

# Executive Branch Personnel Public Financial Disclosure Report (OGE Form 278e)

## Filer's Information

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Fowler, Elizabeth

Director, Centers for Medicare and Medicaid Innovation, CMS, Department of Health & Human Services

Report Year: 2022

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Other Federal Government Positions Held During the Preceding 12 Months:

None

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Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Fowler, Elizabeth [electronically signed on 05/06/2022 by Fowler, Elizabeth in Integrity.gov]

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Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ CardMina, Mary, Certifying Official [electronically signed on 06/09/2022 by CardMina, Mary in Integrity.gov]

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Other review conducted by

/s/ Frankston-Morris, Julia, Ethics Official [electronically signed on 06/08/2022 by Frankston-Morris, Julia in Integrity.gov]

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U.S. Office of Government Ethics Certification

## 1. Filer's Positions Held Outside United States Government

#	ORGANIZATION NAME	CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
1	The Commonwealth Fund	New York, New York	Non-Profit	Executive Vice President	7/2019	3/2021
2	David Winston Health Policy Fellowship	Washington, District of Columbia	Non-Profit	Member, board of directors	1/2014	3/2021
3	Medicare Rights Center	New York, New York	Non-Profit	Member, board of directors	11/2019	3/2021
4	Grantmakers in Health	Washington, District of Columbia	Non-Profit	Member, board of directors	3/2021	3/2021
5	USC Leonard D. Schaeffer Center for Health Policy & Economics	Los Angeles, California	University/College	Board of Advisors	10/2019	3/2021
6	The Zetema Project	San Francisco, California	Non-Profit	Panelist	1/2017	3/2021

## 2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Traditional IRA	No			
1.1	Fidelity Balanced Fund (FBALX)	Yes	\$1,000,001 - \$5,000,000		None (or less than \$201)
2	Roth IRA	No			
2.1	Fidelity Balanced Fund (FBALX)	Yes	\$15,001 - \$50,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3	The Commonwealth Fund	No		Salary	\$100,000
3.1	TIAA-CREF Lifecycle Index 2030 Fund	Yes	\$100,001 - \$250,000		None (or less than \$201)
4	Johnson & Johnson, defined benefit plan (value not readily ascertainable) Eligible for \$1500/mo at age 60	N/A			None (or less than \$201)
5	Johnson & Johnson excess pension plan (value not readily attainable) Eligible for \$813/mo at age 55	N/A			
6	Johnson & Johnson retirement plan	No			
6.1	Target Retirement 2030 Fund	Yes	\$50,001 - \$100,000		None (or less than \$201)
6.2	U.S. Small Cap	Yes	\$50,001 - \$100,000		None (or less than \$201)
6.3	Russell 3000 Index	Yes	\$50,001 - \$100,000		None (or less than \$201)
6.4	International equity	Yes	\$15,001 - \$50,000		None (or less than \$201)

### 3. Filer's Employment Agreements and Arrangements

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
1	Commonwealth Fund	New York, New York	I will continue to participate in this defined contribution plan, but the plan sponsor no longer makes contributions.	7/2019
2	Johnson & Johnson	New Brunswick, New Jersey	I will continue to participate in this defined benefit plan.	12/2012
3	Johnson & Johnson	New Brunswick, New Jersey	I will continue to participate in this excess pension plan.	12/2012

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
4	Johnson & Johnson	New Brunswick, New Jersey	I will continue to participate in this defined contribution plan, but the plan sponsor no longer makes contributions.	12/2012

#### 4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

(N/A) - Not required for this type of report

#### 5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Traditional IRA	No			
1.1	Fidelity Balanced Fund (FBALX)	Yes	\$500,001 - \$1,000,000		None (or less than \$201)

