

Executive Branch Personnel Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

Starzyk, Janice

Deputy Director, Office of Space Commerce, Department of Commerce

Date of Appointment: 11/06/2023

Other Federal Government Positions Held During the Preceding 12 Months:

None

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Starzyk, Janice [electronically signed on 12/29/2023 by Starzyk, Janice in Integrity.gov] - Filer received a 45 day filing extension.

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

Other review conducted by

U.S. Office of Government Ethics Certification

Data Revised 06/07/2024

1. Filer's Positions Held Outside United States Government

#	ORGANIZATION NAME	CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
1	Virgin Orbit	Long Beach, California	Corporation	Vice President of Government Operations	1/2021	4/2023
2	BryceTech	Alexandria, Virginia	Corporation	Consultant	6/2023	11/2023

2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	BryceTech (Analytics and engineering firm)	N/A		Consulting Fees	\$12,300
2	Virgin Orbit, stock options (value not readily ascertainable):	N/A	None (or less than \$1,001)		None (or less than \$201)
3	Virgin Orbit, restricted stock units	N/A	None (or less than \$1,001)		None (or less than \$201)
4	Virgin Orbit	N/A		Salary/Bonus	\$787,361
5	Virgin Orbit	N/A		Severance	\$20,760
6	IRA 0821	No			
6.1	Old Dominion Freight Line, Inc. (ODFL)	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.2	Alphabet, Inc. (GOOG)	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.3	Microsoft Corp. (MSFT)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
6.4	Chevron Corp. (CVX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.5	The Sherwin-Williams Co. (SHW)	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.6	Synopsys, Inc. (SNPS)	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.7	Amazon.com, Inc. (AMZN)	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.8	Adobe, Inc. (ADBE)	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.9	Eaton Corp. Plc (ETN)	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.10	Freeport-McMoRan, Inc. (FCX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.11	Darden Restaurants, Inc. (DRI)	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.12	CME Group, Inc. (CME)	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.13	O'Reilly Automotive, Inc. (ORLY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.14	APPL	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.15	Intuit, Inc. (INTU)	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.16	Accenture Plc (ACN)	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.17	UnitedHealth Group, Inc. (UNH)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
6.18	The Home Depot, Inc. (HD)	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.19	Procter & Gamble Co. (PG)	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.20	Eli Lilly & Co. (LLY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.21	Costco Wholesale Corp. (COST)	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.22	The TJX Cos., Inc. (TJX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.23	Meta Platforms Inc. Class A Common Stock (META)	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.24	DexCom, Inc. (DXCM)	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.25	Retirement Sweep Program	No	\$1,001 - \$15,000		None (or less than \$201)
6.26	Boston Scientific Corp. (BSX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.27	Arista Networks, Inc. (ANET)	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.28	Arthur J. Gallagher & Co. (AJG)	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.29	Moody's Corp. (MCO)	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.30	Martin Marietta Materials, Inc. (MLM)	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.31	The Trade Desk, Inc. (TTD)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
6.32	Tesla, Inc. (TSLA)	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.33	Intuitive Surgical, Inc. (ISRG)	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.34	Northrop Grumman Corp. (NOC)	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.35	NVIDIA Corp. (NVDA)	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.36	Zoetis, Inc. (ZTS)	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.37	ServiceNow, Inc. (NOW)	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.38	NXP Semiconductors N.V. Common Stock (NXPI)	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.39	Visa, Inc. (V)	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.40	Roper Technologies, Inc. (ROP)	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.41	Pioneer Natural Resources Co. (PXD)	N/A	\$1,001 - \$15,000		\$201 - \$1,000
7	IRA 3413	No			
7.1	The PNC Financial Services Group, Inc. (PNC)	N/A	\$1,001 - \$15,000		\$201 - \$1,000
7.2	Southern Company (SO)	N/A	\$1,001 - \$15,000		\$201 - \$1,000
7.3	Chevron Corp. (CVX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.4	Pfizer Inc. (PFE)	N/A	\$1,001 - \$15,000		\$201 - \$1,000
7.5	U.S. Bancorp (USB)	N/A	\$1,001 - \$15,000		\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
7.6	Conagra Brands, Inc. (CAG)	N/A	\$1,001 - \$15,000		\$201 - \$1,000
7.7	Amgen Inc. (AMGN)	N/A	\$1,001 - \$15,000		\$201 - \$1,000
7.8	Realty Income Corp. (O)	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.9	British American Tobacco Industries p.l.c. Common Stock ADR (BTI)	N/A			\$201 - \$1,000
7.10	PPL Corp. (PPL)	N/A	\$1,001 - \$15,000		\$201 - \$1,000
7.11	Duke Energy Corp. (DUK)	N/A	\$1,001 - \$15,000		\$201 - \$1,000
7.12	Huntington Bancshares, Inc. (HBAN)	N/A	\$1,001 - \$15,000		\$201 - \$1,000
7.13	Crown Castle International Corp. (CCI)	N/A	\$1,001 - \$15,000		\$201 - \$1,000
7.14	The Williams Cos., Inc. (WMB)	N/A	\$1,001 - \$15,000		\$201 - \$1,000
7.15	Gilead Sciences, Inc. (GILD)	N/A	\$1,001 - \$15,000		\$201 - \$1,000
7.16	Kimberly-Clark Corp. (KMB)	N/A	\$1,001 - \$15,000		\$201 - \$1,000
7.17	Dominion Energy, Inc. (D)	N/A			\$201 - \$1,000
7.18	TotalEnergies SE (TTE)	N/A	\$1,001 - \$15,000		\$1,001 - \$2,500
7.19	Unilever PLC Common Stock (UL)	N/A	\$1,001 - \$15,000		\$201 - \$1,000
7.20	Verizon Communications, Inc. (VZ)	N/A	\$1,001 - \$15,000		\$1,001 - \$2,500
7.21	Truist Financial Corporation Common Stock (TFC)	N/A	\$1,001 - \$15,000		\$201 - \$1,000
