

Executive Branch Personnel Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

Workman, Thomas Eldon

Member, Financial Stability Oversight Council, Department of the Treasury

Report Year: 2019

Other Federal Government Positions Held During the Preceding 12 Months:

None

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Workman, Thomas Eldon [electronically signed on 05/07/2019 by Workman, Thomas Eldon in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Sonfield, Brian, Certifying Official [electronically signed on 06/24/2019 by Sonfield, Brian in Integrity.gov]

Other review conducted by

/s/ Dzara, David, Ethics Official [electronically signed on 06/21/2019 by Dzara, David in Integrity.gov]

U.S. Office of Government Ethics Certification

Data Revised 06/20/2019

1. Filer's Positions Held Outside United States Government

#	ORGANIZATION NAME	CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
1	Revocable Trust #1	Columbus, Ohio	Trust	Trustee	7/2004	Present
2	Insurance Federation of New York	New York, New York	Non-Profit	Director	6/2016	3/2018

2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Life Insurance Council of New York, Inc. 457B Plan:	No		Cash Distributions	\$60,888
1.1	Mutual of America Interest Accumulation Account	N/A	\$250,001 - \$500,000		None (or less than \$201)
2	SEP-IRA:	No		Cash Distributions	\$46,000
2.1	U.S. bank account (cash)	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
2.2	Vanguard Dividend Appreciation (VIG)	Yes	\$50,001 - \$100,000		None (or less than \$201)
3	Roth IRA:	No			
3.1	BlackRock Strategic Income Opps Institutional (BSIIX)	Yes	\$100,001 - \$250,000		None (or less than \$201)
3.2	DFA US Large Cap Value Institutional (DFLVX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
3.3	Dodge & Cox stock fund (DODGX)	Yes	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3.4	Harbor Capital Appreciation Institutional (HACAX)	Yes	\$100,001 - \$250,000		None (or less than \$201)
3.5	IVA International Institutional (IVIQX)	Yes	\$100,001 - \$250,000		None (or less than \$201)
3.6	Matthews Pacific Tiger Institutional (MIPTX)	Yes	\$100,001 - \$250,000		None (or less than \$201)
3.7	Meridian Growth Fund Legacy Class (MERDX)	Yes	\$50,001 - \$100,000		None (or less than \$201)
3.8	PIMCO Commodity Real Return Institutional (PCRIX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
3.9	PIMCO Corp Opportunity Fund II	Yes	\$100,001 - \$250,000		None (or less than \$201)
3.10	Weitz Partners III Opportunity (WPOPX)	Yes	\$100,001 - \$250,000		None (or less than \$201)
3.11	U.S. bank account #1 (cash)	N/A	\$50,001 - \$100,000	Interest	\$2,501 - \$5,000
3.12	DFA Emerging Markets Value Instl (DFEVX)	Yes	\$100,001 - \$250,000		None (or less than \$201)
3.13	Schwab Fundamental Intl Lg Co ETF (FNDF)	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.14	U.S. bank account #2 (cash)	N/A	\$1,001 - \$15,000		None (or less than \$201)
4	IRA:	No		Cash Distributions	\$105,400
4.1	American Beacon Ionic Strategic Arbitrage Y (IONYX)	Yes	\$50,001 - \$100,000		None (or less than \$201)
4.2	AQR Diversified Arbitrage Institutional (ADAIX)	Yes	\$100,001 - \$250,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
4.3	AQR Long-Short Equity I (QLEIX)	Yes	\$100,001 - \$250,000		None (or less than \$201)
4.4	BlackRock Strategic Income Opps Institutional (BSIIX)	Yes	\$250,001 - \$500,000		None (or less than \$201)
4.5	Hatteras Core Alternatives TEI Institutional Fund LP	Yes	\$100,001 - \$250,000		None (or less than \$201)
4.6	IVA International Institutional (IVIQX)	Yes	\$100,001 - \$250,000		None (or less than \$201)
4.7	PIMCO Commodity Real Return Institutional (PCRIX)	Yes	\$50,001 - \$100,000		None (or less than \$201)
4.8	PIMCO Income Institutional (PIMIX)	Yes	\$500,001 - \$1,000,000		None (or less than \$201)
4.9	Vanguard Market Neutral Inv (VMNFX)	Yes	\$100,001 - \$250,000		None (or less than \$201)
4.10	Vanguard Short-Term Bond Index Fund Adm (VBIRX)	Yes	\$100,001 - \$250,000		None (or less than \$201)
4.11	TIAA Traditional	N/A	\$100,001 - \$250,000		None (or less than \$201)
4.12	U.S. bank account (cash)	N/A	None (or less than \$1,001)		None (or less than \$201)
5	Ohio Farmers Insurance Company, Deferred Compensation	N/A		Cash Payment	\$354,502

3. Filer's Employment Agreements and Arrangements

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
1	Ohio Farmers Insurance Company	Westfield Center, Ohio	All remaining deferred compensation was paid out in January 2018.	12/1993

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
2	Life Insurance Council of New York, Inc.	New York, New York	The fixed balance of the Life Insurance Council of New York, Inc. 457(b) plan will be paid out in equal installments over the remaining three, of the original five, payout years with the final payout in 2021.	9/1999

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

(N/A) - Not required for this type of report

5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	IRA, No Remaining Assets	N/A		Cash Distribution	\$11,645
2	Spouse's artistic works (value of unsold artwork not readily ascertainable)	N/A		Art Sales	
2.1	"Indian Hoop Dance" (Sale of Oil Painting)	N/A			\$201 - \$1,000
2.2	"Marble" (Sale of Oil Painting)	N/A			\$201 - \$1,000
2.3	"The South Winds Blew" (Sale of Oil Painting)	N/A			\$201 - \$1,000

6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Revocable Trust #1:				

