less than \$201)
13.16	MORGAN STANLEY	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
13.17	PEPSICO INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
13.18	PFIZER INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
13.19	PNC FINANCIAL SVCS GROUP INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
13.20	VERIZON COMMUNICATIONS	N/A	\$1,001 - \$15,000		None (or less than \$201)
13.21	BCE INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
13.22	RESTAURANT BRANDS INTERNATIONAL INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
13.23	CROWN CASTLE INTERNATIONAL CORP	N/A	\$1,001 - \$15,000		None (or less than \$201)
13.24	DIGITAL REALTY TRUST INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
14	Inherited IRA #5 - HL 24	No			
14.1	U.S. brokerage money market account (cash)	N/A	\$1,001 - \$15,000		None (or less than \$201)
14.2	ADYEN N V	N/A	\$1,001 - \$15,000		None (or less than \$201)
14.3	ADYEN N V	N/A	\$1,001 - \$15,000		None (or less than \$201)
14.4	AIA GROUP LTD	N/A	\$1,001 - \$15,000		None (or less than \$201)
14.5	AIR LIQUIDEADR	N/A	\$1,001 - \$15,000		None (or less than \$201)
14.6	ALCON INC	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
14.7	ALFA LAVAL AB	N/A	\$1,001 - \$15,000		None (or less than \$201)
14.8	ALIBABA GROUP HOLDING LTD	N/A	\$1,001 - \$15,000		None (or less than \$201)
14.9	ALLIANZ SE	N/A	\$1,001 - \$15,000		None (or less than \$201)
14.10	AMBEV S A	N/A	\$1,001 - \$15,000		None (or less than \$201)
14.11	ATLAS COPCO ABSPONSORED	N/A	\$1,001 - \$15,000		None (or less than \$201)
14.12	BANCO BILBAO VIZCAYA	N/A	\$1,001 - \$15,000		None (or less than \$201)
14.13	BHP GROUP LIMITED	N/A	\$1,001 - \$15,000		None (or less than \$201)
14.14	CANADIAN NATIONAL RAILWAY	N/A	\$1,001 - \$15,000		None (or less than \$201)
14.15	CHECK POINT SOFTWARE TECHNOLOGIES LTD	N/A	\$1,001 - \$15,000		None (or less than \$201)
14.16	CHUGAI PHARMACEUTICAL LTD	N/A	\$1,001 - \$15,000		None (or less than \$201)
14.17	DASSAULT SYSTEMS S A	N/A	\$1,001 - \$15,000		None (or less than \$201)
14.18	DBS GROUP HOLDINGS LTD	N/A	\$1,001 - \$15,000		None (or less than \$201)
14.19	DIAGEO PLCSPONSORED ADR	N/A	\$1,001 - \$15,000		None (or less than \$201)
14.20	EPIROC AKTIEBOLAG	N/A	\$1,001 - \$15,000		None (or less than \$201)