7.22	AT&T (T)	N/A	\$1,001 - \$15,000		\$201 - \$1,000
7.23	Kenvue Inc (KVUE)	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.24	Xcel Energy, Inc. (XEL)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
7.25	Paychex, Inc. (PAYX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.26	The Coca-Cola Co. (KO)	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.27	Entergy Corp. (ETR)	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.28	Sanofi ADS (SNY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.29	LyondellBasell Industries NV (LYB)	N/A	\$1,001 - \$15,000		\$201 - \$1,000
7.30	Medtronic Plc (MDT)	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.31	United Parcel Service, Inc. (UPS)	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.32	Arcor plc (AMCR)	N/A	\$1,001 - \$15,000		\$201 - \$1,000
7.33	AbbVie, Inc. (ABBV)	N/A	\$1,001 - \$15,000		\$201 - \$1,000
7.34	Philip Morris International, Inc. (PM)	N/A	\$1,001 - \$15,000		\$201 - \$1,000
7.35	BCE Inc. Common Stock (BCE)	N/A	\$1,001 - \$15,000		\$201 - \$1,000
7.36	Canadian Imperial Bank of Commerce Common Stock (CM)	N/A	\$1,001 - \$15,000		\$201 - \$1,000
7.37	Enbridge Inc Common Stock (ENB)	N/A	\$1,001 - \$15,000		\$1,001 - \$2,500
7.38	National Grid Transco PLC National Grid PLC (NEW) American Depositary Shares (NGG)	N/A	\$1,001 - \$15,000		\$201 - \$1,000
7.39	TC Energy Corporation Common Stock (TRP)	N/A	\$1,001 - \$15,000		\$201 - \$1,000
7.40	American Electric Power Co., Inc. (AEP)	N/A	\$1,001 - \$15,000		\$201 - \$1,000
8	IRA 3409	No			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
8.1	FNMA Mbs Pool #Ma4656 4.50% 7/1/2052		\$1,001 - \$15,000		None (or less than \$201)
8.2	FHLMC Mbs Pool #Ra6815 2.50% 2/1/2052		\$1,001 - \$15,000		None (or less than \$201)
8.3	CVS Health Corp Bond Call Make Whole 4.30% 3/25/2028		\$1,001 - \$15,000		None (or less than \$201)
8.4	Citigroup Inc Note Call Make Whole 3.668% 7/24/2028		\$1,001 - \$15,000		None (or less than \$201)
8.5	Wells Fargo & Co Mtn 3.584% 5/22/2028		\$1,001 - \$15,000		\$201 - \$1,000
8.6	Verizon Communications Inc 4.125% 3/16/2027		\$1,001 - \$15,000		None (or less than \$201)
8.7	Shell Intl Fin B V 2.875% 5/10/2026		\$1,001 - \$15,000		None (or less than \$201)
8.8	FNMA 6.625% 11/15/2030		\$1,001 - \$15,000		None (or less than \$201)
8.9	At&T Inc Ser A Note 2.55% 12/1/2033		\$1,001 - \$15,000		None (or less than \$201)
8.10	Legg Mason Western Asset Smash M		\$15,001 - \$50,000		\$1,001 - \$2,500
8.11	Legg Mason Western Asset Smash C		\$15,001 - \$50,000		\$1,001 - \$2,500
8.12	Legg Mason Western Asset Smash EC		\$15,001 - \$50,000		\$1,001 - \$2,500
8.13	FNMA Mbs Pool #Ma4587 2.50% 4/1/2042		\$1,001 - \$15,000		None (or less than \$201)
8.14	FNMA Mbs Pool #Ma4438 2.50% 10/1/2051		\$1,001 - \$15,000		None (or less than \$201)
8.15	Amazon Com Inc 2.10% 5/12/2031		\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
8.16	Goldman Sachs Group 3.80% 3/15/2030		\$1,001 - \$15,000		None (or less than \$201)
8.17	Bank Of America C Var 30 Due 10/22/2030		\$1,001 - \$15,000		None (or less than \$201)
8.18	US Treasury Bond 3.00% 2/15/2049		\$1,001 - \$15,000		None (or less than \$201)
8.19	Enterprise Products Operating LLC 4.80% 2/1/2049		\$1,001 - \$15,000		None (or less than \$201)
8.20	Comcast Corp 4.15% 10/15/2028		\$1,001 - \$15,000		None (or less than \$201)
8.21	Jpmorgan Chase & Co 0.000 7/23/2029		\$1,001 - \$15,000		None (or less than \$201)
8.22	FNMA Gtd Mtg Pass Thru Ctfs Pool # Fs4377 3.00% 4/1/2052		\$1,001 - \$15,000		None (or less than \$201)
8.23	FNMA UMBS Long 30 Year 3.50% 5/1/2052		\$1,001 - \$15,000		None (or less than \$201)
8.24	US Treasury Bond G-2030 3.50% 1/31/2030		\$1,001 - \$15,000		None (or less than \$201)
8.25	FHLMC Sd8267 5.00% 11/1/1952		\$1,001 - \$15,000		None (or less than \$201)
8.26	FNMA Pool Ma4842 5.50% 12/1/1952		\$1,001 - \$15,000		None (or less than \$201)
8.27	US Treasury Bond 2.00% 2/15/2050		\$1,001 - \$15,000		None (or less than \$201)
8.28	FHLMC Partn Ctfs Pool # Rb5163 "Umbs" 3.00% 5/1/2042		\$1,001 - \$15,000		None (or less than \$201)
8.29	FNMA Gtd Mtg Pass Thru Ctfs Pool # Ma4732 4.00% 9/1/2052		\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
8.30	US Treasury Bond 2.375% 5/15/2051		\$1,001 - \$15,000		None (or less than \$201)
9	IRA 3416	No			
9.1	American Funds International Vantage Fund		\$15,001 - \$50,000		\$201 - \$1,000
9.2	Western Core Asset Bond Instl		\$15,001 - \$50,000		\$1,001 - \$2,500
9.3	Federated Hermes Instl High Yield Bd IS		\$1,001 - \$15,000		\$201 - \$1,000
9.4	American Beacon Y		\$15,001 - \$50,000		\$201 - \$1,000
9.5	Virtus KAR Small-Mid Cap Core I		\$15,001 - \$50,000		None (or less than \$201)
9.6	Congress Large Cap Growth Instl		\$15,001 - \$50,000		\$1,001 - \$2,500
9.7	MFS Global Real Estate I		\$1,001 - \$15,000		\$201 - \$1,000
9.8	Federated Strategic Value Dividend Instl		\$15,001 - \$50,000		\$1,001 - \$2,500
10	IRA 0823	No			
10.1	Moelis & Company		\$1,001 - \$15,000		\$201 - \$1,000
10.2	Artisan Partners Asset Management		\$1,001 - \$15,000		\$201 - \$1,000
10.3	Donaldson Co Inc		\$1,001 - \$15,000		None (or less than \$201)
10.4	Toro Company		\$1,001 - \$15,000		None (or less than \$201)
10.5	Manhattan Associates Inc		\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
10.6	Landstar System Inc		\$1,001 - \$15,000		None (or less than \$201)
10.7	Simpson Manufacturing Co		\$1,001 - \$15,000		None (or less than \$201)
10.8	Rightmove Plc		\$1,001 - \$15,000		None (or less than \$201)
10.9	Primerica Inc		\$1,001 - \$15,000		None (or less than \$201)
10.10	BancFirst Corp		\$1,001 - \$15,000		None (or less than \$201)
10.11	CorVel Corp		\$1,001 - \$15,000		None (or less than \$201)
10.12	RBC Bearings Inc		\$1,001 - \$15,000		None (or less than \$201)
10.13	Watts Water Technologies		\$1,001 - \$15,000		None (or less than \$201)
10.14	RLI Corp		\$1,001 - \$15,000		\$201 - \$1,000
10.15	EMCOR Group Inc.		\$1,001 - \$15,000		None (or less than \$201)
10.16	Lci Industries Inc		\$1,001 - \$15,000		\$201 - \$1,000
10.17	FTI Consulting Inc		\$1,001 - \$15,000		None (or less than \$201)
10.18	Graco Inc.		\$1,001 - \$15,000		None (or less than \$201)
10.19	Henry Jack & Assoc Inc		\$1,001 - \$15,000		None (or less than \$201)
10.20	Factset Resh Sys Inc		\$1,001 - \$15,000		None (or less than \$201)

