

# Executive Branch Personnel

## Public Financial Disclosure Report (OGE Form 278e)

### Filer's Information

---

Levine, Randi

Ambassador E&P to the Portuguese Republic, Department of State

---

Other Federal Government Positions Held During the Preceding 12 Months:

None

---

Names of Congressional Committees Considering Nomination:

- Committee on Foreign Relations
- 

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Levine, Randi [electronically signed on 06/23/2021 by Levine, Randi in Integrity.gov]

---

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Huitema, David, Certifying Official [electronically signed on 11/08/2021 by Huitema, David in Integrity.gov]

---

Other review conducted by

---

U.S. Office of Government Ethics Certification

/s/ Apol, David, Certifying Official [electronically signed on 11/22/2021 by Apol, David in Integrity.gov]

## 1. Filer's Positions Held Outside United States Government

| #  | ORGANIZATION NAME                     |             | CITY, STATE                            | ORGANIZATION TYPE | POSITION HELD | FROM    | TO      |
|----|---------------------------------------|-------------|--|-------------------|---------------|---------|---------|
| 1  | RJL Charitable Foundation             | See Endnote | Douglaston, New York                   | Non-Profit        | Director      | 9/1999  | Present |
| 2  | JEL Management Trust                  | See Endnote | Douglaston, New York                   | Trust             | Trustee       | 12/2020 | Present |
| 3  | FAMILY TRUST #1                       | See Endnote | Douglaston, New York                   | Trust             | Trustee       | 12/2015 | Present |
| 4  | FAMILY TRUST #2                       | See Endnote | Douglaston, New York                   | Trust             | Trustee       | 12/2015 | Present |
| 5  | FAMILY TRUST #3                       | See Endnote | Douglaston, New York                   | Trust             | Trustee       | 12/2015 | Present |
| 6  | ██████████ Revocable Trust            | See Endnote | Douglaston, New York                   | Trust             | Trustee       | 12/2015 | Present |
| 7  | ██████████ Revocable Trust            | See Endnote | Douglaston, New York                   | Trust             | Trustee       | 12/2015 | Present |
| 8  | Meridian International Center         | See Endnote | Washington, District of Columbia       | Non-Profit        | Trustee       | 6/2020  | Present |
| 9  | New Museum                            | See Endnote | New York City, New York                | Museum            | Trustee       | 1/2017  | Present |
| 10 | Smithsonian National Portrait Gallery | See Endnote | Washington, D.C., District of Columbia | Museum            | Commissioner  | 2/2016  | Present |

## 2. Filer's Employment Assets & Income and Retirement Accounts

| #    | DESCRIPTION  | EIF | VALUE                       | INCOME TYPE | INCOME AMOUNT             |
|------|--|-----|-----------------------------|-------------|---------------------------|
| 1    | IRA ACCOUNT # 1  | No  |                             |             |                           |
| 1.1  | ABB LTD SPON ADR (ABB)   | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.2  | ACCENTURE PLC IRELAND CL A (ACN)   | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.3  | ADIDAS AG SPON ADR (OTC-ADDYY)<br>(Manufacturing/Design Company )                      | N/A | None (or less than \$1,001) |             | None (or less than \$201) |
| 1.4  | Advance Auto Parts, Inc. (AAP)   | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.5  | AIA GROUP LTD SPON ADR (OTC-AAGIY)<br>(Insurance Company)                              | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.6  | AIR LIQUIDE ADR FRANCE ADR (OTC-AIQUY)<br>(Industrial Gases Company)                   | N/A | None (or less than \$1,001) |             | None (or less than \$201) |
| 1.7  | AIRBUS SE UNSPONSORED ADR (OTC-EADSY)<br>(Designs and Manufactures Aerospace products) | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.8  | Alger Small Cap Focus Fund Class Z Shares<br>(AGOZX)                                   | Yes | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.9  | Allegion Plc (ALLE)  | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.10 | ALPHABET INC CL A (GOOGL)  | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.11 | AMER FUNDS NEW WORLD FUND CLASS F2 (NFFFX)   | Yes | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.12 | AmerisourceBergen Corp. (ABC)  | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.13 | ANHEUSER BUSCH INBEV SPON ADR<br>(BUD)   | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |

| #    | DESCRIPTION  | EIF | VALUE                       | INCOME TYPE | INCOME AMOUNT             |
|------|--|-----|-----------------------------|-------------|---------------------------|
| 1.14 | ANSYS, Inc. (ANSS)   | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.15 | AON PLC CL A (AON)   | N/A | None (or less than \$1,001) |             | None (or less than \$201) |
| 1.16 | Aptiv PLC (APTV)   | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.17 | Arista Networks, Inc. (ANET)   | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.18 | ASML HLDG NV SPON ADR (ASML)   | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.19 | ASPEN TECHNOLOGY INC DEL CHANGE IN STATE OF INC (AZPN)                           | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.20 | ASSA ABLOY AB ADR (OTC-ASAZY)<br>(Manufacturing Company)                         | N/A | None (or less than \$1,001) |             | None (or less than \$201) |
| 1.21 | ASSOCIATED BRITISH FOODS PLC NEW ADR (OTC-ASBFY)(Food Processing Company )       | N/A | None (or less than \$1,001) |             | None (or less than \$201) |
| 1.22 | ASTRAZENECA PLC SPON ADR (AZN)   | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.23 | ATLISSIAN CORP PLC CL A (TEAM)   | N/A | None (or less than \$1,001) |             | None (or less than \$201) |
| 1.24 | B&M EUROPEAN VALUE RETAIL SA UNSPONSORED ADR (OTC-BMRRY)(Variety Store Company ) | N/A | None (or less than \$1,001) |             | None (or less than \$201) |
| 1.25 | BANCO BILBAO VIZCAYA ARGENTARIA S.A. SPON ADR (BBVA)                             | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.26 | BECTON DICKINSON & CO (BDX)  | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.27 | BEIGENE LTD SPON ADR (BGNE)  | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |

| #    | DESCRIPTION  | EIF | VALUE                       | INCOME TYPE | INCOME AMOUNT             |
|------|--|-----|-----------------------------|-------------|---------------------------|
| 1.28 | BENTLEY SYSTEMS INC CL B (BSY)   | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.29 | BERKLEY W R CORP (WRB)   | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.30 | BLACKROCK EMERGING MARKETS FUND INC CL INSTITUTIONAL (MADCX)               | Yes | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.31 | BNP PARIBAS SA ADR (OTC- BNPQY)<br>(Retail Banking Company)                | N/A | None (or less than \$1,001) |             | None (or less than \$201) |
| 1.32 | BOOKING HLDGS INC (BKNG)   | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.33 | Bright Horizons Family Solutions Inc. (BFAM)                               | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.34 | BRITISH AMER TOBACCO PLC GB SPON ADR (BTI)                                 | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.35 | CARLSBERG AS SPON ADR (OTC-CABGY)<br>(Danish Multinational Brewer Company) | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.36 | CDN NATL RAILWAY CO CAD (CNI)  | N/A | None (or less than \$1,001) |             | None (or less than \$201) |
| 1.37 | CDW Corp. (CDW)  | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.38 | Charles River Laboratories International, Inc. (CRL)                       | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.39 | Chemed Corp. New (CHE)   | N/A | None (or less than \$1,001) |             | None (or less than \$201) |
| 1.40 | Cigna Corp. (CI)   | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.41 | COGNIZANT TECH SOLUTIONS CRP (CTSH)  | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |

| #    | DESCRIPTION   | EIF | VALUE                       | INCOME TYPE | INCOME AMOUNT             |
|------|---|-----|-----------------------------|-------------|---------------------------|
| 1.42 | Colgate-Palmolive Co. (CL)  | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.43 | COMCAST CORP NEW CL A (CMCSA)   | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.44 | COMPAGNIE FINANCIERE RICHEMONTAG ADR (OTC-CFRUY)(Luxury Goods Company ) | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.45 | COMPASS GROUP PLC SPON ADR (OTC-CMPGY) (Foodservice company )           | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.46 | COOPER COMPANIES INC (COO)  | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.47 | Copart, Inc. (CPRT)   | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.48 | CSX CORPORATION (CSX)   | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.49 | DAIICHI SANKYO CO LTD SPON ADR (OTC-DSNKY) (Pharmaceutical Company)     | N/A | None (or less than \$1,001) |             | None (or less than \$201) |
| 1.50 | Danaher Corp. (DHR)   | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.51 | DBS GROUP HLDGS LTD. SPON ADR (OTC-DBSDY) (Bank)                        | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.52 | Delaware Smid Cap Growth Fund Institutional Class Shares (DFDIX)        | Yes | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.53 | DEUTSCHE BOERSE ADR (OTC-DBOEY) (Capital Market Company)                | N/A | None (or less than \$1,001) |             | None (or less than \$201) |
| 1.54 | DIAGEO PLC NEW GB SPON ADR (DEO)  | N/A | None (or less than \$1,001) |             | None (or less than \$201) |
| 1.55 | DISCOVERY INC CL A (DISCA)  | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |

| #    | DESCRIPTION  | EIF | VALUE                       | INCOME TYPE | INCOME AMOUNT             |
|------|--|-----|-----------------------------|-------------|---------------------------|
| 1.56 | DNB ASA SPON ADR (OTC-DNBBY)<br>(Financial Services Company)                       | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.57 | DSV PANALPINA A/S ADR (OTC-DSDVY)<br>(Transport and Logistics Company )            | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.58 | DUCK CREEK TECHNOLOGIES INC (DCT)  | N/A | None (or less than \$1,001) |             | None (or less than \$201) |
| 1.59 | Elanco Animal Health, Inc. (ELAN)  | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.60 | ENEL SPA ADR (OTC- ENLAY)<br>(Manufacturer and Distributor of Electricity and Gas) | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.61 | ENGIE SPON ADR (OTC-ENGIY) (Utilities Company )                                    | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.62 | EPIROC AKTIEBOLAG ADR (OTC-EPOKY)<br>(Mining Company )                             | N/A | None (or less than \$1,001) |             | None (or less than \$201) |
| 1.63 | Equifax, Inc. (EFX)  | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.64 | ESSILORLUXOTTICA ADR (OTC-ESLOF)<br>(Multinational Corporation)                    | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.65 | Exponent, Inc. (EXPO)  | N/A | None (or less than \$1,001) |             | None (or less than \$201) |
| 1.66 | Fair Isaac Corp. (FICO)  | N/A | None (or less than \$1,001) |             | None (or less than \$201) |
| 1.67 | Fiserv, Inc. (FISV)  | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.68 | GENMAB A/S SPON ADR (GMAB)   | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.69 | GIVAUDAN SA ADR (OTC- GVDNY) (Flavor and Fragrance Manufacturer)                   | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |



| #    | DESCRIPTION  | EIF | VALUE                       | INCOME TYPE | INCOME AMOUNT             |
|------|--|-----|-----------------------------|-------------|---------------------------|
| 1.70 | GODADDY INC CL A (GDDY)  | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.71 | Grainger W.W. Inc (GWW)  | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.72 | GRIFOLS S A CL B SPON ADR (GRFS)   | N/A | None (or less than \$1,001) |             | None (or less than \$201) |
| 1.73 | HDFC BANK LTD ADR REPSTG 3 ORDSHS (HDB)                                      | N/A | None (or less than \$1,001) |             | None (or less than \$201) |
| 1.74 | HENRY JACK & ASSOC INC (JKHY)  | N/A | None (or less than \$1,001) |             | None (or less than \$201) |
| 1.75 | HERMES INTL SCA ADR (OTC-HESAY) (Luxury Goods Company )                      | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.76 | HONG KONG EXCHANGES & CLEARING LTD ADR (OTC-HKXCY) (Stock Exchange Company ) | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.77 | HOYA CORP SPON ADR (OTC-HOCPY) (Manufacturing Company )                      | N/A | None (or less than \$1,001) |             | None (or less than \$201) |
| 1.78 | HUTCHMED (CHINA) LTD SPON ADR (HCM)  | N/A | None (or less than \$1,001) |             | None (or less than \$201) |
| 1.79 | IBERDROLA SA SPON ADR (OTC-IBDRY) (Electric Utility Company )                | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.80 | INDUSTRIA DE DISENO TEXTIL INDADR (OTC-IDEXY) (Clothing Company )            | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.81 | ING GROEP N V NL SPON ADR (ING)  | N/A | None (or less than \$1,001) |             | None (or less than \$201) |
| 1.82 | INTERACTIVE BROKERS GROUP INC CL A (IBKR)                                    | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.83 | INTERCONTINENTALEXCHANGE GROUP (ICE)   | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |

| #    | DESCRIPTION  | EIF | VALUE                       | INCOME TYPE | INCOME AMOUNT             |
|------|--|-----|-----------------------------|-------------|---------------------------|
| 1.84 | Intuit, Inc. (INTU)  | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.85 | ISHARES TRUST ISHARES 1-5 YEARINVT GRADE CORPORATE BOND ETF  | Yes | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.86 | JARDINE MATHESON HD-UNSP ADR (OTC-JMHLY) ( Multinational Conglomerate Company )                      | N/A | None (or less than \$1,001) |             | None (or less than \$201) |
| 1.87 | JOHN HANCOCK DISCIPLINED VALUE MID CAP FUND CLASS I (JVMIX)  | Yes | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.88 | JPMORGAN CHASE & CO (JPM)  | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.89 | KERING S A UN SPONSORED ADR (OTC-PPRUY) (Luxury Goods Company )                                      | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.90 | KEYENCE CORP ORD JPY (OTC-KYCCF) (Direct Sales Organization )  | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.91 | KONINKLIJKE PHILIPS NV SPON ADR (PHG)  | N/A | None (or less than \$1,001) |             | None (or less than \$201) |
| 1.92 | LENNOX INTL INC (LII)  | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.93 | LONDON STK EXCHANGE UNSPONSORED ADR (OTC- LNSTY) (Stock Exchange and Financial Information Company ) | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.94 | LOREAL CO FRANCE ADR (OTC-LRLCY) (Cosmetics Company )  | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.95 | LPL FINL HLDGS INC COM (LPLA)  | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.96 | LVMH MOET HENNESSY LOUIS ADR (OTC-LVMUY) (Luxury Goods Company )                                     | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.97 | MASTERCARD INC CL A (MA)   | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |

| #     | DESCRIPTION  | EIF | VALUE                       | INCOME TYPE | INCOME AMOUNT             |
|-------|--|-----|-----------------------------|-------------|---------------------------|
| 1.98  | Medtronic Plc (MDT)  | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.99  | MERCADOLIBRE INC (MELI)  | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.100 | Microsoft Corp. (MSFT)   | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.101 | MSCI, Inc. (MSCI)  | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.102 | MURATA MFG CO LTD ADR (OTC-MRAAY)<br>(Electronic Capacitor Manufacturing Company ) | N/A | None (or less than \$1,001) |             | None (or less than \$201) |
| 1.103 | NATIONAL GRID PLC SPON ADR (NGG)   | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.104 | NESTLE S A SPONSORED ADR (OTC-NSRGY)<br>(Food Company )                            | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.105 | NETEASE INC SPON ADR (NTES)  | N/A | None (or less than \$1,001) |             | None (or less than \$201) |
| 1.106 | NIDEC CORP ADR (OTC-NJDCY)<br>(Commercial Company )                                | N/A | None (or less than \$1,001) |             | None (or less than \$201) |
| 1.107 | NIPPON TELEG & TEL CORP SPON ADR<br>(OTC-NTTY) (Telecommunications Company )       | N/A | None (or less than \$1,001) |             | None (or less than \$201) |
| 1.108 | NITORI HLDGS CO LTD ADR (OTC-NCLTF)<br>(Furniture Company )                        | N/A | None (or less than \$1,001) |             | None (or less than \$201) |
| 1.109 | Nordson Corp. (NDSN)   | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.110 | NOVO NORDISK ADR DENMARK ADR<br>(NVO)  | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.111 | OTIS WORLDWIDE CORP (OTIS)   | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |

| #     | DESCRIPTION  | EIF | VALUE                       | INCOME TYPE | INCOME AMOUNT             |
|-------|--|-----|-----------------------------|-------------|---------------------------|
| 1.112 | PERFOMANCE TRUST STRATEGIC FUND CLASS I                                      | Yes | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.113 | PERNOD RICARD SA ADR (OTC-PDRDY) (Beverage Company )                         | N/A | None (or less than \$1,001) |             | None (or less than \$201) |
| 1.114 | Pool Corp. (POOL)  | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.115 | PROGRESSIVE CORP OHIO (PGR)  | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.116 | PROSUS N V SPON ADR (OTC-PROSY) (Global Investment Group)                    | N/A | None (or less than \$1,001) |             | None (or less than \$201) |
| 1.117 | RECKITT BENCKISER PLC SPON ADR (OTC-RBGLY) (Consumer Goods Company)          | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.118 | RECRUIT HLDGS CO LTD UN SPONSORED ADR (OTC-RCRUY) (Human Resources Company ) | N/A | None (or less than \$1,001) |             | None (or less than \$201) |
| 1.119 | REGENERON PHARMACEUTICLS INC (REGN)  | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.120 | RELX PLC SPON ADR (RELX)   | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.121 | RIO TINTO PLC SPON ADR   | N/A | None (or less than \$1,001) |             | None (or less than \$201) |
| 1.122 | ROCHE HLDG LTD SPONS ADR SWITZADR (OTC-RHHBY) (Pharmaceutical Company )      | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.123 | Rollins, Inc. (ROL)  | N/A | None (or less than \$1,001) |             | None (or less than \$201) |
| 1.124 | ROYAL KPN N.V. SPON ADR  | N/A | None (or less than \$1,001) |             | None (or less than \$201) |
| 1.125 | SAFRAN SA ADR (OTC-SAFRY) (Aerospace Company )                               | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |

| #     | DESCRIPTION   | EIF | VALUE                       | INCOME TYPE | INCOME AMOUNT             |
|-------|---|-----|-----------------------------|-------------|---------------------------|
| 1.126 | SAP SE SPON ADR (SAP)   | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.127 | SCOTTS MIRACLE-GRO CO CL A (SMG)  | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.128 | Sherwin-Williams Co. (SHW)  | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.129 | SHIN ETSU CHEM CO LTD ADR (OTC-SHECY) (Chemicals Company)                               | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.130 | SHIONOGI & CO LTD ADR (OTC-SGIOY) (Pharmaceutical Company)                              | N/A | None (or less than \$1,001) |             | None (or less than \$201) |
| 1.131 | SiteOne Landscape Supply, Inc. (SITE)   | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.132 | SMC CORP YEN (OTC-SMCAY) (Sintered Metal Corporation)                                   | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.133 | SOFTBANK GROUP CORP ADR (OTC-SFTBY) (Multinational Conglomerate Company)                | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.134 | STANLEY BLACK & DECKER INC COM (SWK)  | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.135 | Starbucks Corp. (SBUX)  | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.136 | STMICROELECTRONICS N.V EUR (STM)  | N/A | None (or less than \$1,001) |             | None (or less than \$201) |
| 1.137 | STRAUMANN HLDG AG ADR (OTC-SAUHY) (Dental Equipment and Supplies Manufacturing Company) | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.138 | TAIWAN SEMICONDUCTOR MFG CO LTD ADR (TSM)   | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.139 | Teledyne Technologies, Inc. (TDY)   | N/A | None (or less than \$1,001) |             | None (or less than \$201) |

| #     | DESCRIPTION  | EIF | VALUE                       | INCOME TYPE | INCOME AMOUNT             |
|-------|--|-----|-----------------------------|-------------|---------------------------|
| 1.140 | TENCENT HLDGS LTD ADR (OTC-TCEHY)<br>(Multinational Conglomerate Company )       | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.141 | Teradyne, Inc. (TER)   | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.142 | TERUMO CORP ADR (OTC-TRUMY)<br>(Medical Device Company )                         | N/A | None (or less than \$1,001) |             | None (or less than \$201) |
| 1.143 | Texas Instruments Incorporated (TXN)   | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.144 | TFI INTL INC CAD (TFII)  | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.145 | Thor Industries, Inc. (THO)  | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.146 | TOKYO ELECTRON LTD ADR (OTC-TOELY)<br>(Electronics Company )                     | N/A | None (or less than \$1,001) |             | None (or less than \$201) |
| 1.147 | TOTALENERGIES SE SPON ADR  | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.148 | UNICHARM CORP SPON ADR (OTC-UNICY)<br>(Manufactures Disposable Hygiene Products) | N/A | None (or less than \$1,001) |             | None (or less than \$201) |
| 1.149 | UNILEVER PLC AMER SHS NEW SPONADR<br>(UL)  | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.150 | UNTD RENTALS INC (URI)   | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.151 | VALE SA-SP SPON ADR (VALE)   | N/A | None (or less than \$1,001) |             | None (or less than \$201) |
| 1.152 | VANGUARD FTSE DEVELOPED MKT ETF<br>(VEA)   | Yes | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.153 | VANGUARD GROWTH ETF  | Yes | \$1,001 - \$15,000          |             | None (or less than \$201) |

| #     | DESCRIPTION   | EIF | VALUE               | INCOME TYPE | INCOME AMOUNT             |
|-------|---|-----|---------------------|-------------|---------------------------|
| 1.154 | VANGUARD SHORT TERM INVESTMENT GRADE FUND ADMIRAL   | Yes | \$15,001 - \$50,000 |             | None (or less than \$201) |
| 1.155 | VANGUARD VALUE ETF  | Yes | \$1,001 - \$15,000  |             | None (or less than \$201) |
| 1.156 | VESTAS WIND SYSTEMS A/S ADR   | N/A | \$1,001 - \$15,000  |             | None (or less than \$201) |
| 1.157 | ZEBRA TECHNOLOGIES CORP CL A (ZBRA)   | N/A | \$1,001 - \$15,000  |             | None (or less than \$201) |
| 2     | "Princess Orlita the Curious Princess," (self-published by Randi Charno Levine Books (tradename)) (value not readily ascertainable) | N/A |                     |             | None (or less than \$201) |