#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
14.21	FANUC CORPORATION	N/A	\$1,001 - \$15,000		None (or less than \$201)
14.22	FOMENTO ECONOMICO MEXICANO	N/A	\$1,001 - \$15,000		None (or less than \$201)
14.23	FUCHS PETROLUB SE	N/A	\$1,001 - \$15,000		None (or less than \$201)
14.24	HDFC BK LTD	N/A	\$1,001 - \$15,000		None (or less than \$201)
14.25	ICICI BANK LTD	N/A	\$1,001 - \$15,000		None (or less than \$201)
14.26	INFINEON TECHNOLOGIES AG	N/A	\$1,001 - \$15,000		None (or less than \$201)
14.27	ITAU UNIBANCO BANCO HOLDING	N/A	\$1,001 - \$15,000		None (or less than \$201)
14.28	KOMATSU LTD	N/A	\$1,001 - \$15,000		None (or less than \$201)
14.29	KUBOTA CORPORATION	N/A	\$1,001 - \$15,000		None (or less than \$201)
14.30	L OREAL COADR	N/A	\$1,001 - \$15,000		None (or less than \$201)
14.31	LINDE PLC	N/A	\$1,001 - \$15,000		None (or less than \$201)
14.32	LONZA GROUP AG ZUERICH	N/A	\$1,001 - \$15,000		None (or less than \$201)
14.33	LUKOIL PJSC	N/A	\$1,001 - \$15,000		None (or less than \$201)
14.34	NESTLE SASPONSORED	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
14.35	NITORI HOLDINGS CO LTD	N/A	\$1,001 - \$15,000		None (or less than \$201)
14.36	NOVOZYMES A/S	N/A	\$1,001 - \$15,000		None (or less than \$201)
14.37	PING AN INSURANCE GROUP	N/A	\$1,001 - \$15,000		None (or less than \$201)
14.38	RIO TINTO PLC	N/A	\$1,001 - \$15,000		None (or less than \$201)
14.39	ROCHE HOLDING LTD	N/A	\$1,001 - \$15,000		None (or less than \$201)
14.40	ROYAL DUTCH SHELL PLC	N/A	\$1,001 - \$15,000		None (or less than \$201)
14.41	SAP SE	N/A	\$1,001 - \$15,000		None (or less than \$201)
14.42	SCHNEIDER ELECTRIC SE	N/A	\$1,001 - \$15,000		None (or less than \$201)
14.43	SGS SA	N/A	\$1,001 - \$15,000		None (or less than \$201)
14.44	SHIONOGI & CO LTD	N/A	\$1,001 - \$15,000		None (or less than \$201)
14.45	SONOVA HOLDING AG	N/A	\$1,001 - \$15,000		None (or less than \$201)
14.46	SYMRISE AG	N/A	\$1,001 - \$15,000		None (or less than \$201)
14.47	SYSMEX CORPORATION	N/A	\$1,001 - \$15,000		None (or less than \$201)
14.48	TAIWAN SEMICONDUCTOR MFG CO	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
14.49	TENCENT HOLDINGS LIMITED	N/A	\$1,001 - \$15,000		None (or less than \$201)
14.50	UNICHARM CORP	N/A	\$1,001 - \$15,000		None (or less than \$201)
14.51	UNILEVER PLC	N/A	\$1,001 - \$15,000		None (or less than \$201)
14.52	YANDEX N V	N/A	\$1,001 - \$15,000		None (or less than \$201)
15	Brokerage account - IRA -92	No			
15.1	U.S. brokerage money market account (cash)	N/A	\$15,001 - \$50,000		None (or less than \$201)
15.2	ABBOTT LABORATORIES	N/A	\$15,001 - \$50,000		None (or less than \$201)
15.3	ACCENTURE PLC	N/A	\$15,001 - \$50,000		None (or less than \$201)
15.4	AFLAC INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
15.5	AIR PRODUCTS & CHEMICALS INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