#### 6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Fidelity Government Money Market Fund (SPAXX)	Yes	\$1,001 - \$15,000		\$1,001 - \$2,500
2	Fidelity Blue Chip Growth Fund (FBGRX)	Yes	\$50,001 - \$100,000		None (or less than \$201)
3	Vanguard Mega Cap Growth Index Fund ETF Shares (MGK)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
4	Vanguard Mega Cap Value Index Fund ETF Shares (MGV)	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
5	Invesco S&P 500 Quality ETF (SPHQ)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
6	TempletonGlobal Total Return Fund Advisor Class Shares (TTRZX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
7	Vanguard Developed Markets Index Fund ETF Shares (VEA)	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
8	SPDR S&P Aerospace & Defense ETF (XAR)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
9	Technology Select Sector SPDR Fund (XLK)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
10	Vanguard Financials Index Fund ETF Shares (VFH)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
11	Fidelity Total Market Index Fund (FSKAX)	Yes	\$50,001 - \$100,000		\$15,001 - \$50,000
12	FIDELITY GLOBAL EX US INDEX FUND (FSGGX)	Yes	\$50,001 - \$100,000		\$2,501 - \$5,000
13	Fidelity Municipal Bond Index Fund (FMBIX)	Yes	\$250,001 - \$500,000		\$201 - \$1,000
14	Fidelity Total Market Index Fund (FSKAX)	Yes	\$250,001 - \$500,000		\$15,001 - \$50,000
15	FIDELITY GLOBAL EX US INDEX FUND (FSGGX)	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
16	Fidelity Municipal Bond Index Fund (FMBIX)	Yes	\$250,001 - \$500,000		\$201 - \$1,000
17	Moderna (MRNA)	N/A	\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000
18	NVIDIA Corporation (NVDA)	N/A	\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000
19	Johnson & Johnson (JNJ)	N/A	\$50,001 - \$100,000	Capital Gains	\$15,001 - \$50,000
20	Birdville Tex Indpt Sch Dist	N/A	\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
21	Dauphin Cnty PA Gen Auth Health Sys Rev	N/A	\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000
22	Grand Rapids Mich San Swr Sys Rev	N/A	\$15,001 - \$50,000	Capital Gains	\$201 - \$1,000
23	King Cnty Wash Swr Rev Bds Ser	N/A	\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000
24	Maricopa Cnty Ariz Indl Dev Auth Rev	N/A	\$15,001 - \$50,000	Capital Gains	\$201 - \$1,000
25	Miami Cnty Ohio HospFacs Rev Impt	N/A	\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000
26	Miami Dade Cnty Fla Pub FACS Rev	N/A	\$1,001 - \$15,000		\$201 - \$1,000
27	Ohio St Tpk Commn Tpk Rev Bds	N/A	\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000
28	Palm Beach Cnty Fla Health FACS Auth	N/A	\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000
29	Washington St Healthcare FACS Auth Rev	N/A	\$15,001 - \$50,000	Capital Gains	\$1,001 - \$2,500
30	Illinois Fin Auth Rev Ref Bds	N/A	\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000
31	Pennsylvania St Higher Edl FACS Auth	N/A	\$15,001 - \$50,000	Capital Gains	\$201 - \$1,000
32	Pennsylvania St Tpk Commn Tpk Rev	N/A	\$15,001 - \$50,000		\$201 - \$1,000
33	South Carolina Jobs Econ Dev	N/A	\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000
34	St Paul MN HSG & Redev Auth Health	N/A	\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000
35	University Ill Univ Revs Auxiliary FACS	N/A	\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000
36	Wayne St Univ Mich Univ Revs Gen Bds	N/A	\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000
37	Broward Cnty Fla SchBrd CTFS Partn	N/A	\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000
38	Colorado Health FACS Auth Rev Bds	N/A	\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000
39	Corpus Christi Tex Util Sys Rev Jr Lieno	N/A	\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
40	Greater Orlando Aviation Auth	N/A	\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000
41	Mansfield Tex Indpt Sch Dist Unltd Tax	N/A	\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000
42	New York St Dorm Auth St Pers Income	N/A	\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000
43	Roanoke Va Go Pub Impt Bds Ser	N/A	\$15,001 - \$50,000	Capital Gains	\$201 - \$1,000
44	Roseville Mich Cmnty Schs Go Ref Bds Ser	N/A	\$15,001 - \$50,000	Capital Gains	\$201 - \$1,000
45	South Lyon Mich Cmnty Schs Go Ultd	N/A	\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000
46	Tampa Fla Hosp Rev Ref Bds	N/A	\$15,001 - \$50,000	Capital Gains	\$201 - \$1,000
47	Warren Mich Cons Sch Dist Unltd Tax	N/A	\$15,001 - \$50,000	Capital Gains	\$201 - \$1,000
48	Savings account	N/A	\$15,001 - \$50,000		None (or less than \$201)
49	Checking account	N/A	\$1,001 - \$15,000		None (or less than \$201)
50	Savings account	N/A	None (or less than \$1,001)		None (or less than \$201)
51	Checking account	N/A	None (or less than \$1,001)		None (or less than \$201)
52	MONY Life Ins Co, whole life	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000