### 3. Filer's Employment Agreements and Arrangements

None

### 4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

None

### 5. Spouse's Employment Assets & Income and Retirement Accounts

| #   | DESCRIPTION                        | EIF | VALUE              | INCOME TYPE | INCOME AMOUNT             |
|-----|------------------------------------|-----|--------------------|-------------|---------------------------|
| 1   | IRA ACCOUNT #2                     | No  |                    |             |                           |
| 1.1 | 10X GENOMICS INC CL A (NASDAQ-TXG) | N/A | \$1,001 - \$15,000 |             | None (or less than \$201) |

| #    | DESCRIPTION  | EIF | VALUE                       | INCOME TYPE | INCOME AMOUNT             |
|------|--|-----|-----------------------------|-------------|---------------------------|
| 1.2  | 2U, Inc. (TWOU)  | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.3  | ABB LTD SPON ADR (NYSE-ABB)  | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.4  | ACCENTURE PLC IRELAND CL A (NYSE-ACN)  | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.5  | ADIDAS AG SPON ADR (OTC-ADDYY)<br>(Manufacturing/Design Company)                       | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.6  | ADOBE INC. (DELAWARE) (NASDAQ-ADBE)  | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.7  | AIA GROUP LTD SPON ADR (OTC-AAGIY)<br>(Insurance Company)                              | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.8  | AIR LIQUIDE ADR FRANCE ADR (OTC-AIQUY)<br>(Industrial Gases Company)                   | N/A | None (or less than \$1,001) |             | None (or less than \$201) |
| 1.9  | AIR PROD & CHEMICAL INC (NYSE- APD)  | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.10 | AIRBUS SE UNSPONSORED ADR (OTC-EADSY)<br>(Designs and Manufactures Aerospace products) | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.11 | Alger Small Cap Focus Fund Class Z Shares<br>(MUTF- AGOZX)                             | Yes | \$15,001 - \$50,000         |             | None (or less than \$201) |
| 1.12 | ALIBABA GROUP HLDG LTD SPON ADR<br>(BABA)  | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.13 | Allegion Plc (NYSE- ALLE)  | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.14 | ALPHABET INC CL A (NASDAQ- GOOGL)  | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.15 | Altria Group, Inc. (NYSE- MO)  | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |



| #    | DESCRIPTION  | EIF | VALUE                       | INCOME TYPE | INCOME AMOUNT             |
|------|--|-----|-----------------------------|-------------|---------------------------|
| 1.16 | Amazon.com, Inc. (NASDAQ- AMZN)  | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.17 | American Electric Power Co., Inc. (NASDAQ-AEP)                             | N/A | None (or less than \$1,001) |             | None (or less than \$201) |
| 1.18 | AMER FUNDS NEW WORLD FUND CLASS F2 (MUTF-NFFFX)                            | Yes | \$15,001 - \$50,000         |             | None (or less than \$201) |
| 1.19 | American Tower Corporation (REIT) (NYSE-AMT)                               | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.20 | Amgen Inc. (NASDAQ- AMGN)  | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.21 | Analog Devices, Inc. (NASDAQ-ADI)  | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.22 | ANHEUSER BUSCH INBEV SPON ADR (NYSE-BUD)                                   | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.23 | ANSYS, Inc. (NASDAQ-ANSS)  | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.24 | AON PLC CL A (NYSE- AON)   | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.25 | Apple, Inc. (NASDAQ- AAPL)   | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.26 | ASML HLDG NV SPON ADR (NASDAQ-ASML)  | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.27 | Aspen Technology, Inc. (NASDAQ-AZPN)                                       | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.28 | ASSA ABLOY AB ADR (ASAZY) (OTC-Manufacturing Company)                      | N/A | None (or less than \$1,001) |             | None (or less than \$201) |
| 1.29 | ASSOCIATED BRITISH FOODS PLC NEW ADR (OTC-ASBFY) (Food Processing Company) | N/A | None (or less than \$1,001) |             | None (or less than \$201) |

| #    | DESCRIPTION   | EIF | VALUE                       | INCOME TYPE | INCOME AMOUNT             |
|------|---|-----|-----------------------------|-------------|---------------------------|
| 1.30 | ASTRAZENECA PLC SPON ADR (NASDAQ-AZN)   | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.31 | ATLISSIAN CORP PLC CL A (NASDAQ-TEAM)   | N/A | None (or less than \$1,001) |             | None (or less than \$201) |
| 1.32 | Autodesk, Inc. (NASDAQ-ADSK)  | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.33 | AUTOMATIC DATA PROCESSNG INC (NASDAQ-ADP)                                       | N/A | None (or less than \$1,001) |             | None (or less than \$201) |
| 1.34 | B&M EUROPEAN VALUE RETAIL SA UNSPONSORED ADR(OTC-BMRRY) (Variety Store Company) | N/A | None (or less than \$1,001) |             | None (or less than \$201) |
| 1.35 | BANCO BILBAO VIZCAYA ARGENTARIA S.A. SPON ADR (NYSE-BBVA)                       | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.36 | BEIGENE LTD SPON ADR (NASDAQ-BGNE)  | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.37 | BENTLEY SYSTEMS INC CL B (NASDAQ-BSY)   | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.38 | BERKLEY W R CORP (NYSE-WRB)   | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.39 | BERKSHIRE HATHAWAY INC NEW CL B (NYSE-BRKB)                                     | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.40 | BIO RAD LABORATORIES INC CL A (NYSE-BIO)  | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.41 | BLACKROCK EMERGING MARKETS FUND INC CL INSTITUTIONAL                            | Yes | \$15,001 - \$50,000         |             | None (or less than \$201) |
| 1.42 | BLACKROCK HIGH YIELD BOND PORTFOLIOINSTITUTIONAL                                | Yes | \$15,001 - \$50,000         |             | None (or less than \$201) |
| 1.43 | BlackRock, Inc. (NYSE- BLK)   | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |

| #    | DESCRIPTION  | EIF | VALUE                       | INCOME TYPE | INCOME AMOUNT             |
|------|--|-----|-----------------------------|-------------|---------------------------|
| 1.44 | BNP PARIBAS SA ADR (OTC-BNPQY)<br>(Retail Banking Company)                               | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.45 | Bright Horizons Family Solutions Inc. (NYSE-BFAM)  | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.46 | BRITISH AMER TOBACCO PLC GB SPON<br>ADR (NYSE- BTI)                                      | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.47 | Cadence Design Systems, Inc. (NASDAQ-CDNS)   | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.48 | CARLSBERG AS SPON ADR (OTC-CABGY)<br>( Danish Multinational Brewer Company)              | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.49 | CDN NATL RAILWAY CO CAD (NYSE- CNI)  | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.50 | CDW Corp. (NASDAQ-CDW)   | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.51 | Charles River Laboratories International, Inc.<br>(NYSE- CRL)                            | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.52 | Chegg, Inc. (NYSE-CHGG)  | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.53 | Chemed Corp. New (NYSE- CHE)   | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.54 | Chevron Corp. (NYSE- CVX)  | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.55 | CHINA GAS HLDGS LTD UNSPONSORED<br>ADR (OTC-CGHLV) (Natural Gas Company)                 | N/A | None (or less than \$1,001) |             | None (or less than \$201) |
| 1.56 | CHINA MERCHANTS BK CO LTD<br>UNSPONSORED ADR (OTC-CIHKY)<br>(Commercial Banking Company) | N/A | None (or less than \$1,001) |             | None (or less than \$201) |
| 1.57 | CHUBB LTD CHF (NYSE-CB)  | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |

| #    | DESCRIPTION  | EIF | VALUE                       | INCOME TYPE | INCOME AMOUNT             |
|------|--|-----|-----------------------------|-------------|---------------------------|
| 1.58 | CINN FINANCIAL CORP (NASDAQ-CINF)  | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.59 | Cisco Systems, Inc. (CSCO)   | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.60 | COCA COLA CO COM (KO)  | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.61 | COINBASE GLOBAL INC CL A (COIN)  | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.62 | COMCAST CORP NEW CL A (CMCSA)  | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.63 | COMPAGNIE FINANCIERE RICHEMONTAG ADR (OTC-CFRUY) ( Luxury Goods Company) | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.64 | COOPER COMPANIES INC NEW (COO)   | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.65 | Copart, Inc. (CPRT)  | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.66 | COSAN S A SPON ADR (CSAN)  | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.67 | CREDICORP LTD (BAP)  | N/A | None (or less than \$1,001) |             | None (or less than \$201) |
| 1.68 | CRISPR THERAPEUTICS AG CHF (CRSP)  | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.69 | CROWN CASTLE INTL CORP REIT (CCI)  | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.70 | DAIICHI SANKYO CO LTD SPON ADR (OTC-DSNKY) (Pharmaceutical Company)      | N/A | None (or less than \$1,001) |             | None (or less than \$201) |
| 1.71 | Danaher Corp. (DHR)  | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |

| #    | DESCRIPTION   | EIF | VALUE                       | INCOME TYPE | INCOME AMOUNT             |
|------|---|-----|-----------------------------|-------------|---------------------------|
| 1.72 | DBS GROUP HLDGS LTD. SPON ADR (OTC-DBSDY) (Bank)                    | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.73 | DELAWARE SMAL CAP CORE I  | Yes | \$15,001 - \$50,000         |             | None (or less than \$201) |
| 1.74 | DEUTSCHE BOERSE ADR (OTC-DBOEY) (Capital Market Company)            | N/A | None (or less than \$1,001) |             | None (or less than \$201) |
| 1.75 | DIAGEO PLC NEW GB SPON ADR (DEO)                                    | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.76 | Discover Financial Services (DFS)                                   | N/A | None (or less than \$1,001) |             | None (or less than \$201) |
| 1.77 | DNB ASA SPON ADR (OTC-DNBBY) (Financial Services Company)           | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.78 | Dominion Energy, Inc. (D)   | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.79 | DRAFTKINGS INC CL A (DKNG)  | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.80 | DSV PANALPINA A/S ADR (OTC-DSDVY) (Transport and Logistics Company) | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.81 | DUCK CREEK TECHNOLOGIES INC (DCT)                                   | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.82 | DYNATRACE INC (DT)  | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.83 | Ecolab, Inc. (ECL)  | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.84 | Editas Medicine, Inc. (EDIT)  | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.85 | Edwards Lifesciences Corp. (EW)                                     | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |

| #    | DESCRIPTION  | EIF | VALUE                       | INCOME TYPE | INCOME AMOUNT             |
|------|--|-----|-----------------------------|-------------|---------------------------|
| 1.86 | Elanco Animal Health, Inc. (ELAN)  | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.87 | ENEL SPA ADR (OTC- ENLAY)<br>(Manufacturer and Distributor of Electricity and Gas) | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.88 | ENGIE SPON ADR (OTC- ENGIY) (Utilities Company)                                    | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.89 | EOG Resources, Inc. (EOG)  | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.90 | EPAM Systems, Inc. (EPAM)  | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.91 | EPIROC AKTIEBOLAG ADR (OTC-EPOKY)<br>(Mining Company)                              | N/A | None (or less than \$1,001) |             | None (or less than \$201) |
| 1.92 | Equifax, Inc. (EFX)  | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.93 | ESSILORLUXOTTICA ADR (OTC-ESLOF)<br>(Multinational Corporation)                    | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.94 | Etsy, Inc. (ETSY)  | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.95 | EXACT Sciences Corp. (EXAS)  | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.96 | Exponent, Inc. (EXPO)  | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.97 | Fair Isaac Corp. (FICO)  | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.98 | Fastenal Co. (FAST)  | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.99 | Fortive Corp. (FTV)  | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |

| #     | DESCRIPTION  | EIF | VALUE                       | INCOME TYPE | INCOME AMOUNT             |
|-------|--|-----|-----------------------------|-------------|---------------------------|
| 1.100 | Franklin Resources, Inc. (BEN)   | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.101 | GENMAB A/S SPON ADR (GMAB)   | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.102 | GIVAUDAN SA ADR (OTC-GVDNY) (Flavor and Fragrance Industry)                  | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.103 | GRIFOLS S A CL B SPON ADR (GRFS)   | N/A | None (or less than \$1,001) |             | None (or less than \$201) |
| 1.104 | HDFC BANK LTD ADR (NYSE-HDB)   | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.105 | HENRY JACK & ASSOC INC (JKHY)  | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.106 | HERMES INTL SCA ADR (OTC-HESAY) (Luxury Goods Company)                       | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.107 | Home Depot, Inc. (HD)  | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.108 | HONG KONG EXCHANGES & CLEARING LTD ADR (OTC-HKXCY) ( Stock Exchange Company) | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.109 | HOYA CORP SPON ADR (OTC-HOCPY) (Manufacturing Company)                       | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.110 | HUTCHMED (CHINA) LTD SPON ADR (NASDAQ-HCM)                                   | N/A | None (or less than \$1,001) |             | None (or less than \$201) |
| 1.111 | IBERDROLA SA SPON ADR (OTC- IBDRY) (Electric Utility Company)                | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.112 | ICICI BANK LTD SPON ADR (IBN)  | N/A | None (or less than \$1,001) |             | None (or less than \$201) |
| 1.113 | IDEXX Laboratories, Inc. (IDXX)  | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |

| #     | DESCRIPTION   | EIF | VALUE                       | INCOME TYPE | INCOME AMOUNT             |
|-------|---|-----|-----------------------------|-------------|---------------------------|
| 1.114 | INDUSTRIA DE DISENO TEXTIL IND ADR (OTC-IDEXY) (Clothing Company)             | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.115 | ING GROUP N V NL SPON ADR (NYSE-ING)  | N/A | None (or less than \$1,001) |             | None (or less than \$201) |
| 1.116 | Intel Corp. (INTC)  | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.117 | Intellia Therapeutics, Inc. (NTLA)  | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.118 | INTERACTIVE BROKERS GROUP INC CL A (IBKR)                                     | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.119 | Intuit, Inc. (INTU)   | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.120 | Invitae Corp. (NVTA)  | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.121 | Iridium Communications, Inc. (IRDM)   | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.122 | JARDINE MATHESON HD-UNSP ADR (OTC-JMHLY) (Multinational Conglomerate Company) | N/A | None (or less than \$1,001) |             | None (or less than \$201) |
| 1.123 | JD COM INC CL A SPON ADR (JD)   | N/A | None (or less than \$1,001) |             | None (or less than \$201) |
| 1.124 | JOHN HANCOCK DISCIPLINED VALUE MID CAP FUND CLASS I (JVMIX)                   | Yes | \$15,001 - \$50,000         |             | None (or less than \$201) |
| 1.125 | JOHNSON & JOHNSON COM (JNJ)   | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.126 | JPMORGAN CHASE & CO (JPM)   | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.127 | KERING S A UN SPONSORED ADR (OTC-PPRUY) (Luxury Goods Company)                | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |



| #     | DESCRIPTION  | EIF | VALUE                       | INCOME TYPE | INCOME AMOUNT             |
|-------|--|-----|-----------------------------|-------------|---------------------------|
| 1.128 | KEYENCE CORP ORD JPY (OTC-KYCCF)<br>(Direct Sales Organization)  | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.129 | KONINKLIJKE PHILIPS NV SPON ADR<br>(PHG)   | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.130 | LENNOX INTL INC (LII)  | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.131 | LINDE PLC EUR (LIN)  | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.132 | Lockheed Martin Corp. (LMT)  | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.133 | LONDON STK EXCHANGE UNSPONSORED<br>ADR (OTC-LNSTY) (Stock Exchange and<br>Financial Information Company) | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.134 | LOREAL CO ADR FRANCE ADR (OTC-<br>LRLCY) (Cosmetics Company)   | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.135 | LOWES COMPANIES INC (LOW)  | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.136 | LPL FINL HLDGS INC COM (LPLA)  | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.137 | LUKOIL PJSC SPON ADR (OTC-LUKOY)<br>(Crude Petroleum and Natural Gas Extraction<br>Company)              | N/A | None (or less than \$1,001) |             | None (or less than \$201) |
| 1.138 | LVMH MOET HENNESSY LOUIS ADR (OTC-<br>LVMUY) (Luxury Goods Company)                                      | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.139 | MARSH & MCLENNAN COS INC (MMC)   | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.140 | MARTIN CURRIE SMA-SHARES SERIES EM<br>FUND CLASS NL  | Yes | \$15,001 -<br>\$50,000      |             | None (or less than \$201) |
| 1.141 | MARVELL TECHNOLOGY INC (MRVL)  | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |

| #     | DESCRIPTION   | EIF | VALUE                       | INCOME TYPE | INCOME AMOUNT             |
|-------|---|-----|-----------------------------|-------------|---------------------------|
| 1.142 | McDonald's Corp. (MCD)  | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.143 | Medtronic Plc (MDT)   | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.144 | MEITUAN ADR (OTC-MPNGY) (Internet Company)                                      | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.145 | MERCADOLIBRE INC (MELI)   | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.146 | MERCK & CO INC COM (MRK)  | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.147 | Microsoft Corp. (MSFT)  | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.148 | MINTH GROUP LTD UN SPON ADR (OTC-MNTHY) (Auto Parts Company)                    | N/A | None (or less than \$1,001) |             | None (or less than \$201) |
| 1.149 | Monolithic Power Systems, Inc. (MPWR)   | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.150 | MORGAN STANLEY INTERNATIONAL ADVANTAGE CL I                                     | Yes | \$50,001 - \$100,000        |             | None (or less than \$201) |
| 1.151 | MSCI, Inc. (MSCI)   | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.152 | MURATA MFG CO LTD ADR (OTC- MRAAY) (Electronic Capacitor Manufacturing Company) | N/A | None (or less than \$1,001) |             | None (or less than \$201) |
| 1.153 | NESTLE S A SPONSORED ADR REPSTG REG SHS SWITZ ADR ( OTC-NSRGY) ( Food Company)  | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.154 | NETEASE INC SPON ADR (NTES)   | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.155 | NEXTERA ENERGY INC COM (NEE)  | N/A | None (or less than \$1,001) |             | None (or less than \$201) |

| #     | DESCRIPTION   | EIF | VALUE                       | INCOME TYPE | INCOME AMOUNT             |
|-------|---|-----|-----------------------------|-------------|---------------------------|
| 1.156 | NIDEC CORP ADR (OTC-NJDCY) (Commercial Company)                           | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.157 | NIKE INC CL B (NKE)   | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.158 | NINTENDO LTD ADR NEW JAPAN ADR (OTC-NTDOY) (Consumer Electronics Company) | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.159 | NIPPON TELEG & TEL CORP SPON ADR (OTC-NTTY) (Telecommunications Company)  | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.160 | NITORI HLDGS CO LTD ADR (OTC- NCLTY) (Furniture Company)                  | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.161 | Nordson Corp. (NDSN)  | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.162 | NORFOLK STHN CORP (NSC)   | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.163 | NOVARTIS AG SPON ADR (NVS)  | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.164 | NOVO NORDISK ADR (NYSE- NVO)  | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.165 | NVIDIA Corp. (NVDA)   | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.166 | ORGANON & CO (OGN)  | N/A | None (or less than \$1,001) |             | None (or less than \$201) |
| 1.167 | P.T. TELEKOMUNIKASI INDONESIA SPON ADR                                    | N/A | None (or less than \$1,001) |             | None (or less than \$201) |
| 1.168 | PagerDuty, Inc. (PD)  | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.169 | PALANTIR TECHNOLOGIES INC CL A (PLTR)                                     | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |

| #     | DESCRIPTION   | EIF | VALUE                       | INCOME TYPE | INCOME AMOUNT             |
|-------|---|-----|-----------------------------|-------------|---------------------------|
| 1.170 | Paychex, Inc. (PAYX)  | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.171 | PEAR TREE POLARIS FOREIGN VALUE FUND INSTL  | Yes | \$50,001 - \$100,000        |             | None (or less than \$201) |
| 1.172 | PERFORMANCE TRUST STRATEGIC FUND CLASS I  | Yes | \$15,001 - \$50,000         |             | None (or less than \$201) |
| 1.173 | PERNOD RICARD SA ADR (OTC-PDRDY) (Beverage Company)   | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.174 | Pfizer Inc. (PFE)   | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.175 | PHILIP MORRIS INTL INC (PM)   | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.176 | Phillips 66 (PSX)   | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.177 | PING AN INSURANCE (GROUP) CO OF CHINA LTD REPSTG 20 H SHS SPON ADR (OTC- PNGAY) (Insurance Company) | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.178 | Pool Corp. (POOL)   | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.179 | PROCTER & GAMBLE CO (PG)  | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.180 | PROSUS N V SPON ADR (OTC-PROSY) (Global Investment Group)   | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.181 | Proto Labs, Inc. (PRLB)   | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.182 | PT BK RAKYAT ADR  | N/A | None (or less than \$1,001) |             | None (or less than \$201) |
| 1.183 | PURE STORAGE INC CL A (PSTG)  | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |

| #     | DESCRIPTION  | EIF | VALUE                       | INCOME TYPE | INCOME AMOUNT             |
|-------|--|-----|-----------------------------|-------------|---------------------------|
| 1.184 | RAYTHEON TECHNOLOGIES CORP (RTX)   | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.185 | RECKITT BENCKISER PLC SPON ADR (OTC-RBGLY) (Consumer Goods Company)          | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.186 | RECRUIT HLDGS CO LTD UN SPONSORED ADR (OTC-RCRUY) ( Human Resources Company) | N/A | None (or less than \$1,001) |             | None (or less than \$201) |
| 1.187 | RELX PLC SPON ADR (RELX)   | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.188 | Republic Services, Inc. (RSG)  | N/A | None (or less than \$1,001) |             | None (or less than \$201) |
| 1.189 | RIO TINTO PLC SPON ADR   | N/A | None (or less than \$1,001) |             | None (or less than \$201) |
| 1.190 | ROCKWELL AUTOMATION INC NEW (ROK)  | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.191 | Roku, Inc. (ROKU)  | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.192 | Rollins, Inc. (ROL)  | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.193 | ROYAL KPN N.V. SPON ADR  | N/A | None (or less than \$1,001) |             | None (or less than \$201) |
| 1.194 | SAFRAN SA ADR (OTC-SAFRY) (Aerospace Company)                                | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.195 | SAP SE SPON ADR  | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.196 | SBERBANK SPON ADR  | N/A | None (or less than \$1,001) |             | None (or less than \$201) |
| 1.197 | SCOTTS MIRACLE-GRO CO CL A (SMG)   | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |

| #     | DESCRIPTION  | EIF | VALUE              | INCOME TYPE | INCOME AMOUNT             |
|-------|--|-----|--------------------|-------------|---------------------------|
| 1.198 | SEA LTD ADR (SE)   | N/A | \$1,001 - \$15,000 |             | None (or less than \$201) |
| 1.199 | Seres Therapeutics, Inc. (MCRB)  | N/A | \$1,001 - \$15,000 |             | None (or less than \$201) |
| 1.200 | ServiceNow, Inc. (NOW)   | N/A | \$1,001 - \$15,000 |             | None (or less than \$201) |
| 1.201 | SHIN ETSU CHEM CO LTD ADR (OTC-SHECY) (Chemicals Company)                | N/A | \$1,001 - \$15,000 |             | None (or less than \$201) |
| 1.202 | SHIONOGI & CO LTD ADR (OTC-SGIOY) (Pharmaceutical Company)               | N/A | \$1,001 - \$15,000 |             | None (or less than \$201) |
| 1.203 | SHOPIFY INC CL A (SHOP)  | N/A | \$1,001 - \$15,000 |             | None (or less than \$201) |
| 1.204 | SiteOne Landscape Supply, Inc. (SITE)                                    | N/A | \$1,001 - \$15,000 |             | None (or less than \$201) |
| 1.205 | SMC CORP YEN SHS ORD JPY (OTC-SMCAY) (Sintered Metal Corporation)        | N/A | \$1,001 - \$15,000 |             | None (or less than \$201) |
| 1.206 | SOFTBANK GROUP CORP ADR (OTC-SFTBY) (Multinational Conglomerate Company) | N/A | \$1,001 - \$15,000 |             | None (or less than \$201) |
| 1.207 | SPOTIFY TECHNOLOGY SA EUR (SPOT)   | N/A | \$1,001 - \$15,000 |             | None (or less than \$201) |
| 1.208 | SQUARE INC CL A (SQ)   | N/A | \$1,001 - \$15,000 |             | None (or less than \$201) |
| 1.209 | Starbucks Corp. (SBUX)   | N/A | \$1,001 - \$15,000 |             | None (or less than \$201) |
| 1.210 | STMICROELECTRONICS N.V EUR (STM)   | N/A | \$1,001 - \$15,000 |             | None (or less than \$201) |
| 1.211 | STRATASYS LTD ILS (SSYS)   | N/A | \$1,001 - \$15,000 |             | None (or less than \$201) |

| #     | DESCRIPTION   | EIF | VALUE                          | INCOME TYPE | INCOME AMOUNT                |
|-------|---|-----|--------------------------------|-------------|------------------------------|
| 1.212 | STRAUMANN HLDG AG ADR (OTC-SAUHY)<br>(Dental Equipment and Supplies<br>Manufacturing Company) | N/A | \$1,001 - \$15,000             |             | None (or less<br>than \$201) |
| 1.213 | SUNNY OPTICAL TECH UNSPONSORED<br>ADR (OTC-SNPTF) (Optical Products<br>Company)               | N/A | None (or less<br>than \$1,001) |             | None (or less<br>than \$201) |
| 1.214 | TAIWAN SEMICONDUCTOR MFG CO LTD<br>ADR (TSM)  | N/A | \$1,001 - \$15,000             |             | None (or less<br>than \$201) |
| 1.215 | Target Corp. (TGT)  | N/A | \$1,001 - \$15,000             |             | None (or less<br>than \$201) |
| 1.216 | Teladoc Health, Inc. (TDOC)   | N/A | \$1,001 - \$15,000             |             | None (or less<br>than \$201) |
| 1.217 | Teledyne Technologies, Inc. (TDY)   | N/A | \$1,001 - \$15,000             |             | None (or less<br>than \$201) |
| 1.218 | TENCENT HLDGS LTD ADR (OTC-TCEHY)<br>(Multinational Conglomerate Company)                     | N/A | \$1,001 - \$15,000             |             | None (or less<br>than \$201) |
| 1.219 | Teradyne, Inc. (TER)  | N/A | \$1,001 - \$15,000             |             | None (or less<br>than \$201) |
| 1.220 | TERUMO CORP ADR (OTC-TRUMY) (Medical<br>Device Company)                                       | N/A | None (or less<br>than \$1,001) |             | None (or less<br>than \$201) |
| 1.221 | Tesla, Inc. (TSLA)  | N/A | \$1,001 - \$15,000             |             | None (or less<br>than \$201) |
| 1.222 | Texas Instruments Incorporated (TXN)  | N/A | \$1,001 - \$15,000             |             | None (or less<br>than \$201) |
| 1.223 | TFI INTL INC CAD (TFII)   | N/A | \$1,001 - \$15,000             |             | None (or less<br>than \$201) |
| 1.224 | Thermo Fisher Scientific, Inc. (TMO)  | N/A | \$1,001 - \$15,000             |             | None (or less<br>than \$201) |
| 1.225 | Thor Industries, Inc. (THO)   | N/A | \$1,001 - \$15,000             |             | None (or less<br>than \$201) |

| #     | DESCRIPTION   | EIF | VALUE                       | INCOME TYPE | INCOME AMOUNT             |
|-------|---|-----|-----------------------------|-------------|---------------------------|
| 1.226 | TOKYO ELECTRON LTD ADR (OTC-TOELY)<br>(Electronics Company)                       | N/A | None (or less than \$1,001) |             | None (or less than \$201) |
| 1.227 | TOTALENERGIES SE SPON ADR   | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.228 | TRUIST FINL CORP (TFC)  | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.229 | TUSIMPLE HLDGS INC CL A (TSP)   | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.230 | TWILIO INC CL A (TWLO)  | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.231 | Twitter, Inc. (TWTR)  | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.232 | UNICHARM CORP SPON ADR (OTC-UNICY)<br>(Manufacturing Disposable Hygiene Products) | N/A | None (or less than \$1,001) |             | None (or less than \$201) |
| 1.233 | Union Pacific Corp. (UNP)   | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.234 | UNITED PARCEL SERVICE INC CL (UPS)  | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.235 | UnitedHealth Group, Inc. (UNH)  | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.236 | UNITY SOFTWARE INC (U)  | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.237 | VALE SA-SP SPON ADR (VALE)  | N/A | None (or less than \$1,001) |             | None (or less than \$201) |
| 1.238 | VANGUARD FTSE DEVELOPED MKT ETF   | Yes | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.239 | VANGUARD GROWTH ETF   | Yes | \$15,001 - \$50,000         |             | None (or less than \$201) |



| #     | DESCRIPTION   | EIF | VALUE                       | INCOME TYPE | INCOME AMOUNT             |
|-------|---|-----|-----------------------------|-------------|---------------------------|
| 1.240 | VANGUARD SHORT TERM INVESTMENT GRADE FUND ADMIRAL                             | Yes | \$50,001 - \$100,000        |             | None (or less than \$201) |
| 1.241 | VANGUARD VALUE ETF  | Yes | \$15,001 - \$50,000         |             | None (or less than \$201) |
| 1.242 | Verisk Analytics, Inc. (VRSK)   | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.243 | Verizon Communications, Inc. (VZ)   | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.244 | VF Corp. (VFC)  | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.245 | VISA INC CL A (V)   | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.246 | West Pharmaceutical Services, Inc. (WST)                                      | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.247 | XINYI SOLAR HLDGS LTD ADR (OTC-XNYIF) ( Engineering Services Company)         | N/A | None (or less than \$1,001) |             | None (or less than \$201) |
| 1.248 | ZEBRA TECHNOLOGIES CORP CL A (ZBRA)   | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.249 | Zillow Group, Inc. (Z)  | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 1.250 | ZOOM VIDEO COMMUNICATIONS INC CL A (ZM)                                       | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 2     | Douglaston Companies I LLC (PAYROLL COMPANY- COMMON PAYMASTER)                | N/A | None (or less than \$1,001) | Salary      |                           |
| 3     | CLINTON MANAGEMENT, LLC (REAL ESTATE MANAGEMENT COMPANY, Douglaston, NY)      | N/A | \$500,001 - \$1,000,000     |             | None (or less than \$201) |
| 4     | DOUGLASTON DEVELOPMENT, LLC (REAL ESTATE DEVELOPMENT COMPANY, Douglaston, NY) | N/A | \$500,001 - \$1,000,000     |             | None (or less than \$201) |

| #    | DESCRIPTION  |             | EIF | VALUE                       | INCOME TYPE        | INCOME AMOUNT             |
|------|--|-------------|-----|-----------------------------|--------------------|---------------------------|
| 5    | J.E. LEVINE BUILDER, INC. ( CONSTRUCTION COMPANY)                                |             | N/A | Over \$1,000,000            |                    | None (or less than \$201) |
| 6    | L.B. WEST CONSTRUCTION, INC. (CONSTRUCTION COMPANY)                              |             | N/A | \$250,001 - \$500,000       |                    | None (or less than \$201) |
| 7    | NEW CASSEL CONSTRUCTION CORP. (CONSTRUCTION COMPANY)                             |             | N/A | None (or less than \$1,001) |                    | None (or less than \$201) |
| 8    | LEEWOOD-SEAVIEW, LLC (CONSTRUCTION COMPANY)                                      |             | N/A | None (or less than \$1,001) |                    | None (or less than \$201) |
| 9    | LBDD RESOURCE, INC. (SHARED SERVICES)  |             | N/A | None (or less than \$1,001) |                    | None (or less than \$201) |
| 10   | THE HAMPTON GROUP, INC (REAL ESTATE DEVELOPMENT)                                 |             | N/A | Over \$1,000,000            |                    | None (or less than \$201) |
| 11   | PRIMESTYLE DEVELOPMENT, INC. (Development Company, Scottsdale, AZ)               |             | N/A | Over \$1,000,000            |                    | None (or less than \$201) |
| 12   | SENIOR LIVING SERVICES, LLC ( Provide Services to Senior Living Communities)     | See Endnote | N/A | \$500,001 - \$1,000,000     | Distributive Share | \$435,536                 |
| 13   | SENIOR LIVING HOME CARE, LLC (Provide Services to Senior Living Communities)     | See Endnote | N/A | \$15,001 - \$50,000         | Distributive Share | \$37,994                  |
| 14   | JEL LONDON HOLDINGS, LLC   | See Endnote | N/A |                             |                    |                           |
| 14.1 | GHG London Holding Limited (inactive, dissolving; part of London hotel business) |             | N/A | None (or less than \$1,001) |                    | None (or less than \$201) |
| 14.2 | AL Curtain Holdco LLC (inactive and dissolving; part of London hotel business)   |             | N/A | \$1,001 - \$15,000          |                    | None (or less than \$201) |
| 14.3 | Curtain Hotel LP (inactive and dissolving; part of London hotel business)        |             | N/A | \$1,001 - \$15,000          |                    | None (or less than \$201) |
| 15   | The Douglaston Companies 401(k) Profit Sharing Plan                              |             | N/A |                             |                    |                           |
| 15.1 | American Funds Income Fund of America R6 (RIDGX)                                 |             | Yes | Over \$1,000,000            |                    | None (or less than \$201) |

| #  | DESCRIPTION  |             | EIF | VALUE                       | INCOME TYPE        | INCOME AMOUNT             |
|----|--|-------------|-----|-----------------------------|--------------------|---------------------------|
| 16 | JELB CROSSROADS, LLC (Residential, Commercial Real Estate, Bronx, NY)                  |             | N/A | \$500,001 - \$1,000,000     |                    | None (or less than \$201) |
| 17 | JEL DOUGLASTON, LLC (Commercial Real Estate, Douglaston, NY)                           |             | N/A | \$15,001 - \$50,000         |                    | None (or less than \$201) |
| 18 | JELB 45, INC. (Residential Real Estate, New York, NY)                                  | See Endnote | N/A | Over \$1,000,000            | Distributive Share | \$40,518                  |
| 19 | JELB BLUEGRASS, LLC (Commercial Real Estate, Philadelphia, PA)                         | See Endnote | N/A | \$500,001 - \$1,000,000     | Distributive Share | \$107,331                 |
| 20 | JELB ██████████, LLC (Residential Real Estate, Bronx, NY)                              |             | N/A | Over \$1,000,000            |                    | None (or less than \$201) |
| 21 | JELB ██████████ LLC (Commercial Real Estate, Long Island City, NY)                     |             | N/A | Over \$1,000,000            |                    | None (or less than \$201) |
| 22 | JELB ██████████, LLC (Residential Real Estate, New York, NY)                           |             | N/A | \$100,001 - \$250,000       |                    | None (or less than \$201) |
| 23 | JELB FORT GREENE, LLC (Residential Real Estate, Brooklyn, NY)                          |             | N/A | None (or less than \$1,001) |                    | None (or less than \$201) |
| 24 | JELB CROSSROADS I, LLC (Residential/Commercial/ Parking Spaces Real Estate, Bronx, NY) |             | N/A | Over \$1,000,000            |                    | None (or less than \$201) |
| 25 | JELB EAST ██████████, LLC (Residential, Commercial Real Estate, New York, NY)          | See Endnote | N/A | Over \$1,000,000            | Distributive Share | \$321,008                 |
| 26 | JELB ECA, LLC (Residential Real Estate, Brooklyn, NY)                                  |             | N/A | Over \$1,000,000            |                    | None (or less than \$201) |
| 27 | JELB GRAND CONCOURSE, LLC (Residential, Parking Spaces, Bronx, NY)                     | See Endnote | N/A | None (or less than \$1,001) |                    | None (or less than \$201) |
| 28 | JELB NEW CASSEL, LLC (Residential Real Estate, Westbury, NY)                           |             | N/A | None (or less than \$1,001) |                    | None (or less than \$201) |
| 29 | JELB N ██████████, LLC (Residential Real Estate, Brooklyn, NY)                         | See Endnote | N/A | Over \$1,000,000            | Distributive Share | \$22,718                  |

| #    | DESCRIPTION  |             | EIF | VALUE                          | INCOME TYPE           | INCOME AMOUNT                |
|------|--|-------------|-----|--------------------------------|-----------------------|------------------------------|
| 30   | JELB [REDACTED] PARTICIPANTS, LLC<br>(Residential Real Estate, Brooklyn, NY)       |             | N/A | \$100,001 -<br>\$250,000       |                       | None (or less<br>than \$201) |
| 31   | JELB HK, LLC (Residential Real Estate, New<br>York, NY)                            |             | N/A | Over \$1,000,000               |                       | None (or less<br>than \$201) |
| 32   | JELB N [REDACTED] LLC (Residential Real<br>Estate, Brooklyn, NY)                   |             | N/A | \$100,001 -<br>\$250,000       |                       | None (or less<br>than \$201) |
| 33   | JELB NORTHSIDE, LLC (Residential Real<br>Estate, Brooklyn, NY)                     |             | N/A | None (or less<br>than \$1,001) |                       | None (or less<br>than \$201) |
| 34   | JELB SEAVIEW C, LLC (Residential/Parking<br>Spaces Real Estate, Staten Island, NY) |             | N/A | \$1,001 - \$15,000             |                       | None (or less<br>than \$201) |
| 35   | JELB WEBSTER, LLC (Residential Real<br>Estate, Bronx, NY)                          |             | N/A | \$100,001 -<br>\$250,000       |                       | None (or less<br>than \$201) |
| 36   | JELB SEATTLE, LLC (Real Estate, Seattle,<br>WA)                                    |             | N/A | Over \$1,000,000               |                       | None (or less<br>than \$201) |
| 37   | JELB OHM PARTICIPANTS, LLC (Residential<br>Real Estate, New York, NY)              | See Endnote | N/A | \$100,001 -<br>\$250,000       | Distributive<br>Share | \$19,820                     |
| 38   | JELB WEBSTER II, LLC (Real Estate in<br>Development, Bronx, NY)                    |             | N/A | \$500,001 -<br>\$1,000,000     |                       | None (or less<br>than \$201) |
| 39   | JELB [REDACTED], LLC<br>(Commercial Real Estate (Retail Space), New<br>York, NY)   | See Endnote | N/A | Over \$1,000,000               | Distributive<br>Share | \$319,646                    |
| 40   | JELB [REDACTED], LLC<br>(Residential Real Estate, Bronx, NY)                       |             | N/A | Over \$1,000,000               |                       | None (or less<br>than \$201) |
| 41   | JELB CROSSROADS II, LLC (Residential,<br>Commercial Real Estate, Bronx, NY)        | See Endnote | N/A | Over \$1,000,000               | Distributive<br>Share | \$250,820                    |
| 42   | JEL MANAGEMENT TRUST   |             | No  |                                |                       |                              |
| 42.1 | CLINTON MANAGEMENT, LLC  |             | N/A | \$15,001 -<br>\$50,000         |                       | None (or less<br>than \$201) |

| #     | DESCRIPTION  | EIF | VALUE                       | INCOME TYPE | INCOME AMOUNT             |
|-------|--|-----|-----------------------------|-------------|---------------------------|
| 42.2  | DOUGLASTON COMPANIES I, LLC  | N/A | None (or less than \$1,001) |             | None (or less than \$201) |
| 42.3  | DOUGLASTON DEVELOPMENT, LLC  | N/A | \$15,001 - \$50,000         |             | None (or less than \$201) |
| 42.4  | J. E. LEVINE BUILDERS, INC.  | N/A | \$100,001 - \$250,000       |             | None (or less than \$201) |
| 42.5  | L.B. WEST CONSTRUCTION, INC.   | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 42.6  | LBDD RESOURCE, INC.  | N/A | None (or less than \$1,001) |             | None (or less than \$201) |
| 42.7  | LEEWOOD-SEAVIEW, LLC   | N/A | None (or less than \$1,001) |             | None (or less than \$201) |
| 42.8  | NEW CASSEL CONSTRUCTION CORP.  | N/A | None (or less than \$1,001) |             | None (or less than \$201) |
| 42.9  | PRIMESTYLE DEVELOPMENT, INC.   | N/A | \$15,001 - \$50,000         |             | None (or less than \$201) |
| 42.10 | THE HAMPTON GROUP, INC   | N/A | \$100,001 - \$250,000       |             | None (or less than \$201) |
| 42.11 | JELB ██████████ LLC  | N/A | \$50,001 - \$100,000        |             | None (or less than \$201) |
| 43    | JELB WEBSTER SENIOR RETAIL, LLC<br>(Commercial Real Estate in Development, Brooklyn, NY)       | N/A | \$100,001 - \$250,000       |             | None (or less than \$201) |
| 44    | JELB WEBSTER SENIOR DEVELOPMENT, LLC<br>(Residential Real Estate in Development, Brooklyn, NY) | N/A | \$100,001 - \$250,000       |             | None (or less than \$201) |

## 6. Other Assets and Income

| #    | DESCRIPTION                                 | EIF | VALUE                 | INCOME TYPE | INCOME AMOUNT             |
|------|---|-----|-----------------------|-------------|---------------------------|
| 1    | U.S. Brokerage Account #1 (Managed Account) | No  |                       |             |                           |
| 1.1  | 3M Co. (MMM)                                | N/A | \$15,001 - \$50,000   | Dividends   | \$201 - \$1,000           |
| 1.2  | Abbott Laboratories (ABT)                   | N/A | \$50,001 - \$100,000  | Dividends   | \$201 - \$1,000           |
| 1.3  | AbbVie, Inc. (ABBV)                         | N/A | \$15,001 - \$50,000   | Dividends   | \$201 - \$1,000           |
| 1.4  | ACCENTURE PLC IRELAND (ACN)                 | N/A | \$15,001 - \$50,000   |             | None (or less than \$201) |
| 1.5  | Activision Blizzard, Inc. (ATVI)            | N/A | \$15,001 - \$50,000   |             | None (or less than \$201) |
| 1.6  | ADOBE INC. (DELAWARE) (ADBE)                | N/A | \$50,001 - \$100,000  |             | None (or less than \$201) |
| 1.7  | Advanced Micro Devices, Inc. (AMD)          | N/A | \$15,001 - \$50,000   |             | None (or less than \$201) |
| 1.8  | AIR PROD & CHEMICAL INC (APD)               | N/A | \$15,001 - \$50,000   |             | None (or less than \$201) |
| 1.9  | Akamai Technologies, Inc. (AKAM)            | N/A | \$1,001 - \$15,000    |             | None (or less than \$201) |
| 1.10 | Alliance Data Systems Corp. (ADS)           | N/A | \$1,001 - \$15,000    |             | None (or less than \$201) |
| 1.11 | Alliant Energy Corp. (LNT)                  | N/A | \$1,001 - \$15,000    |             | None (or less than \$201) |
| 1.12 | ALPHABET INC CL A (GOOGL)                   | N/A | \$100,001 - \$250,000 |             | None (or less than \$201) |
| 1.13 | ALPHABET INC CL C (GOOG)                    | N/A | \$100,001 - \$250,000 |             | None (or less than \$201) |

| #    | DESCRIPTION                             | EIF | VALUE                 | INCOME TYPE | INCOME AMOUNT             |
|------|---|-----|-----------------------|-------------|---------------------------|
| 1.14 | Altria Group, Inc. (MO)                 | N/A | \$15,001 - \$50,000   |             | None (or less than \$201) |
| 1.15 | Amazon.com, Inc. (AMZN)                 | N/A | \$250,001 - \$500,000 |             | None (or less than \$201) |
| 1.16 | American Electric Power Co., Inc. (AEP) | N/A | \$1,001 - \$15,000    |             | None (or less than \$201) |
| 1.17 | Ameren Corp. (AEE)                      | N/A | \$1,001 - \$15,000    |             | None (or less than \$201) |
| 1.18 | AMERICAN INTL GROUP INC (AIG)           | N/A | \$15,001 - \$50,000   |             | None (or less than \$201) |
| 1.19 | American Tower Corporation (REIT) (AMT) | N/A | \$15,001 - \$50,000   |             | None (or less than \$201) |
| 1.20 | American Water Works Co., Inc. (AWK)    | N/A | \$1,001 - \$15,000    |             | None (or less than \$201) |
| 1.21 | Ameriprise Financial, Inc. (AMP)        | N/A | \$15,001 - \$50,000   |             | None (or less than \$201) |
| 1.22 | AmerisourceBergen Corp. (ABC)           | N/A | \$1,001 - \$15,000    |             | None (or less than \$201) |
| 1.23 | Amgen Inc. (AMGN)                       | N/A | \$15,001 - \$50,000   | Dividends   | \$201 - \$1,000           |
| 1.24 | AMPHENOL CORP NEW CL A (APH)            | N/A | \$1,001 - \$15,000    |             | None (or less than \$201) |
| 1.25 | Analog Devices, Inc. (ADI)              | N/A | \$1,001 - \$15,000    |             | None (or less than \$201) |
| 1.26 | ANSYS, Inc. (ANSS)                      | N/A | \$1,001 - \$15,000    |             | None (or less than \$201) |
| 1.27 | Anthem, Inc. (ANTM)                     | N/A | \$1,001 - \$15,000    |             | None (or less than \$201) |

