Annual Report 2017 | U.S. Office of Government Ethics; 5 C.F.R. part 2634 | Form Approved: OMB No. (3209-0001) (March 2014)

Executive Branch Personnel Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

Bitter, Rena

Ambassdor to the Lao People's Democratic Republic, Department of State

Report Year: 2017

Other Federal Government Positions Held During the Preceding 12 Months:

Consul General of US Consulate Ho Chi Minh City, Vietnam (9/2013 - 7/2016)

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Bitter, Rena [electronically signed on 05/12/2017 by Bitter, Rena in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Huitema, David, Certifying Official [electronically signed on 08/25/2017 by Huitema, David in Integrity.gov]

Other review conducted by

/s/ Mann, Judy, Ethics Official [electronically signed on 08/04/2017 by Mann, Judy in Integrity.gov]

U.S. Office of Government Ethics Certification

/s/ Skalla, Daniel L, Certifying Official [electronically signed on 08/25/2017 by Skalla, Daniel L in Integrity.gov]

Data Revised 08/24/2017

Data Revised 08/02/2017

Data Revised 07/20/2017

Data Revised 06/15/2017

1. Filer's Positions Held Outside United States Government

None

2. Filer's Employment Assets & Income and Retirement Accounts

None

3. Filer's Employment Agreements and Arrangements

None

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

(N/A) - Not required for this type of report

5. Spouse's Employment Assets & Income and Retirement Accounts

None

6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Personal Savings Account, State Department Federal Credit Union	N/A	\$1,001 - \$15,00	0	None (or less than \$201)
2	Loan to Family Member	N/A	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000

#	DESCRIPTION	EIF	VALUE INCOME	TYPE INCOME AMOUNT
3	Ishares Msci EAFE ETF(EFA)	Yes	\$250,001 - \$500,000	\$2,501 - \$5,000
4	lshares IBOXX \$ Investment Grade Corp BD (LQD)	Yes	\$50,001 - \$100,000	None (or less than \$201)
5	Ishares TIPS Bond ETF(TIP)	Yes	\$15,001 - \$50,000	None (or less than \$201)
6	Ishares 3-7 Yr Treasury Bond ETF(IEI)	Yes	\$15,001 - \$50,000	None (or less than \$201)
7	Ishares MBS ETF(MBB)	Yes	\$100,001 - \$250,000	\$201 - \$1,000
8	Ishares Core MSCI Emerging Markets ETF(IEMG)	Yes	\$1,001 - \$15,000	None (or less than \$201)
9	PowerShares Emerging Markets Sovereign Debt Portfolio(PCY)	Yes	\$15,001 - \$50,000	None (or less than \$201)
10	PowerShares Preferred Portfolio(PGX)	Yes	\$1,001 - \$15,000	None (or less than \$201)
11	ML Bank Deposit Program(AMDA)		\$15,001 - Interest \$50,000	None (or less than \$201)
12	SPDR Bloomberg Barclays High Yield Bond ETF(JNK)	Yes	\$15,001 - \$50,000	None (or less than \$201)
13	Vaneck Vectors JP Morgan EM Local Currency Bond ETF(EMLC)	Yes	\$1,001 - \$15,000	None (or less than \$201)
14	Vanguard Small-Cap Value Index Fund; ETF Class Shares(VBR)	Yes	\$15,001 - \$50,000	None (or less than \$201)
15	Vanguard Small-Cap Growth Index Fund; ETF Class Shares(VBK)	Yes	\$15,001 - \$50,000	None (or less than \$201)
16	Vanguard Value Index Fund; ETF Shares(VTV)	Yes	\$250,001 - \$500,000	\$5,001 - \$15,000
17	Vanguard Growth Index Fund; ETF Class Shares(VUG)	Yes	\$250,001 - \$500,000	\$5,001 - \$15,000
18	Vanguard Intermediate-Term Bond Index Fund (BIV)	Yes	\$100,001 - \$250,000	\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE INC	COME TYPE	INCOME AMOUNT
19	Vanguard Short-Term Bond Index Fund (BSV)	Yes	\$50,001 - \$100,000		None (or less than \$201)
20	Western Asset Core Plus Bond Fund; Class I (WACPX)	Yes	None (or less than \$1,001)		\$201 - \$1,000
21	Ishares IBOXX \$ High Yield Corporate Bond (HYG)	Yes	None (or less than \$1,001)		None (or less than \$201)
22	Maine Blackrock 529 Ages 0-7	Yes	\$1,001 - \$15,000		None (or less than \$201)
23	US bank, cash account	No	\$1,001 - \$15,000 Inte	erest	None (or less than \$201)
24	Merrill Lynch IRA -cash		\$1,001 - \$15,000		None (or less than \$201)
25	Maine 529 Plan-Blackrock 0-7	Yes	\$1,001 - \$15,000		None (or less than \$201)
26	Maine 529 Plan-Blackrock age 0-7	Yes	\$1,001 - \$15,000		None (or less than \$201)
27	Maine 529 PlanBlackrock age 0-7	Yes	\$1,001 - \$15,000		None (or less than \$201)
28	Maine 529 PlanBlackrock age 0-7	Yes	\$1,001 - \$15,000		None (or less than \$201)