15.6	AMERICAN TOWER CORP REIT	N/A	\$1,001 - \$15,000		None (or less than \$201)
15.7	AMGEN INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
15.8	ANALOG DEVICES INC	N/A	\$15,001 - \$50,000		None (or less than \$201)
15.9	APPLE INC	N/A	\$15,001 - \$50,000		None (or less than \$201)
15.10	AT&T INC	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
15.11	AUTOMATIC DATA PROCESSING	N/A	\$15,001 - \$50,000		None (or less than \$201)
15.12	BECTON DICKINSON & CO	N/A	\$1,001 - \$15,000		None (or less than \$201)
15.13	BLACKROCK INC	N/A	\$15,001 - \$50,000		None (or less than \$201)
15.14	CHEVRON CORPORATION	N/A	\$1,001 - \$15,000		None (or less than \$201)
15.15	CHUBB LTD	N/A	\$1,001 - \$15,000		None (or less than \$201)
15.16	CISCO SYSTEMS INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
15.17	CLOROX COMPANY	N/A	\$1,001 - \$15,000		None (or less than \$201)
15.18	COLGATE-PALMOLIVE CO	N/A	\$1,001 - \$15,000		None (or less than \$201)
15.19	COMCAST CORP	N/A	\$1,001 - \$15,000		None (or less than \$201)
15.20	EMERSON ELECTRIC CO	N/A	\$1,001 - \$15,000		None (or less than \$201)
15.21	EVERSOURCE ENERGY	N/A	\$1,001 - \$15,000		None (or less than \$201)
15.22	FACTSET RESEARCH SYSTEMS INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
15.23	GENL DYNAMICS CORP	N/A	\$1,001 - \$15,000		None (or less than \$201)
15.24	ILLUNOIS TOOL WORKS INC	N/A	\$15,001 - \$50,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
15.25	JOHNSON & JOHNSON	N/A	\$15,001 - \$50,000		None (or less than \$201)
15.26	JPMORGAN CHASE & CO	N/A	\$15,001 - \$50,000		None (or less than \$201)
15.27	LINDE PLC	N/A	\$1,001 - \$15,000		None (or less than \$201)
15.28	LOWES COMPANIES INC	N/A	\$15,001 - \$50,000		None (or less than \$201)
15.29	L3HARRIS TECHNOLOGIES INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
15.30	MCDONALDS CORP	N/A	\$1,001 - \$15,000		None (or less than \$201)
15.31	MEDTRONIC PLC	N/A	\$15,001 - \$50,000		None (or less than \$201)
15.32	MICROSOFT CORP	N/A	\$15,001 - \$50,000		None (or less than \$201)
15.33	NEXTERA ENERGY INC	N/A	\$15,001 - \$50,000		None (or less than \$201)
15.34	NIKE INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
15.35	NORFOLK SOUTHERN CORP	N/A	\$15,001 - \$50,000		None (or less than \$201)
15.36	NOVARTIS AG	N/A	\$1,001 - \$15,000		None (or less than \$201)
15.37	PAYCHEX INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
15.38	PEPSICO INCORPORATED	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
15.39	PHILLIPS 66	N/A	\$1,001 - \$15,000		None (or less than \$201)
15.40	PROCTER & GAMBLE CO	N/A	\$1,001 - \$15,000		None (or less than \$201)
15.41	RAYTHEON TECHNOLOGIES CORP	N/A	\$1,001 - \$15,000		None (or less than \$201)
15.42	TARGET CORP	N/A	\$15,001 - \$50,000		None (or less than \$201)
15.43	UNITEDHEALTH GROUP INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
15.44	US BANCORP	N/A	\$1,001 - \$15,000		None (or less than \$201)