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10.21	Universal Forest Products inc.		\$1,001 - \$15,000		None (or less than \$201)
10.22	Kforce, Inc. (KFRC)	N/A	\$1,001 - \$15,000		None (or less than \$201)
10.23	Aspen Technology, Inc. (AZPN)	N/A	\$1,001 - \$15,000		None (or less than \$201)
10.24	Clearwater Analytics Holdings Inc. Class A Common Stock (CWAN)	N/A	\$1,001 - \$15,000		None (or less than \$201)
10.25	Acushnet Holdings Corp. (GOLF)	N/A	\$1,001 - \$15,000		None (or less than \$201)
10.26	First Hawaiian, Inc. (FHB)	N/A	\$1,001 - \$15,000		\$201 - \$1,000
11	IRA 1537	No			
11.1	Hermes Intl Sca		\$1,001 - \$15,000		None (or less than \$201)
11.2	AIA Group Ltd		\$1,001 - \$15,000		None (or less than \$201)
11.3	TotalEnergies SE (TTE)	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.4	Anheuser-Busch Inbev SA Sponsored ADR (Belgium) (BUD)	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.5	Taiwan Semiconductor Manufacturing Company Ltd. (TSM)	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.6	AstraZeneca PLC American Depository Shares (AZN)	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.7	STMicroelectronics N.V. Common Stock (STM)	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.8	ABB Ltd (ABB)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
11.9	ASML Holding N.V. New York Registry Shares (ASML)	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.10	DBS Group Holdings Spons ADR		\$1,001 - \$15,000		None (or less than \$201)
11.11	L'Oreal Co ADR		\$1,001 - \$15,000		None (or less than \$201)
11.12	HDFC Bank Ltd ADR		\$1,001 - \$15,000		None (or less than \$201)
11.13	Novo Nordisk ADR		\$1,001 - \$15,000		None (or less than \$201)
11.14	Sap Se Adr		\$1,001 - \$15,000		None (or less than \$201)
11.15	Shin-Etsu Chemical Co		\$1,001 - \$15,000		None (or less than \$201)
11.16	Nippon Telegraph & Telephone Corp Spons ADR		\$1,001 - \$15,000		None (or less than \$201)
11.17	British American TOB Spns ADR		\$1,001 - \$15,000		None (or less than \$201)
11.18	Nestle SA ADR B		\$1,001 - \$15,000		None (or less than \$201)
11.19	Keyence Corp		\$1,001 - \$15,000		None (or less than \$201)
11.20	Carlsburg As Sponsored ADR		\$1,001 - \$15,000		None (or less than \$201)
11.21	Safran Sa Unspn/ ADR		\$1,001 - \$15,000		None (or less than \$201)
11.22	Industria De Diseno		\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
11.23	LVMH Moet Hennessy Louis Vuitton SA		\$1,001 - \$15,000		None (or less than \$201)
11.24	Givaudan SA ADR		\$1,001 - \$15,000		None (or less than \$201)
11.25	Airbus Group		\$1,001 - \$15,000		None (or less than \$201)
11.26	TFI Intl Inc.		\$1,001 - \$15,000		None (or less than \$201)
11.27	Mercadolibre Inc		\$1,001 - \$15,000		None (or less than \$201)
11.28	Essilor Luxottica Un-sponsored ADR		\$1,001 - \$15,000		None (or less than \$201)
11.29	Air Liquide ADR		\$1,001 - \$15,000		None (or less than \$201)
11.30	BP PLC		\$1,001 - \$15,000		None (or less than \$201)
11.31	CapGemini Se Unsp/ADR		\$1,001 - \$15,000		None (or less than \$201)
11.32	United Overseas Bank Ltd Spons ADR		\$1,001 - \$15,000		None (or less than \$201)
11.33	Sika Ag Un-sponsored ADR		\$1,001 - \$15,000		None (or less than \$201)
11.34	Epiroc Aktiebolag Un-sponsored ADR		\$1,001 - \$15,000		None (or less than \$201)
11.35	London Stk Exchange Group ADR		\$1,001 - \$15,000		None (or less than \$201)
11.36	Engie Spons Adr		\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
11.37	Genmab As Spon ADR		\$1,001 - \$15,000		None (or less than \$201)
11.38	Kering ADR		\$1,001 - \$15,000		None (or less than \$201)
11.39	Amadeus IT Group S A		\$1,001 - \$15,000		None (or less than \$201)
11.40	SMC Corp		\$1,001 - \$15,000		None (or less than \$201)
12	Roth 3415	No			
12.1	Singapore Telecommunications Ltd Spons ADR		\$1,001 - \$15,000		None (or less than \$201)
12.2	United Overseas Bank Ltd Spons ADR		\$1,001 - \$15,000		\$201 - \$1,000
12.3	Hitachi Ltd		\$1,001 - \$15,000		None (or less than \$201)
12.4	Novartis AG Common Stock (NVS)	N/A	\$1,001 - \$15,000		\$201 - \$1,000
12.5	Taiwan Semiconductor Manufacturing Company Ltd. (TSM)	N/A	\$1,001 - \$15,000		None (or less than \$201)
12.6	GSK plc American Depositary Shares (Each representing two Ordinary Shares) (GSK)	N/A	\$1,001 - \$15,000		None (or less than \$201)
12.7	BP p.l.c. Common Stock (BP)	N/A	\$1,001 - \$15,000		\$201 - \$1,000
12.8	Continental AG		\$1,001 - \$15,000		None (or less than \$201)
12.9	Secom Ltd Spons ADR		\$1,001 - \$15,000		None (or less than \$201)
12.10	Kingfisher PLC		\$1,001 - \$15,000		None (or less than \$201)
12.11	Kyocera Corp ADR				None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
12.12	Imperial Brands PLC		\$1,001 - \$15,000		\$201 - \$1,000
12.13	Lloyds TSB Group PLC SP ADR		\$1,001 - \$15,000		\$201 - \$1,000
12.14	Tesco PLC Spons ADR		\$1,001 - \$15,000		\$201 - \$1,000
12.15	Shell PLC A Spons ADR		\$1,001 - \$15,000		\$201 - \$1,000
12.16	Fuji Photo ADR		\$1,001 - \$15,000		None (or less than \$201)
12.17	Banco Santander S.A. ADR		\$1,001 - \$15,000		\$201 - \$1,000
12.18	Honda Motor		\$1,001 - \$15,000		None (or less than \$201)
12.19	Sanofi		\$1,001 - \$15,000		None (or less than \$201)
12.20	WPP Group PLC		\$1,001 - \$15,000		\$201 - \$1,000
12.21	Sony Group Corporation ADR		\$1,001 - \$15,000		None (or less than \$201)
12.22	Fujitsu Ltd ADR		\$1,001 - \$15,000		None (or less than \$201)
12.23	Koninklijke Philips NV		\$1,001 - \$15,000		None (or less than \$201)
12.24	Kao Corp F Unsponsored ADR 1 ADR Reps 0.2 Ord Shs		\$1,001 - \$15,000		None (or less than \$201)
12.25	Allianz SE Unsponsored ADS		\$1,001 - \$15,000		\$201 - \$1,000
12.26	Mondi PLC ADR		\$1,001 - \$15,000		None (or less than \$201)
12.27	WH Group LTD Sponsored ADR		\$1,001 - \$15,000		\$201 - \$1,000
12.28	CK Hutchison Holdings Limited Unsp ADR		\$1,001 - \$15,000		\$201 - \$1,000
12.29	Compagnie De St-Gobain Unsp ADR		\$1,001 - \$15,000		\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
12.30	Snam Rete Gas ADR		\$1,001 - \$15,000		\$201 - \$1,000
12.31	Bouygues Sa ADR		\$1,001 - \$15,000		\$201 - \$1,000
12.32	Enel Societa Per Azi		\$1,001 - \$15,000		\$201 - \$1,000
12.33	Toyota Indus Crp ADR		\$1,001 - \$15,000		None (or less than \$201)
12.34	Takeda Pharmaceutical Co Ltd ADR		\$1,001 - \$15,000		\$201 - \$1,000
12.35	Mitsubishi Elec Unsp/Adr		\$1,001 - \$15,000		None (or less than \$201)
12.36	SSE Plc		\$1,001 - \$15,000		\$201 - \$1,000

