

# Executive Branch Personnel Public Financial Disclosure Report (OGE Form 278e)

## Filer's Information

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Reich, Mitchell P

Counsel to the Attorney General, Department of Justice

Date of Appointment: 08/09/2021

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Other Federal Government Positions Held During the Preceding 12 Months:

Deputy Assistant Attorney General (8/2021 - 8/2021)

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Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Reich, Mitchell P [electronically signed on 09/07/2021 by Reich, Mitchell P in Integrity.gov]

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Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Weinsheimer, Bradley, Certifying Official [electronically signed on 01/18/2022 by Weinsheimer, Bradley in Integrity.gov]

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Other review conducted by

/s/ Shaw, Cynthia K, Ethics Official [electronically signed on 01/11/2022 by Shaw, Cynthia K in Integrity.gov]

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U.S. Office of Government Ethics Certification

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Data Revised 01/08/2022

Data Revised 10/06/2021

Data Revised 10/05/2021

Data Revised 10/04/2021

Data Revised 09/30/2021

Data Revised 09/22/2021

Data Revised 09/09/2021

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Comments of Reviewing Officials (public annotations):

PART	#	REFERENCE	COMMENT
N/A	N/A	General	(10/04/2021, Felter, Monica): Technical revisions made per consultations with filer.

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## 1. Filer's Positions Held Outside United States Government

#	ORGANIZATION NAME	CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
1	Hogan Lovells US LLP	Washington, District of Columbia	Law Firm	Counsel	1/2021	8/2021
2	Hogan Lovells US LLP	Washington, District of Columbia	Law Firm	Senior Associate	1/2017	12/2020
3	Georgetown University	Washington, District of Columbia	University/College	Adjunct Professor	1/2019	5/2020

## 2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Hogan Lovells US LLP (law firm)	N/A		Salary/Bonus	\$199,470
2	Hogan Lovells US LLP (law firm)	N/A		Salary/Bonus	\$433,467
3	Georgetown University	N/A		Salary	\$1,500
4	IRA # 7816	No			
4.1	iShares MSCI All Country Asia ex Japan ETF (AAXJ)	Yes	\$1,001 - \$15,000		None (or less than \$201)
4.2	ARK Innovation ETF (ARKK)	Yes	\$15,001 - \$50,000		None (or less than \$201)
4.3	iShares 3-7 Year Treasury Bond ETF (IEI)	Yes	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
4.4	iShares Core MSCI Europe ETF (IEUR)	Yes	\$1,001 - \$15,000		None (or less than \$201)
4.5	iShares US Financial Services ETF (IYG)	Yes	\$1,001 - \$15,000		None (or less than \$201)
4.6	SPDR Portfolio Short Term Corporate Bond ETF (SPSB)	Yes	\$1,001 - \$15,000		None (or less than \$201)
4.7	Vanguard Intermediate-Term Corporate Bond Index Fund ETF Class Shares (VCIT)	Yes	\$1,001 - \$15,000		None (or less than \$201)
4.8	Vanguard FTSE All-World ex US Index Fund ETF Shares (VEU)	Yes	\$1,001 - \$15,000		None (or less than \$201)
4.9	Vanguard Pacific Stock Index Fund Vanguard FTSE Pacific ETF Shares (VPL)	Yes	\$1,001 - \$15,000		None (or less than \$201)
4.10	Communication Services Select Sector SPDR Fund (XLC)	Yes	\$1,001 - \$15,000		None (or less than \$201)
4.11	Energy Select Sector SPDR Fund (XLE)	Yes	\$1,001 - \$15,000		None (or less than \$201)
4.12	Financial Select Sector SPDR Fund (XLF)	Yes	\$1,001 - \$15,000		None (or less than \$201)
4.13	Industrial Select Sector SPDR Fund (XLI)	Yes	\$1,001 - \$15,000		None (or less than \$201)
4.14	Technology Select Sector SPDR Fund (XLK)	Yes	\$1,001 - \$15,000		None (or less than \$201)
4.15	Health Care Select Sector SPDR Fund (XLV)	Yes	\$1,001 - \$15,000		None (or less than \$201)
4.16	Consumer Discretionary Select Sector SPDR Fund (XLY)	Yes	\$1,001 - \$15,000		None (or less than \$201)

### 3. Filer's Employment Agreements and Arrangements

None

### 4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

#	SOURCE NAME	CITY, STATE	BRIEF DESCRIPTION OF DUTIES
1	Hogan Lovells US LLP	Washington, District of Columbia	Attorney
2	Apple Inc.	Cupertino, California	Legal services (client of Hogan Lovells)
3	United Jewish Council of the East Side Home Attendant Service Corp.	New York, New York	Legal Services (client of Hogan Lovells)
4	Google LLC	Mountain View, California	Legal Services (client of Hogan Lovells)
5	Ambac Assurance Corporation	New York, New York	Legal Services (client of Hogan Lovells)
6	American Council on Education	Washington, District of Columbia	Legal Services (client of Hogan Lovells)
7	State of Hawaii	Honolulu, Hawaii	Legal Services (client of Hogan Lovells)
8	Amazon.com Services LLC	Seattle, Washington	Legal Services (client of Hogan Lovells)
9	Meritor, Inc.	Troy, Michigan	Legal Services (client of Hogan Lovells)
10	European Commission	Brussels, Outside U.S.	Legal Services (client of Hogan Lovells)
11	Assured Guaranty	Hamilton City, Outside U.S.	Legal Services (client of Hogan Lovells)

