

Executive Branch Personnel Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

Shambaugh, Jay

Under Secretary for International Affairs, Department of the Treasury

Other Federal Government Positions Held During the Preceding 12 Months:

None

Names of Congressional Committees Considering Nomination:

- Committee on Finance
-

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Shambaugh, Jay [electronically signed on 11/21/2021 by Shambaugh, Jay in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Sonfield, Brian, Certifying Official [electronically signed on 03/08/2022 by Sonfield, Brian in Integrity.gov]

Other review conducted by

U.S. Office of Government Ethics Certification

/s/ Apol, David, Certifying Official [electronically signed on 03/14/2022 by Apol, David in Integrity.gov]

1. Filer's Positions Held Outside United States Government

#	ORGANIZATION NAME		CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
1	George Washington University		Washington,, District of Columbia	University/College	Professor	8/2012	Present
2	The Hamilton Project at Brookings		Washington, District of Columbia	Non-Profit	Director and Senior Fellow in Economic Studies	8/2017	7/2020
3	Brookings Institution		Washington, District of Columbia	Non-Profit	Non-resident Senior Fellow	7/2020	Present
4	National Bureau of Economic Research	See Endnote	Cambridge, Massachusetts	Non-Profit	Research Associate	3/2017	Present
5	Journal of International Economics	See Endnote	Amsterdam, The Netherlands	Corporation	Associate Editor	7/2017	Present
6	PT Fund, Inc.		Washington, District of Columbia	Non-Profit	CEA Transition team member	10/2020	1/2021
7	WestExec Advisers		Washington, District of Columbia	Corporation	Principal	8/2020	10/2020
8	Regenerative Crisis Response Committee	See Endnote	Beaverton, Oregon	Non-Profit	Member	9/2020	Present
9	Denny Center at Georgetown University		Washington, District of Columbia	University/College	Consultant	3/2021	Present
10	Educational Trust 1		Chevy Chase, Maryland	Trust	Trustee	12/2001	Present
11	Educational Trust 2		Chevy Chase, Maryland	Trust	Trustee	8/2003	Present

2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	George Washington University	N/A		Salary	\$412,755
2	The Hamilton Project at Brookings	N/A		Salary	\$119,098
3	WestExec Advisers (Advisory firm)	N/A		Salary	\$27,000
4	Conveners.org	See Endnote		Salary	\$30,000
5	Roth IRA 1	No			
5.1	Janus Henderson Enterprise Fund Class T	Yes	\$1,001 - \$15,000		None (or less than \$201)
5.2	Alger Spectra Fund Class A	Yes	\$1,001 - \$15,000		None (or less than \$201)
5.3	Janus Henderson Global Research Fund Class T	Yes	\$1,001 - \$15,000		None (or less than \$201)
5.4	Touchstone Focused Fund Class Y	Yes	\$1,001 - \$15,000		None (or less than \$201)
5.5	U.S. Certificate of Deposit (cash)	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
5.6	U.S. brokerage money market account (cash) #1	N/A	\$1,001 - \$15,000		None (or less than \$201)
6	Dartmouth College 401(a) Defined Contribution Retirement Plan	No			
6.1	CREF Equity Index R3	Yes	\$100,001 - \$250,000		None (or less than \$201)
6.2	CREF Global Equities R3	Yes	\$50,001 - \$100,000		None (or less than \$201)
6.3	CREF Growth R3	Yes	\$50,001 - \$100,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
6.4	TIAA Real Estate	Yes	\$15,001 - \$50,000		None (or less than \$201)
6.5	CREF Bond Market R3	Yes	\$1,001 - \$15,000		None (or less than \$201)
6.6	CREF Inflation-Linked Bond R3	Yes	\$1,001 - \$15,000		None (or less than \$201)
6.7	CREF Social Choice R3	Yes	\$15,001 - \$50,000		None (or less than \$201)
7	Dartmouth College Supplemental Retirement Account	No			
7.1	CREF Equity Index R3	Yes	\$50,001 - \$100,000		None (or less than \$201)
7.2	CREF Global Equities R3	Yes	\$15,001 - \$50,000		None (or less than \$201)
7.3	CREF Growth R3	Yes	\$15,001 - \$50,000		None (or less than \$201)
7.4	TIAA Real Estate	Yes	\$1,001 - \$15,000		None (or less than \$201)
7.5	CREF Bond Market R3	Yes	\$1,001 - \$15,000		None (or less than \$201)
7.6	CREF Inflation-Linked Bond R3	Yes	\$1,001 - \$15,000		None (or less than \$201)
7.7	CREF Social Choice R3	Yes	\$1,001 - \$15,000		None (or less than \$201)
8	Georgetown University Defined Contribution Retirement Plan	No			
8.1	TIAA-CREF Lifecycle Index 2035 Fund - Institutional Class	Yes	\$50,001 - \$100,000		None (or less than \$201)
9	Brookings Savings and Retirement Plan	No			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
9.1	TIAA-CREF Lifecycle 2035 Fund - Institutional Class	Yes	\$50,001 - \$100,000		None (or less than \$201)
10	The George Washington University Supplemental Retirement Plan	No			
10.1	TIAA-CREF International Equity Index Fund - Instl Class	Yes	\$1,001 - \$15,000		None (or less than \$201)
10.2	TIAA-CREF Large-Cap Growth Index Fund - Institutional Class	Yes	\$15,001 - \$50,000		None (or less than \$201)
10.3	TIAA-CREF Large-Cap Value Index Fund - Institutional Class	Yes	\$1,001 - \$15,000		None (or less than \$201)
10.4	MFS International Diversification Fund Class R6	Yes	\$1,001 - \$15,000		None (or less than \$201)
10.5	TIAA-CREF Social Choice Equity Fund - Institutional Class	Yes	\$15,001 - \$50,000		None (or less than \$201)
10.6	TIAA-CREF Small-Cap Blend Index Fund - Institutional Class	Yes	\$15,001 - \$50,000		None (or less than \$201)
10.7	TIAA Real Estate	Yes	\$1,001 - \$15,000		None (or less than \$201)
10.8	CREF Inflation-Linked Bond R3	Yes	\$1,001 - \$15,000		None (or less than \$201)
10.9	CREF Social Choice R3	Yes	\$1,001 - \$15,000		None (or less than \$201)
11	The George Washington University 401(a)	No			
11.1	Fidelity Freedom 2035 Fund - Class K	Yes	\$250,001 - \$500,000		None (or less than \$201)
12	Denny Center at Georgetown University	N/A		Consulting Fees	\$20,000
13	ANNALS of the American Academy of Political and Social Science - Paper was presented on 1/11/2021	N/A		Honorarium	\$2,000

