

Executive Branch Personnel Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

Missal, Michael

Inspector General, Department of Veterans Affairs

Report Year: 2017

Other Federal Government Positions Held During the Preceding 12 Months:

None

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Missal, Michael [electronically signed on 05/08/2017 by Missal, Michael in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Jaynes, Mark, Certifying Official [electronically signed on 05/23/2017 by Jaynes, Mark in Integrity.gov]

Other review conducted by

/s/ Britt, Christopher, Ethics Official [electronically signed on 05/23/2017 by Britt, Christopher in Integrity.gov]

U.S. Office of Government Ethics Certification

/s/ Skalla, Daniel L, Certifying Official [electronically signed on 07/19/2017 by Skalla, Daniel L in Integrity.gov]

1. Filer's Positions Held Outside United States Government

#	ORGANIZATION NAME	CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
1	K&L Gates	Washington, District of Columbia	Law Firm	Partner	5/1987	4/2016
2	Washington and Lee University	Lexington, Virginia	University/College	Trustee	5/2011	4/2016
3	Vanguard Canada	Toronto, Outside U.S.	Corporation	Independent Review Committee	2/2012	4/2016

2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	K&L Gates (law firm)	N/A		Partnership Share	\$700,000
2	K&L Gates capital account	N/A	\$1,000,001 - \$5,000,000		None (or less than \$201)

3. Filer's Employment Agreements and Arrangements

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
1	K&L Gates	Washington, District of Columbia	The capital account was returned to me before I was sworn in.	1/1991
2	K&L Gates	Pittsburgh, Pennsylvania	I will not participate in this defined contribution plan after my separation.	1/1991

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

(N/A) - Not required for this type of report

5. Spouse's Employment Assets & Income and Retirement Accounts

None

6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	ConocoPhillips	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
2	Energy Transfer Equity	N/A	\$15,001 - \$50,000		None (or less than \$201)
3	Enterprise Products Partners LTD	N/A	\$15,001 - \$50,000		None (or less than \$201)
4	Genesis Energy LTD	N/A	\$15,001 - \$50,000		None (or less than \$201)
5	Mplx Ip	N/A	\$1,001 - \$15,000		None (or less than \$201)
6	Phillips	N/A	\$1,001 - \$15,000		None (or less than \$201)
7	Compass Minerals Intl	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
8	MFC Industrials	N/A	\$1,001 - \$15,000		None (or less than \$201)
9	Royal Gold, Inc. - Common Stock	N/A	\$1,001 - \$15,000		None (or less than \$201)
10	Wd-40	N/A	\$50,001 - \$100,000	Dividends	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
11	MSG Network	N/A	\$1,001 - \$15,000		None (or less than \$201)
12	Madison Square Garden Company	N/A	\$1,001 - \$15,000		None (or less than \$201)
13	Corning Inc	N/A	\$1,001 - \$15,000		None (or less than \$201)
14	Chatham Lodging TR	N/A	\$15,001 - \$50,000	Dividends	\$2,501 - \$5,000
15	Silver Bay Realty Trust	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
16	Vanguard Emerging Markets Stock Index Admiral Shares	Yes	\$15,001 - \$50,000		
17	Vanguard High Dividend Yield Index	Yes	\$15,001 - \$50,000		
18	First Eagle Global Fund	Yes	\$250,001 - \$500,000		\$201 - \$1,000
19	Baltimore MD Muni Bonds	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
20	Beverly Hills Muni Bonds	N/A	\$15,001 - \$50,000		
21	Calvert County Maryland Muni Bonds	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
22	Carroll County Maryland Muni Bonds	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
23	Cecil County MD Muni Bonds	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
24	Harford County MD Muni Bonds	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
25	Local Government Infrastructure Muni Bonds	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
26	Maryland State Muni Bonds	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
27	Montgomery County MD Muni Bonds	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
28	New York City Muni Bonds	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
29	Washington County MD Muni Bonds	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
30	Washington Suburban Muni Bonds	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
31	Aberdeen Emerging Markets	Yes	\$50,001 - \$100,000		
32	Amana Growth Fund	Yes	\$250,001 - \$500,000		
33	Euro Pacific Growth 5 Fund	Yes	\$1,001 - \$15,000		
34	Fidelity Blue Chip Growth	Yes	\$250,001 - \$500,000		
35	Fidelity Contra Fund	Yes	\$100,001 - \$250,000		\$201 - \$1,000
36	Fidelity Growth Strategies	Yes	\$1,001 - \$15,000		
37	Fidelity Select Financial Services	Yes	\$1,001 - \$15,000		
38	Fidelity Select Gold	Yes	\$1,001 - \$15,000		
39	Fidelity US Bond Index	Yes	\$50,001 - \$100,000		
40	FMI Large Cap	Yes	\$100,001 - \$250,000		
41	Harbor International	Yes	\$100,001 - \$250,000		\$1,001 - \$2,500
42	Invesco American Franchise	Yes	\$1,001 - \$15,000		
43	MFS Emerging Market Debt	Yes	\$1,001 - \$15,000		
44	Moderate Model Fund	Yes	\$50,001 - \$100,000		
45	Pimco Total Return Fund	Yes	\$15,001 - \$50,000		

