

Executive Branch Personnel

Public Financial Disclosure Report:

Periodic Transaction Report (OGE Form 278-T)

Filer's Information

BARTON, CONSTANCE A

Director, GC-73, Department of Energy

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ BARTON, CONSTANCE A [electronically signed on 02/13/2023 by BARTON, CONSTANCE A in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Stewart, Yvonne R, Certifying Official [electronically signed on 04/14/2023 by Stewart, Yvonne R in Integrity.gov]

Other review conducted by

/s/ Stewart, Yvonne R, Ethics Official [electronically signed on 04/14/2023 by Stewart, Yvonne R in Integrity.gov]

U.S. Office of Government Ethics Certification

Transactions

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
1	Sold 1000 SIDU @ 1.1109	Sale	01/09/2023	No	\$1,001 - \$15,000
2	Bought 100 HKD @ 13.35	Purchase	01/11/2023	No	\$1,001 - \$15,000
3	Sold 100 HKD @ 13.65	Sale	01/11/2023	No	\$1,001 - \$15,000
4	Bought 100 HKD @ 11.65	Purchase	01/12/2023	No	\$1,001 - \$15,000
5	Sold 100 HKD @ 11.2	Sale	01/12/2023	No	\$1,001 - \$15,000
6	Bought 100 HKD @ 11	Purchase	01/13/2023	No	\$1,001 - \$15,000
7	Sold 80 HKD @ 13.22	Sale	01/18/2023	No	\$1,001 - \$15,000
8	Sold 117 KTOS @ 10.85	Sale	01/18/2023	No	\$1,001 - \$15,000
9	Sold 119 AI @ 13.6	Sale	01/18/2023	No	\$1,001 - \$15,000
10	Sold 500 CGC @ 2.7	Sale	01/18/2023	No	\$1,001 - \$15,000
11	Sold 17300 AULT @ 0.145	Sale	01/18/2023	No	\$1,001 - \$15,000
12	Sold 545 PSHG @ 3.2	Sale	01/18/2023	No	\$1,001 - \$15,000
13	Bought 100 AI @ 13.15	Purchase	01/19/2023	No	\$1,001 - \$15,000
14	Bought 100 AI @ 13.15	Purchase	01/19/2023	No	\$1,001 - \$15,000
15	Bought 100 AI @ 13.15	Purchase	01/19/2023	No	\$1,001 - \$15,000
16	Sold 80 HKD @ 13.22	Sale	01/19/2023	No	\$1,001 - \$15,000
17	Sold 80 HKD @ 13.22	Sale	01/19/2023	No	\$1,001 - \$15,000
18	Sold 117 KTOS @ 10.85	Sale	01/19/2023	No	\$1,001 - \$15,000
19	Sold 117 KTOS @ 10.85	Sale	01/19/2023	No	\$1,001 - \$15,000
20	Sold 119 AI @ 13.6	Sale	01/19/2023	No	\$1,001 - \$15,000
21	Sold 119 AI @ 13.6	Sale	01/19/2023	No	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
22	Sold 500 CGC @ 2.7	Sale	01/19/2023	No	\$1,001 - \$15,000
23	Sold 500 CGC @ 2.7	Sale	01/19/2023	No	\$1,001 - \$15,000
24	Sold 17300 AULT @ 0.145	Sale	01/19/2023	No	\$1,001 - \$15,000
25	Sold 17300 AULT @ 0.145	Sale	01/19/2023	No	\$1,001 - \$15,000
26	Sold 545 PSHG @ 3.2	Sale	01/19/2023	No	\$1,001 - \$15,000
27	Sold 545 PSHG @ 3.2	Sale	01/19/2023	No	\$1,001 - \$15,000
28	Sold 110 AI @ 12.7	Sale	01/19/2023	No	\$1,001 - \$15,000
29	Sold 9000 AULT @ 0.1314	Sale	01/20/2023	No	\$1,001 - \$15,000
30	Sold 460 UEC @ 3.7	Sale	01/20/2023	No	\$1,001 - \$15,000
31	Sold 510 CGC @ 2.77	Sale	01/23/2023	No	\$1,001 - \$15,000
32	Sold 2000 FFIE @ 0.7805	Sale	01/23/2023	No	\$1,001 - \$15,000