7. Transactions

#	DESCRIPTION	TYPE	DATE	AMOUNT
1	Vanguard Intermediate-Term Bond Index Fund; ETF Shares(BIV)	Purchase	1/22/16	\$50,001 - \$100,000
2	Vanguard Intermediate-Term Bond Index Fund; ETF Shares(BIV)	Purchase	2/2/16	\$15,001 - \$50,000
3	Vanguard Short-Term Bond Index Fund; ETF Shares(BSV)	Purchase	2/2/16	\$50,001 - \$100,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
4	Columbia Emerging Markets Consumer ETF (ECON)	Purchase	1/22/16	\$1,001 - \$15,000
5	lshares Msci EAFE ETF(EFA)	Purchase	1/22/16	\$100,001 - \$250,000
6	Ishares Msci EAFE ETF(EFA)	Purchase	2/2/16	\$1,001 - \$15,000
7	VanEck Vectors JP Morgan EM Local Currency Bond ETF(EMLC)	Purchase	1/22/16	\$1,001 - \$15,000
8	Ishares 3-7 Yr Treasury Bond ETF(IEI)	Purchase	1/22/16	\$15,001 - \$50,000
9	iShares Core MSCI Emerging Markets ETF(IEMG)	Purchase	1/22/16	\$1,001 - \$15,000
10	iShares Core MSCI Emerging Markets ETF(IEMG)	Purchase	1/22/16	\$1,001 - \$15,000
11	SPDR Bloomberg Barclays High Yield Bond ETF(JNK)	Purchase	1/22/16	\$15,001 - \$50,000
12	lshares IBOXX \$ Investment Grade Corp Bond(LQD)	Purchase	1/22/16	\$15,001 - \$50,000
13	lshares IBOXX \$ Investment Grade Corp Bond(LQD)	Purchase	2/2/16	\$15,001 - \$50,000
14	lshares IBOXX \$ Investment Grade Corp Bond(LQD)	Purchase	1/22/16	\$50,001 - \$100,000
15	PowerShares Emerging Markets Sovereign Debt Portfolio(PCY)	Purchase	1/22/16	\$15,001 - \$50,000
16	PowerShares Emerging Markets Sovereign Debt Portfolio(PCY)	Purchase	2/2/16	\$1,001 - \$15,000
17	Powershares Preferred Portfolio(PGX)	Purchase	2/2/16	\$1,001 - \$15,000
18	Powershares Preferred Portfolio(PGX)	Purchase	1/22/16	\$1,001 - \$15,000
19	lshares TIPS Bond ETF(TIP)	Purchase	1/22/16	\$15,001 - \$50,000
20	lshares TIPS Bond ETF(TIP)	Purchase	2/2/16	\$1,001 - \$15,000
21	Vanguard Small-Cap Growth Index Fund; ETF Class Shares(VBK)	Purchase	1/22/16	\$15,001 - \$50,000