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
46	Vanguard FTSE All World Index	Yes	\$15,001 - \$50,000		\$201 - \$1,000
47	Vanguard Institutional Index	Yes	\$250,001 - \$500,000		
48	Vanguard Midcap IDX Institutional	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
49	VOYA Mid-Cap Opportunities	Yes	\$100,001 - \$250,000		
50	Blended Income Fund	Yes	\$250,001 - \$500,000		
51	Fidelity Cash Reserves	Yes	\$1,001 - \$15,000		
52	Vanguard Mid-Cap IDX ETF	Yes	\$100,001 - \$250,000		
53	Vanguard Russell 3000 ETF	Yes	\$100,001 - \$250,000		\$1,001 - \$2,500
54	Vanguard Total Stock ETF	Yes	\$100,001 - \$250,000		\$1,001 - \$2,500
55	Vanguard Total World Stock IDX	Yes	\$100,001 - \$250,000		\$1,001 - \$2,500
56	Vanguard Capital Opportunity	Yes	\$250,001 - \$500,000		\$15,001 - \$50,000
57	Vanguard Dividend Growth	Yes	\$250,001 - \$500,000		\$5,001 - \$15,000
58	Vanguard Explorer Admiral	Yes	\$100,001 - \$250,000		\$5,001 - \$15,000
59	Vanguard Extended Market Index Admiral Shares	Yes	\$100,001 - \$250,000		\$2,501 - \$5,000
60	Vanguard FI Short Term Cp	Yes	\$100,001 - \$250,000		
61	Vanguard Growth Index Admiral Shares	Yes	\$15,001 - \$50,000		

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
62	Vanguard PRIMECAP	Yes	\$500,001 - \$1,000,000		\$15,001 - \$50,000
63	Vanguard Small Cap Value	Yes	\$250,001 - \$500,000		\$2,501 - \$5,000
64	Vanguard Total Bond Market Index	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
65	Vanguard Wellington	Yes	\$500,001 - \$1,000,000		\$15,001 - \$50,000
66	Vanguard Windsor II	Yes	\$250,001 - \$500,000		\$15,001 - \$50,000
67	Cimarex Energy	N/A	\$1,001 - \$15,000		None (or less than \$201)
68	Halliburton Co Hldg Co	N/A	\$1,001 - \$15,000		None (or less than \$201)
69	Altius Minerals	N/A	\$15,001 - \$50,000		None (or less than \$201)
70	Applied Minerals	N/A	\$1,001 - \$15,000		None (or less than \$201)
71	PPG Industries Inc	N/A	\$1,001 - \$15,000		None (or less than \$201)
72	Bed Bath & Beyond Inc. - Common Stock	N/A	\$1,001 - \$15,000		None (or less than \$201)
73	IPG Photonics Corporation - Common Stock	N/A	\$1,001 - \$15,000		None (or less than \$201)
74	Corning Inc	N/A	\$1,001 - \$15,000		None (or less than \$201)
75	Felcor Lodging Trust	N/A	\$1,001 - \$15,000		None (or less than \$201)
76	Ryman Hospitality	N/A	\$1,001 - \$15,000		None (or less than \$201)
77	Vanguard REIT ETF	Yes	\$15,001 - \$50,000		

