

# Executive Branch Personnel Public Financial Disclosure Report (OGE Form 278e)

## Filer's Information

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Hale, Christina

Associate Administrator, Office of Communications & Public Liaison, United States Small Business Administration

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Other Federal Government Positions Held During the Preceding 12 Months:

United States Development Finance Corporation, Managing Director, Communications: (12/19/2021 - 08/20/2022)

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Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

**[/s/ Christina Hale \[electronically signed by Christina Hale on 2023-06-04 16:10:33 in FDonline\]](#)**

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Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below)

**[/s/ Marilyn Barnes, Super Administrator \[electronically signed by Marilyn Barnes on 2023-07-11 19:09:47 in FDonline\]](#)**

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Other review conducted by:

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U.S. Office of Government Ethics Certification

## 1. Filer's Positions Held Outside United States Government

#	NAME	CITY, STATE	ORG TYPE	POSITION	FROM	TO
1	Hale Strategy	Indianapolis, IN, US	Consulting Firm	CEO	06/18/2021	Present
2	Indiana Coalition to End Sexual Assault and Human Trafficking	Indianapolis, IN, US	Not for Profit	board member	09/01/2015	Present
3	Indiana University Center on Excellence in Women's Healthcare	Indianapolis, IN, US	Not for Profit	Board member	08/01/2016	03/06/2022
4	Indiana University School of Nursing Board of Advisors	Indianapolis, IN, US	Public University	Board member	10/01/2016	03/06/2022
5	Heartland Film Festival	Indianapolis, IN, US	Not for Profit	board member	08/01/2014	12/31/2022
6	Conner Prairie Living History Museum	Fishers, IN, US	Not for Profit museum	Board Member	01/01/2023	Present

## 2. Filer's Employment Assets and Income

#	Description	EIF	Value	Income Type	Income Amount
1	(Trade or Business) HALE STRATEGY LLC CONSULTING	N/A	\$1,001 - \$15,000		None (or less than \$201)

## 3. Filer's Employment Agreements and Arrangements

None

## 4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

(N/A) - Not required for this type of report

## 5. Spouse's Employment Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	(S) ITPA, INC. (401k) JOHN HANCOCK	No			
1.1	(Mutual Fund) JILAX-JOHN HANCOCK MULTIMANAGER AGGRESSIVE	Yes	\$50,001 - \$100,000		\$5,001 - \$15,000
2	(S) (Trade or Business) MONDAY MCELWEE ALBRIGHT LAW FIRM LAW FIRM	N/A	value not readily ascertainable	PARTNERSHIP DRAWS	\$300,000.00
3	(S) (Trade or Business) ITPA, INC. THIRD PARTY CLAIMS ADMINISTRATOR	N/A	value not readily ascertainable	WAGES	\$80,000.00
4	(S) (Spouse EI) MONDAY MCELWEE ALBRIGHT LAW FIRM INDIANAPOLIS, IN, US	N/A			
5	(S) (Spouse EI) ITPA, INC. INDIANAPOLIS, IN, US	N/A			

## 6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	(IRA) MORGAN STANLEY	N/A			
1.1	(Mutual Fund) FUNCX-PIONEER FUNDAMENTAL GROWTH C	Yes	\$250,001 - \$500,000		\$2,501 - \$5,000
2	(S) (IRA) CHARLES SCHWAB	No			
2.1	(Mutual Fund) AEGFX-AMERICAN FUNDS EUROPACIFIC GROWTH FUND	Yes	\$1,001 - \$15,000		\$201 - \$1,000
2.2	(Stock) LAND-GLADSTONE LAND CORP	Yes	\$1,001 - \$15,000		None (or less than \$201)
2.3	(Stock) ET-ENERGY TRANSFER PARTNERS LP	No	None (or less than \$1,001)		None (or less than \$201)
2.4	(Mutual Fund) NWFFX-AMERICAN FUNDS NEW WORLD FUND CLASS F1	Yes	\$1,001 - \$15,000		None (or less than \$201)
2.5	(Mutual Fund) DFCEX-DFA EMERGING MARKETS CORE EQUITY I	Yes	\$1,001 - \$15,000		\$201 - \$1,000