3. Filer's Employment Agreements and Arrangements

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
1	Virgin Orbit	Long Beach, California	Stock options were not exercisable during the period they held value. The company is now delisted and there is no value.	10/2021
2	Virgin Orbit	Long Beach, California	Vested RSUs were not sellable during the period they held value. The company is now delisted and the RSUs hold no value.	2/2023

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

#	SOURCE NAME	CITY, STATE	BRIEF DESCRIPTION OF DUTIES
1	BryceTech	Alexandria, Virginia	Services as Consultant
2	Virgin Orbit	Long Beach, California	Services as Vice President of Government Operations

5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Roth 3435	No			
1.1	American Funds International Vantage Fund		\$1,001 - \$15,000		None (or less than \$201)
1.2	Western Asset Core Bond Instl		\$1,001 - \$15,000		None (or less than \$201)
1.3	Federated Hermes Instl High Yield Bd IS		\$1,001 - \$15,000		\$201 - \$1,000
1.4	Virtus KAR Small-Mid Cap Core I		\$1,001 - \$15,000		None (or less than \$201)
1.5	American Beacon Y		\$1,001 - \$15,000		\$201 - \$1,000
1.6	Congress Large Cap Growth Instl		\$1,001 - \$15,000		\$201 - \$1,000
1.7	MFS Global Real Estate I		\$1,001 - \$15,000		\$201 - \$1,000
1.8	Federated Strategic Value Dividend Instl		\$1,001 - \$15,000		\$201 - \$1,000