| #    | DESCRIPTION                              | EIF | VALUE                   | INCOME TYPE | INCOME AMOUNT             |
|------|--|-----|-------------------------|-------------|---------------------------|
| 1.28 | AON PLC CL A (AON)                       | N/A | \$1,001 - \$15,000      |             | None (or less than \$201) |
| 1.29 | Apple, Inc. (AAPL)                       | N/A | \$500,001 - \$1,000,000 | Dividends   | \$201 - \$1,000           |
| 1.30 | Applied Materials, Inc. (AMAT)           | N/A | \$15,001 - \$50,000     |             | None (or less than \$201) |
| 1.31 | Arista Networks, Inc. (ANET)             | N/A | \$1,001 - \$15,000      |             | None (or less than \$201) |
| 1.32 | AT&T, Inc. (T)                           | N/A | \$15,001 - \$50,000     | Dividends   | \$201 - \$1,000           |
| 1.33 | Autodesk, Inc. (ADSK)                    | N/A | \$1,001 - \$15,000      |             | None (or less than \$201) |
| 1.34 | Automatic Data Processing, Inc. (ADP)    | N/A | \$1,001 - \$15,000      |             | None (or less than \$201) |
| 1.35 | Ball Corporation (BLL)                   | N/A | \$1,001 - \$15,000      |             | None (or less than \$201) |
| 1.36 | Bank of America Corp. (BAC)              | N/A | \$15,001 - \$50,000     |             | None (or less than \$201) |
| 1.37 | Bank of New York Mellon Corporation (BK) | N/A | \$1,001 - \$15,000      |             | None (or less than \$201) |
| 1.38 | BECTON DICKINSON & CO (BDX)              | N/A | \$15,001 - \$50,000     |             | None (or less than \$201) |
| 1.39 | BERKLEY W R CORP (WRB)                   | N/A | \$15,001 - \$50,000     |             | None (or less than \$201) |
| 1.40 | BERKSHIRE HATHAWAY INC NEW CL B          | N/A | \$100,001 - \$250,000   |             | None (or less than \$201) |
| 1.41 | Biogen Inc. (BIIB)                       | N/A | \$15,001 - \$50,000     |             | None (or less than \$201) |



| #    | DESCRIPTION                               | EIF | VALUE                | INCOME TYPE | INCOME AMOUNT             |
|------|---|-----|----------------------|-------------|---------------------------|
| 1.42 | BlackRock, Inc. (BLK)                     | N/A | \$50,001 - \$100,000 |             | None (or less than \$201) |
| 1.43 | BOEING COMPANY (BA)                       | N/A | \$15,001 - \$50,000  |             | None (or less than \$201) |
| 1.44 | BOOKING HLDGS INC (BKNG)                  | N/A | \$15,001 - \$50,000  |             | None (or less than \$201) |
| 1.45 | Boston Scientific Corp. (BSX)             | N/A | \$1,001 - \$15,000   |             | None (or less than \$201) |
| 1.46 | BRISTOL MYERS SQUIBB CO (BMY)             | N/A | \$15,001 - \$50,000  |             | None (or less than \$201) |
| 1.47 | Broadcom, Inc. (AVGO)                     | N/A | \$50,001 - \$100,000 |             | None (or less than \$201) |
| 1.48 | Broadridge Financial Solutions, Inc. (BR) | N/A | \$1,001 - \$15,000   |             | None (or less than \$201) |
| 1.49 | BROWN FORMAN CRP CL B (BF-B)              | N/A | \$1,001 - \$15,000   |             | None (or less than \$201) |
| 1.50 | C H ROBINSON WORLDWIDE INC (CHRW)         | N/A | \$1,001 - \$15,000   |             | None (or less than \$201) |
| 1.51 | CABOT OIL & GAS CORP (COG)                | N/A | \$1,001 - \$15,000   |             | None (or less than \$201) |
| 1.52 | Cadence Design Systems, Inc. (CDNS)       | N/A | \$1,001 - \$15,000   |             | None (or less than \$201) |
| 1.53 | CARNIVAL CORP NEW (PAIRED STOCK) (CCL)    | N/A | \$15,001 - \$50,000  |             | None (or less than \$201) |
| 1.54 | Caterpillar, Inc. (CAT)                   | N/A | \$1,001 - \$15,000   |             | None (or less than \$201) |
| 1.55 | Celanese Corp. (CE)                       | N/A | \$1,001 - \$15,000   |             | None (or less than \$201) |

| #    | DESCRIPTION                               | EIF | VALUE                | INCOME TYPE | INCOME AMOUNT             |
|------|---|-----|----------------------|-------------|---------------------------|
| 1.56 | Centene Corp. (CNC)                       | N/A | \$15,001 - \$50,000  |             | None (or less than \$201) |
| 1.57 | CENTERPOINT ENERGY INC (HLDGS CO) (CNP)   | N/A | \$1,001 - \$15,000   |             | None (or less than \$201) |
| 1.58 | Cerner Corp. (CERN)                       | N/A | \$15,001 - \$50,000  |             | None (or less than \$201) |
| 1.59 | CHARTER COMMUNICATIONS INC NEWCL A (CHTR) | N/A | \$15,001 - \$50,000  |             | None (or less than \$201) |
| 1.60 | Chevron Corp. (CVX)                       | N/A | \$50,001 - \$100,000 | Dividends   | \$201 - \$1,000           |
| 1.61 | CHIPOTLE MEXICAN GRILL INC CL A (CMG)     | N/A | \$15,001 - \$50,000  |             | None (or less than \$201) |
| 1.62 | CHUBB LTD CHF (CB)                        | N/A | \$1,001 - \$15,000   |             | None (or less than \$201) |
| 1.63 | Cigna Corp. (CI)                          | N/A | \$1,001 - \$15,000   |             | None (or less than \$201) |
| 1.64 | CINN FINANCIAL CORP (CINF)                | N/A | \$15,001 - \$50,000  |             | None (or less than \$201) |
| 1.65 | Cisco Systems, Inc. (CSCO)                | N/A | \$1,001 - \$15,000   |             | None (or less than \$201) |
| 1.66 | Citizens Financial Group, Inc. (CFG)      | N/A | \$15,001 - \$50,000  | Dividends   | \$201 - \$1,000           |
| 1.67 | Citrix Systems, Inc. (CTXS)               | N/A | \$1,001 - \$15,000   |             | None (or less than \$201) |
| 1.68 | Clorox Co (CLX)                           | N/A | \$1,001 - \$15,000   |             | None (or less than \$201) |
| 1.69 | CMS Energy Corp. (CMS)                    | N/A | \$1,001 - \$15,000   |             | None (or less than \$201) |

| #    | DESCRIPTION                       | EIF | VALUE                | INCOME TYPE | INCOME AMOUNT             |
|------|-----------------------------------|-----|----------------------|-------------|---------------------------|
| 1.70 | Coca-Cola Co. (KO)                | N/A | \$50,001 - \$100,000 |             | None (or less than \$201) |
| 1.71 | Colgate-Palmolive Co. (CL)        | N/A | \$15,001 - \$50,000  |             | None (or less than \$201) |
| 1.72 | COMCAST CORP NEW CL A (CMCSA)     | N/A | \$50,001 - \$100,000 |             | None (or less than \$201) |
| 1.73 | Comerica, Inc. (CMA)              | N/A | \$15,001 - \$50,000  |             | None (or less than \$201) |
| 1.74 | Conagra Brands, Inc. (CAG)        | N/A | \$1,001 - \$15,000   |             | None (or less than \$201) |
| 1.75 | CONS EDISON CO (HOLDING CO) (ED)  | N/A | \$15,001 - \$50,000  | Dividends   | \$201 - \$1,000           |
| 1.76 | Constellation Brands, Inc. (STZ)  | N/A | \$1,001 - \$15,000   |             | None (or less than \$201) |
| 1.77 | Copart, Inc. (CPRT)               | N/A | \$1,001 - \$15,000   |             | None (or less than \$201) |
| 1.78 | Corning, Inc. (GLW)               | N/A | \$1,001 - \$15,000   |             | None (or less than \$201) |
| 1.79 | Corteva, Inc. (CTVA)              | N/A | \$1,001 - \$15,000   |             | None (or less than \$201) |
| 1.80 | Costco Wholesale Corp. (COST)     | N/A | \$15,001 - \$50,000  |             | None (or less than \$201) |
| 1.81 | CROWN CASTLE INTL CORP REIT (CCI) | N/A | \$15,001 - \$50,000  |             | None (or less than \$201) |
| 1.82 | CVS Health Corp. (CVS)            | N/A | \$15,001 - \$50,000  | Dividends   | \$201 - \$1,000           |
| 1.83 | Danaher Corp. (DHR)               | N/A | \$50,001 - \$100,000 |             | None (or less than \$201) |

| #    | DESCRIPTION                           | EIF | VALUE                | INCOME TYPE | INCOME AMOUNT             |
|------|---------------------------------------|-----|----------------------|-------------|---------------------------|
| 1.84 | DaVita, Inc. (DVA)                    | N/A | \$1,001 - \$15,000   |             | None (or less than \$201) |
| 1.85 | Deere & Co. (DE)                      | N/A | \$1,001 - \$15,000   |             | None (or less than \$201) |
| 1.86 | Dentsply Sirona, Inc. (XRAY)          | N/A | \$1,001 - \$15,000   |             | None (or less than \$201) |
| 1.87 | Digital Realty Trust, Inc. Reit (DLR) | N/A | \$1,001 - \$15,000   |             | None (or less than \$201) |
| 1.88 | Discover Financial Services (DFS)     | N/A | \$50,001 - \$100,000 |             | None (or less than \$201) |
| 1.89 | Discovery, Inc. CL A (DISCA)          | N/A | \$1,001 - \$15,000   |             | None (or less than \$201) |
| 1.90 | Dollar General Corp. New (DG)         | N/A | \$15,001 - \$50,000  |             | None (or less than \$201) |
| 1.91 | Dow, Inc. (DOW)                       | N/A | \$15,001 - \$50,000  | Dividends   | \$201 - \$1,000           |
| 1.92 | DTE Energy Co. (DTE)                  | N/A | \$15,001 - \$50,000  |             | None (or less than \$201) |
| 1.93 | Duke Energy Corp. New (DUK)           | N/A | \$15,001 - \$50,000  |             | None (or less than \$201) |
| 1.94 | Duke Realty Corp. New Reits (DRE)     | N/A | \$1,001 - \$15,000   |             | None (or less than \$201) |
| 1.95 | DuPont de Nemours, Inc. (DD)          | N/A | \$15,001 - \$50,000  |             | None (or less than \$201) |
| 1.96 | DXC Technology Co. (DXC)              | N/A | \$15,001 - \$50,000  |             | None (or less than \$201) |
| 1.97 | Eastman Chemical Co. (EMN)            | N/A | \$1,001 - \$15,000   |             | None (or less than \$201) |

| #     | DESCRIPTION                     | EIF | VALUE                 | INCOME TYPE | INCOME AMOUNT             |
|-------|---------------------------------|-----|-----------------------|-------------|---------------------------|
| 1.98  | Eaton Corp. Plc (ETN)           | N/A | \$15,001 - \$50,000   | Dividends   | \$201 - \$1,000           |
| 1.99  | Edwards Lifesciences Corp. (EW) | N/A | \$1,001 - \$15,000    |             | None (or less than \$201) |
| 1.100 | Electronic Arts, Inc. (EA)      | N/A | \$1,001 - \$15,000    |             | None (or less than \$201) |
| 1.101 | Enphase Energy, Inc. (ENPH)     | N/A | \$1,001 - \$15,000    |             | None (or less than \$201) |
| 1.102 | Entergy Corp. New (ETR)         | N/A | \$1,001 - \$15,000    |             | None (or less than \$201) |
| 1.103 | Equinix, Inc. Reit (EQIX)       | N/A | \$15,001 - \$50,000   |             | None (or less than \$201) |
| 1.104 | Equity Residential SBI (EQR)    | N/A | \$1,001 - \$15,000    |             | None (or less than \$201) |
| 1.105 | Exelon Corp. (EXC)              | N/A | \$15,001 - \$50,000   | Dividends   | \$201 - \$1,000           |
| 1.106 | EXPEDITORS INTL WASH INC (EXPD) | N/A | \$15,001 - \$50,000   |             | None (or less than \$201) |
| 1.107 | Exxon Mobil Corp. (XOM)         | N/A | \$15,001 - \$50,000   | Dividends   | \$201 - \$1,000           |
| 1.108 | F5 Networks, Inc. (FFIV)        | N/A | \$1,001 - \$15,000    |             | None (or less than \$201) |
| 1.109 | Facebook, Inc. CL A (FB)        | N/A | \$100,001 - \$250,000 |             | None (or less than \$201) |
| 1.110 | Fastenal Co. (FAST)             | N/A | \$1,001 - \$15,000    |             | None (or less than \$201) |
| 1.111 | FedEx Corp. (FDX)               | N/A | \$1,001 - \$15,000    |             | None (or less than \$201) |

| #     | DESCRIPTION                    | EIF | VALUE                | INCOME TYPE | INCOME AMOUNT             |
|-------|--------------------------------|-----|----------------------|-------------|---------------------------|
| 1.112 | Fifth Third Bancorp (FITB)     | N/A | \$15,001 - \$50,000  |             | None (or less than \$201) |
| 1.113 | Fiserv, Inc. (FISV)            | N/A | \$15,001 - \$50,000  |             | None (or less than \$201) |
| 1.114 | Flowserve Corp. (FLS)          | N/A | \$1,001 - \$15,000   |             | None (or less than \$201) |
| 1.115 | Ford Motor Co. (F)             | N/A | \$1,001 - \$15,000   |             | None (or less than \$201) |
| 1.116 | Fortinet, Inc. (FTNT)          | N/A | \$1,001 - \$15,000   |             | None (or less than \$201) |
| 1.117 | Fox Corp. (FOX)                | N/A | \$1,001 - \$15,000   |             | None (or less than \$201) |
| 1.118 | Franklin Resources, Inc. (BEN) | N/A | \$15,001 - \$50,000  |             | None (or less than \$201) |
| 1.119 | GALLAGHER ARTHUR J & CO (AJG)  | N/A | \$1,001 - \$15,000   |             | None (or less than \$201) |
| 1.120 | Gap, Inc. (GPS)                | N/A | \$15,001 - \$50,000  |             | None (or less than \$201) |
| 1.121 | GARMIN LTD SHS CHF (GRMN)      | N/A | \$1,001 - \$15,000   |             | None (or less than \$201) |
| 1.122 | Gartner, Inc. (IT)             | N/A | \$1,001 - \$15,000   |             | None (or less than \$201) |
| 1.123 | General Motors Co. (GM)        | N/A | \$50,001 - \$100,000 |             | None (or less than \$201) |
| 1.124 | GENL ELECTRIC CO (GE)          | N/A | \$15,001 - \$50,000  |             | None (or less than \$201) |
| 1.125 | GENL MILLS INC (GIS)           | N/A | \$1,001 - \$15,000   |             | None (or less than \$201) |

| #     | DESCRIPTION                             | EIF | VALUE                | INCOME TYPE | INCOME AMOUNT             |
|-------|---|-----|----------------------|-------------|---------------------------|
| 1.126 | Genuine Parts Co. (GPC)                 | N/A | \$1,001 - \$15,000   |             | None (or less than \$201) |
| 1.127 | Gilead Sciences, Inc. (GILD)            | N/A | \$1,001 - \$15,000   |             | None (or less than \$201) |
| 1.128 | Global Payments, Inc. (GPN)             | N/A | \$15,001 - \$50,000  |             | None (or less than \$201) |
| 1.129 | Goldman Sachs Group Inc (GS)            | N/A | \$1,001 - \$15,000   |             | None (or less than \$201) |
| 1.130 | Halliburton Co. (Holding Company) (HAL) | N/A | \$15,001 - \$50,000  |             | None (or less than \$201) |
| 1.131 | The Hershey Co. (HSY)                   | N/A | \$1,001 - \$15,000   |             | None (or less than \$201) |
| 1.132 | Hewlett-Packard Enterprise Co. (HPE)    | N/A | \$1,001 - \$15,000   |             | None (or less than \$201) |
| 1.133 | HollyFrontier Corp. (HFC)               | N/A | \$1,001 - \$15,000   |             | None (or less than \$201) |
| 1.134 | Home Depot, Inc. (HD)                   | N/A | \$50,001 - \$100,000 |             | None (or less than \$201) |
| 1.135 | HONEYWELL INTL INC (HON)                | N/A | \$15,001 - \$50,000  |             | None (or less than \$201) |
| 1.136 | HOST HOTELS & RESORTS INC (REIT) (HST)  | N/A | \$1,001 - \$15,000   |             | None (or less than \$201) |
| 1.137 | HP, Inc. (HPQ)                          | N/A | \$1,001 - \$15,000   |             | None (or less than \$201) |
| 1.138 | Humana, Inc. (HUM)                      | N/A | \$15,001 - \$50,000  |             | None (or less than \$201) |
| 1.139 | HUNT J B TRANS SVCS INC (JBHT)          | N/A | \$1,001 - \$15,000   |             | None (or less than \$201) |

| #     | DESCRIPTION                               | EIF | VALUE                | INCOME TYPE | INCOME AMOUNT             |
|-------|---|-----|----------------------|-------------|---------------------------|
| 1.140 | Huntington Bancshares, Inc. (HBAN)        | N/A | \$15,001 - \$50,000  |             | None (or less than \$201) |
| 1.141 | Huntington Ingalls Industries, Inc. (HII) | N/A | \$1,001 - \$15,000   |             | None (or less than \$201) |
| 1.142 | IDEX Corp. (IEX)                          | N/A | \$1,001 - \$15,000   |             | None (or less than \$201) |
| 1.143 | IDEXX Laboratories, Inc. (IDXX)           | N/A | \$15,001 - \$50,000  |             | None (or less than \$201) |
| 1.144 | IHS Markit Ltd. (INFO)                    | N/A | \$1,001 - \$15,000   |             | None (or less than \$201) |
| 1.145 | Illinois Tool Works, Inc. (ITW)           | N/A | \$15,001 - \$50,000  |             | None (or less than \$201) |
| 1.146 | Illumina, Inc. (ILMN)                     | N/A | \$15,001 - \$50,000  |             | None (or less than \$201) |
| 1.147 | Ingersoll Rand, Inc. (IR)                 | N/A | \$1,001 - \$15,000   |             | None (or less than \$201) |
| 1.148 | Intel Corp. (INTC)                        | N/A | \$50,001 - \$100,000 | Dividends   | \$201 - \$1,000           |
| 1.149 | INTERCONTINENTALEXCHANGE GROUP (ICE)      | N/A | \$15,001 - \$50,000  |             | None (or less than \$201) |
| 1.150 | INTL PAPER CO (IP)                        | N/A | \$15,001 - \$50,000  |             | None (or less than \$201) |
| 1.151 | Intuit, Inc. (INTU)                       | N/A | \$1,001 - \$15,000   |             | None (or less than \$201) |
| 1.152 | Intuitive Surgical, Inc. (ISRG)           | N/A | \$15,001 - \$50,000  |             | None (or less than \$201) |
| 1.153 | Invesco Ltd. (IVZ)                        | N/A | \$1,001 - \$15,000   |             | None (or less than \$201) |



| #     | DESCRIPTION                          | EIF | VALUE                 | INCOME TYPE | INCOME AMOUNT             |
|-------|--------------------------------------|-----|-----------------------|-------------|---------------------------|
| 1.154 | IRON MTN INC NEW COM (IRM)           | N/A | \$1,001 - \$15,000    |             | None (or less than \$201) |
| 1.155 | Jacobs Engineering Group, Inc. (JEC) | N/A | \$1,001 - \$15,000    |             | None (or less than \$201) |
| 1.156 | JOHNSON & JOHNSON COM (JNJ)          | N/A | \$100,001 - \$250,000 | Dividends   | \$201 - \$1,000           |
| 1.157 | JPMORGAN CHASE & CO (JPM)            | N/A | \$50,001 - \$100,000  |             | None (or less than \$201) |
| 1.158 | Juniper Networks, Inc. (JNPR)        | N/A | \$15,001 - \$50,000   |             | None (or less than \$201) |
| 1.159 | KANSAS CITY STHN NEW (KSU)           | N/A | \$1,001 - \$15,000    |             | None (or less than \$201) |
| 1.160 | Kellogg Co. (K)                      | N/A | \$1,001 - \$15,000    |             | None (or less than \$201) |
| 1.161 | Kimberly-Clark Corp. (KMB)           | N/A | \$1,001 - \$15,000    |             | None (or less than \$201) |
| 1.162 | KLA Corp. (KLAC)                     | N/A | \$1,001 - \$15,000    |             | None (or less than \$201) |
| 1.163 | The Kraft Heinz Co. (KHC)            | N/A | \$1,001 - \$15,000    |             | None (or less than \$201) |
| 1.164 | KROGER COMPANY (KR)                  | N/A | \$1,001 - \$15,000    |             | None (or less than \$201) |
| 1.165 | L3 HARRIS TECHNOLOGIES INC (LHX)     | N/A | \$1,001 - \$15,000    |             | None (or less than \$201) |
| 1.166 | Lam Research Corp. (LRCX)            | N/A | \$1,001 - \$15,000    |             | None (or less than \$201) |
| 1.167 | Las Vegas Sands Corp. (LVS)          | N/A | \$1,001 - \$15,000    |             | None (or less than \$201) |