33	Sold 500 FCEL @ 3.7	Sale	01/23/2023	No	\$1,001 - \$15,000
34	Bought 1000 PSHG @ 2.89	Purchase	01/23/2023	No	\$1,001 - \$15,000
35	Bought 1000 PSHG @ 2.85	Purchase	01/23/2023	No	\$1,001 - \$15,000
36	Bought 1000 CGC @ 2.74	Purchase	01/24/2023	No	\$1,001 - \$15,000
37	Bought 2000 FFIE @ 0.95	Purchase	01/24/2023	No	\$1,001 - \$15,000
38	Sold 4000 MULN @ 0.2884	Sale	01/24/2023	No	\$1,001 - \$15,000
39	Sold 1900 FFIE @ 0.9714	Sale	01/24/2023	No	\$1,001 - \$15,000
40	Sold 1300 CGC @ 2.75	Sale	01/24/2023	No	\$1,001 - \$15,000
41	Sold 500 PSHG @ 2.64	Sale	01/24/2023	No	\$1,001 - \$15,000
42	Sold 1711 PSHG @ 2.63	Sale	01/25/2023	No	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
43	Bought 1000 GOEV @ 1.37	Purchase	01/25/2023	No	\$1,001 - \$15,000
44	Bought 1000 GOEV @ 1.37	Purchase	01/25/2023	No	\$1,001 - \$15,000
45	Bought 10000 MULN @ 0.2837	Purchase	01/25/2023	No	\$1,001 - \$15,000
46	Sold 10000 MULN @ 0.2819	Sale	01/25/2023	No	\$1,001 - \$15,000
47	Bought 2000 FFIE @ 0.89	Purchase	01/25/2023	No	\$1,001 - \$15,000
48	Bought 9800 MULN @ 0.325	Purchase	01/25/2023	No	\$1,001 - \$15,000
49	Sold 10000 MULN @ 0.3311	Sale	01/25/2023	No	\$1,001 - \$15,000
50	Bought 10000 MULN @ 0.3365	Purchase	01/25/2023	No	\$1,001 - \$15,000
51	Sold 2000 GOEV @ 1.29	Sale	01/25/2023	No	\$1,001 - \$15,000
52	Sold 9901 MULN @ 0.3509	Sale	01/25/2023	No	\$1,001 - \$15,000
53	Bought 10000 MULN @ 0.348	Purchase	01/25/2023	No	\$1,001 - \$15,000
54	Sold 4800 AREB @ 0.34	Sale	01/25/2023	No	\$1,001 - \$15,000
55	Sold 10000 MULN @ 0.347	Sale	01/26/2023	No	\$1,001 - \$15,000
56	Sold 2990 FFIE @ 0.913	Sale	01/26/2023	No	\$1,001 - \$15,000
57	Bought 15000 MULN @ 0.346	Purchase	01/26/2023	No	\$1,001 - \$15,000
58	Sold 15000 MULN @ 0.3477	Sale	01/26/2023	No	\$1,001 - \$15,000
59	Bought 20000 MULN @ 0.352	Purchase	01/26/2023	No	\$1,001 - \$15,000
60	Sold 20000 MULN @ 0.334	Sale	01/26/2023	No	\$1,001 - \$15,000
61	Bought 20000 MULN @ 0.34	Purchase	01/26/2023	No	\$1,001 - \$15,000
62	Sold 3493 MULN @ 0.3361	Sale	01/26/2023	No	\$1,001 - \$15,000
63	Sold 14238 MULN @ 0.336	Sale	01/26/2023	No	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
64	Bought 20000 MULN @ 0.3355	Purchase	01/26/2023	No	\$1,001 - \$15,000
65	Sold 20000 MULN @ 0.336	Sale	01/26/2023	No	\$1,001 - \$15,000
66	Bought 20000 MULN @ 0.3367	Purchase	01/26/2023	No	\$1,001 - \$15,000
67	Bought 10000 FFIE @ 0.82	Purchase	01/26/2023	No	\$1,001 - \$15,000
68	Bought 4000 AREB @ 0.2612	Purchase	01/26/2023	No	\$1,001 - \$15,000
69	Sold 19800 MULN @ 0.325	Sale	01/26/2023	No	\$1,001 - \$15,000
70	Bought 20000 MULN @ 0.324	Purchase	01/26/2023	No	\$1,001 - \$15,000
71	Sold 13000 MULN @ 0.3243	Sale	01/26/2023	No	\$1,001 - \$15,000
72	Sold 7000 MULN @ 0.3242	Sale	01/26/2023	No	\$1,001 - \$15,000
73	Bought 19900 MULN @ 0.3225	Purchase	01/26/2023	No	\$1,001 - \$15,000