## 7. Transactions

#	DESCRIPTION	TYPE	DATE	AMOUNT
1	Inovio (INO)	Sale	02/16/2021	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
2	Moderna (MRNA)	Sale	02/23/2021	\$1,001 - \$15,000
3	Nvidia (NVDA)	Sale	02/23/2021	\$1,001 - \$15,000
4	WISCONSIN ST HEALTH & EDL FACS AUTH REV 05.00000% 08/15/2032 BDS ADVOCATE HEALTH AND HOSPITALS CORP SER. 2018 A	Sale	02/22/2021	\$1,001 - \$15,000
5	WESTERN MARICOPA ED CTR DIST NO 402 04.50000% 07/01/2033 MARICOPA CNTY ARIZ SCH IMPT BDS SER. 2014 B	Sale	02/22/2021	\$1,001 - \$15,000
6	WAYNE ST UNIV MICH UNIV REVS GEN BDS 05.00000% 11/15/2029 SER. 2019 A	Sale	02/22/2021	\$1,001 - \$15,000
7	WASHINGTON ST HEALTH CARE FACS AUTH REV 05.00000% 10/01/2026 REF BDS PROVIDENCE ST JOSEPH HEALTH OBLIGATED GROUP SER.	Sale	02/22/2021	\$1,001 - \$15,000
8	WASHINGTON ST MOTOR VEHICLE FUEL TAX GO 04.25000% 08/01/2032 BDS SER. 2012B-1	Sale	02/22/2021	\$1,001 - \$15,000
9	WASHINGTON ST GO VAR PURP BDS SER. 2021 A 05.00000% 08/01/2036	Sale	02/22/2021	\$1,001 - \$15,000
10	WASHINGTON ST CTFS PARTN COPS SER. 03.25000% 01/01/2032 2015C	Sale	02/22/2021	\$1,001 - \$15,000
11	UNIVERSITY VA UNIV REVS GEN REV PLEDGE 05.00000% 04/01/2038 REF BDS SER. 2017 A	Sale	02/22/2021	\$1,001 - \$15,000
12	UNIVERSITY TEX UNIV REVS REV FIN SYS BDS 05.00000% 08/15/2021 SER. 2016 J	Sale	02/22/2021	\$1,001 - \$15,000
13	UNIVERSITY HOUSTON TEX UNIV REVS 05.00000% 02/15/2029 CONSOLIDATED REV AND REF BDS SER. 2017 A	Sale	02/22/2021	\$1,001 - \$15,000