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.1	Goldman Sachs MLP Energy Infrastructure Institutional (GMLPX)	Yes	\$100,001 - \$250,000		\$5,001 - \$15,000
1.2	Longleaf Partners Small-cap (LLSCX)	Yes	\$100,001 - \$250,000		\$15,001 - \$50,000
1.3	U.S. bank account #1 (cash)	N/A	\$15,001 - \$50,000		None (or less than \$201)
1.4	Separately Managed Account (Brokerage Account):	No			
1.4.1	3M Company (MMM)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.4.2	Altria Group (MO)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
1.4.3	AstraZeneca Plc ADR F (AZN)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.4.4	AT&T (T)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
1.4.5	BCE Inc (BCE)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
1.4.6	Boeing Co (BA)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.4.7	Chevron Texaco Corp (CVX)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
1.4.8	Cisco Systems Inc (CSCO)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.4.9	ConocoPhillips (COP)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.4.10	Corning Inc (GLW)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.4.11	Diageo Plc New F 1 ADR Reps 4 Ord (DEO)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.4.12	DowDupont Inc. (DWDP)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.4.13	Exxon Mobil Corp (XOM)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.4.14	Genuine Parts Co (GPC)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.4.15	HCP Inc (HCP)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.4.16	Intel Corp (INTC)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.4.17	Johnson & Johnson (JNJ)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.4.18	Johnson Controls Inc (JCI)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.4.19	Kimberly-Clark (KMB)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.4.20	Lilly Eli & Co (LLY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.4.21	Merck & Co Inc (MRK)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.4.22	Microsoft Corp (MSFT)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.4.23	NextEra Energy Inc (NEE)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.4.24	Novartis A G Spons ADR F (NVS)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.4.25	Pfizer Inc (PFE)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
1.4.26	Philip Morris International (PM)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.4.27	Raytheon Co (RTN)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT	
1.4.28	Royal Dutch Shell B ADR F (RDSB)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	
1.4.29	U.S. bank account (cash)	N/A	\$15,001 - \$50,000		None (or less than \$201)	
1.4.30	Unilever N V New F (UN)	N/A	\$1,001 - \$15,000		None (or less than \$201)	
1.4.31	Welltower Inc (WELL)	N/A	\$1,001 - \$15,000		None (or less than \$201)	
1.4.32	Davis Select US Equity ETF (DUSA)	Yes	\$15,001 - \$50,000		\$201 - \$1,000	
1.4.33	Siemens AG Spons ADR (SIEGY)	N/A	\$1,001 - \$15,000		None (or less than \$201)	
1.5	Apple Computer, Inc. (AAPL)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	
1.6	U.S. bank account (cash)	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500	
1.7	Davis Select US Equity ETF (DUSA)	Yes	\$100,001 - \$250,000		\$5,001 - \$15,000	
1.8	EV Tax-Mgd Emerging Markets Fund Instl (EITEX)	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500	
1.9	Vanguard Interm-Term Tax-Exempt Fund Adm (VWIUX)	Yes	\$100,001 - \$250,000		\$201 - \$1,000	
2	Revocable Trust #2:					
2.1	U.S. bank account (cash)	N/A	\$250,001 - \$500,000	Interest	\$5,001 - \$15,000	
2.2	Morse & Hamilton Limited Partnership	See Endnote	N/A	Over \$1,000,000	Capital Gains Rent or Royalties	\$15,001 - \$50,000
2.3	Schwab Fundamental Intl Lg Co ETF (FNDF)	Yes	\$250,001 - \$500,000		None (or less than \$201)	