74	Sold 20000 MULN @ 0.3257	Sale	01/26/2023	No	\$1,001 - \$15,000
75	Bought 10000 MULN @ 0.3244	Purchase	01/26/2023	No	\$1,001 - \$15,000
76	Sold 10000 MULN @ 0.321	Sale	01/26/2023	No	\$1,001 - \$15,000
77	Bought 10000 FRGT @ 0.35	Purchase	01/26/2023	No	\$1,001 - \$15,000
78	Sold 8100 FRGT @ 0.3565	Sale	01/26/2023	No	\$1,001 - \$15,000
79	Bought 10000 FRGT @ 0.38	Purchase	01/26/2023	No	\$1,001 - \$15,000
80	Sold 10000 FRGT @ 0.343	Sale	01/27/2023	No	\$1,001 - \$15,000
81	Bought 4418 FRGT @ 0.36	Purchase	01/27/2023	No	\$1,001 - \$15,000
82	Sold 4800 FRGT @ 0.3651	Sale	01/27/2023	No	\$1,001 - \$15,000
83	Sold 12000 FFIE @ 0.86	Sale	01/27/2023	No	\$1,001 - \$15,000
84	Bought 10000 AULT @ 0.1225	Purchase	01/27/2023	No	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
85	Sold 5961 FRGT @ 0.39	Sale	01/27/2023	No	\$1,001 - \$15,000
86	Bought 10000 FRGT @ 0.38	Purchase	01/27/2023	No	\$1,001 - \$15,000
87	Sold 10000 FRGT @ 0.43	Sale	01/27/2023	No	\$1,001 - \$15,000
88	Bought 10000 FRGT @ 0.42	Purchase	01/27/2023	No	\$1,001 - \$15,000
89	Bought 10000 FRGT @ 0.4155	Purchase	01/27/2023	No	\$1,001 - \$15,000
90	Sold 71 CSV @ 31.3401	Sale	01/27/2023	No	\$1,001 - \$15,000
91	Sold 20000 FRGT @ 0.4	Sale	01/27/2023	No	\$1,001 - \$15,000
92	Bought 8492 FRGT @ 0.395	Purchase	01/27/2023	No	\$1,001 - \$15,000
93	Sold 8492 FRGT @ 0.4298	Sale	01/27/2023	No	\$1,001 - \$15,000
94	Bought 20000 FRGT @ 0.4181	Purchase	01/27/2023	No	\$1,001 - \$15,000
95	Sold 17600 FRGT @ 0.411	Sale	01/27/2023	No	\$1,001 - \$15,000
96	Bought 18000 FRGT @ 0.411	Purchase	01/27/2023	No	\$1,001 - \$15,000
97	Sold 17900 FRGT @ 0.4049	Sale	01/27/2023	No	\$1,001 - \$15,000
98	Bought 1000 NKLA @ 2.77	Purchase	01/27/2023	No	\$1,001 - \$15,000
99	Sold 2000 FFIE @ 0.92	Sale	01/30/2023	No	\$1,001 - \$15,000
100	Bought 500 UROY @ 2.7	Purchase	01/30/2023	No	\$1,001 - \$15,000
101	Sold 500 UROY @ 2.865	Sale	01/30/2023	No	\$1,001 - \$15,000
102	Bought 1000 UROY @ 2.89	Purchase	01/30/2023	No	\$1,001 - \$15,000
103	Bought 4000 FRGT @ 0.52	Purchase	01/30/2023	No	\$1,001 - \$15,000
104	Sold 401 CGC @ 2.9	Sale	01/30/2023	No	\$1,001 - \$15,000
105	Bought 1000 SIDU @ 1	Purchase	01/30/2023	No	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
106	Bought 500 CGC @ 2.87	Purchase	01/30/2023	No	\$1,001 - \$15,000
107	Sold 901 NKLA @ 2.61	Sale	01/30/2023	No	\$1,001 - \$15,000
108	Bought 1000 UROY @ 2.88	Purchase	01/30/2023	No	\$1,001 - \$15,000
109	Bought 500 CGC @ 2.88	Purchase	01/30/2023	No	\$1,001 - \$15,000
110	Sold 1000 UROY @ 2.93	Sale	01/30/2023	No	\$1,001 - \$15,000
111	Sold 1001 UROY @ 2.96	Sale	01/30/2023	No	\$1,001 - \$15,000
112	Bought 6000 AREB @ 0.216	Purchase	02/01/2023	No	\$1,001 - \$15,000
113	Sold 1000 CGC @ 3.03	Sale	02/01/2023	No	\$1,001 - \$15,000
114	Sold 1884 BGRY @ 1.22	Sale	02/01/2023	No	\$1,001 - \$15,000