| #     | DESCRIPTION                           | EIF | VALUE               | INCOME TYPE | INCOME AMOUNT             |
|-------|---------------------------------------|-----|---------------------|-------------|---------------------------|
| 1.168 | LAUDER ESTEE COS CL A (EL)            | N/A | \$1,001 - \$15,000  |             | None (or less than \$201) |
| 1.169 | LEGGETT & PLATT INC (LEG)             | N/A | \$1,001 - \$15,000  |             | None (or less than \$201) |
| 1.170 | LENNAR CORP CL A (LEN)                | N/A | \$15,001 - \$50,000 |             | None (or less than \$201) |
| 1.171 | LILLY ELI & CO (LLY)                  | N/A | \$15,001 - \$50,000 |             | None (or less than \$201) |
| 1.172 | LINDE PLC EUR (LIN)                   | N/A | \$15,001 - \$50,000 |             | None (or less than \$201) |
| 1.173 | Live Nation Entertainment, Inc. (LYV) | N/A | \$1,001 - \$15,000  |             | None (or less than \$201) |
| 1.174 | Lockheed Martin Corp. (LMT)           | N/A | \$15,001 - \$50,000 |             | None (or less than \$201) |
| 1.175 | Loews Corp. (L)                       | N/A | \$1,001 - \$15,000  |             | None (or less than \$201) |
| 1.176 | LOWES COMPANIES INC (LOW)             | N/A | \$15,001 - \$50,000 |             | None (or less than \$201) |
| 1.177 | LyondellBasell Industries NV (LYB)    | N/A | \$15,001 - \$50,000 | Dividends   | \$201 - \$1,000           |
| 1.178 | Marathon Oil Corp. (MRO)              | N/A | \$15,001 - \$50,000 |             | None (or less than \$201) |
| 1.179 | Marathon Petroleum Corp. (MPC)        | N/A | \$15,001 - \$50,000 | Dividends   | \$201 - \$1,000           |
| 1.180 | MarketAxess Holdings, Inc. (MKTX)     | N/A | \$1,001 - \$15,000  |             | None (or less than \$201) |
| 1.181 | MARRIOTT INTL INC (MAR)               | N/A | \$1,001 - \$15,000  |             | None (or less than \$201) |

| #     | DESCRIPTION                         | EIF | VALUE                   | INCOME TYPE | INCOME AMOUNT             |
|-------|-------------------------------------|-----|-------------------------|-------------|---------------------------|
| 1.182 | Masco Corp. (MAS)                   | N/A | \$1,001 - \$15,000      |             | None (or less than \$201) |
| 1.183 | Mastercard, Inc. (MA)               | N/A | \$50,001 - \$100,000    |             | None (or less than \$201) |
| 1.184 | MC CORMICK & CO NV (MKC)            | N/A | \$1,001 - \$15,000      |             | None (or less than \$201) |
| 1.185 | McDonald's Corp. (MCD)              | N/A | \$15,001 - \$50,000     |             | None (or less than \$201) |
| 1.186 | McKesson Corp. (MCK)                | N/A | \$1,001 - \$15,000      |             | None (or less than \$201) |
| 1.187 | Medtronic Plc (MDT)                 | N/A | \$50,001 - \$100,000    |             | None (or less than \$201) |
| 1.188 | MERCK & CO INC COM (MRK)            | N/A | \$15,001 - \$50,000     |             | None (or less than \$201) |
| 1.189 | MetLife, Inc. (MET)                 | N/A | \$15,001 - \$50,000     | Dividends   | \$201 - \$1,000           |
| 1.190 | MGM RESORTS INTL (MGM)              | N/A | \$1,001 - \$15,000      |             | None (or less than \$201) |
| 1.191 | Micron Technology, Inc. (MU)        | N/A | \$15,001 - \$50,000     |             | None (or less than \$201) |
| 1.192 | Microsoft Corp. (MSFT)              | N/A | \$500,001 - \$1,000,000 | Dividends   | \$1,001 - \$2,500         |
| 1.193 | MOLSON COORS BEVERAGE CO CL B (TAP) | N/A | \$1,001 - \$15,000      |             | None (or less than \$201) |
| 1.194 | MONDELEZ INTL INC (MDLZ)            | N/A | \$15,001 - \$50,000     |             | None (or less than \$201) |
| 1.195 | Monster Beverage Corp. (MNST)       | N/A | \$1,001 - \$15,000      |             | None (or less than \$201) |

| #     | DESCRIPTION                    | EIF | VALUE                | INCOME TYPE | INCOME AMOUNT             |
|-------|--------------------------------|-----|----------------------|-------------|---------------------------|
| 1.196 | Moody's Corp. (MCO)            | N/A | \$15,001 - \$50,000  |             | None (or less than \$201) |
| 1.197 | Motorola Solutions, Inc. (MSI) | N/A | \$1,001 - \$15,000   |             | None (or less than \$201) |
| 1.198 | MSCI, Inc. (MSCI)              | N/A | \$1,001 - \$15,000   |             | None (or less than \$201) |
| 1.199 | Nasdaq, Inc. (NDAQ)            | N/A | \$1,001 - \$15,000   |             | None (or less than \$201) |
| 1.200 | NetApp, Inc. (NTAP)            | N/A | \$1,001 - \$15,000   |             | None (or less than \$201) |
| 1.201 | Netflix, Inc. (NFLX)           | N/A | \$50,001 - \$100,000 |             | None (or less than \$201) |
| 1.202 | Newmont Corp. (NEM)            | N/A | \$15,001 - \$50,000  |             | None (or less than \$201) |
| 1.203 | NEWS CORP NEW CL A (NWSA)      | N/A | \$1,001 - \$15,000   |             | None (or less than \$201) |
| 1.204 | NEWS CORP NEW CL B (NWS)       | N/A | \$1,001 - \$15,000   |             | None (or less than \$201) |
| 1.205 | NextEra Energy, Inc. (NEE)     | N/A | \$15,001 - \$50,000  |             | None (or less than \$201) |
| 1.206 | NIKE INC CL B (NKE)            | N/A | \$50,001 - \$100,000 |             | None (or less than \$201) |
| 1.207 | NORFOLK STHN CORP (NSC)        | N/A | \$15,001 - \$50,000  |             | None (or less than \$201) |
| 1.208 | Northrop Grumman Corp. (NOC)   | N/A | \$1,001 - \$15,000   |             | None (or less than \$201) |
| 1.209 | Nucor Corp. (NUE)              | N/A | \$15,001 - \$50,000  |             | None (or less than \$201) |

| #     | DESCRIPTION                              | EIF | VALUE                 | INCOME TYPE | INCOME AMOUNT             |
|-------|--|-----|-----------------------|-------------|---------------------------|
| 1.210 | NVIDIA Corp. (NVDA)                      | N/A | \$100,001 - \$250,000 |             | None (or less than \$201) |
| 1.211 | O'Reilly Automotive, Inc. (ORLY)         | N/A | \$15,001 - \$50,000   |             | None (or less than \$201) |
| 1.212 | OCCIDENTAL PETROLEUM CRP (OXY)           | N/A | \$1,001 - \$15,000    |             | None (or less than \$201) |
| 1.213 | Old Dominion Freight Line, Inc. (ODFL)   | N/A | \$1,001 - \$15,000    |             | None (or less than \$201) |
| 1.214 | ONEOK INC NEW (OKE)                      | N/A | \$1,001 - \$15,000    |             | None (or less than \$201) |
| 1.215 | Oracle Corp. (ORCL)                      | N/A | \$50,001 - \$100,000  | Dividends   | \$201 - \$1,000           |
| 1.216 | ORGANON & CO (OGN)                       | N/A | \$1,001 - \$15,000    |             | None (or less than \$201) |
| 1.217 | PACCAR, Inc. (PCAR)                      | N/A | \$15,001 - \$50,000   |             | None (or less than \$201) |
| 1.218 | PayPal Holdings, Inc. (PYPL)             | N/A | \$100,001 - \$250,000 |             | None (or less than \$201) |
| 1.219 | PepsiCo, Inc. (PEP)                      | N/A | \$50,001 - \$100,000  |             | None (or less than \$201) |
| 1.220 | Pfizer Inc. (PFE)                        | N/A | \$50,001 - \$100,000  | Dividends   | \$201 - \$1,000           |
| 1.221 | PHILIP MORRIS INTL INC (PM)              | N/A | \$1,001 - \$15,000    |             | None (or less than \$201) |
| 1.222 | Pioneer Natural Resources Co. (PXD)      | N/A | \$15,001 - \$50,000   |             | None (or less than \$201) |
| 1.223 | PNC Financial Services Group, Inc. (PNC) | N/A | \$15,001 - \$50,000   | Dividends   | \$201 - \$1,000           |

| #     | DESCRIPTION                            | EIF | VALUE                | INCOME TYPE | INCOME AMOUNT             |
|-------|--|-----|----------------------|-------------|---------------------------|
| 1.224 | PPG Industries, Inc. (PPG)             | N/A | \$15,001 - \$50,000  |             | None (or less than \$201) |
| 1.225 | PPL Corp. (PPL)                        | N/A | \$1,001 - \$15,000   |             | None (or less than \$201) |
| 1.226 | T. Rowe Price Group, Inc. (TROW)       | N/A | \$1,001 - \$15,000   |             | None (or less than \$201) |
| 1.227 | Principal Financial Group, Inc. (PFG)  | N/A | \$1,001 - \$15,000   |             | None (or less than \$201) |
| 1.228 | PROCTER & GAMBLE (PG)                  | N/A | \$50,001 - \$100,000 | Dividends   | \$201 - \$1,000           |
| 1.229 | Progressive Corp. (PGR)                | N/A | \$1,001 - \$15,000   |             | None (or less than \$201) |
| 1.230 | Prologis, Inc. (PLD)                   | N/A | \$15,001 - \$50,000  |             | None (or less than \$201) |
| 1.231 | PUBLIC SERVICE ENTERPRSE GROUP (PEG)   | N/A | \$1,001 - \$15,000   |             | None (or less than \$201) |
| 1.232 | PUBLIC STORAGE REIT (PSA)              | N/A | \$1,001 - \$15,000   |             | None (or less than \$201) |
| 1.233 | QUALCOMM, Inc. (QCOM)                  | N/A | \$15,001 - \$50,000  |             | None (or less than \$201) |
| 1.234 | Raymond James Financial, Inc. (RJF)    | N/A | \$1,001 - \$15,000   |             | None (or less than \$201) |
| 1.235 | RAYTHEON TECHNOLOGIES (RTX)            | N/A | \$15,001 - \$50,000  |             | None (or less than \$201) |
| 1.236 | Regeneron Pharmaceuticals, Inc. (REGN) | N/A | \$1,001 - \$15,000   |             | None (or less than \$201) |
| 1.237 | Republic Services, Inc. (RSG)          | N/A | \$15,001 - \$50,000  |             | None (or less than \$201) |

| #     | DESCRIPTION                        | EIF | VALUE               | INCOME TYPE | INCOME AMOUNT             |
|-------|------------------------------------|-----|---------------------|-------------|---------------------------|
| 1.238 | ResMed, Inc. (RMD)                 | N/A | \$1,001 - \$15,000  |             | None (or less than \$201) |
| 1.239 | Rockwell Automation, Inc. (ROK)    | N/A | \$1,001 - \$15,000  |             | None (or less than \$201) |
| 1.240 | Roper Technologies, Inc. (ROP)     | N/A | \$15,001 - \$50,000 |             | None (or less than \$201) |
| 1.241 | Royal Caribbean Cruises Ltd. (RCL) | N/A | \$15,001 - \$50,000 |             | None (or less than \$201) |
| 1.242 | S&P Global, Inc. (SPGI)            | N/A | \$15,001 - \$50,000 |             | None (or less than \$201) |
| 1.243 | salesforce.com, inc. (CRM)         | N/A | \$15,001 - \$50,000 |             | None (or less than \$201) |
| 1.244 | SBA Communications Corp. (SBAC)    | N/A | \$1,001 - \$15,000  |             | None (or less than \$201) |
| 1.245 | The Charles Schwab Corp. (SCHW)    | N/A | \$15,001 - \$50,000 |             | None (or less than \$201) |
| 1.246 | Seagate Technology Plc (STX)       | N/A | \$1,001 - \$15,000  |             | None (or less than \$201) |
| 1.247 | Sempra Energy (SRE)                | N/A | \$1,001 - \$15,000  |             | None (or less than \$201) |
| 1.248 | ServiceNow, Inc. (NOW)             | N/A | \$15,001 - \$50,000 |             | None (or less than \$201) |
| 1.249 | The Sherwin-Williams Co. (SHW)     | N/A | \$15,001 - \$50,000 |             | None (or less than \$201) |
| 1.250 | SIMON PPTY GROUP INC SBI (SPG)     | N/A | \$15,001 - \$50,000 | Dividends   | \$201 - \$1,000           |
| 1.251 | Skyworks Solutions, Inc. (SWKS)    | N/A | \$1,001 - \$15,000  |             | None (or less than \$201) |

| #     | DESCRIPTION                                | EIF | VALUE                 | INCOME TYPE | INCOME AMOUNT             |
|-------|--|-----|-----------------------|-------------|---------------------------|
| 1.252 | A. O. Smith Corporation (AOS)              | N/A | \$1,001 - \$15,000    |             | None (or less than \$201) |
| 1.253 | The J. M. Smucker Co. (SJM)                | N/A | \$1,001 - \$15,000    |             | None (or less than \$201) |
| 1.254 | Snap-On, Inc. (SNA)                        | N/A | \$1,001 - \$15,000    |             | None (or less than \$201) |
| 1.255 | Southern Company (SO)                      | N/A | \$15,001 - \$50,000   | Dividends   | \$201 - \$1,000           |
| 1.256 | Southwest Airlines Co. (LUV)               | N/A | \$1,001 - \$15,000    |             | None (or less than \$201) |
| 1.257 | STANLEY BLACK & DECKER (SWK)               | N/A | \$15,001 - \$50,000   |             | None (or less than \$201) |
| 1.258 | Starbucks Corp. (SBUX)                     | N/A | \$1,001 - \$15,000    |             | None (or less than \$201) |
| 1.259 | Synchrony Financial (SYF)                  | N/A | \$15,001 - \$50,000   |             | None (or less than \$201) |
| 1.260 | Synopsys, Inc. (SNPS)                      | N/A | \$1,001 - \$15,000    |             | None (or less than \$201) |
| 1.261 | Take-Two Interactive Software, Inc. (TTWO) | N/A | \$1,001 - \$15,000    |             | None (or less than \$201) |
| 1.262 | Target Corp. (TGT)                         | N/A | \$15,001 - \$50,000   |             | None (or less than \$201) |
| 1.263 | TE Connectivity Ltd. (TEL)                 | N/A | \$15,001 - \$50,000   |             | None (or less than \$201) |
| 1.264 | Teleflex, Inc. (TFX)                       | N/A | \$1,001 - \$15,000    |             | None (or less than \$201) |
| 1.265 | Tesla, Inc. (TSLA)                         | N/A | \$100,001 - \$250,000 |             | None (or less than \$201) |



| #     | DESCRIPTION                          | EIF | VALUE                | INCOME TYPE | INCOME AMOUNT             |
|-------|--------------------------------------|-----|----------------------|-------------|---------------------------|
| 1.266 | Texas Instruments Incorporated (TXN) | N/A | \$15,001 - \$50,000  |             | None (or less than \$201) |
| 1.267 | Thermo Fisher Scientific, Inc. (TMO) | N/A | \$50,001 - \$100,000 |             | None (or less than \$201) |
| 1.268 | T-Mobile US, Inc. (TMUS)             | N/A | \$1,001 - \$15,000   |             | None (or less than \$201) |
| 1.269 | Tractor Supply Co. (TSCO)            | N/A | \$1,001 - \$15,000   |             | None (or less than \$201) |
| 1.270 | TRANE TECHNOLOGIES PLC (TT)          | N/A | \$1,001 - \$15,000   |             | None (or less than \$201) |
| 1.271 | TransDigm Group, Inc. (TDG)          | N/A | \$15,001 - \$50,000  |             | None (or less than \$201) |
| 1.272 | The Travelers Cos., Inc. (TRV)       | N/A | \$1,001 - \$15,000   |             | None (or less than \$201) |
| 1.273 | TRUIST FINL CORP (TFC.PRR)           | N/A | \$15,001 - \$50,000  | Dividends   | \$201 - \$1,000           |
| 1.274 | Twitter, Inc. (TWTR)                 | N/A | \$1,001 - \$15,000   |             | None (or less than \$201) |
| 1.275 | Tyson Foods, Inc. (TSN)              | N/A | \$1,001 - \$15,000   |             | None (or less than \$201) |
| 1.276 | Union Pacific Corp. (UNP)            | N/A | \$50,001 - \$100,000 |             | None (or less than \$201) |
| 1.277 | United Parcel Service, Inc. (UPS)    | N/A | \$15,001 - \$50,000  | Dividends   | \$201 - \$1,000           |
| 1.278 | UnitedHealth Group, Inc. (UNH)       | N/A | \$50,001 - \$100,000 |             | None (or less than \$201) |
| 1.279 | Ventas, Inc. (VTR)                   | N/A | \$1,001 - \$15,000   |             | None (or less than \$201) |

| #     | DESCRIPTION                          | EIF | VALUE                 | INCOME TYPE | INCOME AMOUNT             |
|-------|--------------------------------------|-----|-----------------------|-------------|---------------------------|
| 1.280 | Verisk Analytics, Inc. (VRSK)        | N/A | \$1,001 - \$15,000    |             | None (or less than \$201) |
| 1.281 | Verizon Communications, Inc. (VZ)    | N/A | \$50,001 - \$100,000  | Dividends   | \$201 - \$1,000           |
| 1.282 | Vertex Pharmaceuticals, Inc. (VRTX)  | N/A | \$1,001 - \$15,000    |             | None (or less than \$201) |
| 1.283 | VF Corp. (VFC)                       | N/A | \$1,001 - \$15,000    |             | None (or less than \$201) |
| 1.284 | Viacom CBS, Inc. Cl B (VIAC)         | N/A | \$1,001 - \$15,000    |             | None (or less than \$201) |
| 1.285 | Visa, Inc. (V)                       | N/A | \$100,001 - \$250,000 |             | None (or less than \$201) |
| 1.286 | WABTEC INC (WAB)                     | N/A | \$1,001 - \$15,000    |             | None (or less than \$201) |
| 1.287 | Walgreens Boots Alliance, Inc. (WBA) | N/A | \$15,001 - \$50,000   |             | None (or less than \$201) |
| 1.288 | Walmart, Inc. (WMT)                  | N/A | \$50,001 - \$100,000  | Dividends   | \$201 - \$1,000           |
| 1.289 | The Walt Disney Co. (DIS)            | N/A | \$50,001 - \$100,000  |             | None (or less than \$201) |
| 1.290 | Waste Management, Inc. (WM)          | N/A | \$15,001 - \$50,000   |             | None (or less than \$201) |
| 1.291 | Waters Corp. (WAT)                   | N/A | \$1,001 - \$15,000    |             | None (or less than \$201) |
| 1.292 | WEC Energy Group, Inc. (WEC)         | N/A | \$1,001 - \$15,000    |             | None (or less than \$201) |
| 1.293 | WELLS FARGO & CO NEW (WFC)           | N/A | \$15,001 - \$50,000   |             | None (or less than \$201) |

| #     | DESCRIPTION  | EIF | VALUE                       | INCOME TYPE   | INCOME AMOUNT             |
|-------|--|-----|-----------------------------|---------------|---------------------------|
| 1.294 | The Williams Cos., Inc. (WMB)                        | N/A | \$1,001 - \$15,000          |               | None (or less than \$201) |
| 1.295 | WSTN DIGITAL CORP (WSTN)                             | N/A | \$1,001 - \$15,000          |               | None (or less than \$201) |
| 1.296 | Xcel Energy, Inc. (XEL)                              | N/A | \$1,001 - \$15,000          |               | None (or less than \$201) |
| 1.297 | Xilinx, Inc. (XLNX)                                  | N/A | \$1,001 - \$15,000          |               | None (or less than \$201) |
| 1.298 | Xylem, Inc. (XYL)                                    | N/A | \$1,001 - \$15,000          |               | None (or less than \$201) |
| 1.299 | Yum! Brands, Inc. (YUM)                              | N/A | \$15,001 - \$50,000         |               | None (or less than \$201) |
| 1.300 | Zimmer Biomet Holdings, Inc. (ZBH)                   | N/A | \$1,001 - \$15,000          |               | None (or less than \$201) |
| 1.301 | Zoetis, Inc. (ZTS)                                   | N/A | \$15,001 - \$50,000         |               | None (or less than \$201) |
| 1.302 | Varian Medical Systems, Inc. (VAR)                   | N/A | None (or less than \$1,001) | Capital Gains | \$1,001 - \$2,500         |
| 1.303 | U.S Brokerage Account #1 (Cash)                      | N/A | None (or less than \$1,001) |               | None (or less than \$201) |
| 1.304 | U.S Brokerage Account #1 (Sweep Cash)                | N/A | \$15,001 - \$50,000         |               | None (or less than \$201) |
| 2     | U.S Brokerage Account # 2 (Investor Managed Account) | No  |                             |               |                           |
| 2.1   | SiteOne Landscape Supply, Inc. (SITE)                | N/A | \$500,001 - \$1,000,000     |               | None (or less than \$201) |
| 2.2   | U.S Brokerage Account #2 (Sweep Cash)                | N/A | \$1,000,001 - \$5,000,000   |               | None (or less than \$201) |

| #    | DESCRIPTION                                 | EIF | VALUE                       | INCOME TYPE   | INCOME AMOUNT     |
|------|---|-----|-----------------------------|---------------|-------------------|
| 3    | U.S Brokerage Account # 3 (Managed Account) | No  |                             |               |                   |
| 3.1  | Pfizer Inc. (PFE)                           | N/A | None (or less than \$1,001) | Capital Gains | \$201 - \$1,000   |
| 3.2  | Abbott Laboratories (ABT)                   | N/A | None (or less than \$1,001) | Capital Gains | \$201 - \$1,000   |
| 3.3  | BRISTOL-MYERS SQUIBB CO (BMY)               | N/A | None (or less than \$1,001) | Capital Gains | \$201 - \$1,000   |
| 3.4  | CVS Health Corp. (CVS)                      | N/A | None (or less than \$1,001) | Capital Gains | \$201 - \$1,000   |
| 3.5  | AT&T, Inc. (T)                              | N/A | None (or less than \$1,001) | Capital Gains | \$201 - \$1,000   |
| 3.6  | Verizon Communications, Inc. (VZ)           | N/A | None (or less than \$1,001) | Capital Gains | \$201 - \$1,000   |
| 3.7  | PROCTER & GAMBLE CO (PG)                    | N/A | None (or less than \$1,001) | Capital Gains | \$201 - \$1,000   |
| 3.8  | JPMORGAN CHASE & CO (JPM)                   | N/A | None (or less than \$1,001) | Capital Gains | \$201 - \$1,000   |
| 3.9  | PNC Financial Services Group, Inc. (PNC)    | N/A | None (or less than \$1,001) | Capital Gains | \$201 - \$1,000   |
| 3.10 | AbbVie, Inc. (ABBV)                         | N/A | None (or less than \$1,001) | Capital Gains | \$201 - \$1,000   |
| 3.11 | Allergan Plc (AGN)                          | N/A | None (or less than \$1,001) | Capital Gains | \$1,001 - \$2,500 |
| 3.12 | Alphabet, Inc. (GOOGL)                      | N/A | None (or less than \$1,001) | Capital Gains | \$1,001 - \$2,500 |
| 3.13 | Apple, Inc. (AAPL)                          | N/A | None (or less than \$1,001) | Capital Gains | \$1,001 - \$2,500 |