#	DESCRIPTION	TYPE	DATE	AMOUNT
14	SOUTH CAROLINA JOBS-ECONOMIC DEV 05.00000% 05/01/2028 AUTH HOSP REV BDS PRISMA HEALTH SER. 2018 A	Sale	02/22/2021	\$1,001 - \$15,000
15	ST PAUL MINN HSG & REDEV AUTH HEALTH 05.00000% 07/01/2030 CARE FAC REV REF BDS HEALTHPARTNERS SYS SER.2015A	Sale	02/22/2021	\$1,001 - \$15,000
16	UNIVERSITY NORTH TEX UNIV REV REV FIN SYS 05.00000% 04/15/2034 REF AMD IMPT BDS SER. 2017 A	Sale	02/22/2021	\$1,001 - \$15,000
17	UNIVERSITY ILL UNIV REVS AUXILIARY FACS 05.00000% 04/01/2031 SYS BDS SER. 2019 A	Sale	02/22/2021	\$1,001 - \$15,000
18	PORT AUTH N Y & N J CONSOLIDATED BDS 05.00000% 12/01/2022 SER.178	Sale	02/22/2021	\$1,001 - \$15,000
19	PASCO CNTY FLA SCH BRD CTFS PARTN REF 05.00000% 08/01/2027 COPS SER. 2020 D	Sale	02/22/2021	\$1,001 - \$15,000
20	PHILADELPHIA PA GO REF BDS SER. 2014A 05.25000% 07/15/2028	Sale	02/22/2021	\$1,001 - \$15,000
21	PENNSYLVANIA ST TPK COMMN TPK REV SUB 05.00000% 12/01/2036 BDS SER. A-1	Sale	02/22/2021	\$1,001 - \$15,000
22	PENNSYLVANIA ST HIGHER EDL FACS AUTH 05.00000% 05/01/2026 REV REV REF BDS DREXEL UNIV SER. 2016	Sale	02/22/2021	\$1,001 - \$15,000
23	OREGON ST GO BDS ARTICLE XI-M SEISMIC 05.00000% 06/01/2035 PROJ SER. C	Sale	02/22/2021	\$15,001 - \$50,000
24	NEW JERSEY ST COVID 19 GO EMERGENCY BDS 05.00000% 06/01/2026 SER. 2020 A	Sale	02/22/2021	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
25	OHIO ST HIGHER EDL FAC COMMN REV BDS 05.00000% 12/01/2035 CASE WESTERN RESERVE UNIV CASE WESTERN RESERVE UNIV PROJ	Sale	02/22/2021	\$1,001 - \$15,000
26	OHIO ST CAP FACS LEASE APPROPRIATION 05.00000% 04/01/2033 BDS TRANSN BLDG FUND PROJ SER. 2018 A	Sale	02/22/2021	\$1,001 - \$15,000
27	OAKLAND UNIV MICH REV GEN REV REF BDS 05.00000% 03/01/2026 SER.2014	Sale	02/22/2021	\$1,001 - \$15,000
28	NEW MEXICO ST HOSP EQUIP LN COUNCIL 05.00000% 08/01/2028 HOSP REV REV BDS PRESBYTERIAN HEALTHCARE SVCS SER.	Sale	02/22/2021	\$1,001 - \$15,000
29	LOWER COLO RIV AUTH TEX REV REF REV BDS 05.00000% 05/15/2033 SER. 2012 A	Sale	02/22/2021	\$1,001 - \$15,000
30	INDIAN RIVER CNTY FLA SCH BRD CTFS 05.00000% 07/01/2026 PARTN COPS SER. A	Sale	02/22/2021	\$1,001 - \$15,000
31	NEW JERSEY HEALTH CARE FACS FING AUTH 04.50000% 07/01/2027 REF BDS SER.2011	Sale	02/22/2021	\$15,001 - \$50,000
32	MINNESOTA ST HIGHER ED FACS AUTH REV REF 05.00000% 10/01/2023 BDS UNIVERSITY OF ST THOMAS SER. 2017A	Sale	02/22/2021	\$1,001 - \$15,000
33	IDAHO HEALTH FACS AUTH REV REV BDS 05.50000% 12/01/2026 TRINITY HLTH CREDIT GROUP SER.2015ID	Sale	02/22/2021	\$1,001 - \$15,000
34	ILLINOIS FIN AUTH REV REV REF BDS 05.00000% 03/01/2034 SOUTHERN ILLINOIS HEALTHCARE ENTERPRISES INC SER.	Sale	02/22/2021	\$1,001 - \$15,000
35	ILLINOIS FIN AUTH REV REV BDS RUSH 05.00000% 11/15/2025 UNIV MED CTR SER.2015A	Sale	02/22/2021	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