15.45	VF CORPORATION	N/A	\$1,001 - \$15,000		None (or less than \$201)
15.46	WALMART INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
15.47	WEC ENERGY GROUP INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
15.48	3M CO	N/A	\$1,001 - \$15,000		None (or less than \$201)
16	SKDKnickerbocker 401 K plan:	No			
16.1	Fixed Income Guarantee Option	Yes	\$15,001 - \$50,000		None (or less than \$201)
16.2	Fidelity Advisor High Income Advantage Z Fund (FIQTX)	Yes	\$50,001 - \$100,000		None (or less than \$201)
16.3	Principal Bond Market Index Fund (PNIIX)	Yes	\$100,001 - \$250,000		None (or less than \$201)
16.4	American Funds Washington Mutual R6 (RWMGX)	Yes	\$50,001 - \$100,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
16.5	Principal Equity Income Fund	Yes	\$50,001 - \$100,000		None (or less than \$201)
16.6	T. Rowe Price Large-Cap Growth Fund I Class	Yes	\$100,001 - \$250,000		None (or less than \$201)
16.7	SmallCap Growth I R4 Fund (PPNSX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
16.8	MidCap Growth R4 Fund (PIPPX)	Yes	\$50,001 - \$100,000		None (or less than \$201)
16.9	Real Estate Securities R4 Fund (PRETX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
16.10	Vanguard Mid-Cap Value Index Fund Admiral Class Shares (VMVAX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
16.11	Wells Fargo Special Small Cap Value R6 Fund Class R Shares	Yes	\$15,001 - \$50,000		None (or less than \$201)
16.12	Goldman Sachs Emerging Markets Equity Insights R6 Fund	Yes	\$15,001 - \$50,000		None (or less than \$201)
16.13	International Equity Index R4 Fund (PIIPX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
17	NY 529 College Savings Program Direct Plan - Interest Accumulation Portfolio	Yes	\$15,001 - \$50,000		None (or less than \$201)
18	DC College Savings Plan 529 - College Portfolio	Yes	\$50,001 - \$100,000		None (or less than \$201)
19	VA 529 American Funds College CENAX	Yes	None (or less than \$1,001)		None (or less than \$201)
20	Inherited IRA -11	No			
20.1	U.S. brokerage money market account (cash)	No	\$1,001 - \$15,000		None (or less than \$201)
20.2	Invesco SteelPath MLP Alpha Fund	Yes	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
20.3	American Funds The Bond Fund of America	Yes	\$1,001 - \$15,000		None (or less than \$201)
20.4	American Funds Capital Income Builder	Yes	\$1,001 - \$15,000		None (or less than \$201)
20.5	American Funds The Growth Fund of America	Yes	\$1,001 - \$15,000		None (or less than \$201)
20.6	American Funds The Income Fund of America	Yes	\$1,001 - \$15,000		None (or less than \$201)
20.7	American Funds Investment Company of America	Yes	\$1,001 - \$15,000		None (or less than \$201)
20.8	Invesco Senior Floating Rate Fund	Yes	\$1,001 - \$15,000		None (or less than \$201)
20.9	American Funds Washington Mutual Investors Fund	Yes	\$1,001 - \$15,000		None (or less than \$201)