2.6	(Mutual Fund) SFLNX-SCHWAB FUNDAMENTAL US LG COMPNYT INDEX FUND	Yes	\$1,001 - \$15,000	\$1,001 - \$2,500
2.7	(Mutual Fund) TRBCX-T ROWE PRICE BLUE CHIP GROWTH FUND	Yes	\$1,001 - \$15,000	None (or less than \$201)
2.8	(Mutual Fund) WAGOX-WASATCH GLOBAL OPPORTUNITIES FUND INVESTOR CLASS	Yes	\$1,001 - \$15,000	\$201 - \$1,000
2.9	(ETF) ACES-ALPS CLEAN ENERGY ETF IV	Yes	\$1,001 - \$15,000	None (or less than \$201)
2.10	(ETF) DTEC-ALPS DISRUPTIVE TECHNOLOGIES ETF	Yes	\$1,001 - \$15,000	None (or less than \$201)
2.11	(ETF) RORO-ATAC US ROTATION ETF	Yes	\$1,001 - \$15,000	None (or less than \$201)
2.12	(ETF) SCHM-SCHWAB US MID CAP ETF	Yes	\$1,001 - \$15,000	\$201 - \$1,000
2.13	(ETF) MLPX-GLOBAL X MLP & ENERGY INFRASTRUCTURE ETF	Yes	\$1,001 - \$15,000	None (or less than \$201)
2.14	(ETF) QQQ-INVESCO QQQ TRUST	Yes	\$1,001 - \$15,000	None (or less than \$201)
2.15	(ETF) XMMO-INVESCO S&P MIDCAP MOMENTUM ETF	Yes	\$1,001 - \$15,000	None (or less than \$201)
2.16	(ETF) RZV-INVESCO S&P SMALLCAP 600 PURE VALUE ETF	Yes	\$1,001 - \$15,000	None (or less than \$201)
2.17	(ETF) VNQ-VANGUARD REAL ESTATE ETF IV	Yes	\$1,001 - \$15,000	None (or less than \$201)
2.18	(Cash)	No	None (or less than \$1,001)	None (or less than \$201)
3	(Mutual Fund Invested) American Fundamental INV C Indianapolis, IN, US	No		
3.1	(Stock) MSFT-Microsoft Corp	Yes	\$15,001 - \$50,000	None (or less than \$201)
4	(Mutual Fund Invested) Clearbridge Aggressive GWTH C Indianapolis, IN, US	N/A		
4.1	(Stock) AVGO-Broadcom Inc	Yes	\$15,001 - \$50,000	None (or less than \$201)
5	(Mutual Fund Invested) Franklin Rising Dividends C Indianapolis, IN, US	No		
5.1	(Stock) Microsoft Corp-MFST	Yes	\$15,001 - \$50,000	None (or less than \$201)

6	(Mutual Fund Invested) PIONEER FUNDAMENTAL GROWTH C Indianapolis, IN, US	No		
6.1	(Stock) GOOG-Alphabet Inc Class C	Yes	\$50,001 - \$100,000	None (or less than \$201)
7	(Mutual Fund Invested) American Fundamental Inv A Los Angeles, CA, US	No		
7.1	(Stock) MSFT-Microsoft Corp	Yes	\$1,001 - \$15,000	None (or less than \$201)
8	(Mutual Fund Invested) Clearbridge Aggressive Growth A New York, NY, US	No		
8.1	(Stock) VRTX-Vertex Pharmaceutic	Yes	\$1,001 - \$15,000	None (or less than \$201)
9	(Mutual Fund) AFICX AMERICAN FUNDAMENTAL INV C	Yes	\$15,001 - \$50,000	None (or less than \$201)
10	(Mutual Fund) SAGCX CLEARBRIDGE ADDRESSIVE GWTH C	Yes	\$15,001 - \$50,000	None (or less than \$201)
11	(Mutual Fund) FRDTX FRANKLIN RISING DIVIDENDS C	Yes	\$15,001 - \$50,000	None (or less than \$201)
12	(Mutual Fund) FUNCX PIONEER FUNDAMENTAL GROWTH C	Yes	\$50,001 - \$100,000	None (or less than \$201)
13	(S) (Mutual Fund) FEUPX AMERICAN FUNDS EUROPACIFIC GROWTH FUND	Yes	\$1,001 - \$15,000	\$201 - \$1,000
14	(S) (Mutual Fund) SFCWX AMERICAN FUNDS SMALLCAP WORLD FUND	Yes	\$1,001 - \$15,000	\$1,001 - \$2,500
15	(S) (Mutual Fund) DFCEX DFA EMERGING MARKETS CORE EQUITY PORTFOLIO	Yes	\$15,001 - \$50,000	\$2,501 - \$5,000
16	(S) (Mutual Fund) DFREX DFA REAL ESTATE SECURITIES PORTFOLIO	Yes	\$15,001 - \$50,000	\$201 - \$1,000
17	(S) (Mutual Fund) DFLVX DFA US LARGE CAP VALUE PORFOLIO INSTITUTIONAL CLASS	Yes	\$15,001 - \$50,000	\$1,001 - \$2,500
18	(S) (Mutual Fund) SFNNX SCHWAB FUNDAMENTAL INTL LARGE COMPANY INDEX FUND	Yes	\$1,001 - \$15,000	\$201 - \$1,000
19	(S) (Mutual Fund) SFLNX SCHWAB FUNDAMENTAL US LARGE COMPANY INDEX FUND	Yes	\$1,001 - \$15,000	\$1,001 - \$2,500
20	(S) (Mutual Fund) SFSNX SCHWAB FUNDAMENTAL US SMALL COMPANY INDEX FUND	Yes	\$1,001 - \$15,000	\$1,001 - \$2,500
21	(S) (Mutual Fund) SWPPX SCHWAB S&P 500 INDEX FUND	Yes	\$1,001 - \$15,000	\$201 - \$1,000
22	(S) (Mutual Fund) WAGOX WASATCH GLOBAL OPPORTUNITIES FUND	Yes	\$1,001 - \$15,000	\$201 - \$1,000
				None (or less than