| #    | DESCRIPTION  | EIF | VALUE                       | INCOME TYPE   | INCOME AMOUNT        |
|------|--|-----|-----------------------------|---------------|----------------------|
| 3.14 | Apple, Inc. (AAPL)   | N/A | None (or less than \$1,001) | Capital Gains | \$2,501 - \$5,000    |
| 3.15 | Apple, Inc. (AAPL)   | N/A | None (or less than \$1,001) | Capital Gains | \$5,001 - \$15,000   |
| 3.16 | Apple, Inc. (AAPL)   | N/A | None (or less than \$1,001) | Capital Gains | \$201 - \$1,000      |
| 3.17 | Apple, Inc. (AAPL)   | N/A | None (or less than \$1,001) | Capital Gains | \$201 - \$1,000      |
| 3.18 | Apple, Inc. (AAPL)   | N/A | None (or less than \$1,001) | Capital Gains | \$2,501 - \$5,000    |
| 3.19 | ARLINGTON NY CENTRL SCH DIST REF BOND                        | N/A | None (or less than \$1,001) | Capital Gains | \$15,001 - \$50,000  |
| 3.20 | AUDENTES THERAPEUTICS INC MERGER (BOLD)                      | N/A | None (or less than \$1,001) | Capital Gains | \$5,001 - \$15,000   |
| 3.21 | Audentes Therapeutics, Inc. Non-Tradeable Asset Corp. (BOLD) | N/A | None (or less than \$1,001) | Capital Gains | \$15,001 - \$50,000  |
| 3.22 | BALTIMORE CNTY MD UNLTD BOND                                 | N/A | None (or less than \$1,001) | Capital Gains | \$50,001 - \$100,000 |
| 3.23 | Booking Holdings Inc. (BKNG)                                 | N/A | None (or less than \$1,001) | Capital Gains | \$201 - \$1,000      |
| 3.24 | CAMBRIDGE MA SER B LTD BOND                                  | N/A | None (or less than \$1,001) | Capital Gains | \$50,001 - \$100,000 |
| 3.25 | Centene Corp. (CNC)  | N/A | None (or less than \$1,001) | Capital Gains | \$201 - \$1,000      |
| 3.26 | Centene Corp. (CNC)  | N/A | None (or less than \$1,001) | Capital Gains | \$201 - \$1,000      |
| 3.27 | CHICAGO IL MET WTR RECLAMATION DIST GTR CHICAGO LTD BOND     | N/A | None (or less than \$1,001) | Capital Gains | \$15,001 - \$50,000  |

| #    | DESCRIPTION   | EIF | VALUE                       | INCOME TYPE   | INCOME AMOUNT        |
|------|---|-----|-----------------------------|---------------|----------------------|
| 3.28 | Digital Realty Trust, Inc. (DLR)                              | N/A | None (or less than \$1,001) | Capital Gains | \$201 - \$1,000      |
| 3.29 | DIST OF COLUMBIA WTR & SWR AUTH<br>PUB UTIL REV SUB LIEN BOND | N/A | None (or less than \$1,001) | Capital Gains | \$15,001 - \$50,000  |
| 3.30 | EUR DELD TO BANQUE DE NEUFLIZE SCH<br>GALERIE KAMEL MENNOUR   | N/A | None (or less than \$1,001) | Capital Gains | \$201 - \$1,000      |
| 3.31 | FL ST REF-DEPT TRANSPTRN-RIGHT-OF-<br>WA UNLTD BOND           | N/A | None (or less than \$1,001) | Capital Gains | \$15,001 - \$50,000  |
| 3.32 | Ford Motor Co. (F)  | N/A | None (or less than \$1,001) | Capital Gains | \$201 - \$1,000      |
| 3.33 | Fox Corp. (FOX)   | N/A | None (or less than \$1,001) | Capital Gains | \$201 - \$1,000      |
| 3.34 | HUNT (JB) TRANSPRT SVCS INC (JBHT)                            | N/A | None (or less than \$1,001) | Capital Gains | \$201 - \$1,000      |
| 3.35 | KING CNTY WA REF-LIMITED BOND                                 | N/A | None (or less than \$1,001) | Capital Gains | \$50,001 - \$100,000 |
| 3.36 | M & T BANK CORP (MTB)   | N/A | None (or less than \$1,001) | Capital Gains | \$201 - \$1,000      |
| 3.37 | MA ST CONSOL LOAN   | N/A | None (or less than \$1,001) | Capital Gains | \$15,001 - \$50,000  |
| 3.38 | Mastercard, Inc. (MA)   | N/A | None (or less than \$1,001) | Capital Gains | \$201 - \$1,000      |
| 3.39 | MET TRANSPRTN AUTH NY BOND                                    | N/A | None (or less than \$1,001) | Capital Gains | \$2,501 - \$5,000    |
| 3.40 | MET TRANSPRTN AUTH NY REV<br>PREREFUNDED-TRANSPTRN BOND       | N/A | None (or less than \$1,001) | Capital Gains | \$50,001 - \$100,000 |
| 3.41 | MetLife, Inc. (MET)   | N/A | None (or less than \$1,001) | Capital Gains | \$201 - \$1,000      |

| #    | DESCRIPTION   | EIF | VALUE                       | INCOME TYPE   | INCOME AMOUNT        |
|------|---|-----|-----------------------------|---------------|----------------------|
| 3.42 | MYLAN NV  | N/A | None (or less than \$1,001) | Capital Gains | \$201 - \$1,000      |
| 3.43 | NY CITY NY MUNI WTR FIN AUTH WTR BOND                             | N/A | None (or less than \$1,001) | Capital Gains | \$5,001 - \$15,000   |
| 3.44 | NY CITY NY TRANSITIONAL FIN AUTH REV BOND                         | N/A | None (or less than \$1,001) | Capital Gains | \$15,001 - \$50,000  |
| 3.45 | NY NY SER I-SUBSER 1-I UNLTD BOND                                 | N/A | None (or less than \$1,001) | Capital Gains | \$15,001 - \$50,000  |
| 3.46 | NY NY SER   | N/A | None (or less than \$1,001) | Capital Gains | \$2,501 - \$5,000    |
| 3.47 | NY ST DORM AUTH REVENUES NON ST SUPPORTED DEBT COLUMBIA UNIV-BOND | N/A | None (or less than \$1,001) | Capital Gains | \$15,001 - \$50,000  |
| 3.48 | NY ST DORM AUTH REVENUES NON ST SUPPORTED DEBT NYU HOSPS CTR-BOND | N/A | None (or less than \$1,001) | Capital Gains | \$1,001 - \$2,500    |
| 3.49 | NY ST DORM AUTH REVENUESNON ST SUPPORTED DEBT REF- BOND           | N/A | None (or less than \$1,001) | Capital Gains | \$5,001 - \$15,000   |
| 3.50 | NY ST DORM AUTH SALES TAX REV SER BOND                            | N/A | None (or less than \$1,001) | Capital Gains | \$15,001 - \$50,000  |
| 3.51 | NY ST DORM AUTH SALES TAX REV SER BOND                            | N/A | None (or less than \$1,001) | Capital Gains | \$50,001 - \$100,000 |
| 3.52 | NY ST DORM AUTH ST PERSNL INCOME TAX REV REF- BOND                | N/A | None (or less than \$1,001) | Capital Gains | \$5,001 - \$15,000   |
| 3.53 | NY ST DORM AUTH ST PERSNL INCOME TAX REV REF- BOND                | N/A | None (or less than \$1,001) | Capital Gains | \$5,001 - \$15,000   |
| 3.54 | NY ST DORM AUTH ST PERSNL INCOME TAX REV UNREFUNDED- BOND         | N/A | None (or less than \$1,001) | Capital Gains | \$15,001 - \$50,000  |

| #    | DESCRIPTION   | EIF | VALUE                          | INCOME TYPE   | INCOME AMOUNT              |
|------|---|-----|--------------------------------|---------------|----------------------------|
| 3.55 | NY ST ENVRNMNTL FACS CORP ST<br>CLEAN WTR & DRINKING REVOLVING<br>FUNDS-NYC MUNI WTR BOND | N/A | None (or less<br>than \$1,001) | Capital Gains | \$15,001 -<br>\$50,000     |
| 3.56 | NY ST THWY AUTH BOND  | N/A | None (or less<br>than \$1,001) | Capital Gains | \$15,001 -<br>\$50,000     |
| 3.57 | Occidental Petroleum Corp. (OXY)  | N/A | None (or less<br>than \$1,001) | Capital Gains | \$201 - \$1,000            |
| 3.58 | Old Dominion Freight Line, Inc. (ODFL)  | N/A | None (or less<br>than \$1,001) | Capital Gains | \$201 - \$1,000            |
| 3.59 | Pioneer Natural Resources Co. (PXD)   | N/A | None (or less<br>than \$1,001) | Capital Gains | \$201 - \$1,000            |
| 3.60 | The PNC Financial Services Group, Inc.<br>(PNC)   | N/A | None (or less<br>than \$1,001) | Capital Gains | \$201 - \$1,000            |
| 3.61 | T-Mobile US, Inc. (TMUS)  | N/A | None (or less<br>than \$1,001) | Capital Gains | \$201 - \$1,000            |
| 3.62 | TRIBOROUGH NY BRDG & TUNL AUTH<br>REVENUES REF-GEN-SER BOND                               | N/A | None (or less<br>than \$1,001) | Capital Gains | \$50,001 -<br>\$100,000    |
| 3.63 | TRIBOROUGH NY BRDG & TUNL AUTH<br>REVENUES REF- BOND                                      | N/A | None (or less<br>than \$1,001) | Capital Gains | \$15,001 -<br>\$50,000     |
| 3.64 | TX ST REF-TRANSPRTN COMMISSION<br>BOND  | N/A | None (or less<br>than \$1,001) | Capital Gains | \$15,001 -<br>\$50,000     |
| 3.65 | VA ST PUB SCH AUTH SPL OBLG PRINCE<br>BOND  | N/A | None (or less<br>than \$1,001) | Capital Gains | \$100,001 -<br>\$1,000,000 |
| 3.66 | VeriSign, Inc. (VRSN)   | N/A | None (or less<br>than \$1,001) | Capital Gains | \$1,001 - \$2,500          |
| 3.67 | WA ST REF-VARIOUS PURPOSE BOND  | N/A | None (or less<br>than \$1,001) | Capital Gains | \$15,001 -<br>\$50,000     |
| 3.68 | WellCare Health Plans, Inc. (WCG)   | N/A | None (or less<br>than \$1,001) | Capital Gains | \$1,001 - \$2,500          |



| #    | DESCRIPTION   |             | EIF | VALUE                       | INCOME TYPE        | INCOME AMOUNT             |
|------|---|-------------|-----|-----------------------------|--------------------|---------------------------|
| 3.69 | Western Digital Corp. (WDC)   |             | N/A | None (or less than \$1,001) | Capital Gains      | \$201 - \$1,000           |
| 4    | U.S Brokerage Account #4  |             | No  |                             |                    |                           |
| 4.1  | DRX DLY 20+ YR TREAS BEAR 3X FUND                                   |             | Yes | Over \$1,000,000            |                    | None (or less than \$201) |
| 4.2  | EURO PRINCIPAL CURRENCY (EUR/USD),<br>Open Position                 |             | N/A | \$15,001 - \$50,000         |                    | None (or less than \$201) |
| 4.3  | JPM TAX FREE MM INST FD - USD - L FUND (JTFXX)                      |             | Yes | \$5,000,001 - \$25,000,000  |                    | \$201 - \$1,000           |
| 4.4  | U.S Brokerage Account #4 (Cash #1)                                  |             | N/A | \$50,001 - \$100,000        |                    | None (or less than \$201) |
| 4.5  | U.S Brokerage Account #4 (Cash #2)                                  |             | N/A | \$15,001 - \$50,000         |                    | None (or less than \$201) |
| 4.6  | U.S Brokerage Account #4 (Cash Money Market)                        |             | N/A | Over \$1,000,000            | Interest           | \$2,501 - \$5,000         |
| 4.7  | U.S Brokerage Account #4 (Cash #3)                                  |             | N/A | None (or less than \$1,001) |                    | None (or less than \$201) |
| 5    | ██████████, LLC (Residential, Commercial Real Estate, New York, NY) |             | N/A | \$100,001 - \$250,000       |                    | None (or less than \$201) |
| 6    | ██████████, LLC (Residential, Commercial Real Estate, Brooklyn, NY) | See Endnote | N/A | \$15,001 - \$50,000         | Distributive Share | \$474                     |
| 7    | ██████████, LLC (Commercial (Warehouse) Real Estate, Miami, FL)     | See Endnote | N/A | \$50,001 - \$100,000        | Distributive Share | \$2,054                   |
| 8    | ██████████ OWNER, LLC (Residential Real Estate, Bronx, NY)          |             | N/A | \$100,001 - \$250,000       |                    | None (or less than \$201) |
| 9    | ██████████, LP (Residential Real Estate, Bronx, NY)                 |             | N/A | \$100,001 - \$250,000       |                    | None (or less than \$201) |

| #    | DESCRIPTION   |             | EIF | VALUE                   | INCOME TYPE        | INCOME AMOUNT             |
|------|---|-------------|-----|-------------------------|--------------------|---------------------------|
| 10   | 3BERG ASP, LLC (Commercial Real Estate (Sold- Value is the post- sale but pre-final dissolution value), Brooklyn, NY)   | See Endnote | N/A | \$1,001 - \$15,000      | Distributive Share | \$288                     |
| 11   | 555W23 ASSOCIATES CORP. (Commercial Real Estate, New York, NY)  |             | N/A | Over \$1,000,000        |                    | None (or less than \$201) |
| 12   | 800 COMMUNITY DRIVE, LLC (Commercial Real Estate, Manhasset, NY)  | See Endnote | N/A | Over \$1,000,000        | Distributive Share | \$3,179                   |
| 13   | 9TH STREET EQUITIES, LLC (Various Commercial Real Estate, South Padre Island, TX. Milwaukee, WI. Peoria, AZ. Chesapeake, VA. Mobile, AL. St. Louis, MO. Naples, FL. Carson City, NV. Overland Park, KS. Brownsville, TX. Los Alamitos, CA. Jefferson, LA. Creve Coeur, MO. Omaha, NE. Lenexa, KS. ) | See Endnote | N/A | Over \$1,000,000        | Distributive Share | \$243,525                 |
| 14   | ABINGDON SQUARE PARTNERS, LLC (Real Estate, Brooklyn, NY)   |             | N/A | Over \$1,000,000        |                    | None (or less than \$201) |
| 15   | ALL CENTERS, LP (Commercial Real Estate (Retail Space), Bucks County, PA)   |             | N/A | \$500,001 - \$1,000,000 |                    | None (or less than \$201) |
| 16   | ALLIANCEBERNSTEIN DELAWARE BUSINESS TRU   |             | Yes | \$500,001 - \$1,000,000 |                    |                           |
| 17   | AT A LOSS, LLC (Residential Real Estate, New York, NY)  | See Endnote | N/A | \$500,001 - \$1,000,000 | Distributive Share | \$4,784                   |
| 18   | B6B PARTNERS, LP (Residential Real Estate, Bronx, NY)   | See Endnote | N/A | Over \$1,000,000        | Distributive Share | \$246,669                 |
| 19   | B6B PARTNERS, INC. (Residential Real Estate, Bronx, NY)   | See Endnote | N/A | \$100,001 - \$250,000   | Distributive Share | \$5,606                   |
| 20   | FAMILY TRUST #1   |             | No  |                         |                    |                           |
| 20.1 | OAK CREEK CANYON INVESTMENTS, LLC (Real Estate, Scottsdale, AZ)   |             | N/A | \$500,001 - \$1,000,000 |                    | None (or less than \$201) |

| #    | DESCRIPTION   |             | EIF | VALUE                   | INCOME TYPE        | INCOME AMOUNT             |
|------|---|-------------|-----|-------------------------|--------------------|---------------------------|
| 20.2 | KACHINA POINT INDEPENDENT LIVING LP (Residential (Senior Living) Real Estate, Scottsdale, AZ) | See Endnote | N/A | Over \$1,000,000        | Distributive Share | \$46,010                  |
| 20.3 | CHAPARRAL WINDS, LLC (Residential (Senior Living) Real Estate, Scottsdale, AZ)                | See Endnote | N/A | Over \$1,000,000        | Distributive Share | \$24,333                  |
| 20.4 | CANYON WINDS RETIREMENT, LLC (Residential Real Estate, Scottsdale, AZ)                        |             | N/A | Over \$1,000,000        |                    | None (or less than \$201) |
| 20.5 | VENTANA WINDS, LLC (Residential (Senior Living) Real Estate, Scottsdale, AZ)                  |             | N/A | \$500,001 - \$1,000,000 |                    | None (or less than \$201) |
| 20.6 | KACHINA POINT ASSISTED LIVING LP (Residential (Senior Living) Real Estate, Scottsdale, AZ)    |             | N/A | Over \$1,000,000        |                    | None (or less than \$201) |
| 20.7 | DESERT WINDS INDEPENDENT LIVING LP (Residential Real Estate, Scottsdale, AZ)                  |             | N/A | Over \$1,000,000        |                    | None (or less than \$201) |
| 20.8 | DESERT WINDS ASSISTED LIVING FACILITY LP (Residential Real Estate, Scottsdale, AZ)            |             | N/A | \$500,001 - \$1,000,000 |                    | None (or less than \$201) |
| 20.9 | CHAPARRAL WINDS ASSISTED LIVING LLC (Residential (Senior Living) Real Estate, Scottsdale, AZ) |             | N/A | \$500,001 - \$1,000,000 |                    | None (or less than \$201) |
| 21   | FAMILY TRUST #4   |             | No  |                         |                    |                           |
| 21.1 | BARNEY MAX GROUP, LLC (rental residential real estate, Paradise Valley, Arizona)              |             | N/A | Over \$1,000,000        |                    | None (or less than \$201) |
| 22   | BL PARTNERS, LLC (Commercial (Retail Space) Real Estate, Feasterville, PA)                    | See Endnote | N/A | \$100,001 - \$250,000   | Distributive Share | \$26,565                  |
| 23   | CANYON WINDS RETIREMENT, LLC (Residential Real Estate, Scottsdale, AZ)                        |             | N/A | \$250,001 - \$500,000   |                    | None (or less than \$201) |
| 24   | CENTRAL HARLEM PARTNERSHIP PLAZA, LLC (Commercial (Retail Space) Real Estate, New York, NY)   | See Endnote | N/A | Over \$1,000,000        | Distributive Share | \$526,547                 |

| #    | DESCRIPTION  |             | EIF | VALUE                       | INCOME TYPE        | INCOME AMOUNT             |
|------|--|-------------|-----|-----------------------------|--------------------|---------------------------|
| 25   | CHAPARRAL WINDS ASSISTED LIVING, LLC (Residential (Senior Living) Real Estate, Scottsdale, AZ) |             | N/A | \$100,001 - \$250,000       |                    | None (or less than \$201) |
| 26   | CHAPARRAL WINDS, LLC (Residential (Senior Living) Real Estate, Scottsdale, AZ)                 | See Endnote | N/A | \$100,001 - \$250,000       | Distributive Share | \$1,238                   |
| 27   | CLINTON MANAGEMENT, LLC (Real Estate Management Company, Douglaston, NY)                       |             | N/A | None (or less than \$1,001) |                    | None (or less than \$201) |
| 28   | CROSSROADS I COMMUNITY, LLC (Residential, Commercial Real Estate, Bronx, NY)                   |             | N/A | None (or less than \$1,001) |                    | None (or less than \$201) |
| 29   | FAMILY TRUST #3  |             | No  |                             |                    |                           |
| 29.1 | OAK CREEK CANYON INVESTMENTS, LLC (Real Estate, Scottsdale, AZ)                                |             | N/A | \$500,001 - \$1,000,000     |                    | None (or less than \$201) |
| 29.2 | KACHINA POINT INDEPENDENT LIVING LP (Residential (Senior Living) Real Estate, Scottsdale, AZ)  | See Endnote | N/A | Over \$1,000,000            | Distributive Share | \$46,010                  |
| 29.3 | CHAPARRAL WINDS, LLC (Residential (Senior Living) Real Estate, Scottsdale, AZ)                 | See Endnote | N/A | Over \$1,000,000            | Distributive Share | \$24,333                  |
| 29.4 | CANYON WINDS RETIREMENT, LLC (Residential Real Estate, Scottsdale, AZ)                         |             | N/A | Over \$1,000,000            |                    | None (or less than \$201) |
| 29.5 | VENTANA WINDS, LLC (Residential (Senior Living) Real Estate, Scottsdale, AZ)                   |             | N/A | \$500,001 - \$1,000,000     |                    | None (or less than \$201) |
| 29.6 | KACHINA POINT ASSISTED LIVING LP (Residential (Senior Living) Real Estate, Scottsdale, AZ)     |             | N/A | Over \$1,000,000            |                    | None (or less than \$201) |
| 29.7 | DESERT WINDS INDEPENDENT LIVING LP (Residential Real Estate, Scottsdale, AZ)                   |             | N/A | Over \$1,000,000            |                    | None (or less than \$201) |
| 29.8 | DESERT WINDS ASSISTED LIVING FACILITY LP (Residential Real Estate, Scottsdale, AZ)             |             | N/A | \$500,001 - \$1,000,000     |                    | None (or less than \$201) |