### 3. Filer's Employment Agreements and Arrangements

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
1	SKDKnickerbocker	Washington, District of Columbia	I will continue to participate in this defined contribution plan. The plan sponsor will not make further contributions after my separation.	3/2007
2	SKDKnickerbocker	Washington, District of Columbia	SKDKnickerbocker will pay consulting fees by month.	10/2021

### 4. Filer's Sources of Compensation Exceeding \$5,000 in a Year



(N/A) - Not required for this type of report

## 5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Fannie Mae	N/A		salary	
2	Fannie Mae stock	N/A	\$1,001 - \$15,000		\$5,001 - \$15,000
3	Brokerage account -51:	No			
3.1	U.S. brokerage money market account (cash)	No	\$1,001 - \$15,000		None (or less than \$201)
3.2	Baird Aggregate Bond Fund Class Institutional	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.3	BlackRock Multi-Asset Income Portfolio Institutional Shares	Yes	\$1,001 - \$15,000		\$201 - \$1,000
3.4	Eaton Vance Global Macro Absolute Return Fund Class I	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.5	Federated Hermes Strategic Value Dividend Fund Institutional Shares	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.6	John Hancock Global Shareholder Yield Fund Class I	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.7	Nuveen Real Asset Income Fund Class I	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.8	Principal Global Diversified Income Fund Institutional Class	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.9	PIMCO Income Fund Institutional Class	Yes	\$1,001 - \$15,000		\$201 - \$1,000
3.10	Thornburg Investment Income Builder Fund Class I	Yes	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
4	Brokerage account 2 - 71	No			
4.1	U.S. brokerage money market account (cash)	No	\$1,001 - \$15,000		None (or less than \$201)
4.2	Abbot Laboratories	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.3	Accenture PLC	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.4	AFLAC Inc	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.5	Air Products & Chemicals Inc	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.6	American Tower Corp	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.7	Amgen Inc	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.8	Analog Devices Inc	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.9	Apple Inc	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.10	AT&T Inc	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.11	Automatic Data Processing ADP	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.12	Becton Dickinson & Co	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.13	Balckrock Inc	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.14	Chevron Corporation	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
4.15	Chubb Ltd	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.16	Cisco Systems Inc	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.17	Clorox Company	N/A	\$1,001 - \$15,000		\$201 - \$1,000
4.18	Comcast Corp	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.19	Emerson Electric Co	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.20	Eversource Energy	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.21	Factset Research Systems Inc	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.22	General Dynamics Corp	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.23	Illinois Tool Works Inc	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.24	Johnson & Johnson	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.25	JP Morgan Chase & Co	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.26	Linde PLC	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.27	Lowes Companies Inc	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.28	L3 Harris Technologies Inc	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.29	McDonalds Corp	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
4.30	Medtronic PLC	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.31	Microsoft Corp	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.32	Nextera Energy Inc	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.33	Nike Inc	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.34	Norfolk Southern Corp	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.35	Paychex Inc	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.36	Pepsico Incorporated	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.37	Phillips 66	N/A	\$1,001 - \$15,000		\$201 - \$1,000
4.38	Proctor & Gamble Co	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.39	Target Corp	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.40	UnitedHealth Group Inc	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.41	US Bancorp	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.42	VF Corporation	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.43	Walmart Inc	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.44	NEC Energy Group Inc	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
4.45	3M Co	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.46	Exxon Mobil Corp. (XOM)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
4.47	Polaris Inc. (PII)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$201 - \$1,000
4.48	Sysco Corp. (SYY)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$1,001 - \$2,500
5	Brokerage account - IRA:	No			
5.1	U.S. brokerage money market account (cash)	No	\$1,001 - \$15,000		None (or less than \$201)
5.2	Aarons Co Inc	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.3	Abbvie Inc	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.4	Addus Homecare Corp	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.5	Agnc Investment Corp	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.6	Allison Transmission Holdings Inc	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.7	AMC Networks Inc	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.8	American Electric Power Inc	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.9	American Express Company	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.10	Amerisourcebergen Corp	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
5.11	ASGN Inc	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.12	AT&T Inc	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.13	Autodesk Inc	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.14	Avaya Holdings Corp	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.15	Barrick Gold Corp	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.16	Biogen Inc	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.17	Bright Horizons Family Solutions Inc	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.18	British American Tobacco PLC	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.19	Broadcom Inc	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.20	Canadian Imperial Bank of Commerce	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.21	Cerence Inc	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.22	Chevron Corporation	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.23	Cimaretx Energy Co	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.24	Cisco Systems	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
5.25	Citrix Systems Inc	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.26	Cogent Communications Holdings Inc	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.27	Colliers International Group Inc	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.28	Comcast Corp	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.29	Cree Inc	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.30	Crown Castle international Corp	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.31	Curtiss-Wright Corp	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.32	Discovery Inc A	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.33	Discovery Inc C	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.34	DocuSign Inc	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.35	Dolby Laboratories Inc	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.36	Dominion Energy Inc	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.37	Duke Energy Corp	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.38	Enbridge Inc	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
5.39	Encompass Health Corp	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.40	Exelon Corporation	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.41	Exxon Mobil Corp	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.42	Facebook Inc	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.43	Fireeye Inc	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.44	Firstcash Inc	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.45	Freeport-McMoran Inc	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.46	General Mills Inc	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.47	General Dynamics Corp	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.48	Gentex Corporation	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.49	Gilead Sciences Inc	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.50	Glaxosmithkline PLC-ADR	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.51	Globe Life Inc	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.52	Grand Canyon Education	N/A	\$1,001 - \$15,000		None (or less than \$201)