3. Filer's Employment Agreements and Arrangements

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
1	George Washington University	Washington,, District of Columbia	Unpaid leave of absence for government service is available for two (2) years with the possibility of extension. Employer will not provide any benefits or contributions to any retirement plan during leave. Pursuant to the GW Faculty Code, leaves of absence must be approved by the Department, the Dean of the relevant school, and ultimately by the Provost.	11/2021
2	Dartmouth College 401(a)	Hanover, New Hampshire	I will continue to participate in this defined contribution plan, but the plan sponsor no longer makes contributions.	7/2002
3	Dartmouth College Supplemental Retirement Plan	Hanover, New Hampshire	I will continue to participate in this defined contribution plan, but the plan neither I nor sponsor continues to make contributions.	7/2002
4	Georgetown University Defined Contribution Retirement Plan	Washington, District of Columbia	I will continue to participate in this defined contribution plan, but the plan sponsor no longer makes contributions.	8/2011
5	Brookings Savings and Retirement Plan	Washington, District of Columbia	I will continue to participate in this defined contribution plan, but the plan sponsor no longer makes contributions.	8/2017
6	George Washington University Supplemental Retirement Plan	Washington, District of Columbia	I will continue to participate in this defined contribution plan. The plan sponsor will not make further contributions after my separation, nor will I.	8/2012
7	George Washington University 401(a)	Washington, District of Columbia	I will continue to participate in this defined contribution plan. The plan sponsor will not make further contributions after my separation.	8/2012

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

#	SOURCE NAME		CITY, STATE	BRIEF DESCRIPTION OF DUTIES
1	George Washington University		Washington,, District of Columbia	teach classes, perform research, at times have been head of small research institute, the Institute for International Economic Policy.
2	The Hamilton Project at Brookings		Washington, District of Columbia	Director of small research think tank within Brookings. Commissioned papers, led team in analysis.
3	WestExec Advisers		Washington, District of Columbia	Provided analysis of U.S. and Global economy.
4	Conveners.org	See Endnote	virtual/ Beaverton, Oregon	participate in meetings, help with white papers.
5	Boston Federal Reserve		Boston, Massachusetts	wrote commissioned paper, presented in October 2019.
6	The Boeing Company		Seattle, Washington	Consulting Services (Client of WestExec Advisers)
7	Softbank		Tokyo, Japan, Outside U.S.	Consulting Services (client of WestExec Advisers)
8	Denny Center at Georgetown University		Washington, District of Columbia	Consulting services to the Denny Center helping them craft a report on the U.S. Economy