| #    | DESCRIPTION  |             | EIF | VALUE                          | INCOME TYPE           | INCOME AMOUNT                |
|------|--|-------------|-----|--------------------------------|-----------------------|------------------------------|
| 29.9 | CHAPARRAL WINDS ASSISTED LIVING LLC<br>(Residential (Senior Living) Real Estate,<br>Scottsdale, AZ)                  |             | N/A | \$500,001 -<br>\$1,000,000     |                       | None (or less<br>than \$201) |
| 30   | FAMILY TRUST #6  |             | No  |                                |                       |                              |
| 30.1 | BARNEY MAX GROUP, LLC (rental<br>residential real estate, Paradise Valley, AZ)                                       |             | N/A | Over \$1,000,000               |                       | None (or less<br>than \$201) |
| 31   | DARJESS EDGE, LLC (Commercial Real<br>Estate, Brooklyn, NY)  |             | N/A | None (or less<br>than \$1,001) |                       | None (or less<br>than \$201) |
| 32   | DD 11TH AVENUE MEMBER,LLC<br>(Residential Real Estate, New York, NY)   | See Endnote | N/A | Over \$1,000,000               | Distributive<br>Share | \$2,860,625                  |
| 33   | DD PUBLIC ART, LLC (Inactive; leased art<br>from artists for public display)   |             | N/A | None (or less<br>than \$1,001) |                       | None (or less<br>than \$201) |
| 34   | DD WEST 29TH MEMBER, LLC (Residential<br>Real Estate, New York, NY)  |             | N/A | \$5,000,001 -<br>\$25,000,000  |                       | None (or less<br>than \$201) |
| 35   | DHD HOLDINGS, LLC (Holds/Sells<br>Franchisee Rights for Pella Window<br>Distribution in Connecticut and Long Island) | See Endnote | N/A | \$250,001 -<br>\$500,000       | Distributive<br>Share | \$115,784                    |
| 36   | DOUGLASTON DEVELOPMENT, LLC (Real<br>Estate Development Company, Douglaston,<br>NY)                                  |             | N/A | None (or less<br>than \$1,001) |                       | None (or less<br>than \$201) |
| 37   | EDGE GARAGE PARTICIPANTS, LLC<br>(Commercial Real Estate, Brooklyn, NY)  |             | N/A | \$15,001 -<br>\$50,000         |                       | None (or less<br>than \$201) |
| 38   | ELAH FUND I L.P.   |             | Yes | \$100,001 -<br>\$250,000       |                       | None (or less<br>than \$201) |
| 39   | ELK RIDGE APARTMENTS, LLC (Residential<br>Real Estate, Show Low, AZ)   | See Endnote | N/A | Over \$1,000,000               | Distributive<br>Share | \$93,057                     |
| 40   | ELK RIDGE LAND PARTNERS II, LLC<br>(Vacant Real Estate, Show Low, AZ)  |             | N/A | Over \$1,000,000               |                       | None (or less<br>than \$201) |
| 41   | ELK RIDGE LAND PARTNERS III, LLC<br>(Vacant Real Estate, Show Low, AZ)   |             | N/A | \$250,001 -<br>\$500,000       |                       | None (or less<br>than \$201) |

| #    | DESCRIPTION   |             | EIF | VALUE                       | INCOME TYPE        | INCOME AMOUNT             |
|------|---|-------------|-----|-----------------------------|--------------------|---------------------------|
| 42   | ELK RIDGE LAND PARTNERS, LLC (Vacant Real Estate, Show Low, AZ)                               |             | N/A | \$500,001 - \$1,000,000     |                    | None (or less than \$201) |
| 43   | FAB FIVE HOLDINGS, LLC (Residential Real Estate, Brooklyn, NY)                                |             | N/A | \$50,001 - \$100,000        |                    | None (or less than \$201) |
| 44   | G4 CAPITAL PARTNERS I, LP (Residential Real Estate, Cabrera, Dominican Republic)              |             | N/A | \$50,001 - \$100,000        |                    | None (or less than \$201) |
| 45   | GRADY, INC. (Residential Real Estate, New York, NY)   | See Endnote | N/A | None (or less than \$1,001) | Distributive Share | \$30,239                  |
| 46   | HAWKEYE CAPITAL INSTITUTIONAL, LP   |             | Yes | Over \$1,000,000            |                    | None (or less than \$201) |
| 47   | HJB FUNDING, LLC (commercial rental real estate, Philadelphia, PA)                            |             | N/A | \$1,001 - \$15,000          |                    | None (or less than \$201) |
| 48   | FAMILY TRUST #2   |             | No  |                             |                    |                           |
| 48.1 | OAK CREEK CANYON INVESTMENTS, LLC (Real Estate, Scottsdale, AZ)                               |             | N/A | \$500,001 - \$1,000,000     |                    | None (or less than \$201) |
| 48.2 | KACHINA POINT INDEPENDENT LIVING LP (Residential (Senior Living) Real Estate, Scottsdale, AZ) | See Endnote | N/A | Over \$1,000,000            | Distributive Share | \$46,010                  |
| 48.3 | CHAPARRAL WINDS, LLC (Residential (Senior Living) Real Estate, Scottsdale, AZ)                | See Endnote | N/A | Over \$1,000,000            | Distributive Share | \$24,333                  |
| 48.4 | CANYON WINDS RETIREMENT, LLC (Residential Real Estate, Scottsdale, AZ)                        |             | N/A | Over \$1,000,000            |                    | None (or less than \$201) |
| 48.5 | VENTANA WINDS, LLC (Residential (Senior Living) Real Estate, Scottsdale, AZ)                  |             | N/A | \$500,001 - \$1,000,000     |                    | None (or less than \$201) |
| 48.6 | KACHINA POINT ASSISTED LIVING LP (Residential (Senior Living) Real Estate, Scottsdale, AZ)    |             | N/A | Over \$1,000,000            |                    | None (or less than \$201) |
| 48.7 | DESERT WINDS INDEPENDENT LIVING LP (Residential Real Estate, Scottsdale, AZ)                  |             | N/A | Over \$1,000,000            |                    | None (or less than \$201) |

| #    | DESCRIPTION  |             | EIF | VALUE                   | INCOME TYPE        | INCOME AMOUNT             |
|------|--|-------------|-----|-------------------------|--------------------|---------------------------|
| 48.8 | DESERT WINDS ASSISTED LIVING FACILITY LP (Residential Real Estate, Scottsdale, AZ)             |             | N/A | \$500,001 - \$1,000,000 |                    | None (or less than \$201) |
| 48.9 | CHAPARRAL WINDS ASSISTED LIVING LLC (Residential (Senior Living) Real Estate, Scottsdale, AZ)  |             | N/A | \$500,001 - \$1,000,000 |                    | None (or less than \$201) |
| 49   | FAMILY TRUST #5  |             | No  |                         |                    |                           |
| 49.1 | BARNEY MAX GROUP, LLC (rental residential real estate, Paradise Valley, AZ)                    |             | N/A | Over \$1,000,000        |                    | None (or less than \$201) |
| 50   | KACHINA POINT ASSISTED LIVING, LP (Residential (Senior Living) Real Estate, Scottsdale, AZ)    |             | N/A | \$100,001 - \$250,000   |                    | None (or less than \$201) |
| 51   | KACHINA POINT INDEPENDENT LIVING, LP (Residential (Senior Living) Real Estate, Scottsdale, AZ) | See Endnote | N/A | \$100,001 - \$250,000   | Distributive Share | \$2,300                   |
| 52   | KD CAPITAL 2015, LP  |             | No  |                         |                    | None (or less than \$201) |
| 52.1 | Diamonds   |             | N/A | Over \$1,000,000        |                    | None (or less than \$201) |
| 53   | KENT AVENUE PROPERTY 3, LLC (Residential Real Estate, Brooklyn, NY)                            | See Endnote | N/A | \$250,001 - \$500,000   | Distributive Share | \$341                     |
| 54   | L.I. RESTAURANT ASSOC, LP (Restaurant, Long Island, NY)  | See Endnote | N/A | \$50,001 - \$100,000    | Distributive Share | \$8,087                   |
| 55   | MEMPHIS EQUITIES, LLC (Residential Real Estate, Memphis, TN)                                   |             | N/A | Over \$1,000,000        |                    | None (or less than \$201) |
| 56   | MILTON BORON, LLC (Residential, Commercial (Office Space) Real Estate, New York, NY)           | See Endnote | N/A | Over \$1,000,000        | Distributive Share | \$38,220                  |
| 57   | NEWPORT PLAZA HOLDINGS, LLC (Commercial (Retail Space) Real Estate, Levittown, PA)             |             | N/A | \$250,001 - \$500,000   |                    | None (or less than \$201) |

| #       | DESCRIPTION   |             | EIF | VALUE            | INCOME TYPE        | INCOME AMOUNT             |
|---------|---|-------------|-----|------------------|--------------------|---------------------------|
| 58      | NY WEST COAST PARTNERS, LLC (Various Commercial Real Estate, Fresno, CA. Kings Beach, CA. Stamford, CT) | See Endnote | N/A | Over \$1,000,000 | Distributive Share | \$39,624                  |
| 59      | OAK CREEK CANYON INVESTMENTS, LLC (Real Estate, Scottsdale, AZ)   |             | N/A | Over \$1,000,000 |                    | None (or less than \$201) |
| 60      | OCEAN DRIVE REALTY ASSOCIATES, LLC (Residential Real Estate, New York, NY)                              | See Endnote | N/A | Over \$1,000,000 | Distributive Share | \$338,706                 |
| 61      | OLD WESTBURY LAND AND CATTLE CO, LLC (Real Estate, Surprise, AZ. Snow Flake, AZ)                        |             | N/A | Over \$1,000,000 |                    | None (or less than \$201) |
| 62      | PEACE CAPITAL, LLC  | See Endnote | No  | Over \$1,000,000 | Distributive Share | \$306,761                 |
| 62.1    | Peace Capital Bank #1   |             | No  |                  |                    |                           |
| 62.1.1  | Peace Capital Bank # 1 (CASH)   |             | N/A |                  |                    |                           |
| 62.1.2  | Alphabet INC CL A (GOOGL)   |             | N/A |                  |                    |                           |
| 62.1.3  | American Electric PWR CO INC (AEP)  |             | N/A |                  |                    |                           |
| 62.1.4  | Apple, Inc. (AAPL)  |             | N/A |                  |                    |                           |
| 62.1.5  | AT&T Inc. (T)   |             | N/A |                  |                    |                           |
| 62.1.6  | Enterprise Prod Prtnrs L.P (EPD)  |             | N/A |                  |                    |                           |
| 62.1.7  | Flutter Entmt PLC ADR ( PDYPY)  |             | N/A |                  |                    |                           |
| 62.1.8  | Metlife Incorporated (MET)  |             | N/A |                  |                    |                           |
| 62.1.9  | NextEra Energy, Inc. (NEE)  |             | N/A |                  |                    |                           |
| 62.1.10 | Bank of America CO 5.00 SER LL (BAC.N)  |             | N/A |                  |                    |                           |
| 62.1.11 | Morgan Stanley 4.875% SER-L (MS.L)  |             | N/A |                  |                    |                           |
| 62.1.12 | Oaktree CAP GRP LLC 6.55% (OAK.B)   |             | N/A |                  |                    |                           |



| #       | DESCRIPTION  | EIF | VALUE | INCOME TYPE | INCOME AMOUNT |
|---------|--|-----|-------|-------------|---------------|
| 62.1.13 | Ishares IBOXX HY COR BD ETF (HYG)                                | N/A |       |             |               |
| 62.1.14 | Oxford Lane Cap Corp Com (OXLC)                                  | N/A |       |             |               |
| 62.1.15 | New York CITY GENL OBLIG SER-F                                   | N/A |       |             |               |
| 62.1.16 | Ally Financial INC FXD TO 052026 VAR<br>THRAFTR 4.7000%          | N/A |       |             |               |
| 62.1.17 | Bank of American Corp FXD TO 012025 VAR<br>THRAFTR 4.3000%       | N/A |       |             |               |
| 62.1.18 | Barclays PLC FXD to 032022 VAR THRAFTR<br>7.8750% COCO REGS      | N/A |       |             |               |
| 62.1.19 | Citigroup INC FXD TO 012023 VAR<br>THRAFTR 5.9500%               | N/A |       |             |               |
| 62.1.20 | Citizens Financial Group Inc F XD TO 042020<br>VAR THFTR 4.1542% | N/A |       |             |               |
| 62.1.21 | Goldman Sachs Group INC/THE                                      | N/A |       |             |               |
| 62.1.22 | JPMorgan Chase & CO FXD TO 082024 VAR<br>THRAFTR 5.0000%         | N/A |       |             |               |
| 62.1.23 | Morgan Stanley FXD TO 032023 VAR<br>THRAFTR 5.3000%              | N/A |       |             |               |
| 62.1.24 | Truist Financial Corp FXD TO 092024 VAR<br>THRAFTR 4.8000%       | N/A |       |             |               |
| 62.1.25 | Ford Motor Co. (F)   | N/A |       |             |               |
| 62.1.26 | XPO Logistics, Inc. (XPO)  | N/A |       |             |               |
| 62.1.27 | Aercap Holdings NV   | N/A |       |             |               |
| 62.1.28 | United States Treasury Bill                                      | N/A |       |             |               |
| 62.1.29 | Glendale Ariz Un High Sch Dist No 205 Impt<br>Ser-A              | N/A |       |             |               |

| #       | DESCRIPTION  | EIF | VALUE | INCOME TYPE | INCOME AMOUNT |
|---------|--|-----|-------|-------------|---------------|
| 62.1.30 | Dist of Columbia Water and Sewer Auth<br>Green Bond Sub Rev- A | N/A |       |             |               |
| 62.1.31 | ST Lucie CNTY FLA Rev  | N/A |       |             |               |
| 62.1.32 | Miami-Dade CNTY FLA SCH BRD CTFS<br>PARTN COPS REV-D           | N/A |       |             |               |
| 62.1.33 | Ector CNTY TEX ID 06-01-17                                     | N/A |       |             |               |
| 62.1.34 | Weslaco Tex CTFS OBLIG   | N/A |       |             |               |
| 62.1.35 | Yuma Cnty Ariz Un High Sch Dist No 70 Yuma<br>Ser- B           | N/A |       |             |               |
| 62.1.36 | Waxahachie Tex Genl Oblig Rev- A ID 06-15-<br>16               | N/A |       |             |               |
| 62.1.37 | DE Kalb Cnty ILL Genl OBLIG                                    | N/A |       |             |               |
| 62.1.38 | University NEB Univ Rev REF-A                                  | N/A |       |             |               |
| 62.1.39 | Montgomery CNTY PA INDL DEV AUTH REV                           | N/A |       |             |               |
| 62.1.40 | Indiana ST UNIV REVS REV                                       | N/A |       |             |               |
| 62.1.41 | Houston Tex Indpt SCH DIST PUB FAC<br>CORP REV REF             | N/A |       |             |               |
| 62.1.42 | Laredo Tex GENL OBLIG REF ID 07-15-18                          | N/A |       |             |               |
| 62.1.43 | Southfield Mich STE IMPT GENL OBLIG                            | N/A |       |             |               |
| 62.1.44 | Carson City Nev HOSP Rev REF                                   | N/A |       |             |               |
| 62.1.45 | State College PA Area SCH DIST SER-B                           | N/A |       |             |               |
| 62.1.46 | Chambersburg PA AREA SCH DIS                                   | N/A |       |             |               |
| 62.1.47 | Leander TEX GENL OBLIG ID 10-01-18                             | N/A |       |             |               |
| 62.1.48 | Springfield MO SPSL OBLIG IMPT REV                             | N/A |       |             |               |

| #       | DESCRIPTION   | EIF | VALUE | INCOME TYPE | INCOME AMOUNT |
|---------|---|-----|-------|-------------|---------------|
| 62.1.49 | Prosper TEX Combination TAX SU RP CTFS<br>OBLIG REV ID 07-15-20                   | N/A |       |             |               |
| 62.1.50 | District Columbia Rev   | N/A |       |             |               |
| 62.1.51 | Thornton Colo CTFS Partn Revc   | N/A |       |             |               |
| 62.1.52 | Kansas City MO GENL OBLIG REF-A   | N/A |       |             |               |
| 62.1.53 | Ryder System, Inc. (R)  | N/A |       |             |               |
| 62.1.54 | Wells Fargo CO DEP SH PFD CL A (WFC.A)  | N/A |       |             |               |
| 62.1.55 | Put Servicenow INC (Short position)   | N/A |       |             |               |
| 62.1.56 | Put UIPATH Inc CL A (Short Position)  | N/A |       |             |               |
| 62.1.57 | BCS 8% Worstof Contingent Daily Callable<br>Indu RTY SPX                          | N/A |       |             |               |
| 62.1.58 | BCS 6.6% Worstof Contingent Daily Callable<br>NDX RTY SPX                         | N/A |       |             |               |
| 62.2    | Peace Capital Bank #2   | No  |       |             |               |
| 62.2.1  | Peace Capital Bank # 2 (CASH)   | N/A |       |             |               |
| 62.2.2  | AT&T INC  | N/A |       |             |               |
| 62.2.3  | Bank of America Corp. (BAC)   | N/A |       |             |               |
| 62.2.4  | Ryder System, Inc. (R)  | N/A |       |             |               |
| 62.2.5  | Citigroup Inc JR Unsubordinated Fix to FLT<br>Perp/Callable Ser D Cpn 5.350%      | N/A |       |             |               |
| 62.2.6  | Bank of America Corp Subordinated Bonds<br>Perp/CLLB JR Fix to FLT CPN 6.100%     | N/A |       |             |               |
| 62.2.7  | Goldman Sachs GRP PFD JR Subordinated<br>Perp MTY Inc Perp Call 021025 CPN 4.950% | N/A |       |             |               |

| #       | DESCRIPTION   | EIF | VALUE | INCOME TYPE | INCOME AMOUNT |
|---------|---|-----|-------|-------------|---------------|
| 62.2.8  | M&T Bank Corporation JR Subordinated PERP MTY Fix/Fit Callable CPN 5.000%       | N/A |       |             |               |
| 62.2.9  | JPMorgan Chase & Co Fix/FLT JR Subordinated Perpetual Ser FF Cllb CPN 5.000%    | N/A |       |             |               |
| 62.2.10 | Charles Schwab Corp JR Subordinated Fix to FLT SER G Perp Callable CPN 5.375%   | N/A |       |             |               |
| 62.2.11 | Truist Finl Corp JR Subordinated Perp Fix-to-Fit Callable CPN 4.800%            | N/A |       |             |               |
| 62.2.12 | Sarasota Cnty FL PUB Partial Call 803301-by5 Sarasota Mem Hosp B B/E CPN 0.040% | N/A |       |             |               |
| 62.2.13 | Minneapolis & ST Paul MN Hsg & Redex Auth Care RV Allina Hlth C2 Var CPN 0.030% | N/A |       |             |               |
| 62.2.14 | Sarasota Cnty FL PUB HSP Dist Hosp Rev RFDG Sarasota Mem Hosp B B/E CPN 0.040%  | N/A |       |             |               |
| 62.2.15 | Nuveen INVT TR Short Duration High Yield Mun BD FD CL A                         | N/A |       |             |               |
| 62.2.16 | First Rep Bank 5.125% PFD Non-Cum Perp MTY Ser H Callable                       | N/A |       |             |               |
| 62.3    | Accounts Receivable (Accrued Interest)  | N/A |       |             |               |
| 62.4    | Loan to Memphis Equities  | N/A |       |             |               |
| 62.5    | Mortgage Participation Loan Canal GP Holdings LLC (Staten Island, New York)     | N/A |       |             |               |
| 62.6    | Mortgage Participation Loan 8595 North Lake (California)                        | N/A |       |             |               |
| 62.7    | Mortgage Participation Loan 5758 North Palm (California)                        | N/A |       |             |               |

| #          | DESCRIPTION   | EIF | VALUE                 | INCOME TYPE        | INCOME AMOUNT             |
|------------|---|-----|-----------------------|--------------------|---------------------------|
| 62.8       | Mortgage Participation Loan NY West Coast (Crossroads) (Connecticut)      | N/A |                       |                    |                           |
| 62.9       | Mortgage Participation Loan SI Terrace Holdings (Staten Island, New York) | N/A |                       |                    |                           |
| 62.10      | Mortgage Participation Loan G4 18201/200 Kent (New York)                  | N/A |                       |                    |                           |
| 62.11      | Mortgage Participation Loan G4 18170/Spring St. (New York)                | N/A |                       |                    |                           |
| 62.12      | Mortgage Participation Loan G4 18206/23rd St. (New York)                  | N/A |                       |                    |                           |
| 62.13      | Peace Capital Bank #3 (Cash)  | N/A |                       |                    |                           |
| 62.14      | Peace Capital Bank #4 (Cash)  | N/A |                       |                    |                           |
| 62.15      | Mortgage Participation Loan G4 18188/Wall St. (New York)                  | N/A |                       |                    |                           |
| 62.16      | Amityville Equities LLC   | No  |                       |                    |                           |
| 62.16.1    | G4 Capital Partners III LP  | No  |                       |                    |                           |
| 62.16.1 .1 | G4 Reit LLC (Private Mortgage REIT for Loan Participations in New York)   | N/A |                       |                    |                           |
| 63         | PENNSYLVANIA JAYCOX, INC. (Commercial Real Estate, Philadelphia, PA)      | N/A | \$100,001 - \$250,000 |                    | None (or less than \$201) |
| 64         | PIERREPONT MANAGEMENT, LLC (Residential Real Estate, Philadelphia, PA)    | N/A | \$15,001 - \$50,000   |                    | None (or less than \$201) |
| 65         | RED X, LLC (Residential, Commercial Real Estate, Brooklyn, NY)            | N/A | \$250,001 - \$500,000 |                    | None (or less than \$201) |
| 66         | ROYALTON REALTY ASSOCIATES, LLC (Commercial Real Estate, New York, NY)    | N/A | Over \$1,000,000      | Distributive Share | \$12,938,309              |
| 67         | SC WILLIAMSBURG, LLC (Residential Real Estate, Brooklyn, NY)              | N/A | \$15,001 - \$50,000   |                    | None (or less than \$201) |