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
78	Vanguard Emerging Market	Yes	\$1,001 - \$15,000		
79	Wisdomtree Europe ETF	Yes	\$1,001 - \$15,000		
80	Scwab Advisor Cash Reserves	Yes	\$500,001 - \$1,000,000		
81	AG Energy Credit Opportunity Fund	Yes	\$50,001 - \$100,000		
82	Alpha Keys Total Alternative Solutions 2016 LLC	Yes	\$15,001 - \$50,000		
83	Alpha Keys Strategic Partners VII	Yes	\$15,001 - \$50,000		
84	Alpha Keys Real Estate Op V	Yes	\$100,001 - \$250,000		\$201 - \$1,000
85	ARES European RE IV LP	Yes	\$100,001 - \$250,000		
86	Alpha Keys Double Black Diamond	Yes	\$250,001 - \$500,000		\$15,001 - \$50,000
87	UBS Cash Fund	Yes	\$100,001 - \$250,000		
88	AIG Annuities Variable Polaris Choice IV	Yes	\$500,001 - \$1,000,000		
89	Vanguard Total Stock Market ETF	Yes	\$100,001 - \$250,000		
90	UBS Cash Fund	Yes	\$1,001 - \$15,000		\$201 - \$1,000
91	Oppenheimer Developing Markets	Yes	\$50,001 - \$100,000		
92	Vanguard Growth Index Admiral Shares	Yes	\$15,001 - \$50,000		
93	Florida State Turnpike Muni Bonds	N/A	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500
94	North Dakota Public Finance Muni Bonds	N/A	\$15,001 - \$50,000		\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
95	Georgia State Muni Bonds	N/A	\$15,001 - \$50,000		\$1,001 - \$2,500
96	Lakewood Ohio City School Bonds	N/A	\$15,001 - \$50,000		\$1,001 - \$2,500
97	Wisconsin State Health and Education Bonds	N/A	\$15,001 - \$50,000		\$1,001 - \$2,500
98	King County WA bonds	N/A	\$15,001 - \$50,000		\$1,001 - \$2,500
99	Northwest, TX Independent School Bonds	N/A	\$15,001 - \$50,000		\$201 - \$1,000
100	North Carolina State Muni Bonds	N/A	\$100,001 - \$250,000		
101	Pinal County AZ Community College Bonds	N/A	\$100,001 - \$250,000		
102	New York State Environmental Bonds	N/A	\$15,001 - \$50,000		\$1,001 - \$2,500
103	Tennessee State Bonds	N/A	\$50,001 - \$100,000		
104	NY State Environmental FACS	N/A	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500
105	Eagle Mtn and Saginaw TX bonds	N/A	\$100,001 - \$250,000	Interest	\$1,001 - \$2,500
106	Houston TX Utility Bonds	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
107	Richardson TX bonds	N/A	\$50,001 - \$100,000		
108	Ohio State SPL Obligation bonds	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
109	Columbus GA Water and Sewer bonds	N/A	\$15,001 - \$50,000	Interest	\$2,501 - \$5,000
110	Wisconsin State FOR Issues	N/A	\$15,001 - \$50,000		