5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	St Andrew's Episcopal School	N/A		salary	
2	Jack Kent Cooke Foundation	N/A		consulting fees	
3	Roth IRA #2	No			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3.1	S&P 500 index scwabb fund	Yes	\$15,001 - \$50,000		None (or less than \$201)
3.2	Janus Henderson Research Fund Class T	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.3	DWS CROCI international fund class S	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.4	AMG Veritas China Fund Class N	Yes	\$1,001 - \$15,000		None (or less than \$201)
4	Barnard TIAA CREF	No			
4.1	CREF Social Choice R2	Yes	\$15,001 - \$50,000		None (or less than \$201)
5	Crystal Springs Upland School TIAA CREF	No			
5.1	CREF Equity Index R1	Yes	\$1,001 - \$15,000		None (or less than \$201)
5.2	CREF Global Equities R1	Yes	\$1,001 - \$15,000		None (or less than \$201)
5.3	CREF Growth R1	Yes	\$15,001 - \$50,000		None (or less than \$201)
5.4	CREF Inflation-Linked Bond R1	Yes	\$1,001 - \$15,000		None (or less than \$201)
5.5	CREF Social Choice R1	Yes	\$1,001 - \$15,000		None (or less than \$201)
6	GDS 403b #1	No			
6.1	TIAA Access S&P 500 Index Fund T3	Yes	\$1,001 - \$15,000		None (or less than \$201)
6.2	CREF Equity Index R2	Yes	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
6.3	CREF Growth R2	Yes	\$1,001 - \$15,000		None (or less than \$201)
6.4	CREF Global Equities R2	Yes	\$1,001 - \$15,000		None (or less than \$201)
6.5	TIAA Real Estate	Yes	\$1,001 - \$15,000		None (or less than \$201)
6.6	CREF Money Market R2	Yes	\$1,001 - \$15,000		None (or less than \$201)
6.7	TIAA Access Lifecycle Fund 2035 T3	Yes	\$1,001 - \$15,000		None (or less than \$201)
7	St. Andrew's 403(b) CREF	No			
7.1	TIAA-CREF Lifecycle Index 2035 Fund - Institutional Class	Yes	\$100,001 - \$250,000		None (or less than \$201)
8	GDS TIAA-CREF #2	No			
8.1	TIAA-CREF Lifecycle Index 2035 Fund - Institutional Class	Yes	\$50,001 - \$100,000		None (or less than \$201)
9	Enrollment Management Association - 1-27-2021			Honorarium	\$250

6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	U.S. bank #1 (cash)	N/A	\$100,001 - \$250,000		None (or less than \$201)
2	Nike Stock	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3	U.S. bank #2 (cash)	N/A	\$15,001 - \$50,000		None (or less than \$201)
4	Utah 529	No			
4.1	Target Enrollment 2020/2021 fund	Yes	\$50,001 - \$100,000		None (or less than \$201)
5	California Scholarshare 529	No			
5.1	Scholarshare 529 target year 2019	Yes	\$50,001 - \$100,000		None (or less than \$201)
6	Educational trust 1	No			
6.1	Schwab S&P 500 Index Fund (SWPPX)	Yes	\$250,001 - \$500,000		\$5,001 - \$15,000
6.2	U.S. brokerage money market account (cash)	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
7	Educational trust 2	No			
7.1	Schwab S&P 500 Index Fund (SWPPX)	Yes	\$250,001 - \$500,000		\$5,001 - \$15,000
7.2	U.S. Certificate of Deposit (cash)	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
7.3	U.S. brokerage money market account (cash)	N/A	\$50,001 - \$100,000	Interest	\$201 - \$1,000

7. Transactions

(N/A) - Not required for this type of report

8. Liabilities

#	CREDITOR NAME	TYPE	AMOUNT	YEAR INCURRED	RATE	TERM
1	US Bank	Mortgage on Personal Residence	\$500,001 - \$1,000,000	2012	3.75%	30 year fixed rate
2	Rushmore Loan Management Services	HELOC	\$50,001 - \$100,000	2014	variable	10 years

9. Gifts and Travel Reimbursements

(N/A) - Not required for this type of report

Endnotes

PART	#	ENDNOTE
1.	4	This represents date of restarting my affiliation after resigning during tenure at the White House. I was affiliated with NBER going back to the mid 2000's prior to that.
1.	5	Journal is virtual but managed by Elsevier. Elsevier is headquartered in Amsterdam, The Netherlands.
1.	8	I was on leave from the committee during my time on the Biden Transition team. Virtual participation. Payments made via Conveners.org based in Beaverton, Oregon.
2.	4	Salary for services provided to Regenerative Crisis Response Committee.
4.	4	Salary for services provided to Regenerative Crisis Response Committee.

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during the reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$166 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

Public Burden Information

This collection of information is estimated to take an average of ten hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE), Suite 500, 1201 New York Avenue, N.W., Washington, DC 20005-3917.

Pursuant to the Paperwork Reduction Act, as amended, an agency may not conduct or sponsor, and no person is required to respond to, a collection of information unless it displays a currently valid OMB control number (that number, 3209-0001, is displayed here and at the top of the first page of this OGE Form 278e).