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
2.4	Vanguard Interm-Term Tax-Exempt Fund Adm (VWIUX)	Yes	\$250,001 - \$500,000		\$201 - \$1,000
2.5	Vanguard Market Neutral Inv (VMNFX)	Yes	\$100,001 - \$250,000		None (or less than \$201)
3	Irrevocable Trust #1:				
3.1	BlackRock Strategic Income Opportunity Institutional (BSIIX)	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
3.2	Cullen High Dividend Institutional (CHDVX)	Yes	\$1,001 - \$15,000		\$1,001 - \$2,500
3.3	DFA Emerging Markets Value Institutional (DFEVX)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
3.4	DFA US Large Cap Value Institutional (DFLVX)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
3.5	DFA US Small Cap Value Institutional (DFSVX)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
3.6	Elements Rogers International Commodity ETN (RJI)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.7	Goldman Sachs MLP Energy Infrastructure Institutional (GMLPX)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
3.8	Harbor Capital Appreciation Institutional (HACAX)	Yes	\$1,001 - \$15,000		\$1,001 - \$2,500
3.9	IVA International Institutional (IVIQX)	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
3.10	Matthews Pacific Tiger Inv (MAPTX)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
3.11	Meridian Growth Fund Legacy Class (MERDX)	Yes	\$1,001 - \$15,000		\$1,001 - \$2,500
3.12	PIMCO Income Institutional (PIMIX)	Yes	\$50,001 - \$100,000		\$2,501 - \$5,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3.13	U.S. bank account (Cash)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.14	Schwab US Large Cap ETF (SCHX)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
3.15	Vanguard Intermediate-Term Tax-Exempt (VWITX)	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
3.16	Weitz Partners III Opportunity (WPOPX)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
3.17	Davis Select US Equity ETF (DUSA)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
3.18	AQR Diversified Arbitrage Instl (ADAIX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
3.19	Schwab Fundamental Intl Lg Co ETF (FNDF)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
3.20	Vanguard Interm-Term Tax-Exempt Fund Adm (VWIUX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.21	Vanguard Market Neutral Inv (VMNFX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
4	Irrevocable Trust #2:				
4.1	AQR Diversified Arbitrage Institutional (ADAIX)	Yes	\$50,001 - \$100,000		\$2,501 - \$5,000
4.2	AQR Long_short Equity I (QLEIX)	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
4.3	BlackRock Strategic Income Opportunity Institutional (BSIIX)	Yes	\$100,001 - \$250,000		\$5,001 - \$15,000
4.4	Cullen High Dividend Institutional (CHDVX)	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
4.5	DFA US Large Cap Value Institutional (DFLVX)	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
4.6	DFA US Small Cap Value Institutional (DFSVX)	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
4.7	Elements Rogers International Commodity ETN (RJI)	N/A	\$15,001 - \$50,000		None (or less than \$201)
4.8	Goldman Sachs MLP Energy Infrastructure Institutional (GMLPX)	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
4.9	Harbor Capital Appreciation Institutional (HACAX)	Yes	\$15,001 - \$50,000		\$2,501 - \$5,000
4.10	IVA International Institutional (IVIQX)	Yes	\$100,001 - \$250,000		\$5,001 - \$15,000
4.11	Matthews Pacific Tiger Institutional (MIPTX)	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
4.12	Meridian Growth Fund Legacy Class (MERDX)	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
4.13	Parametric Emerging Markets Institutional (EIEMX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
4.14	PIMCO Income Institutional (PIMIX)	Yes	\$100,001 - \$250,000		\$5,001 - \$15,000
4.15	U.S. bank account #1 (cash)	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
4.16	Schwab Fundamental International Lg Co ETF (FNDF)	Yes	\$50,001 - \$100,000		\$2,501 - \$5,000
4.17	Schwab US Large Cap ETF (SCHX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
4.18	Vanguard Intermediate-Term Tax-Exempt (VWITX)	Yes	\$100,001 - \$250,000		\$2,501 - \$5,000
4.19	Vanguard Market Neutral Inv (VMNFX)	Yes	\$50,001 - \$100,000		\$201 - \$1,000
4.20	Vanguard Short-Term Bond Index Admiral Shares (VBIRX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
4.21	Weitz Partners III Opportunity (WPOPX)		Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
4.22	U.S. bank account #2 (cash)		N/A	\$50,001 - \$100,000		None (or less than \$201)
4.23	Morse & Hamilton Limited Partnership	See Endnote	N/A	Over \$1,000,000	Rent or Royalties Capital Gains	\$15,001 - \$50,000
4.24	Davis Select US Equity ETF (DUSA)		Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
4.25	DFA Emerging Markets Value Instl (DFEVX)		Yes	\$15,001 - \$50,000		\$201 - \$1,000
4.26	Vanguard Interm-Term Tax-Exempt Fund Adm (VWIUX)		Yes	\$15,001 - \$50,000		None (or less than \$201)
5	Irrevocable Trust #3:					
5.1	U.S. bank account (cash)		N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
5.2	AQR Long Short Equity I (QLEIX)		Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
5.3	BlackRock Strategic Income Opportunity Institutional (BSIIX)		Yes	\$100,001 - \$250,000		\$2,501 - \$5,000
5.4	Cullen High Dividend Institutional (CHDVX)		Yes	\$15,001 - \$50,000		\$2,501 - \$5,000
5.5	DFA Emerging Markets Vlaue Institutional (DFEVX)		Yes	\$15,001 - \$50,000		\$201 - \$1,000
5.6	DFA US Large Cap Value Institutional (DFLVX)		Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
5.7	DFA US Small Cap Value Institutional (DFSVX)		Yes	\$1,001 - \$15,000		\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
5.8	Elements Rogers International Commodity ETN (RJI)	N/A	\$15,001 - \$50,000		None (or less than \$201)
5.9	Goldman Sachs MLP Energy Infrastructure Institutional (GMLPX)	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
5.10	Harbor Capital Appreciation Institutional (HACAX)	Yes	\$15,001 - \$50,000		\$2,501 - \$5,000
5.11	IVA International Fund (IVIQX)	Yes	\$50,001 - \$100,000		\$5,001 - \$15,000
5.12	Longleaf Partners Small Cap (LLSCX)	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
5.13	Matthews Pacific Tiger Institutional (MIPTX)	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
5.14	Meridian Growth Fund Legacy Class (MERDX)	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
5.15	Parametric Emerging Markets Institutional (EIEMX)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
5.16	PIMCO Income Instl (PIMIX)	Yes	\$100,001 - \$250,000		\$5,001 - \$15,000
5.17	U.S. bank account #1 (cash)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.18	Vanguard Dividend Appreciation ETF (VIG)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
5.19	Vanguard Intermediate-Term Tax-Exempt (VWITX)	Yes	\$100,001 - \$250,000		\$2,501 - \$5,000
5.20	Vanguard Market Neutral Inv (VMNFX)	Yes	\$50,001 - \$100,000		\$201 - \$1,000
5.21	Weitz Partners III Opportunity (WPOPX)	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
5.22	U.S. bank account #2 (cash)		N/A	\$50,001 - \$100,000		None (or less than \$201)