DESCRIPTION	TYPE	DATE	AMOUNT
Vanguard Small Cap Value ETF(VBR)	Purchase	1/22/16	\$1,001 - \$15,000
Vanguard Value Index Fund; ETF Shares(VTV)	Purchase	1/22/16	\$250,001 - \$500,000
Vanguard Value Index Fund; ETF Shares(VTV)	Purchase	2/2/16	\$1,001 - \$15,000
Vanguard Growth Index Fund; ETF Class Shares(VUG)	Purchase	1/22/16	\$250,001 - \$500,000
Vanguard Growth Index Fund; ETF Class Shares(VUG)	Purchase	2/2/16	\$1,001 - \$15,000
EuroPacific Growth Fund; Class F-2 Shares(AEPFX)	Sale	1/22/16	\$100,001 - \$250,000
BlackRock Multi-Asset Income Portfolio; Institutional Shares(BIICX)	Sale	1/22/16	\$15,001 - \$50,000
BlackRock Strategic Income Opportunities Portfolio; Institutional Shares(BSIIX)	Sale	1/22/16	\$100,001 - \$250,000
Dodge & Cox Income Fund (DODIX)	Sale	1/22/16	\$15,001 - \$50,000
Columbia Emerging Markets Consumer ETF (ECON)	Sale	2/2/16	\$1,001 - \$15,000
Ishares Msci EAFE ETF(EFA)	Sale	1/22/16	\$100,001 - \$250,000
VanEck Vectors JP Morgan EM Local Currency Bond ETF(EMLC)	Sale	2/2/16	\$1,001 - \$15,000
lshares 3-7 Yr Treasury Bond ETF(IEI)	Sale	2/2/16	\$1,001 - \$15,000
Vanguard S&P Mid-Cap 400 Index Fund; ETF Shares(IVOO)	Sale	1/22/16	\$50,001 - \$100,000
Ishares Russell 2000 Value ETF(IWN)	Sale	1/22/16	\$50,001 - \$100,000
SPDR Bloomberg Barclays High Yield Bond ETF(JNK)	Sale	2/2/16	\$1,001 - \$15,000
lshares MBS ETF(MBB)	Sale	2/2/16	\$1,001 - \$15,000
Osterweis Strategic Income Fund (OSTIX)	Sale	1/22/16	\$1,001 - \$15,000
_	Vanguard Small Cap Value ETF (VBR) Vanguard Value Index Fund; ETF Shares (VTV) Vanguard Growth Index Fund; ETF Class Shares (VUG) Vanguard Growth Index Fund; ETF Class Shares (VUG) Vanguard Growth Index Fund; ETF Class Shares (VUG) EuroPacific Growth Fund; Class F-2 Shares (AEPFX) BlackRock Multi-Asset Income Portfolio; Institutional Shares (BIICX) BlackRock Strategic Income Opportunities Portfolio; Institutional Shares (BSIIX) Dodge & Cox Income Fund (DODIX) Columbia Emerging Markets Consumer ETF (ECON) Ishares Msci EAFE ETF (EFA) VanEck Vectors JP Morgan EM Local Currency Bond ETF (EMLC) Ishares 3-7 Yr Treasury Bond ETF (IEI) Vanguard S&P Mid-Cap 400 Index Fund; ETF Shares (IVOO) Ishares Russell 2000 Value ETF (IWN) SPDR Bloomberg Barclays High Yield Bond ETF (JNK) Ishares MBS ETF (MBB)	Vanguard Small Cap Value ETF (VBR) Purchase Vanguard Value Index Fund; ETF Shares (Purchase Vanguard Value Index Fund; ETF Shares (Purchase Vanguard Growth Index Fund; ETF Class Shares (VUG) Purchase Vanguard Growth Index Fund; ETF Class Shares (VUG) Purchase EuroPacific Growth Fund; Class F-2 Shares (Sale AEPFX) Sale BlackRock Multi-Asset Income Portfolio; Institutional Shares (BICX) Sale BlackRock Strategic Income Opportunities Portfolio; Institutional Shares (BSIIX) Sale Dodge & Cox Income Fund (DODIX) Sale Columbia Emerging Markets Consumer ETF (ECON) Sale Ishares Msci EAFE ETF (EFA) Sale VanEck Vectors JP Morgan EM Local Currency Bond ETF (EMLC) Sale Ishares 3-7 Yr Treasury Bond ETF (IEI) Sale Vanguard S&P Mid-Cap 400 Index Fund; ETF Shares (IVOO) Sale Ishares Russell 2000 Value ETF (IWN) Sale SPDR Bloomberg Barclays High Yield Bond ETF (JNK) Sale Ishares MBS ETF (MBB) Sale	Vanguard Small Cap Value ETF (VBR)Purchase1/22/16Vanguard Value Index Fund; ETF Shares (VTV)Purchase1/22/16Vanguard Value Index Fund; ETF Shares (VTV)Purchase2/2/16Vanguard Growth Index Fund; ETF Class Shares (VUG)Purchase1/22/16Vanguard Growth Index Fund; ETF Class Shares (VUG)Purchase2/2/16EuroPacific Growth Fund; Class F-2 Shares (AEPFX)Sale1/22/16BlackRock Multi-Asset Income Portfolio; Institutional Shares (BIICX)Sale1/22/16BlackRock Strategic Income Opportunities Portfolio; Institutional Shares (BSIIX)Sale1/22/16Dodge & Cox Income Fund (DODIX)Sale1/22/16Columbia Emerging Markets Consumer ETF (ECON)Sale2/2/16Ishares Msci EAFE ETF (EFA)Sale2/2/16VanEck Vectors IP Morgan EM Local Currency Bond ETF (EMLC)Sale2/2/16Vanguard S&P Mid-Cap 400 Index Fund; ETF Shares (IVOO)Sale1/22/16Ishares Russell 2000 Value ETF (IWN)Sale1/22/16SPDR Bloomberg Barclays High Yield Bond ETF (JNK)Sale2/2/16Ishares MBS ETF (MBB)Sale2/2/16