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
111	Carrollton TX bonds	N/A	\$100,001 - \$250,000	Interest	\$1,001 - \$2,500
112	Pierce Co WA bonds	N/A	\$15,001 - \$50,000		\$1,001 - \$2,500
113	Sandy Springs GA Public FAC bonds	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
114	Bexar County TX FOR bonds	N/A	\$15,001 - \$50,000		\$1,001 - \$2,500
115	Cincinanti OH bonds	N/A	\$50,001 - \$100,000	Interest	\$2,501 - \$5,000
116	Baltimore County MD bonds	N/A	\$100,001 - \$250,000	Interest	\$1,001 - \$2,500
117	Tarrant Regional Water District bonds	N/A	\$15,001 - \$50,000		
118	Phoenix, AZ Civic bonds	N/A	\$50,001 - \$100,000		
119	UBS Cash Fund	Yes	\$1,000,001 - \$5,000,000		
120	Vereit Inc	N/A	\$250,001 - \$500,000		\$15,001 - \$50,000
121	Vanguard Prime Money Market Fund	Yes	\$1,001 - \$15,000		
122	Invesco American Franchise A	Yes	\$1,001 - \$15,000		\$201 - \$1,000
123	Woodbine Holdings	N/A		Dividends Rent or Royalties	\$5,001 - \$15,000
124	AT&T	N/A		Dividends	\$201 - \$1,000
125	BP PLC	N/A		Dividends	\$201 - \$1,000
126	Cisco Systems	N/A		Dividends	\$201 - \$1,000
127	iShares International	Yes			\$5,001 - \$15,000
128	Johnson & Johnson	N/A		Dividends	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
129	Kennedy Wilson Holdings	N/A		Dividends	\$201 - \$1,000
130	Metlife Inc	N/A		Dividends	\$201 - \$1,000
131	Novartis AG	N/A		Dividends	\$201 - \$1,000
132	Pennant Park Invt Co	N/A		Dividends	\$201 - \$1,000
133	Pimco Unconstrained Bond	N/A		Dividends	\$201 - \$1,000
134	Proctor & Gamble	N/A		Dividends	\$201 - \$1,000
135	Stryker Corp	N/A		Dividends	\$201 - \$1,000
136	Toyota Motor	N/A		Dividends	\$201 - \$1,000
137	Vanguard High Dividend	Yes			\$201 - \$1,000
138	Verizon Communications Inc	N/A		Dividends	\$201 - \$1,000
139	SCH Muni Money Funds	Yes			\$201 - \$1,000
140	MD Health and Higher Ed bonds	N/A		Interest	\$201 - \$1,000
141	Beverly Hills, CA SD bonds	N/A		Interest	\$201 - \$1,000
142	Vanguard Health Care Admiral	Yes			\$5,001 - \$15,000
143	Vanguard Tax Exempt Money Market			Interest	\$201 - \$1,000
144	Dallas TX Independent School Schedule BE	N/A			\$1,001 - \$2,500
145	Harris County TX SR A	N/A		Interest	\$201 - \$1,000
146	New York, NY City	N/A		Interest	\$1,001 - \$2,500
147	Virginia Beach, VA BE/R	N/A		Interest	\$1,001 - \$2,500
148	Onslow County, NC LTD Obligation RV	N/A		Interest	\$201 - \$1,000
149	Virginia Commonwealth Trans RV	N/A		Interest	\$2,501 - \$5,000
150	State of Washington GO	N/A		Interest	\$1,001 - \$2,500
151	FBA Crescent Fund	Yes			\$1,001 - \$2,500
152	Wells Fargo Absolute Return Admiral CL	Yes			\$2,501 - \$5,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
153	Beltway Associates	N/A			\$5,001 - \$15,000