5.23	The Vista Plaza, L.P.	See Endnote	N/A	\$15,001 - \$50,000		None (or less than \$201)
5.24	The Vista at Rocky Fork, L.P.	See Endnote	N/A	Over \$1,000,000	Capital Gains Rent or Royalties	\$5,001 - \$15,000
5.25	Davis Select US Equity ETF (DUSA)		Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
5.26	AQR Diversified Arbitrage Instl (ADAIX)		Yes	\$50,001 - \$100,000		\$201 - \$1,000
5.27	Schwab Fundamental Intl Lg Co ETF (FNDF)		Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
5.28	Vanguard Interm-Term Tax-Exempt Fund Adm (VWIUX)		Yes	\$15,001 - \$50,000		None (or less than \$201)
6	TIAA-CREF Personal Annuity Select	See Endnote	N/A	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
7	TIAA-CREF Personal Annuity Select	See Endnote	N/A	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
8	Mutual of America Flexible Premium Annuity	See Endnote	N/A	\$250,001 - \$500,000	Interest	\$2,501 - \$5,000
9	Israel State Bond 5/1/2020		N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
10	Israel State Bond 1/1/2019		N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
11	Installment Note Receivable	See Endnote	N/A	\$50,001 - \$100,000	Interest Capital Gains	\$2,501 - \$5,000
12	Personal Loan to friend	See Endnote	N/A	\$15,001 - \$50,000		None (or less than \$201)
13	U.S. bank account #1 (cash)		N/A	\$15,001 - \$50,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
14	U.S. bank account #2 (cash)	N/A	\$15,001 - \$50,000		None (or less than \$201)
15	U.S. bank account #3 (cash)	N/A	\$1,001 - \$15,000		None (or less than \$201)
16	U.S. bank account #4 (cash)	N/A	\$100,001 - \$250,000	Interest	\$201 - \$1,000
17	NY College Savings 529 Plan #1:	No			
17.1	Vanguard Total International Stock Index Fund (VTPSX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
17.2	Vanguard Developed Markets Index Fund (VDIPX)	Yes	\$50,001 - \$100,000		None (or less than \$201)
17.3	Vanguard Growth Index Fund (VIGIX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
17.4	Vanguard Value Index Fund (VIVIX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
17.5	Vanguard Small-Cap Index Fund (VSCPX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
17.6	Vanguard Institutional Total Stock Market Index Fund (VITPX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
18	NY College Savings 529 Plan #2:	No			
18.1	Vanguard Total International Stock Index Fund (VTPSX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
18.2	Vanguard Growth Index Fund (VIGIX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
18.3	Vanguard Value Index Fund (VIVIX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
18.4	Vanguard Developed Markets Index Fund (VDIPX)	Yes	\$50,001 - \$100,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
18.5	Vanguard Small-Cap Index Fund (VSCPX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
18.6	Vanguard Institutional Total Stock Market Index Fund (VITPX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
19	NY College Savings 529 Plan #3:	No			
19.1	Vanguard Total International Stock Index Fund (VTPSX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
19.2	Vanguard Growth Index Fund (VIGIX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
19.3	Vanguard Value Index Fund (VIVIX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
19.4	Vanguard Developed Markets Index Fund (VDIPX)	Yes	\$50,001 - \$100,000		None (or less than \$201)
19.5	Vanguard Small-Cap Index Fund (VSCPX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
19.6	Vanguard Institutional Total Stock Market Index Fund (VITPX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
20	NY College Savings 529 Plan #4:	No			
20.1	Vanguard Total International Stock Index Fund (VTPSX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
20.2	Vanguard Growth Index Fund (VIGIX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
20.3	Vanguard Value Index Fund (VIVIX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
20.4	Vanguard Developed Markets Index Fund (VDIPX)	Yes	\$50,001 - \$100,000		None (or less than \$201)
20.5	Vanguard Small-Cap Index Fund (VSCPX)	Yes	\$15,001 - \$50,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
20.6	Vanguard Institutional Total Stock Market Index Fund (VITPX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
21	NY College Savings 529 Plan #5:	No			
21.1	Vanguard Total International Stock Index Fund (VTPSX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
21.2	Vanguard Growth Index Fund (VIGIX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
21.3	Vanguard Value Index Fund (VIVIX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
21.4	Vanguard Developed Markets Index Fund (VDIPX)	Yes	\$50,001 - \$100,000		None (or less than \$201)
21.5	Vanguard Small-Cap Index Fund (VSCPX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
21.6	Vanguard Institutional Total Stock Market Index Fund (VITPX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
22	Northwestern Mutual, Whole Life #1	N/A	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
23	Northwestern Mutual, Whole Life #2	N/A	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
24	Northwestern Mutual, Whole Life #3	N/A	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500
25	Northwestern Mutual, Whole Life #4	N/A	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500
26	Northwestern Mutual, Whole Life #5	N/A	\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000
27	Irrevocable Life Insurance Trust				
27.1	Northwestern Mutual, Whole Life #6	N/A	\$15,001 - \$50,000	Dividends	\$2,501 - \$5,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
27.2	Northwestern Mutual, Whole Life #7	N/A	\$50,001 - \$100,000	Dividends	\$5,001 - \$15,000
27.3	Northwestern Mutual, Whole Life #8	N/A	\$50,001 - \$100,000	Dividends	\$5,001 - \$15,000
28	Joint Account (Brokerage account):	No			
28.1	Vanguard Inflation-Protected Securities Fund Inv (VIPSX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
28.2	Vanguard Long-Term Investment Grade Fund Inv (VWESX)	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
28.3	Vanguard Total Stock Market Index Fund (VTSAX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
29	Pan American Life, Whole Life	N/A	\$1,001 - \$15,000		None (or less than \$201)
30	NY College Savings 529 Plan #6	No			
30.1	Vanguard Total International Stock Index Fund (VTPSX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
30.2	Vanguard Developed Markets Index Fund (VDIPX)	Yes	\$50,001 - \$100,000		None (or less than \$201)
30.3	Vanguard Growth Index Fund (VIGIX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
30.4	Vanguard Value Index Fund (VIVIX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
30.5	Vanguard Small-Cap Index Fund (VSCPX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
30.6	Vanguard Institutional Total Stock Market Index Fund (VITPX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
31	U.S. bank account #5 (cash)	N/A	\$50,001 - \$100,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
32	Standard Life, Whole Life	N/A	\$1,001 - \$15,000		None (or less than \$201)