#	SOURCE NAME	CITY, STATE	BRIEF DESCRIPTION OF DUTIES
12	CPI Property Group	Luxembourg, Outside U.S.	Legal Services (client of Hogan Lovells)
13	National Public Finance Guarantee Corporation	Purchase, New York	Legal Services (client of Hogan Lovells)
14	Simon E. Rodriguez	Denver, Colorado	Legal Services (client of Hogan Lovells)
15	Enplas Display Device Corporation	Kawaguchi City, Outside U.S.	Legal Services (client of Hogan Lovells)
16	Ford Motor Company	Dearborn, Michigan	Legal Services (client of Hogan Lovells)
17	Personal Insurance Federation of California	Sacramento, California	Legal Services (client of Hogan Lovells)
18	Facebook, Inc.	Menlo Park, California	Legal Services (client of Hogan Lovells)
19	Corporation of the Episcopal Diocese of Fort Worth	Fort Worth, Texas	Legal Services (client of Hogan Lovells)
20	South Coast Air Quality Management District	Diamond Bar, California	Legal Services (client of Hogan Lovells)
21	University of Louisville	Louisville, Kentucky	Legal Services (client of Hogan Lovells)
22	Wye Oak Technology, Inc.	Monongahela, Pennsylvania	Legal Services (client of Hogan Lovells)
23	Thomas W. McDonald	Saganaw, Michigan	Legal Services (client of Hogan Lovells)
24	LG Electronics Inc.	Seoul, Outside U.S.	Legal Services (client of Hogan Lovells)

## 5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	401(k) - Underlying assets not ascertainable	Yes	\$50,001 - \$100,000		None (or less than \$201)
2	Georgetown University	N/A		salary	
3	Jenner & Block	N/A		Partnership Income	
4	Allen & Overy	N/A		Partnership Income	
5	Patrick Rollover IRA #5947	No			
5.1	ARK Innovation ETF (ARKK)	Yes	\$50,001 - \$100,000		None (or less than \$201)
5.2	Global X Robotics & Artificial Intelligence ETF (BOTZ)	Yes	\$1,001 - \$15,000		None (or less than \$201)
5.3	First Trust Water ETF (FIW)	Yes	\$15,001 - \$50,000		None (or less than \$201)
5.4	Grayscale Bitcoin Trust ETF (GBTC)	Yes	\$15,001 - \$50,000		None (or less than \$201)
5.5	Mirova Global Sustainable Equity Fund Class Y Shares (ESGYX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
5.6	First EagleGlobal Fund Class I Shares (SGIIX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
5.7	American Beacon TwentyFour Strategic Income Fund Class R5 Shares (TFGIX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
6	Patrick Rollover IRA #1951	No			
6.1	iShares MSCI All Country Asia ex Japan ETF (AAXJ)	Yes	\$15,001 - \$50,000		None (or less than \$201)
6.2	iShares 3-7 Year Treasury Bond ETF (IEI)	Yes	\$15,001 - \$50,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
6.3	iShares Core MSCI Europe ETF (IEUR)	Yes	\$15,001 - \$50,000		None (or less than \$201)
6.4	iShares US Financial Services ETF (IYG)	Yes	\$15,001 - \$50,000		None (or less than \$201)
6.5	SPDR Portfolio Short Term Corporate Bond ETF (SPSB)	Yes	\$15,001 - \$50,000		None (or less than \$201)
6.6	Vanguard Intermediate-Term Corporate Bond Index Fund ETF Class Shares (VCIT)	Yes	\$50,001 - \$100,000		None (or less than \$201)
6.7	Vanguard FTSE All-World ex US Index Fund ETF Shares (VEU)	Yes	\$50,001 - \$100,000		None (or less than \$201)
6.8	Vanguard Pacific Stock Index Fund Vanguard FTSE Pacific ETF Shares (VPL)	Yes	\$15,001 - \$50,000		None (or less than \$201)
6.9	Communication Services Select Sector SPDR Fund (XLC)	Yes	\$15,001 - \$50,000		None (or less than \$201)
6.10	Energy Select Sector SPDR Fund (XLE)	Yes	\$1,001 - \$15,000		None (or less than \$201)
6.11	Financial Select Sector SPDR Fund (XLF)	Yes	\$15,001 - \$50,000		None (or less than \$201)
6.12	Industrial Select Sector SPDR Fund (XLI)	Yes	\$1,001 - \$15,000		None (or less than \$201)
6.13	Technology Select Sector SPDR Fund (XLK)	Yes	\$250,001 - \$500,000		None (or less than \$201)
6.14	Health Care Select Sector SPDR Fund (XLV)	Yes	\$15,001 - \$50,000		None (or less than \$201)
6.15	Consumer Discretionary Select Sector SPDR Fund (XLY)	Yes	\$15,001 - \$50,000		None (or less than \$201)
7	Patrick IRA #2401	No			
7.1	Grayscale Bitcoin Tr BTC Shs	Yes	\$250,001 - \$500,000		None (or less than \$201)