7. Transactions

#	DESCRIPTION	TYPE	DATE	AMOUNT
1	Vanguard Health	Sale	07/11/16	\$250,001 - \$500,000
2	Apple Inc	Sale	07/11/16	\$1,001 - \$15,000
3	Verizon Communications Inc	Sale	07/08/16	\$15,001 - \$50,000
4	Mueller Water Products	Sale	07/08/16	\$15,001 - \$50,000
5	Bank of America Corp	Sale	07/11/16	\$1,001 - \$15,000
6	Maryland State Health Bonds	Sale	07/01/16	\$1,001 - \$15,000
7	Berkshire Hathaway B	Sale	07/11/16	\$50,001 - \$100,000
8	Citigroup Inc	Sale	07/11/16	\$1,001 - \$15,000
9	Cisco Systems, Inc. - Common Stock	Sale	07/11/16	\$1,001 - \$15,000
10	Chubb Corp	Sale	07/11/16	\$1,001 - \$15,000
11	eBay Inc. - Common Stock	Sale	07/11/16	\$1,001 - \$15,000
12	Express Scripts Holding Co.	Sale	07/11/16	\$15,001 - \$50,000
13	Facebook, Inc. - Class A Common Stock	Sale	07/11/16	\$15,001 - \$50,000
14	Google A	Sale	07/11/16	\$1,001 - \$15,000
15	Goldman Sachs Group Inc	Sale	07/11/16	\$1,001 - \$15,000
16	Google Inc. - Class C Capital Stock	Sale		\$15,001 - \$50,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
17	Johnson & Johnson	Sale	07/11/16	\$15,001 - \$50,000
18	Kennedy Wilson Holdings	Sale	07/11/16	\$50,001 - \$100,000
19	iShares Nasdaq Biofund	Sale	07/11/16	\$1,001 - \$15,000
20	Novartis AG	Sale	07/11/16	\$15,001 - \$50,000
21	Metlife Inc	Sale	07/11/16	\$1,001 - \$15,000
22	Leucadia National Corp (NY)	Sale	07/11/16	\$1,001 - \$15,000
23	Spyder S&P Biotech	Sale	07/11/16	\$1,001 - \$15,000
24	Proctor & Gamble	Sale	07/11/16	\$15,001 - \$50,000
25	Stryker Corp	Sale	07/11/16	\$15,001 - \$50,000
26	Tetra Tech, Inc. - Common Stock	Sale	07/11/16	\$1,001 - \$15,000
27	Toyota Motor	Sale	07/11/16	\$1,001 - \$15,000
28	Carrollton Texas Municipal Bonds	Purchase	05/06/16	\$100,001 - \$250,000
29	Eagle Mountain and Saginaw Texas Municipal Bonds	Purchase	05/06/16	\$100,001 - \$250,000
30	Onslow County Municipal Bonds	Sale	05/19/16	\$15,001 - \$50,000
31	Pinal County Arizona Community College Bonds	Purchase	05/06/16	\$50,001 - \$100,000
32	Virginia Commonwealth Municipal Bonds	Purchase	05/10/16	\$50,001 - \$100,000
33	Baltimore County Municipal Bonds	Purchase	05/05/16	\$100,001 - \$250,000
34	Tennessee State Municipal Bonds	Purchase	07/27/16	\$15,001 - \$50,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
35	New York State Municipal Bonds	Purchase	06/15/16	\$50,001 - \$100,000
36	Community Bankers Trust Corporation. - Common Stock	Sale	07/15/16	\$15,001 - \$50,000
37	BP Amoco	Sale	07/13/16	\$1,001 - \$15,000
38	PennantPark Investment Corporation - common stock	Sale	07/13/16	\$1,001 - \$15,000
39	Paypal	Sale	07/13/16	\$1,001 - \$15,000
40	AT&T Inc	Sale	07/13/16	\$15,001 - \$50,000
41	American Airlines Group, Inc. - Common Stock	Sale	07/13/16	\$1,001 - \$15,000
42	Select Sector Health	Sale	07/15/16	\$1,001 - \$15,000
43	Becton Dickinson & Co	Sale	07/08/16	\$1,001 - \$15,000
44	Kingsway Financial	Sale	07/15/16	\$15,001 - \$50,000
45	Noble Corp	Sale	07/08/11	\$1,001 - \$15,000
46	KKR	Sale	07/08/16	\$1,001 - \$15,000
47	The Bank of New York Mellon Corp	Sale	07/11/16	\$15,001 - \$50,000
48	Baxter Intl Inc	Sale	07/11/16	\$1,001 - \$15,000
49	American Airlines Group, Inc. - Common Stock	Sale		\$1,001 - \$15,000
50	Bristol-Myers Squibb	Sale	07/11/16	\$1,001 - \$15,000
51	Bp Amoco	Sale	07/11/16	\$1,001 - \$15,000
52	Microsoft Corporation - Common Stock	Sale	07/11/16	\$1,001 - \$15,000
53	Comcast Corporation - Class A Common Stock	Sale	07/11/16	\$1,001 - \$15,000
54	Southwest Airlines	Sale	07/11/16	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
55	Morgan Stanley	Sale	07/11/16	\$1,001 - \$15,000
56	Saratoga Investment	Sale	07/11/16	\$15,001 - \$50,000
57	Starwood Hotel & Resort World	Sale	07/11/16	\$1,001 - \$15,000
58	Two Harbors Investment	Sale	07/11/16	\$1,001 - \$15,000
59	Unilever	Sale	07/11/16	\$1,001 - \$15,000
60	United Rentals	Sale	07/11/16	\$15,001 - \$50,000
61	Ventas Inc	Sale	07/11/16	\$15,001 - \$50,000
62	Bluestem Group	Sale	07/13/16	\$1,001 - \$15,000
63	1347 Property Insurance Holdings, Inc. - Common Stock	Sale	07/13/16	\$15,001 - \$50,000
64	Paypal	Sale	07/13/16	\$1,001 - \$15,000
65	Dow Chemical	Sale	07/15/16	\$1,001 - \$15,000
66	QUALCOMM Incorporated - Common Stock	Sale	07/13/16	\$1,001 - \$15,000
67	Kennedy Wilson Holdings	Sale	07/15/16	\$15,001 - \$50,000
68	Kingsway Financial	Sale	07/15/16	\$15,001 - \$50,000
69	Shire plc - American Depositary Shares, each representing three Ordinary Shares	Sale	07/15/16	\$1,001 - \$15,000
70	New Residential Investment	Sale	07/15/16	\$1,001 - \$15,000
71	PennantPark Investment Corporation - common stock	Sale	07/15/16	\$1,001 - \$15,000
72	SunOpta, Inc. - Common Stock	Sale	07/20/16	\$1,001 - \$15,000
73	Discovery Holdings	Sale	08/31/2016	\$1,001 - \$15,000
74	Morgan Stanley MS	Sale	08/31/2016	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
75	Tennessee Municipal Bond	Purchase	07/27/2016	\$50,001 - \$100,000
76	Tarrant County Texas water revenue bond	Purchase	10/28/2016	\$15,001 - \$50,000
77	General Motors Company	Sale	10/4/2016	\$1,001 - \$15,000
78	New York City Transit bond	Sale	11/02/04	\$15,001 - \$50,000
79	Phoenix Arizona Revenue Bond	Purchase	11/16/16	\$50,001 - \$100,000
80	State of Washington General Obligation Bond	Sale	11/08/16	\$1,001 - \$15,000
81	Tarrant County Water Revenue Bond	Purchase	11/02/2016	\$15,001 - \$50,000
82	Virginia Beach Municipal Bond	Sale	11/25/16	\$15,001 - \$50,000