7. Transactions

#	DESCRIPTION	TYPE	DATE	AMOUNT
1	Hussman Strategic Growth (HSGFX)	Sale	05/25/18	\$15,001 - \$50,000
2	PIMCO Corporate Opportunities Fund II, LP	Purchase	05/31/18	\$15,001 - \$50,000
3	The Travelers Companies (TRV)	Sale	06/19/18	\$1,001 - \$15,000
4	Metropolitan Life Insurance (MET)	Sale	06/19/18	\$1,001 - \$15,000
5	General Electric Corporation (GE)	Sale	06/19/18	\$1,001 - \$15,000
6	J P Morgan Chase & Company (JPM)	Sale	06/19/18	\$1,001 - \$15,000
7	HSBC Holdings (HSBC)	Sale	06/19/18	\$1,001 - \$15,000
8	Chubb Corporation (CB)	Sale	06/19/18	\$1,001 - \$15,000
9	Wells Fargo & Company (WFC)	Sale	06/19/18	\$1,001 - \$15,000
10	Berkshire Hathaway Class A (BRK-A)	Sale	06/19/18	\$250,001 - \$500,000
11	Berkshire Hathaway Class B (BRK-B)	Sale	06/19/18	\$15,001 - \$50,000
12	Berkshire Hathaway Class B (BRK-B)	Sale	06/19/18	\$50,001 - \$100,000
13	Berkshire Hathaway Class B (BRK-B)	Sale	06/19/18	\$15,001 - \$50,000
14	Suntrust Banks (STI)	Sale	08/16/18	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
15	Davis Select US Equity ETF (DUSA)	Purchase	08/13/18	\$50,001 - \$100,000
16	Davis Select US Equity ETF (DUSA)	Purchase	08/13/18	\$250,001 - \$500,000
17	Davis Select US Equity ETF (DUSA)	Purchase	08/13/18	\$15,001 - \$50,000
18	Davis Select US Equity ETF (DUSA)	Purchase	08/13/18	\$15,001 - \$50,000
19	Davis Select US Equity ETF (DUSA)	Purchase	08/13/18	\$15,001 - \$50,000
20	Hatteras International Fund Instl (HAINX)	Sale	07/02/2018	\$1,001 - \$15,000
21	PIMCO Corporate Opportunities Fund II, LP	Purchase	07/25/2018	\$1,001 - \$15,000
22	BlackRock Strategic Income Opps Instl	Sale	07/23/2018	\$15,001 - \$50,000
23	Microsoft Corp (MSFT)	Sale	01/22/2018	\$1,001 - \$15,000
24	Boeing Co (BA)	Sale	01/22/2018	\$1,001 - \$15,000
25	Absolute Strategies Instl (ASFIX)	Sale	12/21/2018	\$50,001 - \$100,000
26	AQR Diversified Arbitrage Instl (ADAIX)	Sale	12/21/2018	\$100,001 - \$250,000
27	Hussman Strategic Growth (HSGFX)	Sale	12/21/2018	\$1,001 - \$15,000
28	American Beacon Ionic Strat Arbtrg (IONYX)	Sale	12/21/2018	\$15,001 - \$50,000
29	PIMCO Corporate Opportunities Fund II, LP	Purchase	03/05/2018	\$1,001 - \$15,000
30	Schwab Fundamental Intl Lg Co ETF (FNDF)	Sale	12/21/2018	\$100,001 - \$250,000
31	Harbor International Fund Instl (HAINX)	Sale	09/12/2018	\$100,001 - \$250,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
32	Harbor International Fund Instl (HAINX)	Sale	09/12/2018	\$1,001 - \$15,000
33	Harbor International Fund Instl (HAINX)	Sale	09/12/2018	\$50,001 - \$100,000
34	Harbor International Fund Instl (HAINX)	Sale	09/12/2018	\$50,001 - \$100,000
35	Harbor International Fund Instl (HAINX)	Sale	09/12/2018	\$15,001 - \$50,000
36	Absolute Strategies Instl (ASFIX)	Sale	09/25/2018	\$1,001 - \$15,000
37	TIAA Traditional (TIAATRAD)	Sale	11/15/2018	\$1,001 - \$15,000
38	Metropolitan West High Yield Bond Instl (MWHIX)	Sale	11/27/2018	\$100,001 - \$250,000
39	Metropolitan West High Yield Bond Instl (MWHIX)	Sale	11/27/2018	\$15,001 - \$50,000
40	Metropolitan West High Yield Bond Instl (MWHIX)	Sale	11/27/2018	\$15,001 - \$50,000
41	Metropolitan West High Yield Bond Instl (MWHIX)	Sale	11/27/2018	\$1,001 - \$15,000
42	American Beacon Ionic Strat Arbtg (IONYX)	Sale	12/17/2018	\$15,001 - \$50,000
43	American Beacon Ionic Strat Arbtg (IONYX)	Sale	12/17/2018	\$15,001 - \$50,000
44	Hussman Strategic Growth (HSGFX)	Sale	12/20/2018	\$15,001 - \$50,000
45	Hussman Strategic Growth (HSGFX)	Sale	12/20/2018	\$50,001 - \$100,000
46	Hussman Strategic Growth (HSGFX)	Sale	12/20/2018	\$1,001 - \$15,000
47	Absolute Strategies Instl (ASFIX)	Sale	12/20/2018	\$15,001 - \$50,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
48	Absolute Strategies Instl (ASFIX)	Sale	12/20/2018	\$15,001 - \$50,000
49	Schwab Fundamental Intl LG Co ETF (FNDF)	Purchase	09/14/2018	\$100,001 - \$250,000
50	Schwab Fundamental Intl LG Co ETF (FNDF)	Purchase	09/14/2018	\$1,001 - \$15,000
51	Schwab Fundamental Intl LG Co ETF (FNDF)	Purchase	09/14/2018	\$50,001 - \$100,000
52	Schwab Fundamental Intl LG Co ETF (FNDF)	Purchase	09/14/2018	\$50,001 - \$100,000
53	Schwab Fundamental Intl LG Co ETF (FNDF)	Purchase	09/14/2018	\$15,001 - \$50,000
54	Vanguard Interm-Term Tax-Exempt Fund Adm (VWIUX)	Purchase	11/28/2018	\$100,001 - \$250,000
55	Vanguard Interm-Term Tax-Exempt Fund Adm (VWIUX)	Purchase	11/28/2018	\$15,001 - \$50,000
56	Vanguard Interm-Term Tax-Exempt Fund Adm (VWIUX)	Purchase	11/28/2018	\$15,001 - \$50,000
57	Vanguard Interm-Term Tax-Exempt Fund Adm (VWIUX)	Purchase	11/28/2018	\$1,001 - \$15,000
58	AQR Diversified Arbitrage Instl (ADAIX)	Purchase	12/19/2018	\$15,001 - \$50,000
59	AQR Diversified Arbitrage Instl (ADAIX)	Purchase	12/19/2018	\$15,001 - \$50,000
60	DFA Emerging Markets Value Instl (DFEVX)	Purchase	12/21/2018	\$100,001 - \$250,000
61	Schwab Fundamental Intl Lg Co ETF (FNDF)	Purchase	12/21/2018	\$250,001 - \$500,000
62	PIMCO Commodity Real Return Instl (PCRIX)	Purchase	12/26/2018	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
63	AQR Long-Short Equity I (QLEIX)	Purchase	12/26/2018	\$50,001 - \$100,000
64	AQR Diversified Arbitrage Instl (ADAIX)	Purchase	12/26/2018	\$50,001 - \$100,000
65	IVA International Instl (IVIQX)	Purchase	12/26/2018	\$50,001 - \$100,000
66	Vanguard Interm-Term Tax-Exempt Fund Adm (VWIUX)	Purchase	12/21/2018	\$250,001 - \$500,000
67	Vanguard Market Neutral Inv (VMNFX)	Purchase	12/21/2018	\$100,001 - \$250,000
68	Vanguard Market Neutral Inv (VMNFX)	Purchase	12/21/2018	\$15,001 - \$50,000