23	(S) (Mutual Fund) TBCIX T. Rowe Price Blue Chip Growth Fund - I Class	Yes	\$1,001 - \$15,000	\$201)
24	(S) (ETF) ALPS CLEAN ENERGY ETF IV	Yes	\$1,001 - \$15,000	None (or less than \$201)
25	(S) (ETF) DIMENSIONAL US TARGETED VALUE ETF	Yes	\$1,001 - \$15,000	\$201 - \$1,000
26	(S) (ETF) FIRST TRUST WATER ETF	Yes	\$1,001 - \$15,000	None (or less than \$201)
27	(S) (ETF) ISHARES US HOME CONSTRUCTION ETF	Yes	\$1,001 - \$15,000	None (or less than \$201)
28	(S) (ETF) JOHN HANCOCK MULTIFACTOR MID CAP ETF	Yes	\$1,001 - \$15,000	None (or less than \$201)
29	(S) (ETF) SPDR S&P 400 MID CAP VALUE ETF	Yes	\$15,001 - \$50,000	\$1,001 - \$2,500
30	(S) (ETF) VANGUARD HEALTH CARE INDEX FUND ETF SHARES	Yes	\$1,001 - \$15,000	None (or less than \$201)
31	(S) (ETF) Wisdomtree International Midcap Dividend Fund	Yes	\$1,001 - \$15,000	None (or less than \$201)
32	(S) (ETF) Wisdomtree International Smallcap Fund	Yes	\$1,001 - \$15,000	None (or less than \$201)
33	(S) (ETF) Wisdomtree Global Ex-US Quality Div Growth Fund	Yes	\$1,001 - \$15,000	None (or less than \$201)
34	(S) (ETF) Global Clean Energy Ishares ETF	Yes	\$1,001 - \$15,000	None (or less than \$201)
35	(S) (ETF) Intl High Divd Yield ETF Vanguard	Yes	\$1,001 - \$15,000	None (or less than \$201)
36	(S) (ETF) Wisdomtree EM Quality Divd Growth Fund	Yes	\$1,001 - \$15,000	None (or less than \$201)
37	(S) (ETF) Wisdomtree US Smallcap Quality Dvid Fund	Yes	\$1,001 - \$15,000	None (or less than \$201)
38	(S) (ETF) Alps Disruptive Technologies ETF	Yes	\$1,001 - \$15,000	None (or less than \$201)
39	(S) (ETF) Gx MLP & Energy Infrastructure ETF	Yes	\$1,001 - \$15,000	None (or less than \$201)
40	(S) (ETF) Nasdaq QQQ Invesco ETF	Yes	\$1,001 - \$15,000	None (or less than \$201)
				None (or less than