36	HUDSONVILLE MICH PUB SCHS GO ULTD TAX REF 05.00000% 05/01/2040 BDS SER. 2017	Sale	02/22/2021	\$1,001 - \$15,000
37	HONOLULU HAWAII CITY &CNTY WA STEWTR SYS 05.00000% 07/01/2035 REV REV BDS SENIOR SERIES B	Sale	02/22/2021	\$1,001 - \$15,000
38	HILLSBOROUGH CNTY FLA SCH BRD CTFS 05.00000% 07/01/2023 PARTN REF COPS MASTER LEASE PROGRAM SER.2015A	Sale	02/22/2021	\$1,001 - \$15,000
39	HARRIS CNTY TEX REV REF BDS SER.2012C 05.00000% 08/15/2028	Sale	02/22/2021	\$1,001 - \$15,000
40	GEISINGER AUTH PA HEALTH SYS REV REV 05.00000% 02/15/2032 BDS GEISINGER HLTH SYS SER. 2017 A-2	Sale	02/22/2021	\$1,001 - \$15,000
41	FULTON CNTY GA DEV AUTH REV REF REV BDS 05.00000% 05/01/2039 GEORGIA TECH FACILITIES INC SER. 2014A	Sale	02/22/2021	\$1,001 - \$15,000
42	DISTRICT COLUMBIA WTR &SWR AU TH PUB 05.00000% 10/01/2037 UTIL REV PUB UTIL SUB LIEN REV BDS SER. B	Sale	02/22/2021	\$1,001 - \$15,000
43	DISTRICT COLUMBIA GO REF BDS SER. 2017 A 05.00000% 06/01/2037	Sale	02/22/2021	\$1,001 - \$15,000
44	CONNECTICUT ST HEALTH &EDL FA CS 05.00000% 07/01/2031 AUTH REV REV BDS CONNECTICUT COLLEGE SER. 2012	Sale	02/22/2021	\$1,001 - \$15,000
45	BROWARD CNTY FLA SCH BRD CTFS PARTN COPS 05.00000% 07/01/2025 SER. 2015B	Sale	02/22/2021	\$1,001 - \$15,000
46	BREVARD CNTY FLA SCH BRD CTFS PARTN REF 05.00000% 07/01/2032 COPS SER. 2017 A	Sale	02/22/2021	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
47	WASHINGTON ST HEALTH CARE FACS AUTH REV 05.00000% 08/15/2037 BDS MULTICARE HLTH SYS SER. 2017 B	Sale	02/22/2021	\$1,001 - \$15,000
48	WASHINGTON ST CTFS PARTN CTFS PARTN 05.00000% 07/01/2039 SER. 2020 D	Sale	02/22/2021	\$1,001 - \$15,000
49	WASHINGTON ST HEALTH CARE FACS AUTH REV 05.00000% 08/15/2026 BDS MULTICARE HLTH SYS SER. 2017 B	Sale	02/22/2021	\$1,001 - \$15,000
50	WARREN MICH CONS SCH DIST UNLTD TAX GO 05.00000% 05/01/2038 SCH BLDG SITE BDS COUNTIES OF MACOMB AND OAKLAND SER.	Sale	02/22/2021	\$1,001 - \$15,000
51	WARREN MICH CONS SCH DIST GO ULTD TAX SCH 05.00000% 05/01/2035 BLDG AND SITE BDS SER.2016	Sale	02/22/2021	\$15,001 - \$50,000
52	TAMPA FLA HOSP REV REV REF BDS H LEE 05.00000% 07/01/2030 MOFFITT CANCER CTR AND RESEARCH INST INC SER. 2016 B	Sale	02/22/2021	\$15,001 - \$50,000
53	TUCSON ARIZ CTFS PARTN COPS SER.2014 05.00000% 07/01/2025	Sale	02/22/2021	\$1,001 - \$15,000
54	SOUTH LYON MICH CMNTY SCHS GO ULTD 05.00000% 05/01/2027 TAX REF BDS SER. 2016	Sale	02/22/2021	\$1,001 - \$15,000
55	SHELBY CNTY TENN HEALTH EDL & HSG 05.00000% 05/01/2035 FACS BRD REV REV BDS METHODIST LE BONHEUR HEALTHCARE SER.	Sale	02/22/2021	\$1,001 - \$15,000
56	PENNSYLVANIA ST TPK COMMN TPK REV REF 05.00000% 12/01/2038 BDS SER. 2017	Sale	02/22/2021	\$15,001 - \$50,000
57	SCHERTZ/SEGUIN LOC GOVT CORP TEX 05.00000% 02/01/2033 CONTRACT REV REF BDS SAN ANTONIO WTR SYS EXPANSION SER. 2019	Sale	02/22/2021	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