6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Joint 3408				
1.1	Manatee Cnty FL Pub Utils Rev Impt Ref 4.00% 10/1/2053	No	\$1,001 - \$15,000	Interest	\$201 - \$1,000
1.2	Virtus Newfleet Multi-Sector Short-Term Bond Fund Class I	No	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
1.3	Invesco Oppenheimer Senior Floating Rate Y	No	\$1,001 - \$15,000	Dividends	\$2,501 - \$5,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.4	Loomis Sayles Investment Grade Bond Y	No	\$1,001 - \$15,000	Dividends	\$1,001 - \$2,500
1.5	Calvert Short Duration Income I	No	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
1.6	BlackRock High Yield Bond I	No	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
1.7	Templeton Global Bond Adv	No	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
1.8	Western Asset Core Plus Bond IS	No	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
1.9	TX St Hwy Impt GO Bds 2016 5.00% 4/1/2039	No	\$15,001 - \$50,000	Interest	\$2,501 - \$5,000
1.10	San Antonio TX Indpt Sch Dist Var 5.00% 8/1/2038	No	\$15,001 - \$50,000	Interest	\$2,501 - \$5,000
1.11	MA St GO Consolidation Ln Bds 5.00% 5/1/2032	No	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
1.12	Dallas Ft Worth TX Intl Arpt Rev Jt Ref 4.00% 11/1/2045	No	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
1.13	Triborough Brdg & Tunl Auth N 5.00% 11/15/2051	No	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
1.14	Bexar Cnty Tx 5.00% 6/15/2038 PRRFD: 06/15/24	No	\$15,001 - \$50,000	Interest	\$2,501 - \$5,000
1.15	Univ Pittsburgh Of The Comwlth 4.00% 9/15/2044	No	\$1,001 - \$15,000	Interest	\$1,001 - \$2,500
1.16	San Antonio TX Elec & Gas Rev 5.00% 2/1/2049	No	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
1.17	New York N Y City Transitional Fin Auth Bldg Aid Rev Rev Bds S-1 3.00% 7/15/2031	No	\$1,001 - \$15,000	Interest	\$1,001 - \$2,500
1.18	Baltimore MD 3.00% 10/15/2032	No	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
1.19	Atlanta GA Wtr & Wastewtr Rev 5.00% 11/1/2043 PRRFD: 05/01/25	No	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
2	IND 3417				
2.1	Singapore Telecommunications Ltd Spons ADR	No	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
2.2	United Overseas Bank Ltd Spons ADR	No	\$1,001 - \$15,000	Dividends	\$1,001 - \$2,500
2.3	Hitachi Ltd	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
2.4	Novartis AG Spons ADR	No	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
2.5	Eni SPA F	No	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
2.6	Taiwan Semiconductor Manufacturing Co Ltd ADR	No	\$1,001 - \$15,000	Dividends	\$1,001 - \$2,500
2.7	GSK PLC	No	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
2.8	BP PLC	No	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
2.9	Continental AG	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
2.10	Secom Ltd Spons ADR	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
2.11	Kingfisher PLC	No	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
2.12	Kyocera Corp ADR	No	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
2.13	Imperial Brands PLC	No	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
2.14	Lloyds TSB Group PLC SP ADR	No	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
2.15	Tesco PLC Spons ADR	No	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
2.16	Shell PLC A Spons ADR	No	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
2.17	Fuji Photo ADR	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
2.18	Banco Santander S.A. ADR	No	\$1,001 - \$15,000	Dividends	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
2.19	Honda Motor	No	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
2.20	Sanofi	No	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
2.21	Sony Group Corporation ADR	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
2.22	Kering ADR	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
2.23	Upm-Kymmene Corp ADR Unsponsor Ed	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
2.24	Bank Deposit Sweep Program	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
2.25	Fujitsu Ltd ADR	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
2.26	iShares MSCI EAFE ETF	No	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
2.27	Koninklijke Philips NV	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
2.28	Kao Corp F Un-sponsored ADR 1 ADR Reprs 0.2 Ord Shs	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
2.29	Allianz SE Un-sponsored ADS	No	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
2.30	Mondi PLC ADR	No	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
2.31	WH Group LTD Sponsored ADR	No	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
2.32	CK Hutchison Holdings Limited Unsp ADR	No	\$1,001 - \$15,000	Dividends	\$1,001 - \$2,500
2.33	Compagnie De St-Gobain Unsp ADR	No	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
2.34	Telia Company AB	No	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
2.35	Bouygues Sa ADR	No	\$1,001 - \$15,000	Dividends	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
2.36	Toyota Indus Crp ADR	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
2.37	Enel Societa Per Azi	No	\$1,001 - \$15,000	Dividends	\$1,001 - \$2,500
2.38	Takeda Pharmaceutical Co Ltd ADR	No	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
2.39	Mitsubishi Elec Unsp/Adr	No	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
2.40	SSE Plc	No	\$1,001 - \$15,000	Dividends	\$1,001 - \$2,500
3	IND 3418				
3.1	New York St Dorm Auth Revs Rev Bds Callable 5.00% 3/15/2036	No	\$15,001 - \$50,000	Interest	\$2,501 - \$5,000
3.2	Texas Wtr Dev Brd Rev Rev Bds Callable 4.00% 10/15/2049	No	\$15,001 - \$50,000	Interest	\$2,501 - \$5,000
3.3	New York City Ny Wtr Fin Rev BDs Callable 5.00% 6/15/2039	No	\$15,001 - \$50,000	Interest	\$2,501 - \$5,000
3.4	District Columbia 5.00% 6/1/2038 PrRfd: 06/01/24	No	\$15,001 - \$50,000	Interest	\$2,501 - \$5,000
3.5	New York NY City Mun Wtr Fin 5.00% 6/15/2039	No	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
3.6	Clark Cnty Nv Arpt 5.00% 7/1/2040	No	\$15,001 - \$50,000	Interest	\$2,501 - \$5,000
3.7	Miami-Dade Cnty FL Aviation Rev Ref Bds Ser B 5.00% 10/1/2037	No	\$15,001 - \$50,000	Interest	\$2,501 - \$5,000
3.8	District Columbia GO Bds 2015A 5.00% 6/1/2036	No	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
3.9	NY St Environmental Facs Corp St Clean Wtr & Drinking Wtr Rev 5.00% 6/15/2043	No	\$15,001 - \$50,000	Interest	\$2,501 - \$5,000
3.10	Nassau Cnty NY 5.00% 10/1/2030	No	\$15,001 - \$50,000	Interest	\$2,501 - \$5,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3.11	PA St Tpk Commn Tpk Rev 5.00% 12/1/2047	No	\$15,001 - \$50,000	Interest	\$2,501 - \$5,000
3.12	New York St Dorm Auth Revs Non 5.00% 7/1/2042	No	\$15,001 - \$50,000	Interest	\$2,501 - \$5,000
3.13	New York St Dorm Au 5.00% 2/15/2033	No	\$15,001 - \$50,000	Interest	\$2,501 - \$5,000
3.14	Utl Debt Sec Auth N Elec Util 5.00% 12/15/2036	No	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
3.15	New York NY City Mun Wtr Fin Auth Wtr 4.50% 6/15/2039	No	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
3.16	New York St Dorm Auth Sales 5.00% 3/15/2037	No	\$15,001 - \$50,000	Interest	\$2,501 - \$5,000
3.17	Miami-Dade Cnty FL Tran Sys Sales 4.00% 7/1/2036	No	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
3.18	Snohomsh Cn WA Pude 5.00% 12/1/2040	No	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
3.19	Massachusetts St 4.50% 7/1/2034	No	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
3.20	PA St Univ Rev Bds Ser A 5.00% 9/1/2047	No	\$15,001 - \$50,000	Interest	\$2,501 - \$5,000
3.21	Mounds View MN Indpt Sch Dist No 4.00% 2/1/2042	No	\$15,001 - \$50,000	Interest	None (or less than \$201)
3.22	Bountiful UT Sales Tax Rev Bds 4.00% 7/1/2048	No	\$15,001 - \$50,000	Interest	None (or less than \$201)
3.23	Muskegon MI Pub Sch 5.00% 5/1/2051	No	\$15,001 - \$50,000	Interest	\$201 - \$1,000
3.24	Manatee Cnty FL Pub Utils Rev Impt Ref 4.00% 10/1/2053	No	\$15,001 - \$50,000	Interest	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3.25	Triborough Brdg & Tunl Auth NY 4.00% 5/15/2046	No	\$15,001 - \$50,000	Interest	\$201 - \$1,000
3.26	Western Sch Dist MI 5.25% 5/1/2049	No	\$15,001 - \$50,000	Interest	\$201 - \$1,000
3.27	University AR Univ Rev 5.00% 4/1/2052	No	\$15,001 - \$50,000	Interest	\$201 - \$1,000