| #    | DESCRIPTION  |             | EIF | VALUE                       | INCOME TYPE        | INCOME AMOUNT             |
|------|--|-------------|-----|-----------------------------|--------------------|---------------------------|
| 68   | SEAVIEW A-FP DEVELOPMENT, LLC (Real Estate, Staten Island, NY)   |             | N/A | \$500,001 - \$1,000,000     |                    | None (or less than \$201) |
| 69   | STAR AMERICA INFRASTRUCTURE FUND, LP (Infrastructure Investments, U.S and Canada)  |             | Yes | \$500,001 - \$1,000,000     |                    | None (or less than \$201) |
| 70   | SUNA-LEVINE INDUSTRIES INC. (Real Estate, New York, NY)  | See Endnote | N/A | None (or less than \$1,001) | Distributive Share | \$9,059                   |
| 71   | SUNDOWN OFFICE DEVELOPMENT, LLC (Commercial Real Estate, Scottsdale, AZ)   | See Endnote | N/A | \$250,001 - \$500,000       | Distributive Share | \$38,705                  |
| 72   | SUNSET MANAGER 1, LLC (Managing Member of Sunset GT Holdings, Sunset QD Holdings and Sunset RR Holdings)   |             | N/A | \$50,001 - \$100,000        |                    | None (or less than \$201) |
| 72.1 | SUNSET GT HOLDINGS, LLC (Franchise Rights to Greene Turtle Restaurant in Long Island) (Inactive)   |             | N/A | \$250,001 - \$500,000       |                    | None (or less than \$201) |
| 72.2 | SUNSET QD HOLDINGS, LLC (Franchise Rights to Qdoba Restaurants on Long Island, 6 Operating Stores: Farmingdale, NY, Plainview, NY, Fresh Meadows, NY, East Meadow, NY, Massapequa, NY, Brooklyn, NY, and 2 Under Construction: Hauppauge, NY and Bayshore, NY) |             | N/A | \$250,001 - \$500,000       |                    | None (or less than \$201) |
| 72.3 | SUNSET RR HOLDINGS, LLC (Franchise Rights to Roy Rogers in Long Island) (Inactive)   |             | N/A | None (or less than \$1,001) |                    | None (or less than \$201) |
| 73   | THE ██████████ FAMILY LTD PTRSHP (Commercial Real Estate Rental, Douglaston, NY)   | See Endnote | N/A | \$1,000,001 - \$5,000,000   | Distributive Share | \$8,721                   |
| 74   | THOSE PEOPLE, LLC ("Those People," Little Big Horn Films (2015)(value not readily ascertainable; Right to receive royalties)   |             | N/A |                             |                    | None (or less than \$201) |
| 75   | TUDOR PLACE ASSOCIATES, LP (Residential Real Estate, Bronx, NY)  | See Endnote | N/A | Over \$1,000,000            | Distributive Share | \$1,136,961               |

| #    | DESCRIPTION  |             | EIF | VALUE                          | INCOME TYPE           | INCOME AMOUNT                |
|------|--|-------------|-----|--------------------------------|-----------------------|------------------------------|
| 76   | TUDOR PLACE DEVELOPMENT CORP<br>(Residential Real Estate, Bronx, NY)                                       | See Endnote | N/A | \$250,001 -<br>\$500,000       | Distributive<br>Share | \$24,480                     |
| 77   | VELIN VENTURES II, LLC<br>(Residential/Commercial/Garage Unit/Parking<br>Spaces Real Estate, Brooklyn, NY) |             | N/A | Over \$1,000,000               |                       | None (or less<br>than \$201) |
| 78   | VENTANA WINDS, LLC (Residential (Senior<br>Living) Real Estate, Scottsdale, AZ)                            |             | N/A | \$100,001 -<br>\$250,000       |                       | None (or less<br>than \$201) |
| 79   | VFT GLOBAL, LLC (controlled environmental<br>agricultural facilities)                                      |             | N/A | \$15,001 -<br>\$50,000         |                       | None (or less<br>than \$201) |
| 80   | VISTA WINDS,LLC (Residential (Senior<br>Living) Real Estate, Peoria,AZ)                                    |             | N/A | Over \$1,000,000               |                       | None (or less<br>than \$201) |
| 81   | WEXFORD PARTNERS 10, LP  |             | Yes | \$250,001 -<br>\$500,000       |                       | None (or less<br>than \$201) |
| 82   | WEXFORD PARTNERS 9, LP   |             | Yes | \$250,001 -<br>\$500,000       |                       | None (or less<br>than \$201) |
| 83   | WEXFORD V ADVISORS, LLC  |             | Yes | None (or less<br>than \$1,001) |                       | None (or less<br>than \$201) |
| 84   | WILLIAMSBURG EDGE, LLC (Residential<br>Real Estate, Brooklyn, NY)  |             | N/A | None (or less<br>than \$1,001) |                       | None (or less<br>than \$201) |
| 85   | U.S Bank Account #1  |             | No  |                                |                       |                              |
| 85.1 | U.S Bank Cash Account #1   |             | N/A | \$15,001 -<br>\$50,000         |                       | None (or less<br>than \$201) |
| 85.2 | U.S Money Market Account #1  |             | N/A | \$100,001 -<br>\$250,000       | Interest              | \$5,001 - \$15,000           |
| 85.3 | U.S Bank Cash Account #2   |             | N/A | \$250,001 -<br>\$500,000       | Interest              | \$15,001 -<br>\$50,000       |
| 85.4 | U.S Money Market Account #2  |             | N/A | \$1,001 - \$15,000             |                       | None (or less<br>than \$201) |

| #    | DESCRIPTION  | EIF         | VALUE                       | INCOME TYPE                | INCOME AMOUNT             |
|------|--|-------------|-----------------------------|----------------------------|---------------------------|
| 85.5 | U.S Bank Cash Account #3                           | N/A         | None (or less than \$1,001) |                            | None (or less than \$201) |
| 86   | U.S Bank Cash Account #4                           | N/A         | \$1,001 - \$15,000          |                            | None (or less than \$201) |
| 87   | U.S Bank Cash Account #5                           | N/A         | \$100,001 - \$250,000       | Interest                   | \$201 - \$1,000           |
| 88   | U.S Bank Cash Account #6                           | N/A         | \$1,001 - \$15,000          |                            | None (or less than \$201) |
| 89   | U.S Bank Cash Account #7                           | N/A         | \$1,001 - \$15,000          |                            | None (or less than \$201) |
| 90   | U.S Bank Cash Account #8                           | N/A         | \$15,001 - \$50,000         |                            | None (or less than \$201) |
| 91   | U.S Brokerage Account #5 (Managed Account)         | See Endnote | No                          |                            |                           |
| 91.1 | Accenture Plc (ACN)                                | N/A         | None (or less than \$1,001) | Dividends<br>Capital Gains | \$201 - \$1,000           |
| 91.2 | Aflac, Inc. (AFL)                                  | N/A         | None (or less than \$1,001) | Dividends<br>Capital Gains | \$1,001 - \$2,500         |
| 91.3 | Alphabet, Inc. (GOOGL)                             | N/A         | None (or less than \$1,001) | Capital Gains              | \$201 - \$1,000           |
| 91.4 | W.R. Berkley Corp. (WRB)                           | N/A         | None (or less than \$1,001) | Dividends<br>Capital Gains | \$201 - \$1,000           |
| 91.5 | Berkshire Hathaway Inc. (BRKB)                     | N/A         | None (or less than \$1,001) | Capital Gains              | \$1,001 - \$2,500         |
| 91.6 | Fidelity National Information Services, Inc. (FIS) | N/A         | None (or less than \$1,001) | Dividends<br>Capital Gains | \$2,501 - \$5,000         |
| 91.7 | Fifth Third Bancorp (FITB)                         | N/A         | None (or less than \$1,001) | Dividends<br>Capital Gains | \$1,001 - \$2,500         |



| #     | DESCRIPTION                                  | EIF | VALUE                       | INCOME TYPE                | INCOME AMOUNT             |
|-------|--|-----|-----------------------------|----------------------------|---------------------------|
| 91.8  | General Motors Co. (GM)                      | N/A | None (or less than \$1,001) | Capital Gains              | \$2,501 - \$5,000         |
| 91.9  | Marathon Petroleum Corp. (MPC)               | N/A | None (or less than \$1,001) | Dividends<br>Capital Gains | \$1,001 - \$2,500         |
| 91.10 | MetLife, Inc. (MET)                          | N/A | None (or less than \$1,001) | Dividends<br>Capital Gains | \$201 - \$1,000           |
| 91.11 | The PNC Financial Services Group, Inc. (PNC) | N/A | None (or less than \$1,001) | Dividends<br>Capital Gains | \$201 - \$1,000           |
| 91.12 | PayPal Holdings, Inc. (PYPL)                 | N/A | None (or less than \$1,001) | Capital Gains              | \$201 - \$1,000           |
| 91.13 | TRUIST FINANCIAL CORP (TFC)                  | N/A | None (or less than \$1,001) | Dividends<br>Capital Gains | \$2,501 - \$5,000         |
| 91.14 | Gap, Inc. (GPS)                              | N/A | None (or less than \$1,001) | Dividends<br>Capital Gains | \$2,501 - \$5,000         |
| 91.15 | TIFFANY & CO MERGER (TIF)                    | N/A | None (or less than \$1,001) | Capital Gains              | \$1,001 - \$2,500         |
| 92    | U.S Brokerage Account #6 (Managed Account)   | No  |                             |                            |                           |
| 92.1  | U.S Brokerage Account #6 (Cash)              | N/A | \$100,001 - \$250,000       |                            | None (or less than \$201) |
| 92.2  | PUT APR 20 NYME CRUDE                        | N/A | None (or less than \$1,001) | Capital Gains              | \$201 - \$1,000           |
| 92.3  | PUT MAY 20 NYME CRUDE                        | N/A | None (or less than \$1,001) | Capital Gains              | \$201 - \$1,000           |
| 92.4  | MAR 20 IMM EMINI S&P (Future) (Closed)       | N/A | None (or less than \$1,001) | Capital Gains              | \$100,001 - \$1,000,000   |
| 92.5  | JUN 20 IMM EMINI S&P (Future) (Closed)       | N/A | None (or less than \$1,001) | Capital Gains              | \$15,001 - \$50,000       |

| #    | DESCRIPTION  |             | EIF | VALUE                       | INCOME TYPE        | INCOME AMOUNT             |
|------|--|-------------|-----|-----------------------------|--------------------|---------------------------|
| 92.6 | CALL JUN 20 IMM EMINI S&P                                  |             | N/A | None (or less than \$1,001) | Capital Gains      | \$201 - \$1,000           |
| 92.7 | PUT JUN 20 NYME CRUDE                                      |             | N/A | None (or less than \$1,001) | Capital Gains      | \$201 - \$1,000           |
| 93   | 2014 [REDACTED] Survivorship Trust- Life Insurance         |             | No  |                             |                    |                           |
| 93.1 | John Hancock (Universal Survivorship)                      |             | N/A | \$250,001 - \$500,000       |                    | None (or less than \$201) |
| 93.2 | Penn Mutual (Universal Survivorship)                       |             | N/A | \$500,001 - \$1,000,000     |                    | None (or less than \$201) |
| 94   | DD BEDFORD RETAIL, LLC (Commercial Real Estate, Bronx, NY) | See Endnote | N/A | \$100,001 - \$250,000       | Distributive Share | \$27,609                  |
| 95   | Personal Loan to Mr. Morris                                |             | N/A | \$100,001 - \$250,000       | Interest           | \$15,001 - \$50,000       |
| 96   | Personal Loan to Family Member #1                          |             | N/A | \$250,001 - \$500,000       | Interest           | \$50,001 - \$100,000      |
| 97   | Personal Loan to Mr. Rodow                                 |             | N/A | \$100,001 - \$250,000       | Interest           | \$15,001 - \$50,000       |
| 98   | Personal Loan to Ms. Berger                                |             | N/A | \$100,001 - \$250,000       | Interest           | \$2,501 - \$5,000         |
| 99   | Personal Loan to Family Member #2                          |             | N/A | Over \$1,000,000            | Interest           | \$100,001 - \$1,000,000   |
| 100  | Personal Loan to Mr. Kessler                               |             | N/A | \$100,001 - \$250,000       | Interest           | \$15,001 - \$50,000       |
| 101  | Personal Loan to Mr. Kaye                                  |             | N/A | None (or less than \$1,001) | Interest           | \$1,001 - \$2,500         |
| 102  | Personal Loan to Family Trust #1                           |             | N/A | Over \$1,000,000            |                    | None (or less than \$201) |

| #   | DESCRIPTION                      | EIF | VALUE            | INCOME TYPE | INCOME AMOUNT             |
|-----|----------------------------------|-----|------------------|-------------|---------------------------|
| 103 | Personal Loan to Family Trust #2 | N/A | Over \$1,000,000 |             | None (or less than \$201) |
| 104 | Personal Loan to Family Trust #3 | N/A | Over \$1,000,000 |             | None (or less than \$201) |

## 7. Transactions

(N/A) - Not required for this type of report

## 8. Liabilities

| # | CREDITOR NAME  | TYPE              | AMOUNT  | YEAR INCURRED             | RATE  | TERM   |
|---|--|-------------------|---|---------------------------|-------|--|
| 1 | HSBC Bank U.S.A. Bank, N.A. and participating lenders Landesbank Etal NY Branch, Bank of China NY Branch, Raymond James Bank N.A., Santander Bank, N.A. and others from time to time | Construction Loan | \$1,000,001 - \$5,000,000                       | 2019                      | 2.50% | 2024 (60 months) plus 2 one year extensions. |
| 2 | UMB Bank   | Construction Loan | \$5,000,001 - \$25,000,000                      | 2017                      | 5.35% | 2024 (60 months)                             |
| 3 | M&T Bank   | Construction Loan | \$25,000,001 - \$50,000,000                     | 2020                      | 2.50% | 2025 (60 months)                             |
| 4 | First Republic Bank  | Land loan         | \$5,000,001 - \$25,000,000                      | 2019                      | 3.75% | 3 years (36 months) plus a 6 month extension |
| 5 | Wells Fargo  | See Endnote       | Construction Loan (Letter of Credit-Contingent) | \$1,000,001 - \$5,000,000 | 2020  | ON DEMAND                                    |

| #  | CREDITOR NAME          | TYPE                           | AMOUNT                     | YEAR INCURRED | RATE                        | TERM      |
|----|------------------------|--------------------------------|----------------------------|---------------|-----------------------------|-----------|
| 6  | J.PMorgan              | Mortgage on Personal Residence | \$5,000,001 - \$25,000,000 | 2020          | 3.0%                        | 2050      |
| 7  | J.PMorgan              | Mortgage on Personal Residence | \$5,000,001 - \$25,000,000 | 2020          | 2.625%                      | 2050      |
| 8  | Capital One Bank, N.A. | Investment Loan                | \$5,000,001 - \$25,000,000 | 2019          | 3.85725%                    | 2022      |
| 9  | J.PMorgan              | Letter of Credit               | \$5,000,001 - \$25,000,000 | 2018          | 1%                          | ON-DEMAND |
| 10 | J.PMorgan              | Letter of Credit               | \$500,001 - \$1,000,000    | 2018          | 1%                          | ON-DEMAND |
| 11 | M&T Bank               | Line of Credit                 | \$1,000,001 - \$5,000,000  | 2020          | Libor plus 300 basis points | 1 YEAR    |
| 12 | ELAH FUND I L.P.       | Capital Commitment             | \$100,001 - \$250,000      | 2017          | N/A                         | On Demand |

## 9. Gifts and Travel Reimbursements

(N/A) - Not required for this type of report

## Endnotes

| PART | # | ENDNOTE       |
|------|---|---------------|
| 1.   | 1 | Uncompensated |
| 1.   | 2 | Uncompensated |

| PART | #  | ENDNOTE  |
|------|----|--|
| 1.   | 3  | Uncompensated  |
| 1.   | 4  | Uncompensated  |
| 1.   | 5  | Uncompensated  |
| 1.   | 6  | Uncompensated  |
| 1.   | 7  | Uncompensated  |
| 1.   | 8  | Uncompensated  |
| 1.   | 9  | Uncompensated  |
| 1.   | 10 | Uncompensated  |
| 5.   | 12 | Income reflects 2020 distributive share plus 2021 income to date estimated based on 2020 K-1 distributive share.   |
| 5.   | 13 | Income reflects 2020 distributive share plus 2021 income to date estimated based on 2020 K-1 distributive share.   |
| 5.   | 14 | JEL London Holdings, LLC holds 50% interest in GHG London Holdings, LTD, and interests in two other entities: AL Curtain Holdco LLC and Curtain Hotel LP. GHG London Holdings, LTD and the other two entities are inactive, and in the process of liquidating. GHG London Holdings was a holding company for various subsidiaries that comprised a London hotel business—an operating business; the other entities held other aspects of the same hotel business. The hotel went out of business as result of Brexit and COVID. Final liquidation has been suspended awaiting a decision on whether any of the entities will receive COVID insurance payments. None of the entities have value, as liabilities far exceed assets |
| 5.   | 18 | Income reflects 2020 distributive share plus 2021 income to date estimated based on 2020 K-1 distributive share.   |
| 5.   | 19 | Income reflects 2020 distributive share plus 2021 income to date estimated based on 2020 K-1 distributive share.   |
| 5.   | 25 | Income reflects 2020 distributive share plus 2021 income to date estimated based on 2020 K-1 distributive share.   |
| 5.   | 27 | Before JELB Grand Concourse LLC was activated, it was replaced by JELB 2605 GC. Mr. Levine has no interest in JELB Grand Concourse and no position in JELB Grand Concourse, as the entity was never activated and is in the process of being dissolved.  |

| PART | #    | ENDNOTE  |
|------|------|--|
| 5.   | 29   | Income reflects 2020 distributive share plus 2021 income to date estimated based on 2020 K-1 distributive share. |
| 5.   | 37   | Income reflects 2020 distributive share plus 2021 income to date estimated based on 2020 K-1 distributive share. |
| 5.   | 39   | Income reflects 2020 distributive share plus 2021 income to date estimated based on 2020 K-1 distributive share. |
| 5.   | 41   | Income reflects 2020 distributive share plus 2021 income to date estimated based on 2020 K-1 distributive share. |
| 6.   | 6    | Income reflects 2020 distributive share plus 2021 income to date estimated based on 2020 K-1 distributive share. |
| 6.   | 7    | Income reflects 2020 distributive share plus 2021 income to date estimated based on 2020 K-1 distributive share. |
| 6.   | 10   | Income reflects 2020 distributive share plus 2021 income to date estimated based on 2020 K-1 distributive share. |
| 6.   | 12   | Income reflects 2020 distributive share plus 2021 income to date estimated based on 2020 K-1 distributive share. |
| 6.   | 13   | Income reflects 2020 distributive share plus 2021 income to date estimated based on 2020 K-1 distributive share. |
| 6.   | 17   | Income reflects 2020 distributive share plus 2021 income to date estimated based on 2020 K-1 distributive share. |
| 6.   | 18   | Income reflects 2020 distributive share plus 2021 income to date estimated based on 2020 K-1 distributive share. |
| 6.   | 19   | Income reflects 2020 distributive share plus 2021 income to date estimated based on 2020 K-1 distributive share. |
| 6.   | 20.2 | Income reflects 2020 distributive share plus 2021 income to date estimated based on 2020 K-1 distributive share. |
| 6.   | 20.3 | Income reflects 2020 distributive share plus 2021 income to date estimated based on 2020 K-1 distributive share. |
| 6.   | 22   | Income reflects 2020 distributive share plus 2021 income to date estimated based on 2020 K-1 distributive share. |

| PART | #    | ENDNOTE  |
|------|------|--|
| 6.   | 24   | Income reflects 2020 distributive share plus 2021 income to date estimated based on 2020 K-1 distributive share. |
| 6.   | 26   | Income reflects 2020 distributive share plus 2021 income to date estimated based on 2020 K-1 distributive share. |
| 6.   | 29.2 | Income reflects 2020 distributive share plus 2021 income to date estimated based on 2020 K-1 distributive share. |
| 6.   | 29.3 | Income reflects 2020 distributive share plus 2021 income to date estimated based on 2020 K-1 distributive share. |
| 6.   | 32   | Income reflects 2020 distributive share plus 2021 income to date estimated based on 2020 K-1 distributive share. |
| 6.   | 35   | Income reflects 2020 distributive share plus 2021 income to date estimated based on 2020 K-1 distributive share. |
| 6.   | 39   | Income reflects 2020 distributive share plus 2021 income to date estimated based on 2020 K-1 distributive share. |
| 6.   | 45   | Income reflects 2020 distributive share plus 2021 income to date estimated based on 2020 K-1 distributive share. |
| 6.   | 48.2 | Income reflects 2020 distributive share plus 2021 income to date estimated based on 2020 K-1 distributive share. |
| 6.   | 48.3 | Income reflects 2020 distributive share plus 2021 income to date estimated based on 2020 K-1 distributive share. |
| 6.   | 51   | Income reflects 2020 distributive share plus 2021 income to date estimated based on 2020 K-1 distributive share. |
| 6.   | 53   | Income reflects 2020 distributive share plus 2021 income to date estimated based on 2020 K-1 distributive share. |
| 6.   | 54   | Income reflects 2020 distributive share plus 2021 income to date estimated based on 2020 K-1 distributive share. |
| 6.   | 56   | Income reflects 2020 distributive share plus 2021 income to date estimated based on 2020 K-1 distributive share. |
| 6.   | 58   | Income reflects 2020 distributive share plus 2021 income to date estimated based on 2020 K-1 distributive share. |

| PART | #  | ENDNOTE  |
|------|----|--|
| 6.   | 60 | Income reflects 2020 distributive share plus 2021 income to date estimated based on 2020 K-1 distributive share. |
| 6.   | 62 | Income reflects 2020 distributive share plus 2021 income to date estimated based on 2020 K-1 distributive share. |
| 6.   | 70 | Income reflects 2020 distributive share plus 2021 income to date estimated based on 2020 K-1 distributive share. |
| 6.   | 71 | Income reflects 2020 distributive share plus 2021 income to date estimated based on 2020 K-1 distributive share. |
| 6.   | 73 | Income reflects 2020 distributive share plus 2021 income to date estimated based on 2020 K-1 distributive share. |
| 6.   | 75 | Income reflects 2020 distributive share plus 2021 income to date estimated based on 2020 K-1 distributive share. |
| 6.   | 76 | Income reflects 2020 distributive share plus 2021 income to date estimated based on 2020 K-1 distributive share. |
| 6.   | 91 | Account closed   |
| 6.   | 94 | Income reflects 2020 distributive share plus 2021 income to date estimated based on 2020 K-1 distributive share. |
| 8.   | 5  | LOC fee is .5% of LOC  |



# Summary of Contents

## 1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

## 2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

## 3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

#### 4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

#### 5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

#### 6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

## 7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during the reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

## 8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

## 9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$166 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

## Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

## Public Burden Information

This collection of information is estimated to take an average of ten hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE), Suite 500, 1201 New York Avenue, N.W., Washington, DC 20005-3917.

Pursuant to the Paperwork Reduction Act, as amended, an agency may not conduct or sponsor, and no person is required to respond to, a collection of information unless it displays a currently valid OMB control number (that number, 3209-0001, is displayed here and at the top of the first page of this OGE Form 278e).

---