#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
5.53	Guardant Health Inc	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.54	Hamilton Lane Inc	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.55	Honda Motor Ltd	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.56	Houlihan Lokey Inc	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.57	Huntinton Bankshres Inc	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.58	IAA Inc	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.59	Ingredion Incorporated	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.60	Interditigal Inc	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.61	IBM Corp	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.62	IONIS Pharmaceuticals	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.63	Johnson & Johnson	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.64	Johnson Controls Intl PLC	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.65	Jones Lang LaSalle Inc	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.66	Kroger Company	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
5.67	Liberty Broadband Corp Ser C	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.68	Liberty Media Corp Ser C SiriusXM Group	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.69	Loews Companies Inc	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.70	L3 Harris Technologies	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.71	Mastec Inc	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.72	Maximus Inc	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.73	Maxilinear Inc	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.74	McKesson Corp	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.75	Metronics PLC	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.76	Merck & Co Inc	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.77	National Grid PLC ADR	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.78	NOV Inc	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.79	NOW Inc	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.80	Nuance Communications Inc	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
5.81	Nucor Corp	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.82	NV5 Global inc	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.83	OGE Energy Corp	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.84	PPG Industries Inc	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.85	Pentair PLC	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.86	Pepsico Incorporated	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.87	Pfizer Incorporated	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.88	Philip Morris International PLC	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.89	PNC Financial Services Group	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.90	PPL Corporation	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.91	Prestige Consumer Healthcare Inc	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.92	Prmerica Inc	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.93	PROG Holidngs Inc	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.94	Qurata Retail Inc	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
5.95	Raytheon Technologies Corp	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.96	Regions Financial Corp	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.97	Sanofi ADR	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.98	Schwab Charles Corp	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.99	Seagate Tehcnology PLC	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.100	Siteone Landscape Supply	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.101	Southern Company	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.102	Target Corp	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.103	TC Energy Corp	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.104	TE Connectivity Ltd	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.105	TOTAL SE ADR	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.106	Travelers Cos Inc	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.107	Truist Financial Corp	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.108	Twitter Inc	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
5.109	Unilever PLC	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.110	United Parcel Services	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.111	UnitedHealth Group Inc	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.112	US Bancorp	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.113	Valvoline Inc	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.114	Vanguard Real Estate Index Fund ETF	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.115	Verizon Communications	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.116	Vertex Pharmaceuticals Inc	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.117	Vodafone Group PLC	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.118	Walgreens Boots Alliance Inc	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.119	Wells Fargo & Co	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.120	Western Digital Corp	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.121	Wex Inc	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.122	Williams Companies Inc	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
5.123	WNS Holdings Ltd ADR	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.124	3M Co	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.125	Invesco Developing Markets Fund	Yes	\$15,001 - \$50,000		None (or less than \$201)
5.126	American Funds Europacific Growth Fund	Yes	\$15,001 - \$50,000		None (or less than \$201)
5.127	U.S. brokerage money market account (cash)	No	\$1,001 - \$15,000		None (or less than \$201)
5.128	ABBVIE INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.129	MATCH GROUP INC NEW	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.130	AMC NETWORKS INC CLASS A	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.131	AT & T INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.132	AVAYA HLDGS CORP	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.133	ADDUS HOMECARE CORP	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.134	AGNC INVESTMENT CORP	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.135	AMERICAN ELECTRIC POWER INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.136	AMERICAN EXPRESS COMPANY	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
5.137	AMGEN INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.138	ASGN INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.139	AUTODESK INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.140	BCE INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.141	BARRICK GOLD CORP	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.142	BIOGEN INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.143	BRIGHT HORIZONS FAMILY SOLUTIONS INC DEL	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.144	BRITISH AMERN TOB PLC SPON ADR	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.145	CACI INTL INC CLASS A	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.146	CDN IMPERIAL BK COMMRC	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.147	CERENCE INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.148	CHEVRON CORPORATION	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.149	CIMAREX ENERGY CO	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.150	CISCO SYSTEMS INC	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
5.151	CITRIX SYSTEMS INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.152	COCA-COLA COMPANY	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.153	COGENT COMMUNICATIONS HOLDINGS INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.154	COLLIERS INTERNATIONAL GRP INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.155	COMCAST CORP NEW CL A	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.156	CREE INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.157	CROWN CASTLE INTERNATIONAL CORP	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.158	CURTISS-WRIGHT CORP	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.159	DIGITAL REALTY TRUST INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.160	DISCOVERY INC C	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.161	DISCOVERY INC A	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.162	DOCUSIGN INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.163	DOLBY LABORATORIES INC CL A	N/A	\$1,001 - \$15,000		None (or less than \$201)