3.28	Pflugerville TX Indpt Sch Dist ULTD 5.00% 2/15/2039	No	\$15,001 - \$50,000	Interest	\$201 - \$1,000
3.29	Seattle WA Mun Lt & Pwr Rev Ref Bds 4.00% 9/1/2044	No	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
3.30	MA St GO Consolidation Ln Bds 5.00% 5/1/2032	No	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
3.31	Salt Lake City Utah Pub Utils 5.00% 2/1/2050	No	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
3.32	Triborough Brdg & Tunl Auth N 5.00% 11/15/2051	No	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
3.33	Bexar Cnty Tx 5.00% 6/15/2038 PRRFD: 06/15/24	No	\$15,001 - \$50,000	Interest	\$2,501 - \$5,000
3.34	Univ Pittsburgh Of The Comwlth 4.00% 9/15/2044	No	\$15,001 - \$50,000	Interest	\$2,501 - \$5,000
3.35	New York NY City Transitional 4.00% 5/1/2045	No	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
3.36	Metropolitan Transn Auth NY 5.00% 11/15/2037	No	\$15,001 - \$50,000	Interest	\$2,501 - \$5,000
3.37	New York St Pwr Auth Rev 4.00% 11/15/2050	No	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
3.38	NY St Dorm Au Spl Tax 5.00% 2/15/2043	No	\$15,001 - \$50,000	Interest	\$2,501 - \$5,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3.39	San Antonio TX Elec & Gas Rev 5.00% 2/1/2049	No	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
3.40	Baltimore MD Proj Rev 5.00% 7/1/2049	No	\$15,001 - \$50,000	Interest	\$2,501 - \$5,000
4	IND 0825				
4.1	Old Dominion Freight Line, Inc. (ODFL)	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
4.2	Alphabet, Inc. (GOOG)	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
4.3	Microsoft Corp. (MSFT)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
4.4	Chevron Corp. (CVX)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
4.5	The Sherwin-Williams Co. (SHW)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
4.6	Synopsys, Inc. (SNPS)	N/A	\$15,001 - \$50,000	Dividends	None (or less than \$201)
4.7	Amazon.com, Inc. (AMZN)	N/A	\$15,001 - \$50,000	Dividends	None (or less than \$201)
4.8	Adobe, Inc. (ADBE)	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
4.9	Eaton Corp. Plc (ETN)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
4.10	Freeport-McMoRan, Inc. (FCX)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
4.11	Darden Restaurants, Inc. (DRI)	N/A	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
4.12	CME Group, Inc. (CME)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
4.13	O'Reilly Automotive, Inc. (ORLY)	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
4.14	Apple (APPL)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
4.15	Intuit, Inc. (INTU)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
4.16	Accenture Plc (ACN)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
4.17	Pioneer Natural Resources Co. (PXD)	N/A	\$1,001 - \$15,000	Dividends	\$2,501 - \$5,000
4.18	UnitedHealth Group, Inc. (UNH)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
4.19	The Home Depot, Inc. (HD)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
4.20	Procter & Gamble Co. (PG)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
4.21	Eli Lilly & Co. (LLY)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
4.22	Costco Wholesale Corp. (COST)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
4.23	The TJX Cos., Inc. (TJX)	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
4.24	Meta Platforms Inc. Class A Common Stock (META)	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
4.25	DexCom, Inc. (DXCM)	N/A	\$15,001 - \$50,000	Dividends	None (or less than \$201)
4.26	Boston Scientific Corp. (BSX)	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
4.27	Arista Networks, Inc. (ANET)	N/A	\$15,001 - \$50,000	Dividends	None (or less than \$201)
4.28	Arthur J. Gallagher & Co. (AJG)	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
4.29	Moody's Corp. (MCO)	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
4.30	Martin Marietta Materials, Inc. (MLM)	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
4.31	The Trade Desk, Inc. (TTD)	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
4.32	Tesla, Inc. (TSLA)	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
4.33	Intuitive Surgical, Inc. (ISRG)	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
4.34	Northrop Grumman Corp. (NOC)	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
4.35	NVIDIA Corp. (NVDA)	N/A	\$15,001 - \$50,000	Dividends	None (or less than \$201)
4.36	Zoetis, Inc. (ZTS)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
4.37	ServiceNow, Inc. (NOW)	N/A	\$15,001 - \$50,000	Dividends	None (or less than \$201)
4.38	NXP Semiconductors N.V. Common Stock (NXPI)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
4.39	Visa, Inc. (V)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
4.40	Roper Technologies, Inc. (ROP)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
5	IND 0827				
5.1	Air Products and Chemicals, Inc. (APD)	N/A	\$15,001 - \$50,000	Dividends	\$2,501 - \$5,000
5.2	Paychex, Inc. (PAYX)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
5.3	Microsoft Corp. (MSFT)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
5.4	Chevron Corp. (CVX)	N/A	\$1,001 - \$15,000	Dividends	\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
5.5	Lowe's Companies Inc (LOW)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
5.6	Johnson & Johnson (JNJ)	N/A	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
5.7	Altria Group, Inc. (MO)	N/A	\$1,001 - \$15,000	Dividends	\$1,001 - \$2,500
5.8	Berkshire Hathaway Inc. (BRKB)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
5.9	Apple Inc	No	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
5.10	Norfolk Southern Corp. (NSC)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
5.11	Comcast Corp. (CMCSA)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
5.12	Crown Castle International Corp. (CCI)	N/A	\$1,001 - \$15,000	Dividends	\$1,001 - \$2,500
5.13	Progressive Corp. (PGR)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
5.14	Nestle SA ADR B	No	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
5.15	Merck & Co., Inc. (MRK)	N/A	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
5.16	Diageo plc Common Stock (DEO)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
5.17	Dominion Energy, Inc. (D)	N/A	\$1,001 - \$15,000	Dividends	\$1,001 - \$2,500
5.18	Texas Instruments Incorporated (TXN)	N/A	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
5.19	Starbucks Corp. (SBUX)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
5.20	Verizon Communications, Inc. (VZ)	N/A	\$1,001 - \$15,000	Dividends	\$1,001 - \$2,500
5.21	Cisco Systems, Inc. (CSCO)	N/A	\$1,001 - \$15,000	Dividends	\$1,001 - \$2,500
5.22	United Parcel Service, Inc. (UPS)	N/A	\$1,001 - \$15,000	Dividends	\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
5.23	Northrop Grumman Corp. (NOC)	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
5.24	The Charles Schwab Corp. (SCHW)	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
5.25	Philip Morris International, Inc. (PM)	N/A	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
5.26	Nintendo Co Ltd ADR	No	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
5.27	Fidelity National Information Services, Inc. (FIS)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
5.28	Fastenal Co. (FAST)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
5.29	BlackRock, Inc. (BLK)	N/A	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
5.30	Cincinnati Financial Corp. (CINF)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
6	IND 0829				
6.1	Allegion Plc (ALLE)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
6.2	ANSYS, Inc. (ANSS)	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
6.3	Aspen Technology, Inc. (AZPN)	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
6.4	Bentley Systems Incorporated Class B Common Stock (BSY)	N/A	\$15,001 - \$50,000	Dividends	None (or less than \$201)
6.5	Bright Horizons Family Solutions Inc. (BFAM)	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
6.6	Charles River Laboratories International, Inc. (CRL)	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
6.7	Chemed Corp. (CHE)	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
6.8	Choice Hotels International, Inc. (CHH)	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
6.9	Cooper Companies Inc	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