58	ROSEVILLE MICH CMNTY SCHS GO REF BDS SER. 05.00000% 05/01/2030 2014	Sale	02/22/2021	\$15,001 - \$50,000
59	PENNSYLVANIA ST HIGHER EDL FACS AUTH 05.00000% 08/15/2031 REV REV BDS UNIVERSITY OF PENNSYLVANIA SER.	Sale	02/22/2021	\$1,001 - \$15,000
60	ROANOKE VA GO PUB IMPT BDS SER. 2014A 03.12500% 04/01/2029	Sale	02/22/2021	\$1,001 - \$15,000
61	PENNSYLVANIA ST HIGHER EDL FACS AUTH 05.00000% 08/15/2033 REV HEALTH SYS BDS UNIVERSITY OF PENNSYLVANIA HLTH	Sale	02/22/2021	\$1,001 - \$15,000
62	PALM BEACH CNTY FLA HEALTH FACS AUTH 05.00000% 08/15/2030 HOSP REV BDS BAPTIST HEALTH SOUTH FLORIDA SER. 2019	Sale	02/22/2021	\$1,001 - \$15,000
63	NORTH CAROLINA MED CARE COMMN HEALTH 05.00000% 07/01/2033 CARE FACS REV REV BDS REX HOSP REX HEALTHCARE SER.	Sale	02/22/2021	\$15,001 - \$50,000
64	PENNSYLVANIA ST HIGHER EDL FACS AUTH 05.00000% 06/15/2021 REV REV BDS SER. AN	Sale	02/22/2021	\$1,001 - \$15,000
65	OHIO ST TPK COMMN TPK REV BDS 05.00000% 02/15/2033 INFRASTRUCTURE PROJ SER. 2018 A	Sale	02/22/2021	\$1,001 - \$15,000
66	NEW YORK ST DORM AUTH ST PERS INCOME 05.00000% 03/15/2034 TAX REV REV BDS SER. 2015A	Sale	02/22/2021	\$1,001 - \$15,000
67	NEW YORK ST DORM AUTH ST PERS INCOME 05.00000% 03/15/2033 TAX REV REV BDS SER. 2015A	Sale	02/22/2021	\$1,001 - \$15,000
68	NEW YORK ST DORM AUTH SALES TAX REV 05.00000% 03/15/2038 ST SUPPORTED DEBT REV BDS SER. 2017 A	Sale	02/22/2021	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
69	NEW YORK N Y CITY MUN WTR FIN AUTH WTR 05.00000% 06/15/2036 & SWR SYS REV SECOND GEN RESOLUTION REV BDS SER. 2017 EE	Sale	02/22/2021	\$1,001 - \$15,000
70	NEW YORK N Y CITY TRANSITIONAL FIN 05.00000% 08/01/2034 AUTH REV SUB FUTURE TAX SECURED BDS SER. 2015 A- 1	Sale	02/22/2021	\$1,001 - \$15,000
71	NEW ORLEANS LA AVIATION BRD REV GEN 05.00000% 01/01/2031 ARPT BDS NORTH TERMINAL PROJ SER. B	Sale	02/22/2021	\$1,001 - \$15,000
72	NEW JERSEY ST COVID 19 GO EMERGENCY BDS 05.00000% 06/01/2026 SER. 2020 A	Sale	02/22/2021	\$1,001 - \$15,000
73	NEW JERSEY HEALTH CARE FACS FING AUTH 05.00000% 07/01/2032 REV BDS RWJ BARNABAS HEALTH SER. 2014A	Sale	02/22/2021	\$1,001 - \$15,000
74	NEW HAMPSHIRE HEALTH & ED FACS AUTH REV 05.00000% 08/01/2026 BDS DARTMOUTH HITCHCOCK HEALTH OBLIGATED GROUP SER.	Sale	02/22/2021	\$1,001 - \$15,000
75	MIAMI DADE CNTY FLA EXPWY AUTH TOLL SYS 05.00000% 07/01/2023 REV REF BDS SER. 2014B	Sale	02/22/2021	\$1,001 - \$15,000
76	MISSOURI ST HEALTH & EDL FACS AUTH HEALTH 05.00000% 06/01/2025 FACS REV BDS MERCY HEALTH SER. 2018A	Sale	02/22/2021	\$1,001 - \$15,000
77	MILWAUKEE CNTY WIS ARPT REV AIRPORT REV 05.25000% 12/01/2027 BDS SER. A	Sale	02/22/2021	\$1,001 - \$15,000
78	MIAMI CNTY OHIO HOSP FACS REV IMPT REF 05.00000% 08/01/2037 BDS KETTERING HEALTH NETWORK SER. 2019	Sale	02/22/2021	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