#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
5.164	DOMINION ENERGY INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.165	DUKE ENERGY CORP COM NEW	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.166	ENBRIDGE INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.167	ENCOMPASS HEALTH CORP	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.168	EXELON CORPORATION	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.169	EXXON MOBIL CORP	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.170	FACEBOOK INC CLASS A	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.171	DOLLAR TREE STORES INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.172	FIREEYE INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.173	FIRSTCASH INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.174	HOULIHAN LOKEY INC CL A	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.175	FREEMPORT-MCMORAN INC CLASS B	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.176	GENL DYNAMICS CORP	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
5.177	GENERAL MILLS INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.178	GENTEX CORPORATION	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.179	GILEAD SCIENCES INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.180	GLAXOSMITHKLINE PLC-ADR	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.181	GRAND CANYON EDUCATION INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.182	HAMILTON LANE INC CL A	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.183	HARTFORD FINL SVCS GROUP INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.184	GLOBE LIFE INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.185	HONDA MOTOR LTD NEW AMERICAN SHARES 10/76	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.186	HUBSPOT INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.187	HUNTINGTON BANCSHRES INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.188	IAA INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.189	IONIS PHARMACEUTICALS INC	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
5.190	IMMUNOGEN INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.191	AMERISOURCEBERGEN CORP	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.192	INGREDION INCORPORATED	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.193	INTERDIGITAL INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.194	INTERNATIONAL BUSINESS MACHINE CORP	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.195	INVESCO →* DEVELOPING MARKETS FUND CLASS Y	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.196	JOHNSON CTLS INTL PLC	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.197	JOHNSON & JOHNSON	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.198	JONES LANG LASALLE INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.199	KIMBERLY-CLARK CORP	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.200	KROGER COMPANY COMMON	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.201	LCI INDUSTRIES	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.202	LIBERTY BROADBAND CORP COM SER C	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
5.203	LIBERTY BROADBAND CORP COM SER A	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.204	LIBERTY MEDIA CORP DEL COM SER C SIRIUSXM GROUP	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.205	LIBERTY MEDIA CORP DEL COM SER A SIRIUSXM GRP	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.206	LIBERTY MEDIA CORP LIBERTY MEDIA CL C	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.207	LIBERTY MEDIA CORP LIBERTY SER A	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.208	LIONS GATE ENTERTAINMENT CORP CL B NON VTG	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.209	LOWES COMPANIES INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.210	LYFT INC CL A	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.211	L3HARRIS TECHNOLOGIES INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.212	MASTEC INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.213	MAXIMUS INC	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
5.214	MAXLINEAR INC CLASS A	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.215	MCKESSON CORPORATION	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.216	MEDTRONIC PLC	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.217	MERCK & CO INC NEW	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.218	NV5 GLOBAL INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.219	NATIONAL GRID PLC ADR SPONSORED ADR NEW 2017	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.220	GUARDANT HEALTH INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.221	NOW INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.222	NUANCE COMMUNICATIONS INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.223	AMERICAN FUNDS - EUROPACIFIC GROWTH FUND FUND CLASS F-2	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.224	OGE ENERGY CORP	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.225	PPL CORPORATION	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
5.226	PNC FINANCIAL SERVICES GROUP	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.227	P P G INDUSTRIES INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.228	PAPA JOHNS INTL INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.229	BROADCOM INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.230	PENTAIR PLC	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.231	PEPSICO INCORPORATED	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.232	PFIZER INCORPORATED	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.233	PHILIP MORRIS INTERNATIONAL INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.234	PRESTIGE CONSUMER HEALTHCARE INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.235	PRIMERICA INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.236	PROG HLDGS INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.237	PROGYNY INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.238	PUBLIC SVC ENTERPRISE GROUP INC	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
5.239	QURATE RETAIL INC SER A	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.240	RAYTHEON TECHNOLOGIES CORP	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.241	REALTY INCOME CORP REIT	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.242	REGIONS FINANCIAL CORP (NEW)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.243	SANOFI ADR	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.244	SCHWAB CHARLES CORP NEW	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.245	SEAGATE TECHNOLOGY HOLDINGS PUB LTD CO ORDINARY SHARES	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.246	SITEONE LANDSCAPE SUPPLY INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.247	SOUTHERN COMPANY/THE	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.248	TC ENERGY CORP	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.249	TE CONNECTIVITY LTD	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.250	3M CO	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
5.251	TOTALENERGIES SE ADR	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.252	TRAVELERS COS INC/ THE	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.253	TWITTER INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.254	UNILEVER PLC SPONS ADR	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.255	TRUIST FINL CORP	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.256	UNITED PARCEL SERVICE-B	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.257	US BANCORP NEW	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.258	UNITEDHEALTH GROUP INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.259	VALVOLINE INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.260	VANGUARD INDEX FDS ETF REAL ESTATE INDEX FD	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.261	VERIZON COMMUNICATIONS COM	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.262	VERTEX PHARMACEUTICALS INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.263	VODAFONE GROUP PLC NEW SPONSORED ADR NO PAR	N/A	\$1,001 - \$15,000		None (or less than \$201)