115	Bought 2000 FFIE @ 0.88	Purchase	02/01/2023	No	\$1,001 - \$15,000
116	Bought 2000 SIDU @ 0.5235	Purchase	02/03/2023	No	\$1,001 - \$15,000
117	Sold 2100 FFIE @ 1.07	Sale	02/03/2023	No	\$1,001 - \$15,000
118	Sold 2500 MULN @ 0.422	Sale	02/03/2023	No	\$1,001 - \$15,000
119	Sold 2929 FRGT @ 0.506	Sale	02/03/2023	No	\$1,001 - \$15,000
120	Sold 4071 FRGT @ 0.505	Sale	02/03/2023	No	\$1,001 - \$15,000
121	Sold 5509 AREB @ 0.241	Sale	02/06/2023	No	\$1,001 - \$15,000
122	Bought 3316 FRGT @ 0.54	Purchase	02/06/2023	No	\$1,001 - \$15,000
123	Sold 20000 AULT @ 0.1438	Sale	02/06/2023	No	\$1,001 - \$15,000
124	Sold 5316 FRGT @ 0.501	Sale	02/06/2023	No	\$1,001 - \$15,000
125	Sold 3000 SIDU @ 0.53	Sale	02/06/2023	No	\$1,001 - \$15,000
126	Bought 1000 FFIE @ 1.1	Purchase	02/06/2023	No	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
127	Sold 3600 FFIE @ 0.7571	Sale	02/07/2023	No	\$1,001 - \$15,000
128	Bought 2000 FFIE @ 0.73	Purchase	02/07/2023	No	\$1,001 - \$15,000
129	Sold 1900 FFIE @ 0.7031	Sale	02/07/2023	No	\$1,001 - \$15,000
130	Bought 4000 FFIE @ 0.69	Purchase	02/07/2023	No	\$1,001 - \$15,000
131	Sold 4000 FFIE @ 0.6669	Sale	02/07/2023	No	\$1,001 - \$15,000
132	Sold 6900 SIDU @ 0.5895	Sale	02/07/2023	No	\$1,001 - \$15,000
133	Sold 700 CGC @ 2.82	Sale	02/07/2023	No	\$1,001 - \$15,000
134	Sold 1958 BOXD @ 0.55	Sale	02/07/2023	No	\$1,001 - \$15,000
135	Bought 1000 JZ @ 1.81	Purchase	02/07/2023	No	\$1,001 - \$15,000
136	Sold 1702 JZ @ 1.91	Sale	02/07/2023	No	\$1,001 - \$15,000
137	Bought 1000 JZ @ 1.85	Purchase	02/07/2023	No	\$1,001 - \$15,000
138	Sold 500 BGRY @ 2.18	Sale	02/07/2023	No	\$1,001 - \$15,000
139	Bought 1000 BGRY @ 2.07	Purchase	02/07/2023	No	\$1,001 - \$15,000
140	Bought 1000 BGRY @ 2.04	Purchase	02/07/2023	No	\$1,001 - \$15,000
141	Sold 1000 JZ @ 1.91	Sale	02/07/2023	No	\$1,001 - \$15,000
142	Sold 2000 BGRY @ 2.44	Sale	02/07/2023	No	\$1,001 - \$15,000
143	Bought 2000 BGRY @ 2.38	Purchase	02/07/2023	No	\$1,001 - \$15,000
144	Bought 2000 JZ @ 1.86	Purchase	02/07/2023	No	\$1,001 - \$15,000
145	Sold 2000 BGRY @ 2.176	Sale	02/07/2023	No	\$1,001 - \$15,000
146	Bought 2000 FFIE @ 0.7555	Purchase	02/07/2023	No	\$1,001 - \$15,000
147	Bought 2000 BGRY @ 2.14	Purchase	02/07/2023	No	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
148	Sold 2006 BGRY @ 1.88	Sale	02/07/2023	No	\$1,001 - \$15,000
149	Sold 3201 MULN @ 0.4154	Sale	02/07/2023	No	\$1,001 - \$15,000
150	Bought 4000 BGRY @ 2.02	Purchase	02/07/2023	No	\$1,001 - \$15,000
151	Sold 3000 FFIE @ 0.7661	Sale	02/07/2023	No	\$1,001 - \$15,000
152	Sold 4000 BGRY @ 1.9401	Sale	02/07/2023	No	\$1,001 - \$15,000
153	Bought 4000 FFIE @ 0.7786	Purchase	02/07/2023	No	\$1,001 - \$15,000
154	Bought 1000 BGRY @ 1.9099	Purchase	02/07/2023	No	\$1,001 - \$15,000
155	Sold 1000 BGRY @ 1.92	Sale	02/07/2023	No	\$1,001 - \$15,000