79	MIAMI-DADE CNTY FLA PUB FACS REV REV REF 05.00000% 06/01/2032 BDS JACKSON HEALTH SYSTEM SER. 2017	Sale	02/22/2021	\$1,001 - \$15,000
80	MASSACHUSETTS ST DEV FIN AGY SPL OBLIG 05.00000% 05/01/2034 SPL OBLIG BDS SER.2014A	Sale	02/22/2021	\$1,001 - \$15,000
81	MCALLISTER ACADEMIC VLG ARIZ LLC REV 05.00000% 07/01/2031 D/B/A HASSAYAMPA ACADEMIC VILLAGE REV REF BDS SER.2016 A	Sale	02/22/2021	\$1,001 - \$15,000
82	METROPOLITAN TRANSN AUTH N Y REV REV BDS 05.00000% 11/15/2030 SER. 2012C	Sale	02/22/2021	\$1,001 - \$15,000
83	MASSACHUSETTS ST DEV FIN AGY REV REV BDS 05.00000% 07/01/2036 LESLEY UNIVERSITY SER. 2016	Sale	02/22/2021	\$15,001 - \$50,000
84	MASSACHUSETTS ST COLLEGE BLDG AUTH 05.00000% 05/01/2037 REV REF REV BDS SER.2016A	Sale	02/22/2021	\$1,001 - \$15,000
85	MASSACHUSETTS ST DEV FIN AGY REV REV BDS 05.00000% 10/01/2029 BERKSHIRE HLTH SYS INC SER. 2012-G	Sale	02/22/2021	\$15,001 - \$50,000
86	MARQUETTE MICH BRD LT &PWR EL EC UTIL 05.00000% 07/01/2027 SYS REV REV REF BDS SER.2016 A	Sale	02/22/2021	\$1,001 - \$15,000
87	MARICOPA CNTY ARIZ INDL DEV AUTH REV 04.00000% 01/01/2032 REV BDS BANNER HEALTH SER. 2016 A	Sale	02/22/2021	\$15,001 - \$50,000
88	MANSFIELD TEX INDPT SCH DIST UNLTD TAX 05.00000% 02/15/2031 BLDG BDS SER. 2019A	Sale	02/22/2021	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
89	LOWER COLO RIV AUTH TEX TRANSMISSION 05.00000% 05/15/2040 CONTRACT REV REF BDS LCRA TRANSMISSION SVCS CORP SER. 2019	Sale	02/22/2021	\$1,001 - \$15,000
90	LOVE FIELD ARPT MODERNIZATION CORP 05.00000% 11/01/2025 TEX GEN ARPT REV REV BDS SER.2015	Sale	02/22/2021	\$1,001 - \$15,000
91	LEANDER TEX INDPT SCH DIST ULTD TAX 05.00000% 08/15/2027 REF BDS SER. 2017 A	Sale	02/22/2021	\$1,001 - \$15,000
92	LANCASTER CNTY PA HOSP AUTH REV REV 04.00000% 08/15/2032 REF BDS UNIVERSITY OF PENNSYLVANIA HLTH SYS SER. 2016 A	Sale	02/22/2021	\$1,001 - \$15,000
93	KING CNTY WASH SWR REV BDS SER. 2018 B 05.00000% 07/01/2031	Sale	02/22/2021	\$1,001 - \$15,000
94	ILLINOIS FIN AUTH REV REVOLVING FD REV 05.00000% 01/01/2029 BDS SER. 2017	Sale	02/22/2021	\$1,001 - \$15,000
95	ILLINOIS FIN AUTH REV REVOLVING FD REV 05.00000% 07/01/2030 BDS SER. 2017	Sale	02/22/2021	\$1,001 - \$15,000
96	JACKSONVILLE FLA HEALTH CARE FACS REV 05.00000% 08/15/2033 REV REF BDS BAPTIST MED CTR JACKSONVILLE & BAPTIST MED CTR	Sale	02/22/2021	\$1,001 - \$15,000
97	ILLINOIS FIN AUTH REV REV BDS 05.00000% 10/01/2035 UNIVERSITY CHICAGO SER. 2015 A	Sale	02/22/2021	\$1,001 - \$15,000
98	ILLINOIS FIN AUTH REV BDS MEMORIAL 05.00000% 04/01/2022 HEALTH SYS SER. 2019	Sale	02/22/2021	\$15,001 - \$50,000
99	GREATER ORLANDO AVIATION AUTH 05.00000% 10/01/2026 ORLANDO FLA ARPT FACS REV AMT BDS SER. 2019 A	Sale	02/22/2021	\$1,001 - \$15,000