#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
5.264	WNS HOLDINGS LTD ADR	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.265	WALGREENS BOOTS ALLIANCE INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.266	WELLS FARGO & CO NEW	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.267	WESTERN DIGITAL CORP	N/A	\$15,001 - \$50,000		None (or less than \$201)
5.268	WEX INC	N/A	\$15,001 - \$50,000		None (or less than \$201)
6	Fannie Mae 401(k) Vanguard Target 2040 Fund	Yes	\$1,000,001 - \$5,000,000		None (or less than \$201)
7	VA 529 CollegeAmerica	No			
7.1	American Funds College Enrollment Fund Class 529-A	Yes	\$1,001 - \$15,000		None (or less than \$201)
7.2	American Funds Growth and Income Portfolio Class 529-A	Yes	\$15,001 - \$50,000		None (or less than \$201)
8	DC College Savings Plan 529 - Principal Protected Portfolio	Yes	\$50,001 - \$100,000		None (or less than \$201)
9	WILLIAMS COMPANIES INC				

## 6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	US bank 1 (cash)	N/A	\$15,001 - \$50,000		None (or less than \$201)
2	Palantir stock	No	\$1,000,001 - \$5,000,000		\$100,001 - \$1,000,000
3	U.S. brokerage money market account (cash)	N/A	\$500,001 - \$1,000,000		None (or less than \$201)
4	Brokerage account JT -28	No			
4.1	U.S. brokerage money market account (cash)	No	\$15,001 - \$50,000		None (or less than \$201)
4.2	Franklin Federal Tax Free Income Fund	Yes	\$1,001 - \$15,000		\$201 - \$1,000

## 7. Transactions

None

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## 8. Liabilities

None

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## 9. Gifts and Travel Reimbursements

None

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Endnotes

# Summary of Contents

## 1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

## 2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

## 3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

#### 4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

#### 5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

#### 6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

## 7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during the reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

## 8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

## 9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$166 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

## Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

## Public Burden Information

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