69	Vanguard Market Neutral Inv (VMNFX)	Purchase	12/21/2018	\$15,001 - \$50,000
70	AQR Long-Short Equity I (QLEIX)	Purchase	12/21/2018	\$15,001 - \$50,000
71	AQR Long-Short Equity I (QLEIX)	Purchase	12/21/2018	\$15,001 - \$50,000
72	Schwab Fundamental Intl LG Co ETF (FNDF)	Purchase	12/21/2018	\$15,001 - \$50,000
73	AQR Diversified Arbitrage Instl (ADAIX)	Purchase	12/21/2018	\$15,001 - \$50,000
74	AQR Diversified Arbitrage Instl (ADAIX)	Purchase	12/21/2018	\$15,001 - \$50,000
75	DFA Emerging Markets Value Instl (DFEVX)	Purchase	12/21/2018	\$1,001 - \$15,000
76	BlackRock Strategic Income Opps Instl (BSIIX)	Purchase	12/21/2018	\$15,001 - \$50,000
77	DFA U.S. Small-Cap Value Instl (DFS VX)	Purchase	12/21/2018	\$1,001 - \$15,000
78	Harbor Capital Appreciation Instl (HACAX)	Purchase	12/21/2018	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
79	IVA International Instl (IVIQX)	Purchase	12/21/2018	\$1,001 - \$15,000
80	IVA International Instl (IVIQX)	Purchase	12/21/2018	\$15,001 - \$50,000
81	Meridian Growth Fund Legacy Class (MERDX)	Purchase	12/21/2018	\$1,001 - \$15,000
82	Elements Rogers Intl Commodity ETN (RJI)	Purchase	12/21/2018	\$1,001 - \$15,000
83	Longleaf Partners Small-Cap (LLSCX)	Purchase	12/21/2018	\$1,001 - \$15,000
84	PIMCO Income Instl (PIMIX)	Purchase	12/21/2018	\$15,001 - \$50,000
85	Absolute Strategies Instl (ASFIX)	Sale	01/22/2018	\$1,001 - \$15,000
86	Stonehenge Opportunity Fund IV	Sale	8/29/2018	\$50,001 - \$100,000
87	TIAA-CREF Personal Annuity Select	Purchase	01/31/2018	\$1,001 - \$15,000
88	BlackRock Strategic Income Opps Instl (BSIIX)	Purchase	01/31/2018	\$1,001 - \$15,000
89	BlackRock Strategic Income Opps Instl (BSIIX)	Purchase	02/28/2018	\$1,001 - \$15,000
90	BlackRock Strategic Income Opps Instl (BSIIX)	Purchase	03/29/2018	\$1,001 - \$15,000
91	BlackRock Strategic Income Opps Instl (BSIIX)	Purchase	04/30/2018	\$1,001 - \$15,000
92	BlackRock Strategic Income Opps Instl (BSIIX)	Purchase	05/31/2018	\$1,001 - \$15,000
93	BlackRock Strategic Income Opps Instl (BSIIX)	Purchase	06/29/2018	\$1,001 - \$15,000
94	BlackRock Strategic Income Opps Instl (BSIIX)	Purchase	07/31/2018	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
95	BlackRock Strategic Income Opps Instl (BSIIX)	Purchase	08/31/2018	\$1,001 - \$15,000
96	BlackRock Strategic Income Opps Instl (BSIIX)	Purchase	10/31/2018	\$1,001 - \$15,000
97	BlackRock Strategic Income Opps Instl (BSIIX)	Purchase	11/30/2018	\$1,001 - \$15,000
98	BlackRock Strategic Income Opps Instl (BSIIX)	Purchase	12/31/2018	\$1,001 - \$15,000
99	PIMCO Income Instl (PIMIX)	Purchase	1/31/2018	\$1,001 - \$15,000
100	PIMCO Income Instl (PIMIX)	Purchase	02/28/2018	\$1,001 - \$15,000
101	PIMCO Income Instl (PIMIX)	Purchase	03/29/2018	\$1,001 - \$15,000
102	PIMCO Income Instl (PIMIX)	Purchase	04/30/2018	\$1,001 - \$15,000
103	PIMCO Income Instl (PIMIX)	Purchase	05/31/2018	\$1,001 - \$15,000
104	PIMCO Income Instl (PIMIX)	Purchase	06/29/2018	\$1,001 - \$15,000
105	PIMCO Income Instl (PIMIX)	Purchase	07/31/2018	\$1,001 - \$15,000
106	PIMCO Income Instl (PIMIX)	Purchase	08/31/2018	\$1,001 - \$15,000
107	PIMCO Income Instl (PIMIX)	Purchase	09/28/2018	\$1,001 - \$15,000
108	PIMCO Income Instl (PIMIX)	Purchase	10/31/2018	\$1,001 - \$15,000
109	PIMCO Income Instl (PIMIX)	Purchase	11/30/2018	\$1,001 - \$15,000
110	PIMCO Income Instl (PIMIX)	Purchase	12/31/2018	\$1,001 - \$15,000
111	Goldman Sachs MLP Energy Infrs Instl (GMLPX)	Purchase	02/27/2018	\$1,001 - \$15,000
112	Goldman Sachs MLP Energy Infrs Instl (GMLPX)	Purchase	05/30/2018	\$1,001 - \$15,000
113	Goldman Sachs MLP Energy Infrs Instl (GMLPX)	Purchase	08/30/2018	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
114	Goldman Sachs MLP Energy Infrast Instl (GMLPX)	Purchase	11/29/2018	\$1,001 - \$15,000
115	Mutual of America Flexible Premium Annuity	Purchase	03/29/2018	\$1,001 - \$15,000
116	PIMCO Commodity Real Return Instl (PCRIX)	Purchase	06/17/2018	\$1,001 - \$15,000
117	PIMCO Commodity Real Return Instl (PCRIX)	Purchase	09/13/2018	\$1,001 - \$15,000
118	PIMCO Commodity Real Return Instl (PCRIX)	Purchase	12/26/2018	\$1,001 - \$15,000
119	Longleaf Partners Small-Cap (LLSCX)	Purchase	12/28/2018	\$1,001 - \$15,000
120	Longleaf Partners Small-Cap (LLSCX)	Purchase	11/30/2018	\$1,001 - \$15,000
121	Longleaf Partners Small-Cap (LLSCX)	Purchase	11/30/2018	\$1,001 - \$15,000
122	Longleaf Partners Small-Cap (LLSCX)	Purchase	11/30/2018	\$15,001 - \$50,000
123	Weitz Partners III Opportunity (WPOPX)	Purchase	6/27/2018	\$1,001 - \$15,000
124	Weitz Partners III Opportunity (WPOPX)	Purchase	06/27/2018	\$1,001 - \$15,000
125	Weitz Partners III Opportunity (WPOPX)	Purchase	06/27/2018	\$1,001 - \$15,000
126	IVA International Instl (IVIQX)	Purchase	12/12/2018	\$1,001 - \$15,000
127	IVA International Instl (IVIQX)	Purchase	12/12/2018	\$1,001 - \$15,000
128	IVA International Instl (IVIQX)	Purchase	12/12/2018	\$1,001 - \$15,000
129	IVA International Instl (IVIQX)	Purchase	12/12/2018	\$1,001 - \$15,000
130	IVA International Instl (IVIQX)	Purchase	12/12/2018	\$1,001 - \$15,000
131	IVA International Instl (IVIQX)	Purchase	12/12/2018	\$1,001 - \$15,000
132	IVA International Instl (IVIQX)	Purchase	12/12/2018	\$1,001 - \$15,000
133	IVA International Instl (IVIQX)	Purchase	12/12/2018	\$1,001 - \$15,000
134	IVA International Instl (IVIQX)	Purchase	12/12/2018	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
135	Weitz Partners III Opportunity (WPOPX)	Purchase	12/27/2018	\$1,001 - \$15,000