#	DESCRIPTION	TYPE	DATE	AMOUNT
100	GRAND RIVER DAM AUTH OKLA REV REV BDS 05.00000% 06/01/2032 SER.2014A	Sale	02/22/2021	\$1,001 - \$15,000
101	GRAND RAPIDS MICH SAN SWR SYS REV IMPT 05.00000% 01/01/2036 REF BDS SER. 2020	Sale	02/22/2021	\$15,001 - \$50,000
102	FORT BEND TEX INDPT SCH DIST UNLTD TAX 05.00000% 02/15/2031 REF BDS SER. 2019B	Sale	02/22/2021	\$1,001 - \$15,000
103	DISTRICT COLUMBIA WTR &SWR AU TH PUB 05.00000% 10/01/2036 UTIL REV SUB LIEN REV REF BDS SER. 2016 A	Sale	02/22/2021	\$1,001 - \$15,000
104	DISTRICT COLUMBIA REV FEDERAL HWY 05.00000% 12/01/2034 GRANT ANTIC BDS SER. 2020	Sale	02/22/2021	\$1,001 - \$15,000
105	DELAWARE ST GO BDS SER. 2018 A 05.00000% 02/01/2037	Sale	02/22/2021	\$1,001 - \$15,000
106	DISTRICT COLUMBIA GO REF BDS SER. 2017 A 05.00000% 06/01/2035	Sale	02/22/2021	\$1,001 - \$15,000
107	DISTRICT COLUMBIA GO BDS SER.2015A 05.00000% 06/01/2034	Sale	02/22/2021	\$1,001 - \$15,000
108	DELAWARE RIV & BAY AUTH DEL REV BDS 05.00000% 01/01/2031 SER. 2019	Sale	02/22/2021	\$1,001 - \$15,000
109	DAUPHIN CNTY PA GEN AUTH HEALT H SYS REV 05.00000% 06/01/2026 REV BDS PINNACLE HLTH SYS SER.2016 A	Sale	02/22/2021	\$1,001 - \$15,000
110	DALLAS FORT WORTH TEX INTL ARPT REV 05.00000% 11/01/2032 JOINT REV IMPT BDS SER. 2012 H	Sale	02/22/2021	\$1,001 - \$15,000
111	CORPUS CHRISTI TEX UTIL SYS REV JR LIEN 05.00000% 07/15/2026 REF AND IMPT BDS SER. 2019	Sale	02/22/2021	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
112	COOK CNTY ILL GO REF BDS SER. 2021 A 05.00000% 11/15/2027	Sale	02/22/2021	\$1,001 - \$15,000
113	CLARK CNTY NEV GO LTD TAX STADIUM IMPT 05.00000% 06/01/2037 BDS SER. 2018 A	Sale	02/22/2021	\$1,001 - \$15,000
114	COLORADO HEALTH FACS AUTH REV BDS 05.00000% 08/01/2032 COMMONSPIRIT HEALTH SER. 2019 A-1	Sale	02/22/2021	\$1,001 - \$15,000
115	CLARK CNTY NEV GO LTD TAX FLD CTL REF 05.00000% 11/01/2031 BDS SER. 2015	Sale	02/22/2021	\$15,001 - \$50,000
116	CHICAGO ILL MIDWAY ARPT REV SECOND LIEN 05.00000% 01/01/2023 REV AND REF BDS SER.A	Sale	02/22/2021	\$1,001 - \$15,000
117	CHICAGO ILL O HARE INTL ARPT REV REV 05.00000% 01/01/2036 REF BDS SER. B	Sale	02/22/2021	\$1,001 - \$15,000
118	CHICAGO ILL O HARE INTL ARPT REV REV 05.00000% 01/01/2026 BDS SER.2013C	Sale	02/22/2021	\$1,001 - \$15,000
119	CHICAGO ILL MIDWAY ARPT REV SECOND LIEN 05.00000% 01/01/2034 REV AND REV REF BDS SER.2014A	Sale	02/22/2021	\$1,001 - \$15,000
120	BROWARD CNTY FLA SCH BRD CTFS PARTN COPS 05.00000% 07/01/2032 SER. A	Sale	02/22/2021	\$1,001 - \$15,000
121	CHICAGO ILL MIDWAY ARPT REV SECOND LIEN 05.00000% 01/01/2027 REV AND REV REF BDS SER.2014B	Sale	02/22/2021	\$1,001 - \$15,000
122	AUBURN UNIV ALA GEN FEE REV BDS SER. 05.00000% 06/01/2034 2018A	Sale	02/22/2021	\$15,001 - \$50,000
123	BIRDVILLE TEX INDPT SCH DIST UNLTD TAX 05.00000% 02/15/2031 SCH BLDG BDS SER. 2019	Sale	02/22/2021	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
124	BALTIMORE MD PROJ REV REV BDS SER. 05.00000% 07/01/2030 2013A	Sale	02/22/2021	\$1,001 - \$15,000
125	AUSTIN TEX WTR & WASTEWATER SYS REV 05.00000% 11/15/2026 REF REV BDS SER. 2011	Sale	02/22/2021	\$1,001 - \$15,000
126	AUBURN UNIV ALA GEN FEE REV REF BDS 05.00000% 06/01/2027 SER.2015A	Sale	02/22/2021	\$1,001 - \$15,000
127	AUBURN UNIV ALA GEN FEE REV REV BDS SER. 05.00000% 06/01/2028 2012A	Sale	02/22/2021	\$1,001 - \$15,000
128	ARIZONA BRD REGENTS UNIV ARIZ SYS REV 05.00000% 08/01/2036 SPEED REF BDS SER. 2020 A	Sale	02/22/2021	\$1,001 - \$15,000
129	ARIZONA BRD REGENTS ARIZ ST UNIV SYS REV 05.00000% 07/01/2027 SYS REV REF BDS SER. 2016 A	Sale	02/22/2021	\$1,001 - \$15,000
130	ARIZONA BRD REGENTS UNIV ARIZ SYS REV 05.00000% 08/01/2029 SPEED REV BDS SER. 2013	Sale	02/22/2021	\$15,001 - \$50,000
131	Johnson & Johnson (JNJ)	Sale	03/22/2021	\$250,001 - \$500,000
132	Fidelity Balanced Fund (FBALX)	Purchase	03/08/2021	\$1,001 - \$15,000
133	Fidelity Municipal Bond Index Fund (FMBIX)	Purchase	03/25/2021	\$100,001 - \$250,000
134	Fidelity Global ex US Index Fund (FSGGX)	Purchase	03/25/2021	\$15,001 - \$50,000
135	Fidelity Total Market Index Fund (FSKAX)	Purchase	03/25/2021	\$100,001 - \$250,000

## 8. Liabilities

#	CREDITOR NAME	TYPE	AMOUNT	YEAR INCURRED	RATE	TERM
1	Truist	Mortgage on Personal Residence	\$500,001 - \$1,000,000	2020	2.0%	15 years

## 9. Gifts and Travel Reimbursements

None

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## Endnotes

# Summary of Contents

## 1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

## 2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

## 3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

#### 4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

#### 5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

#### 6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

## 7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during the reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

## 8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

## 9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$166 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

## Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

## Public Burden Information

This collection of information is estimated to take an average of ten hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE), Suite 500, 1201 New York Avenue, N.W., Washington, DC 20005-3917.

Pursuant to the Paperwork Reduction Act, as amended, an agency may not conduct or sponsor, and no person is required to respond to, a collection of information unless it displays a currently valid OMB control number (that number, 3209-0001, is displayed here and at the top of the first page of this OGE Form 278e).

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