8. Liabilities

None

9. Gifts and Travel Reimbursements

None

Endnotes

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities that (1) ended the reporting period with a value greater than \$1,000 or (2) produced more than \$200 in income during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities that (1) ended the reporting period with a value greater than \$1,000 or (2) produced more than \$200 in income during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, that (1) ended the reporting period with a value greater than \$1,000 or (2) produced more than \$200 in investment income during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 of income was produced). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$375 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$375 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$150 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to another Federal agency, court or party in a court or Federal administrative proceeding when the Government is a party or in order to comply with a judge-issued subpoena; (4) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (5) to the National Archives and Records Administration or the General Services Administration in records management inspections; (6) to the Office of Management and Budget during legislative coordination on private relief legislation; (7) to the Department of Justice or in certain legal proceedings when the disclosing agency, an employee of the disclosing agency, or the United States is a party to litigation or has an interest in the litigation and the use of such records is deemed relevant and necessary to the litigation; (8) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another; (9) to a Member of Congress or a congressional office in response to an inquiry made on behalf of an individual who is the subject of the record; (10) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to an OGE Government-wide system of records; and (11) on the OGE Website and to any person, department or agency, any written ethics agreement filed with OGE by an individual nominated by the President to a position requiring Senate confirmation. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

Public Burden Information

This collection of information is estimated to take an average of three hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE), Suite 500, 1201 New York Avenue, NW., Washington, DC 20005-3917.

Pursuant to the Paperwork Reduction Act, as amended, an agency may not conduct or sponsor, and no person is required to respond to, a collection of information unless it displays a currently valid OMB control number (that number, 3209-0001, is displayed here and at the top of the first page of this OGE Form 278e).