136	EV Tax-Mgd Emerging Markets Fund Instl (EITEX)	Purchase	12/27/2018	\$1,001 - \$15,000
137	Matthews Pacific Tiger Inst (MIPTX)	Purchase	12/12/2018	\$1,001 - \$15,000
138	Matthews Pacific Tiger Inst (MIPTX)	Purchase	12/12/2018	\$1,001 - \$15,000
139	Matthews Pacific Tiger Inst (MIPTX)	Purchase	12/12/2018	\$1,001 - \$15,000
140	Harbor Capital Appreciation Instl (HACAX)	Purchase	12/17/2018	\$1,001 - \$15,000
141	Harbor Capital Appreciation Instl (HACAX)	Purchase	12/17/2018	\$1,001 - \$15,000
142	Harbor Capital Appreciation Instl (HACAX)	Purchase	12/17/2018	\$1,001 - \$15,000
143	Harbor Capital Appreciation Instl (HACAX)	Purchase	12/17/2018	\$1,001 - \$15,000
144	DFA U.S. Large Cap Value Instl (DFLVX)	Purchase	12/18/2018	\$1,001 - \$15,000
145	DFA U.S. Large Cap Value Instl (DFLVX)	Purchase	12/18/2018	\$1,001 - \$15,000
146	DFA U.S. Large Cap Value Instl (DFLVX)	Purchase	12/18/2018	\$1,001 - \$15,000
147	DFA U.S. Large Cap Value Instl (DFLVX)	Purchase	12/18/2018	\$1,001 - \$15,000
148	AQR Long-Short Equity (QLEIX)	Purchase	12/19/2018	\$1,001 - \$15,000
149	AQR Long-Short Equity (QLEIX)	Purchase	12/19/2018	\$1,001 - \$15,000
150	AQR Long-Short Equity (QLEIX)	Purchase	12/19/2018	\$1,001 - \$15,000
151	AQR Diversified Arbitrage Instl (ADAIX)	Purchase	12/19/2018	\$1,001 - \$15,000
152	AQR Diversified Arbitrage Instl (ADAIX)	Purchase	12/19/2018	\$1,001 - \$15,000
153	AQR Diversified Arbitrage Instl (ADAIX)	Purchase	12/19/2018	\$1,001 - \$15,000
154	Meridian Growth Fund Legacy Class (MERDX)	Purchase	12/20/2018	\$1,001 - \$15,000
155	Meridian Growth Fund Legacy Class (MERDX)	Purchase	12/20/2018	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
156	Meridian Growth Fund Legacy Class (MERDX)	Purchase	12/20/2018	\$1,001 - \$15,000
157	Meridian Growth Fund Legacy Class (MERDX)	Purchase	12/20/2018	\$1,001 - \$15,000
158	Cullen High Dividend Instl (CHDVX)	Purchase	12/20/2018	\$1,001 - \$15,000
159	Cullen High Dividend Instl (CHDVX)	Purchase	12/20/2018	\$1,001 - \$15,000
160	American Beacon Ionic Strat Arbtrg Y (IONYX)	Purchase	12/20/2018	\$1,001 - \$15,000
161	Israel State Bond 5/1/2020	Purchase	05/01/2018	\$1,001 - \$15,000
162	Israel State Bond 11/01/2018	Sale	11/01/2018	\$1,001 - \$15,000
163	Vanguard Total International Stock Index Fund (VTPSX)	Purchase	11/20/2018	\$1,001 - \$15,000
164	Vanguard Total International Stock Index Fund (VTPSX)	Purchase	11/20/2018	\$1,001 - \$15,000
165	Vanguard Total International Stock Index Fund (VTPSX)	Purchase	11/20/2018	\$1,001 - \$15,000
166	Vanguard Total International Stock Index Fund (VTPSX)	Purchase	11/20/2018	\$1,001 - \$15,000
167	Vanguard Total International Stock Index Fund (VTPSX)	Purchase	11/20/2018	\$1,001 - \$15,000
168	Vanguard Total International Stock Index Fund (VTPSX)	Purchase	11/20/2018	\$1,001 - \$15,000
169	Vanguard Growth Index Fund (VIGIX)	Purchase	11/20/2018	\$1,001 - \$15,000
170	Vanguard Growth Index Fund (VIGIX)	Purchase	11/20/2018	\$1,001 - \$15,000
171	Vanguard Growth Index Fund (VIGIX)	Purchase	11/20/2018	\$1,001 - \$15,000
172	Vanguard Growth Index Fund (VIGIX)	Purchase	11/20/2018	\$1,001 - \$15,000
173	Vanguard Growth Index Fund (VIGIX)	Purchase	11/20/2018	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
174	Vanguard Growth Index Fund (VIGIX)	Purchase	11/20/2018	\$1,001 - \$15,000
175	Vanguard Value Index Fund (VIVIX)	Purchase	11/20/2018	\$15,001 - \$50,000
176	Vanguard Value Index Fund (VIVIX)	Purchase	11/20/2018	\$15,001 - \$50,000
177	Vanguard Value Index Fund (VIVIX)	Purchase	11/20/2018	\$15,001 - \$50,000
178	Vanguard Value Index Fund (VIVIX)	Purchase	11/20/2018	\$15,001 - \$50,000
179	Vanguard Value Index Fund (VIVIX)	Purchase	11/20/2018	\$15,001 - \$50,000
180	Vanguard Value Index Fund (VIVIX)	Purchase	11/20/2018	\$15,001 - \$50,000
181	Vanguard Developed Markets Index Fund (VDIPX)	Purchase	11/20/2018	\$15,001 - \$50,000
182	Vanguard Developed Markets Index Fund (VDIPX)	Purchase	11/20/2018	\$15,001 - \$50,000
183	Vanguard Developed Markets Index Fund (VDIPX)	Purchase	11/20/2018	\$15,001 - \$50,000
184	Vanguard Developed Markets Index Fund (VDIPX)	Purchase	11/20/2018	\$15,001 - \$50,000
185	Vanguard Developed Markets Index Fund (VDIPX)	Purchase	11/20/2018	\$15,001 - \$50,000
186	Vanguard Developed Markets Index Fund (VDIPX)	Purchase	11/20/2018	\$15,001 - \$50,000
187	Vanguard Small-Cap Index Fund (VSCPX)	Purchase	11/20/2018	\$1,001 - \$15,000
188	Vanguard Small-Cap Index Fund (VSCPX)	Purchase	11/20/2018	\$1,001 - \$15,000
189	Vanguard Small-Cap Index Fund (VSCPX)	Purchase	11/20/2018	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
190	Vanguard Small-Cap Index Fund (VSCPX)	Purchase	11/20/2018	\$1,001 - \$15,000
191	Vanguard Small-Cap Index Fund (VSCPX)	Purchase	11/20/2018	\$1,001 - \$15,000
192	Vanguard Small-Cap Index Fund (VSCPX)	Purchase	11/20/2018	\$1,001 - \$15,000
193	Vanguard Institutional Total Stock Market Index Fund (VITPX)	Purchase	11/20/2018	\$1,001 - \$15,000
194	Vanguard Institutional Total Stock Market Index Fund (VITPX)	Purchase	11/20/2018	\$1,001 - \$15,000
195	Vanguard Institutional Total Stock Market Index Fund (VITPX)	Purchase	11/20/2018	\$1,001 - \$15,000
196	Vanguard Institutional Total Stock Market Index Fund (VITPX)	Purchase	11/20/2018	\$1,001 - \$15,000
197	Vanguard Institutional Total Stock Market Index Fund (VITPX)	Purchase	11/20/2018	\$1,001 - \$15,000
198	Vanguard Institutional Total Stock Market Index Fund (VITPX)	Purchase	11/20/2018	\$1,001 - \$15,000