41	(S) (ETF) Atac US Rotation ETF	Yes	\$1,001 - \$15,000		\$201)
42	(S) (ETF) S&P Smallcap 600 Pure Value Invesco ETF	Yes	\$1,001 - \$15,000		None (or less than \$201)
43	(S) (ETF) Schwab U.S. Mid Cap ETF	Yes	\$1,001 - \$15,000		None (or less than \$201)
44	(S) (ETF) Real Estate Vanguard ETF	Yes	\$1,001 - \$15,000		None (or less than \$201)
45	(S) (ETF) High Dividend Yield Vanguard ETF	Yes	\$1,001 - \$15,000		None (or less than \$201)
46	(S) (ETF) Intl High Divd Yield ETF Vanguard	Yes	\$1,001 - \$15,000		None (or less than \$201)
47	(S) (ETF) Invesco S&P Midcap Momentum ETF	Yes	\$1,001 - \$15,000		None (or less than \$201)
48	(J) (Cash Deposit/Savings) BMO HARRIS INDIANAPOLIS, IN, US MONEY MARKET SAVINGS	N/A	\$50,001 - \$100,000		None (or less than \$201)
49	(J) (Cash Deposit/Savings) BMO HARRIS INDIANAPOLIS, IN, US CHECKING ACCOUNT	N/A	\$15,001 - \$50,000		None (or less than \$201)
50	(J) (IRE) RENTAL MARCO ISLAND, FL, US	N/A	\$500,001 - \$1,000,000	Rent or Royalties	\$15,001 - \$50,000
51	(Stock) PEP PEPSICO INC NC	N/A	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
52	(Stock) BIP BROOKFIELD INFRA PRNR LP	N/A	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
53	(Stock) BIPC BROOKFIELD INFRASTRUCTURE SB VTG A	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
54	(Stock) EPD ENTERPRISE PROD PRTNRS L.P.	N/A	\$1,001 - \$15,000	Dividends	\$1,001 - \$2,500
55	(Stock) JNJ JOHNSON & JOHNSON	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
56	(S) (Stock) T AT&T Inc.	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
57	(S) (Stock) ACRDF ACREAGE HOLDINGS INC.	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
58	(S) (Stock) ACRHF ACREAGE HOLDINGS INC.	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
59	(S) (Stock) AAPL APPLE INC.	N/A	\$15,001 - \$50,000	Dividends	None (or less than \$201)

60	(S) (Stock) CVX CHEVRON CORP.	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
61	(S) (Stock) ET ENERGY TRANSFER PARTNERS LP	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
62	(S) (Stock) EPD ENTERPRISE PRODUCTS PARTNERS LP	N/A	\$15,001 - \$50,000	Dividends	\$2,501 - \$5,000
63	(S) (Stock) F FORD MOTOR COMPANY	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
64	(S) (Stock) HIG HARTFORD FINANCIAL SERVICES GROUP	N/A	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
65	(S) (Stock) LLY ELI LILLY AND CO	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
66	(Stock) NOT PUBLICALLY TRADED DRONEDEK	N/A	\$100,001 - \$250,000	Capital Gains	None (or less than \$201)
67	(S) (Stock) RIVN Rivian Automotive Inc Cl A	N/A	\$1,001 - \$15,000		None (or less than \$201)
68	(S) (Stock) WBD Discovery Inc Series A	N/A	None (or less than \$1,001)		None (or less than \$201)
69	(S) (Stock) LAND Gladstone Land Corp	N/A	\$1,001 - \$15,000		None (or less than \$201)

## 7. Transactions

#	DESCRIPTION	TYPE	DATE	AMOUNT
1	NWFFX - American Funds New World Fund Cl F-1Shs	sale	05/19/2023	\$1,001 - \$15,000
2	SFLNX - Schwab Fundamental US Lg Company Index Fund Inst	sale	05/19/2023	\$1,001 - \$15,000
3	DIM - Wisdomtree Intl Midcap Dividend ETF	purchase	05/19/2023	\$1,001 - \$15,000
4	DNL-Wisdomtree GLB EX US ETF	purchase	05/19/2023	\$1,001 - \$15,000
5	VYM - Vanguard High Dividend Yield ETC	purchase	05/19/2023	\$1,001 - \$15,000
6	DGRS-Wisdomtree US Smlcp Qlt Div Grw ETF	purchase	05/19/2023	\$1,001 - \$15,000
7	DGRE- Wisdomtree Emerging Market ETF	purchase	05/19/2023	\$1,001 - \$15,000
8	AEGFX - American Funds Europacific Growth Cl F-1 Shs	sale	10/12/2022	\$1,001 - \$15,000
9	VYMI - Vanguard ETF	purchase	10/12/2022	\$1,001 - \$15,000
10	TBCIX - T. Rowe Price Blue Chip Growth Fund - I Class	purchase	09/22/2022	\$1,001 - \$15,000