6.10	Dolby Laboratories, Inc. (DLB)	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
6.11	Equifax, Inc. (EFX)	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
6.12	Exponent, Inc. (EXPO)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
6.13	Fair Isaac Corp. (FICO)	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
6.14	Jack Henry & Associates, Inc. (JKHY)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
6.15	Interactive Brokers Group, Inc. (IBKR)	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
6.16	LCI Industries (LCII)	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
6.17	Lennox International, Inc. (LII)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
6.18	LPL Financial Holdings, Inc. (LPLA)	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
6.19	Nordson Corp. (NDSN)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
6.20	Pool Corp. (POOL)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
6.21	Rollins, Inc. (ROL)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
6.22	Saia, Inc. (SAIA)	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
6.23	SiteOne Landscape Supply, Inc. (SITE)	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
6.24	Teledyne Technologies, Inc. (TDY)	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
6.25	Teradyne, Inc. (TER)	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
6.26	Scotts Miracle-Gro Co. (SMG)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
6.27	Thor Industries, Inc. (THO)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
6.28	Watsco, Inc. (WSO)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
6.29	WR Berkley Corp	No	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
6.30	Zebra Technologies Corp	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
6.31	Zurn Elkay Water Solutions Corp	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7	Capital One Savings Account	N/A	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500
8	UFB Direct Savings Account	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
9	PNC Savings Account	N/A	\$1,001 - \$15,000	Interest	None (or less than \$201)
10	PNC Checking Account	N/A	\$1,001 - \$15,000		None (or less than \$201)
11	Maryland529 Account Emilia		\$50,001 - \$100,000		None (or less than \$201)
12	Maryland529 Account Jasmine		\$50,001 - \$100,000		None (or less than \$201)
13	12 Keough Walk, Fair Harbor		\$500,001 - \$1,000,000	Rent or Royalties	\$5,001 - \$15,000
14	NY 529 Account Jasmine		\$100,001 - \$250,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
15	NY 529 Account Emilia		\$100,001 - \$250,000		
16	TS 68516				
16.1	CITY OF NY A-1 BE/R/ 5.000		None (or less than \$1,001)	Interest	\$201 - \$1,000
16.2	PENNSYLVANIA ST HIGHER E SR A RV BE/R/ 2.250		None (or less than \$1,001)	Interest	\$201 - \$1,000
16.3	PORT AUTH NY & NJ RV OID96.977 BE/R/ 5.000		None (or less than \$1,001)	Interest	\$201 - \$1,000
16.4	SYRACUSE NY PUB IMPT ASSUR 2012 OID98.77BE/R/ 3.250		None (or less than \$1,001)	Interest	\$201 - \$1,000
17	TS 68517				
17.1	BERKSHIRE HATHAWAY INC NEW CL B / CUSIP		None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
17.2	The Coca-Cola Co. (KO)	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
17.3	Microsoft Corp. (MSFT)	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
17.4	Norfolk Southern Corp. (NSC)	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
17.5	Paychex Inc		None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
17.6	Verizon Communications Inc.		None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
18	TS 68518				
18.1	ABB Ltd Spon ADR		None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
18.2	Compagnie de Saint-Gobain ADR		None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
18.3	Fuji Film Holdings Corp ADR		None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
18.4	Naturgy Energy Group S A ADR		None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
18.5	Taiwan Semiconductor Mfg Co Ltd ADR		None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
18.6	Zurich Ins Group Ltd Spon ADR		None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
19	TS 68523				
19.1	DocuSign Inc		None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
19.2	FLIR Systems Inc		None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
19.3	MSCI Inc		None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
19.4	SITEONE Landscape Supply Inc		None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
19.5	Teradyne Inc Common Stock		None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
20	TS 68524				
20.1	Air Prod & Chemical Inc Common Stock		None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
20.2	CDN Natl Railway Co Foreign Stock CAD		None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
20.3	Crown Castle Intl Corp SBI		None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
20.4	Idexx Labs Common Stock		None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
20.5	Paycom Software Inc		None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
20.6	Progressive Corp Ohio Common Stock		None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
20.7	S&P Global Inc		None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
20.8	Thermo Fisher Scientific Inc		None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
20.9	Vertex Pharmaceutical Inc Common Stock		None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
21	TS 75797				
21.1	OREGON ST BRD HGR EDU SR G BE/R/ 5.000		None (or less than \$1,001)	Interest	\$201 - \$1,000
21.2	QUEEN ANNES CNTY MD OID99.198 BE /R/ 4.250		None (or less than \$1,001)	Capital Gains Interest	\$201 - \$1,000
22	TS A8397				
22.1	NEW YORK ST TWY AUTH GEN SR I RV BE/R/ 5.000		None (or less than \$1,001)	Interest	\$201 - \$1,000
23	TS A8398				
23.1	ISHARES RUSSELL 1000 VALUE ETF		None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
23.2	Apple Inc		None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
23.3	BERKSHIRE HATHAWAY INC NEW CL B / CUSIP		None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
23.4	Blackrock Inc		None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
23.5	Chevron Corp		None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
23.6	Cisco Sys Inc		None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
23.7	Intel Corp Common Stock		None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
23.8	Lowes Companies Inc		None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
23.9	Norfolk Southern Corp		None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
23.10	Target Corp		None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
23.11	Texas Instruments		None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
23.12	United Parcel Service Inc CL B		None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
24	TS A8399				
24.1	Ansys Inc		None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
24.2	Dollar Gen Corp New		None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
24.3	Meta Platforms Inc CL A		None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
24.4	Paypal Holdings Inc		None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
24.5	Thermo Fisher Scientific Inc		None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
25	TS A8400				
25.1	CDW Corp		None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
25.2	Copart Inc Common Stock		None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
25.3	MSCI Inc		None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
25.4	Teradyne Inc Common Stock		None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
26	TS A8401				
26.1	DENSO Corp ADR		None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
26.2	Nippon Telegraphy & Tel Corp Spon ADR		None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
26.3	Shell PLC Spon ADR		None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
26.4	Taiwan Semiconductor Mfg Co Ltd ADR		None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
26.5	Untd Overseas BK LTD Spons ADR Singapore ADR		None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
27	IND 3417				
27.1	Taiwan Semiconductor Mfg SPON A		None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
28	IND 3418				
28.1	NEW YORK NT GO BDS SER. A-1 4.0%		None (or less than \$1,001)	Interest	\$201 - \$1,000
29	IND 0825				