#	DESCRIPTION	ТҮРЕ	DATE	AMOUNT
40	PowerShares FTSE RAFI US 1000 Portfolio(PRF)	Sale	1/22/16	\$100,001 - \$250,000
41	TCW Total Return Bond Fund; Class I Shares (TGLMX)	Sale	1/22/16	\$50,001 - \$100,000
42	Vanguard Total Stock Market Index Fund; Admiral Class Shares(VTSAX)	Sale	1/22/16	\$100,001 - \$250,000
43	Western Asset Core Plus Bond Fund; Class l Shares(WACPX)	Sale	1/22/16	\$100,001 - \$250,000
44	Vanguard Intermediate-Term Bond Index Fund; ETF Shares(BIV)	Purchase	2/17/16	\$1,001 - \$15,000
45	Vanguard Intermediate-Term Bond Index Fund; ETF Shares(BIV)	Purchase	2/11/16	\$15,001 - \$50,000
46	Vanguard Short Term Bond(BSV)	Purchase	2/17/16	\$1,001 - \$15,000
47	Vanguard Short Term Bond(BSV)	Purchase	2/11/16	\$15,001 - \$50,000
48	VanEck Vectors JP Morgan EM Local Currency Bond ETF(EMLC)	Purchase	2/11/16	\$1,001 - \$15,000
49	lshares 3-7 Yr Treasury Bond ETF(IEI)	Purchase	2/17/16	\$1,001 - \$15,000
50	Ishares 3-7 Yr Treasury Bond ETF(IEI)	Purchase	2/11/16	\$1,001 - \$15,000
51	iShares Core MSCI Emerging Markets ETF(IEMG)	Purchase	2/11/16	\$1,001 - \$15,000
52	SPDR Bloomberg Barclays High Yield Bond ETF(JNK)	Purchase	2/11/16	\$1,001 - \$15,000
53	lshares IBOXX \$ Investment Grade Corp Bond(LQD)	Purchase	2/17/16	\$1,001 - \$15,000
54	Ishares IBOXX \$ Investment Grade Corp Bond(LQD)	Purchase	2/11/16	\$1,001 - \$15,000
55	Ishares MBS ETF(MBB)	Purchase	2/17/16	\$1,001 - \$15,000
56	Ishares MBS ETF(MBB)	Purchase	2/11/16	\$15,001 - \$50,000
57	PowerShares Emerging Markets Sovereign Debt Portfolio(PCY)	Purchase	2/17/16	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
58	PowerShares Emerging Markets Sovereign Debt Portfolio(PCY)	Purchase	2/11/16	\$1,001 - \$15,000
59	PowerShares Preferred Portfolio(PGX)	Purchase	2/17/16	
60	PowerShares Preferred Portfolio(PGX)	Purchase	2/11/16	\$1,001 - \$15,000
61	Ishares TIPS Bond ETF(TIP)	Purchase	2/17/16	\$1,001 - \$15,000
62	Ishares TIPS Bond ETF(TIP)	Purchase	2/11/16	\$1,001 - \$15,000
63	Vanguard Small-Cap Growth Index Fund; ETF Class Shares(VBK)	Purchase	2/17/16	\$1,001 - \$15,000
64	Vanguard Small-Cap Growth Index Fund; ETF Class Shares(VBK)	Purchase	2/11/16	\$1,001 - \$15,000
65	Vanguard Small Cap Value ETF(VBR)	Purchase	2/17/16	\$1,001 - \$15,000
66	Vanguard Small Cap Value ETF(VBR)	Purchase	2/11/16	\$1,001 - \$15,000
67	Vanguard Value Index Fund; ETF Shares(VTV)	Purchase	2/17/16	\$15,001 - \$50,000
68	Vanguard Value Index Fund; ETF Shares(VTV)	Purchase	2/11/16	\$100,001 - \$250,000
69	Vanguard Growth Index Fund; ETF Class Shares(VUG)	Purchase	2/17/16	\$15,001 - \$50,000
70	Vanguard Growth Index Fund; ETF Class Shares(VUG)	Purchase	2/11/16	\$100,001 - \$250,000
71	EuroPacific Growth Fund; Class F-2 Shares(AEPFX)	Sale	2/11/16	\$50,001 - \$100,000
72	BlackRock Multi-Asset Income Portfolio; Institutional Shares(BIICX)	Sale	2/11/16	\$15,001 - \$50,000
73	BlackRock Multi-Asset Income Portfolio; Institutional Shares(BIICX)	Sale	2/11/16	\$15,001 - \$50,000
74	Dodge & Cox Income Fund (DODIX)	Sale	2/11/16	\$15,001 - \$50,000
75	lshares Msci EAFE ETF(EFA)	Sale	2/17/16	\$1,001 - \$15,000
76	Ishares Msci EAFE ETF(EFA)	Sale	2/11/16	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
77	Vanguard S&P Mid-Cap 400 Index Fund; ETF Shares(IVOO)	Sale	2/11/16	\$15,001 - \$50,000
78	Ishares Russell 2000 Value ETF(IWN)	Sale	2/11/16	\$15,001 - \$50,000
79	Osterweis Strategic Income Fund (OSTIX)	Sale	2/11/16	\$1,001 - \$15,000
80	PowerShares FTSE RAFI US 1000 Portfolio(PRF)	Sale	2/11/16	\$50,001 - \$100,000
81	TCW Total Return Bond Fund; Class I Shares (TGLMX)	Sale	2/11/16	\$15,001 - \$50,000
82	Vanguard Total Stock Market Index Fund; Admiral Class Shares(VTSAX)	Sale	2/11/16	\$50,001 - \$100,000
83	Western Asset Core Plus Bond Fund; Class l Shares(WACPX)	Sale	2/11/16	\$50,001 - \$100,000
84	Vanguard Value Index Fund; ETF Shares(VTV)	Purchase	11/28/16	\$1,001 - \$15,000
85	Vanguard Growth Index Fund; ETF Class Shares(VUG)	Purchase	11/18/16	\$1,001 - \$15,000
86	Blackrock Age 0 to 7 Years(NAABB)	Purchase	11/29/16	\$1,001 - \$15,000
87	Blackrock Age 0 to 7 Years(NAABB)	Purchase	11/29/16	\$1,001 - \$15,000
88	Blackrock Age 0 to 7 Years(NAABB)	Purchase	11/19/16	\$1,001 - \$15,000
89	Blackrock Age 0 to 7 Years(NAABB)	Purchase	11/29/2016	\$1,001 - \$15,000