11	TRBCX - T. Rowe Price Blue Chip Growth Fund Inc.	sale	09/22/2022	\$1,001 - \$15,000
12	ET - Energy Transfer LP	purchase	03/07/2022	\$1,001 - \$15,000
13	WAGOX - Wasatch Global Opportunities Fund	sale	12/20/2022	\$1,001 - \$15,000
14	SFCWX - Smallcap World Fund Inc. - Class F-3	sale	12/20/2022	\$1,001 - \$15,000
15	FEUPX - Europacific Growth Fund - Class F-3	sale	12/20/2022	\$1,001 - \$15,000
16	DNL - ETF	purchase	12/20/2022	\$1,001 - \$15,000
17	DIM - ETF	purchase	12/20/2022	\$1,001 - \$15,000
18	DLS - ETF	purchase	12/20/2022	\$1,001 - \$15,000
19	ICLN - ETF	purchase	12/20/2022	\$1,001 - \$15,000
20	VYMI - ETF	purchase	12/20/2022	\$1,001 - \$15,000
21	ACES - ETF	sale	12/20/2022	\$1,001 - \$15,000
22	RIVN - Rivian Automotive Inc Cl A	purchase	04/07/2022	\$1,001 - \$15,000
23	EPD - Enterprise Products Partners LP	purchase	03/07/2022	\$1,001 - \$15,000

## 8. Liabilities

#	CREDITOR NAME	TYPE OF LIABILITY	AMOUNT	YEAR INCURRED	INTEREST RATE	TERM
1	CROSS COUNTRY MORTGAGE; LAKE ZURICH, IL, US	MORTGAGE	\$250,001 - \$500,000	2021	3.125	30 YEAR

## 9. Gifts and Reimbursements

None

## Endnotes

<b>PART</b>	<b>#</b>	<b>ENDNOTE</b>
2	1	NON OPERATING LLC
6	1	ESTIMATED ANNUAL INCOME IS \$4,110.26
6	50	RENTAL PROPERTY
8	1	MORTGAGE ON RENTAL PROPERTY

## Summary of Contents

### 1. Filer's Positions Held Outside United States Government

Part 1 discloses the following: Positions held by the filer at any time during the reporting period (excluding positions with the United States Government). Reportable positions include those of an officer, director, trustee, general partner, proprietor, representative, employee, or consultant of any for-profit or non-profit organization (whether compensated or uncompensated).

### 2. Filer's Employment Assets and Income

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities if the value of the asset at the end of the reporting period exceeded \$1,000 or if more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, anticipated payments such as severance payments, deferred compensation, and intellectual property, such as book deals and patents).

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

### 3. Filer's Employment Agreements and Arrangements

Part 3 discloses the following: Agreements or arrangements that the filer had during the reporting period for the following:

- Continuing participation in an employee welfare or benefit plan maintained by a former employer
- Leave of absence
- Future employment
- Continuation of payments by a former employer (e.g., severance payments)

### 4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period. The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

### 5. Spouse's Employment Assets and Income

Part 5 discloses the following:

- Source of earned income (excluding honoraria) for the filer's spouse over \$1,000 during the reporting period.
- Sources of honoraria for the filer's spouse over \$200 during the reporting period.

- Assets related to the spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents).

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

## 6. Other Assets and Income

Part 6 discloses each asset, not already reported, that (1) ended the reporting period with a value greater than \$1,000 or (2) produced more than \$200 in investment income during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children. This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 of income was produced). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

## 7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during reporting period. This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

## 8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period. This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

## 9. Gifts and Travel Reimbursements

Part 9 discloses the following:

- Gifts totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$166 or less. Regardless of the value, this section does not include the following items:

1. anything received from relatives;
2. anything received from the United States Government or from the District of Columbia, state, or local governments;
3. bequests and other forms of inheritance;
4. gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel;
5. gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and

6. anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

## Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made:

1. to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18;
2. to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation;
3. to another Federal agency, court or party in a court or Federal administrative proceeding when the Government is a party or in order to comply with a judge-issued subpoena;
4. to a source when necessary to obtain information relevant to a conflict of interest investigation or determination;
5. to the National Archives and Records Administration or the General Services Administration in records management inspections;
6. to the Office of Management and Budget during legislative coordination on private relief legislation;
7. to the Department of Justice or in certain legal proceedings when OGE, an employee of OGE, or the United States is a party to litigation or has an interest in the litigation and the use of such records is deemed relevant and necessary to the litigation;
8. to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another;
9. to a Member of Congress or a congressional office in response to an inquiry made on behalf of an individual who is the subject of the record;
10. to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records;
11. on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation;
12. on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE;
13. on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order;
14. to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm;
15. to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security.

See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

## Public Burden Information

This collection of information is estimated to take an average of ten hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE), Suite 500, 1201 New York Avenue, NW., Washington, DC 20005-3917.

Pursuant to the Paperwork Reduction Act, as amended, an agency may not conduct or sponsor, and no person is required to respond to, a collection of information unless it displays a currently valid OMB control number (that number, 3209-0001, is displayed here and at the top of the first page of this OGE Form 278e).