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
29.1	Costco Wholesale Corp Com		None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
29.2	Intuit Inc		None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
29.3	Pioneer Natural Resources CO COM		None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
29.4	S&P Global Inc		None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
29.5	Stryker Corporation COM		None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
30	TRUST J				
30.1	AMERICAN BEACON THE LONDON CO INC EQ Y		\$1,001 - \$15,000	Dividends	\$201 - \$1,000
30.2	AMERICAN FUNDS INTL VANTAGE F3		\$1,001 - \$15,000	Dividends	\$201 - \$1,000
30.3	CONGRESS LARGE CAP GROWTH FD INSTL		\$15,001 - \$50,000	Dividends	\$201 - \$1,000
30.4	FIDELITY GOVT MMKT CAPITAL RESERVES CL		\$1,001 - \$15,000	Dividends	\$201 - \$1,000
30.5	AMG GW&K MUNICIPAL BOND FUND I		\$1,001 - \$15,000	Dividends	\$201 - \$1,000
30.6	MFS GLOBAL REAL ESTATE FD CL I		\$1,001 - \$15,000	Dividends	\$201 - \$1,000
30.7	FEDERATED HERMES STR VAL DIV CL IS		\$1,001 - \$15,000	Dividends	\$201 - \$1,000
30.8	VIRTUS KAR SMALL MID CAP CORE FD CL I		\$1,001 - \$15,000	Dividends	\$201 - \$1,000
31	TRUST E				
31.1	American Beacon The London Company Income Equity Fund Class Y Shares (ABCYX)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
31.2	AMERICAN FUNDS INTL VANTAGE F3		\$1,001 - \$15,000	Dividends	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
31.3	Congress Large Cap Growth Fund Institutional Class Shares (CMLIX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
31.4	Fidelity Government Money Market Fund Capital Reserves Class (FZAXX)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
31.5	AMG GW&K MUNICIPAL BOND FUND I		\$1,001 - \$15,000	Dividends	\$201 - \$1,000
31.6	MFS GLOBAL REAL ESTATE FD CL I		\$1,001 - \$15,000	Dividends	\$201 - \$1,000
31.7	FEDERATED HERMES STR VAL DIV CL IS		\$1,001 - \$15,000	Dividends	\$201 - \$1,000
31.8	VIRTUS KAR SMALL MID CAP CORE FD CL I		\$1,001 - \$15,000	Dividends	\$201 - \$1,000

7. Transactions

(N/A) - Not required for this type of report

8. Liabilities

#	CREDITOR NAME	TYPE	AMOUNT	YEAR INCURRED	RATE	TERM
1	UBS	Mortgage (investment/rental property)	\$500,001 - \$1,000,000	2018	2.625	30 years
2	Truist	Mortgage on Personal Residence	\$100,001 - \$250,000	2012	2.875	15 years
3	Lexus Financial	Exercised Line of Credit	\$10,001 - \$15,000	2019	4.59	5 years

9. Gifts and Travel Reimbursements

(N/A) - Not required for this type of report

Endnotes

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during the reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$480 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$480 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$192 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. § 13101 et seq., as amended by the Representative Louise McIntosh Slaughter Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13989 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

Public Burden Information

This collection of information is estimated to take an average of ten hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE) Suite 500, 1201 New York Avenue, N.W., Washington, DC 20005-3917.

Pursuant to the Paperwork Reduction Act, as amended, an agency may not conduct or sponsor, and no person is required to respond to, a collection of information unless it displays a currently valid OMB control number (that number, 3209-0001, is displayed here and at the top of the first page of this OGE Form 278e).
