

Executive Branch Personnel Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

Schauf, Zachary C.

DAAG, OLC, Department of Justice

Date of Appointment: 11/14/2022

Other Federal Government Positions Held During the Preceding 12 Months:

None

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Schauf, Zachary C. [electronically signed on 01/27/2023 by Schauf, Zachary C. in Integrity.gov] - Filer received a 44 day filing extension.

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Mizer, Benjamin, Certifying Official [electronically signed on 09/07/2023 by Mizer, Benjamin in Integrity.gov]

Other review conducted by

/s/ Tirrell, Joseph W, Ethics Official [electronically signed on 08/30/2023 by Tirrell, Joseph W in Integrity.gov]

U.S. Office of Government Ethics Certification

Data Revised 08/30/2023

Data Revised 07/17/2023

Data Revised 07/14/2023

Data Revised 06/02/2023

Comments of Reviewing Officials (public annotations):

PART	#	REFERENCE	COMMENT
N/A	N/A	General	(07/17/2023, Felter, Monica): Revisions per filer consultation. Filer confirmed recusal obligations. No apparent conflicts. mf
N/A	N/A	General	(08/30/2023, Tirrell, Joseph W): Discussed confidential client. Advised on recusal requirements. Director DEO JWT.

1. Filer's Positions Held Outside United States Government

#	ORGANIZATION NAME	CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
1	Jenner & Block	Washington, District of Columbia	Law Firm	Partner	11/2013	11/2022

2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Jenner & Block (law firm) anticipated partnership share	N/A	\$50,001 - \$100,000		None (or less than \$201)
2	Jenner & Block (law firm)	N/A		Partnership Share	\$492,365
3	Jenner & Block (law firm)	N/A		Bonus	\$134,300
4	Jenner & Block / Fidelity Account	No			
4.1	VANGUARD TARGET 2045	Yes	\$250,001 - \$500,000		None (or less than \$201)
5	IRA	Yes			
5.1	Betterment	Yes	\$15,001 - \$50,000		None (or less than \$201)
6	Jenner & Block, cash balance pension plan	N/A	\$15,001 - \$50,000		None (or less than \$201)
7	JENNER & BLOCK LLP PROFIT SHARING PLAN #201	No	\$250,001 - \$500,000		None (or less than \$201)
7.1	92202V658 VANGUARD TARGET 2045	Yes	\$250,001 - \$500,000		None (or less than \$201)

3. Filer's Employment Agreements and Arrangements

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
1	Jenner & Block	Washington, District of Columbia	Jenner & Block plans to pay the balance of payments due to me as my partnership share reflecting earnings prior to my departure in January 2023, consistent with the firm's approach with other partners who have recently departed.	11/2022
2	Jenner & Block	Chicago, Illinois	I will continue to participate in this defined contribution plan. The plan sponsor will not make further contributions after my separation.	11/2013
3	Jenner & Block	Chicago, Illinois	I will continue to participate in this cash balance pension plan. The plan sponsor made a final contribution to this account in December 2022 pursuant to the plan rules. The plan sponsor will make no further contributions.	1/2022

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

#	SOURCE NAME	CITY, STATE	BRIEF DESCRIPTION OF DUTIES
1	Jenner & Block	Washington, District of Columbia	
2	AEP Energy	Columbus, Ohio	Legal services (client of Jenner & Block)
3	AES Corporation	Arlington, Virginia	Legal services (client of Jenner & Block)
4	American Efficient Origination	Durham, North Carolina	Legal services (client of Jenner & Block)
5	Dr. Saad bin Saad Allah Aljabri	Toronto, Outside U.S.	Legal services (client of Jenner & Block)
6	Cayuga Nation	Union Springs, New York	Legal services (client of Jenner & Block)

#	SOURCE NAME	CITY, STATE	BRIEF DESCRIPTION OF DUTIES
7	Charter Communications	Washington, District of Columbia	Legal services (client of Jenner & Block)
8	Cherokee Nation	Tahlequah, Oklahoma	Legal services (client of Jenner & Block)
9	Morongo Band of Mission Indians	Banning, California	Legal services (client of Jenner & Block)
10	Oneida Nation of Wisconsin	Oneida, Wisconsin	Legal services (client of Jenner & Block)
11	Eastern Band of Cherokee Indians	Cherokee, North Carolina	Legal services (client of Jenner & Block)
12	Constellation Energy Corp.	Baltimore, Maryland	Legal services (client of Jenner & Block)
13	Encore Capital Group	San Diego, California	Legal services (client of Jenner & Block)
14	Exelon Corp.	Chicago, Illinois	Legal services (client of Jenner & Block)
15	John Fredericks III	Mandan, North Dakota	Legal services (client of Jenner & Block)
16	General Dynamics Corp.	Falls Church, Virginia	Legal services (client of Jenner & Block)
17	Greektown Casino LLC	Detroit, Michigan	Legal services (client of Jenner & Block)
18	Hyatt Corp.	Chicago, Illinois	Legal services (client of Jenner & Block)
19	Mark Lincoln	Union Springs, New York	Legal services (client of Jenner & Block)
20	Little Traverse Band of Odawa Indians	Harbor Springs, Michigan	Legal services (client of Jenner & Block)
21	Marriott International	Bethesda, Maryland	Legal services (client of Jenner & Block)