8. Liabilities

None

9. Gifts and Travel Reimbursements

Endnotes

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities that (1) ended the reporting period with a value greater than \$1,000 or (2) produced more than \$200 in income during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities that (1) ended the reporting period with a value greater than \$1,000 or (2) produced more than \$200 in income during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, that (1) ended the reporting period with a value greater than \$1,000 or (2) produced more than \$200 in investment income during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 of income was produced). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$375 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$375 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$150 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person. subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to another Federal agency, court or party in a court or Federal administrative proceeding when the Government is a party or in order to comply with a judge-issued subpoena; (4) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (5) to the National Archives and Records Administration or the General Services Administration in records management inspections; (6) to the Office of Management and Budget during legislative coordination on private relief legislation; (7) to the Department of Justice or in certain legal proceedings when the disclosing agency, an employee of the disclosing agency, or the United States is a party to litigation or has an interest in the litigation and the use of such records is deemed relevant and necessary to the litigation; (8) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another: (9) to a Member of Congress or a congressional office in response to an inquiry made on behalf of an individual who is the subject of the record; (10) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to an OGE Government-wide system of records; and (11) on the OGE Website and to any person, department or agency, any written ethics agreement filed with OGE by an individual nominated by the President to a position requiring Senate confirmation. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

Public Burden Information

This collection of information is estimated to take an average of three hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE), Suite 500, 1201 New York Avenue, NW., Washington, DC 20005-3917.

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