8. Liabilities

#	CREDITOR NAME	TYPE	AMOUNT	YEAR INCURRED	RATE	TERM
1	Investors Bank	Mortgage on Personal Residence	\$500,001 - \$1,000,000	2011	5	30
2	PIMCO Corp Opportunity Fund II	Committed Capital	\$100,001 - \$250,000	2016	N/A	On Demand

9. Gifts and Travel Reimbursements

None

Endnotes

PART	#	ENDNOTE
6.	2.2	Commercial Real Estate, Gahanna, Ohio
6.	4.23	Commercial Real Estate, Gahanna, Ohio
6.	5.23	Commercial Real Estate, Gahanna, Ohio
6.	5.24	Residential Real Estate, Gahanna, Ohio
6.	6	Fixed option selected, earning the guaranteed minimum return of 3.0%
6.	7	Fixed option selected, earning the guaranteed minimum return of 3.0%
6.	8	100% of the funds are invested in the "Interest Accumulation Account" with a current Effective Annual Yield of 1.65%
6.	11	Fixed note receivable due from M. Nodo.
6.	12	Receivable from P. Squire.

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities that (1) ended the reporting period with a value greater than \$1,000 or (2) produced more than \$200 in income during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities that (1) ended the reporting period with a value greater than \$1,000 or (2) produced more than \$200 in income during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, that (1) ended the reporting period with a value greater than \$1,000 or (2) produced more than \$200 in investment income during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 of income was produced). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$390 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$390 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$156 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to another Federal agency, court or party in a court or Federal administrative proceeding when the Government is a party or in order to comply with a judge-issued subpoena; (4) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (5) to the National Archives and Records Administration or the General Services Administration in records management inspections; (6) to the Office of Management and Budget during legislative coordination on private relief legislation; (7) to the Department of Justice or in certain legal proceedings when the disclosing agency, an employee of the disclosing agency, or the United States is a party to litigation or has an interest in the litigation and the use of such records is deemed relevant and necessary to the litigation; (8) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another; (9) to a Member of Congress or a congressional office in response to an inquiry made on behalf of an individual who is the subject of the record; (10) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to an OGE Government-wide system of records; and (11) on the OGE Website and to any person, department or agency, any written ethics agreement filed with OGE by an individual nominated by the President to a position requiring Senate confirmation. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

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