#	SOURCE NAME		CITY, STATE	BRIEF DESCRIPTION OF DUTIES
22	NCTA The Internet and Television Association		Washington, District of Columbia	Legal services (client of Jenner & Block)
23	Northern Arapaho Tribe		Ethete, Wyoming	Legal services (client of Jenner & Block)
24	Poarch Band of Creek Indians		Atmore, Alabama	Legal services (client of Jenner & Block)
25	US Chamber of Commerce		Washington, District of Columbia	Legal services (client of Jenner & Block)
26	Ute Indian Trie of the Uintah and Ouray Reserevation		Fort Dushesne, Utah	Legal services (client of Jenner & Block)
27	XCel Energy Services		Minneapolis, Minnesota	Legal services (client of Jenner & Block)
28	Confidential client	See Endnote	Boston area, Massachusetts	Legal services for confidential client (client of Jenner & Block)

5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Wilmer Cutler Pickering Hale and Door LLP Savings and Retirement Plan	No			
1.1	NYL Insurance Anchor	Yes	\$1,001 - \$15,000		None (or less than \$201)

6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	US bank checking	Yes	\$15,001 - \$50,000		None (or less than \$201)
2	Brokerage account	No			
2.1	VBR: Vanguard Small-Cap Value ETF	Yes	\$1,001 - \$15,000		None (or less than \$201)
2.2	IWN: iShares Russell 2000 Value ETF	Yes	\$1,001 - \$15,000		None (or less than \$201)
2.3	VTV: Vanguard Value ETF	Yes	\$1,001 - \$15,000		None (or less than \$201)
2.4	SPYV: SPDR S&P 500 Value ETF	Yes	\$1,001 - \$15,000		None (or less than \$201)
2.5	SCHV: Schwab U.S. Large-Cap Value ETF	Yes	\$1,001 - \$15,000		None (or less than \$201)
2.6	VTI: Vanguard Total Stock Market ETF	Yes	\$15,001 - \$50,000		None (or less than \$201)
2.7	ITOT: iShares Core S&P Total U.S. Stock Market ETF	Yes	\$15,001 - \$50,000		None (or less than \$201)
2.8	SCHB: Schwab U.S. Broad Market ETF	Yes	\$1,001 - \$15,000		None (or less than \$201)
2.9	VOE: Vanguard Mid-Cap Value ETF	Yes	\$1,001 - \$15,000		None (or less than \$201)
2.10	IWS: iShares Russell Midcap Value ETF	Yes	\$1,001 - \$15,000		None (or less than \$201)
2.11	VEA: Vanguard FTSE Developed Markets	Yes	\$1,001 - \$15,000		\$201 - \$1,000
2.12	IEFA: iShares Core MSCI EAFE ETF	Yes	\$15,001 - \$50,000		\$201 - \$1,000
2.13	SCHF: Schwab International Equity ETF	Yes	\$1,001 - \$15,000		\$201 - \$1,000
2.14	VWO: Vanguard FTSE Emerging Markets	Yes	\$1,001 - \$15,000		\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
2.15	IEMG: iShares Core MSCI Emerging Markets ETF	Yes	\$1,001 - \$15,000		None (or less than \$201)
2.16	STIP: iShares 0-5 Year TIPS Bond ETF	Yes	None (or less than \$1,001)		None (or less than \$201)
2.17	VTIP: Vanguard Short-Term Inflation-Protected Securities ETF	Yes	None (or less than \$1,001)		None (or less than \$201)
2.18	MUB: iShares National AMT-Free Muni Bond ETF	Yes	\$1,001 - \$15,000		None (or less than \$201)
2.19	TFI: SPDR Nuveen Bloomberg Municipal Bond ETF	Yes	\$1,001 - \$15,000		None (or less than \$201)
2.20	AGG: iShares Core Total US Bond Market ETF	Yes	\$1,001 - \$15,000		None (or less than \$201)
2.21	BNDX: Vanguard Total International Bond ETF	Yes	\$1,001 - \$15,000		None (or less than \$201)
2.22	EMB: iShares Emerging Markets USD Bond ETF	Yes	\$1,001 - \$15,000		None (or less than \$201)
3	Treasury bonds	N/A	\$50,001 - \$100,000	Interest	\$201 - \$1,000
4	Maryland College Investment Plan (529 Plan)	No	\$50,001 - \$100,000		None (or less than \$201)
4.1	Portfolio 2033	Yes	\$50,001 - \$100,000		None (or less than \$201)

7. Transactions

(N/A) - Not required for this type of report

8. Liabilities

#	CREDITOR NAME		TYPE	AMOUNT	YEAR INCURRED	RATE	TERM
1	Chase Bank	See Endnote	Credit Card	\$10,001 - \$15,000	2022	None--revolving credit paid off before interest incurred	revolving
2	Spouse student loan--DEPT OF ED/NELNET		Student Loan	\$15,001 - \$50,000	2014	0	10

9. Gifts and Travel Reimbursements

(N/A) - Not required for this type of report

Endnotes

PART	#	ENDNOTE
4.	28	A written confidentiality agreement, entered into at the time that my services were retained, prohibits disclosure of the existence of the representation, and the representation has been kept confidential.
8.	1	The balance on a revolving credit card has occasionally exceeded the \$10,000 reporting threshold during the reporting period.

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during the reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$166 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

Public Burden Information

This collection of information is estimated to take an average of ten hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE) Suite 500, 1201 New York Avenue, N.W., Washington, DC 20005-3917.

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