156	Bought 1000 BGRY @ 1.89	Purchase	02/07/2023	No	\$1,001 - \$15,000
157	Sold 1000 BGRY @ 1.92	Sale	02/07/2023	No	\$1,001 - \$15,000
158	Bought 1000 BGRY @ 1.87	Purchase	02/07/2023	No	\$1,001 - \$15,000
159	Sold 1000 BGRY @ 1.8	Sale	02/08/2023	No	\$1,001 - \$15,000
160	Sold 2900 FFIE @ 0.85	Sale	02/08/2023	No	\$1,001 - \$15,000
161	Bought 1000 BGRY @ 1.78	Purchase	02/08/2023	No	\$1,001 - \$15,000
162	Sold 1000 BGRY @ 1.8	Sale	02/08/2023	No	\$1,001 - \$15,000
163	Sold 5000 FRGT @ 0.385	Sale	02/08/2023	No	\$1,001 - \$15,000
164	Bought 1000 BGRY @ 1.84	Purchase	02/08/2023	No	\$1,001 - \$15,000
165	Bought 40 AI @ 26.79	Purchase	02/08/2023	No	\$1,001 - \$15,000
166	Sold 40 AI @ 26.84	Sale	02/08/2023	No	\$1,001 - \$15,000
167	Sold 1000 BGRY @ 1.87	Sale	02/08/2023	No	\$1,001 - \$15,000
168	Bought 1000 BTB @ 2.2892	Purchase	02/08/2023	No	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
169	Bought 1000 BTB @ 2.2899	Purchase	02/08/2023	No	\$1,001 - \$15,000
170	Bought 1000 BTB @ 2.19	Purchase	02/08/2023	No	\$1,001 - \$15,000
171	Sold 3000 BTB @ 2.3	Sale	02/08/2023	No	\$1,001 - \$15,000
172	Bought 1000 BGRY @ 1.88	Purchase	02/08/2023	No	\$1,001 - \$15,000
173	Sold 2000 FFIE @ 0.71	Sale	02/08/2023	No	\$1,001 - \$15,000
174	Bought 8000 FFIE @ 0.6942	Purchase	02/08/2023	No	\$1,001 - \$15,000
175	Sold 1000 BGRY @ 1.88	Sale	02/08/2023	No	\$1,001 - \$15,000
176	Bought 400 CGC @ 2.76	Purchase	02/08/2023	No	\$1,001 - \$15,000
177	Sold 8000 FFIE @ 0.7	Sale	02/08/2023	No	\$1,001 - \$15,000
178	Bought 1157 BTB @ 2.12	Purchase	02/08/2023	No	\$1,001 - \$15,000
179	Bought 8448 SIDU @ 0.601	Purchase	02/08/2023	No	\$1,001 - \$15,000
180	Sold 2025 SIDU @ 0.515	Sale	02/09/2023	No	\$1,001 - \$15,000
181	Sold 6198 SIDU @ 0.505	Sale	02/10/2023	No	\$1,001 - \$15,000
182	Sold 1157 BTB @ 1.9	Sale	02/10/2023	No	\$1,001 - \$15,000
183	Sold 1200 RGTI @ 1.25	Sale	02/10/2023	No	\$1,001 - \$15,000
184	Bought 27 TREE @ 44.3	Purchase	02/10/2023	No	\$1,001 - \$15,000
185	Sold 1600 OPAD @ 0.897	Sale	02/10/2023	No	\$1,001 - \$15,000

Endnotes

Summary of Contents

The 278-T discloses purchases, sales, or exchanges of securities in excess of \$1,000 made on behalf of the filer, the filer's spouse, or dependent child. Transactions are required to be disclosed within 30 days of receiving notification of a transaction but not later than 45 days after the transaction. Filers need not disclose (1) mutual funds and other excepted investment funds; (2) certificates of deposit, savings or checking accounts, and money market accounts; (3) U.S. Treasury bills, notes, and bonds; (4) Thrift Savings Plan accounts; (5) real property; and (6) transactions that are solely by and between the filer, the filer's spouse, and the filer's dependent children.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.