#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
7.2	Partners Group Private Equity (Master Fund) LLC Class I	Yes	\$50,001 - \$100,000		None (or less than \$201)
7.3	Beacon Partners Fund I LP	Yes	\$15,001 - \$50,000		None (or less than \$201)
7.4	Mirova Global Sustainable Equity Fund Class Y Shares (ESGYX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
7.5	ARK Innovation ETF (ARKK)	Yes	\$15,001 - \$50,000		None (or less than \$201)
7.6	Moderna Common	N/A	\$15,001 - \$50,000		None (or less than \$201)
7.7	First EagleGlobal Fund Class I Shares (SGIIX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
7.8	Pmf Tei Fund LP	Yes	\$15,001 - \$50,000		None (or less than \$201)
7.9	Vanguard Growth Index Fund ETF Class Shares (VUG)	Yes	\$15,001 - \$50,000		None (or less than \$201)
7.10	Vanguard Value Index Fund ETF Shares (VTV)	Yes	\$15,001 - \$50,000		None (or less than \$201)

## 6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	US bank - Checking Account	N/A	\$1,001 - \$15,000		None (or less than \$201)
2	Euclid St. Apartment, Washington DC	N/A	\$500,001 - \$1,000,000	Rent or Royalties	\$15,001 - \$50,000
3	Boeing Co (BA)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
4	Astra Zeneca (AZN)		N/A	\$1,001 - \$15,000		None (or less than \$201)
5	ARK Innovation ETF (ARKK)		Yes	\$15,001 - \$50,000		None (or less than \$201)
6	Moderna, Inc (MRNA)		N/A	\$15,001 - \$50,000		None (or less than \$201)
7	Grayscale Bitcoin Trust ETF (GBTC)		Yes	\$100,001 - \$250,000		None (or less than \$201)
8	Vanguard Value Index Fund ETF Shares (VTV)		Yes	\$15,001 - \$50,000		\$201 - \$1,000
9	Vanguard Growth Index Fund ETF Class Shares (VUG)		Yes	\$15,001 - \$50,000		\$201 - \$1,000
10	Mirova Global Sustainable Equity Fund Class Y Shares (ESGYX)		Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
11	Income Fund of America Class F2 Shares (AMEFX)		Yes	\$15,001 - \$50,000		\$201 - \$1,000
12	First EagleGlobal Fund Class I Shares (SGIIX)		Yes	\$15,001 - \$50,000		\$201 - \$1,000
13	Beacon Partners, Limited Partnership	See Endnote	No	\$15,001 - \$50,000	Rent or Royalties	\$2,501 - \$5,000
14	Partners Group Private Equity Investment	See Endnote	Yes	\$50,001 - \$100,000		None (or less than \$201)
15	PMF Fund	See Endnote	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
16	iShares MSCI All Country Asia ex Japan ETF (AAXJ)		Yes	\$1,001 - \$15,000		None (or less than \$201)
17	Apple, Inc. (AAPL)		N/A	\$100,001 - \$250,000	Dividends	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
18	iShares 3-7 Year Treasury Bond ETF (IEI)	Yes	\$1,001 - \$15,000		None (or less than \$201)
19	Horizon Kinetics Inflation Beneficiaries ETF (INFL)	Yes	\$50,001 - \$100,000		\$201 - \$1,000
20	iShares Core MSCI Europe ETF (IEUR)	Yes	\$1,001 - \$15,000		None (or less than \$201)
21	iShares US Financial Services ETF (IYG)	Yes	\$1,001 - \$15,000		None (or less than \$201)
22	NETLease Corporate Real Estate ETF (NETL)	Yes	\$50,001 - \$100,000		\$201 - \$1,000
23	Vanguard Intermediate-Term Corporate Bond Index Fund ETF Class Shares (VCIT)	Yes	\$50,001 - \$100,000		\$201 - \$1,000
24	SPDR Portfolio Short Term Corporate Bond ETF (SPSB)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
25	Vanguard FTSE Pacific Fund ETF (VPL)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
26	Vanguard FTSE All-World ex US Index Fund ETF Shares (VEU)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
27	Vanguard Growth Index Fund ETF Class Shares (VUG)	Yes	\$15,001 - \$50,000		None (or less than \$201)
28	Communication Services Select Sector SPDR Fund (XLC)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
29	Energy Select Sector SPDR Fund (XLE)	Yes	\$1,001 - \$15,000		None (or less than \$201)
30	Financial Select Sector SPDR Fund (XLF)	Yes	\$15,001 - \$50,000		None (or less than \$201)
31	Health Care Select Sector SPDR Fund (XLV)	Yes	\$15,001 - \$50,000		\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
32	Technology Select Sector SPDR Fund (XLK)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
33	Industrial Select Sector SPDR Fund (XLI)	Yes	\$1,001 - \$15,000		None (or less than \$201)
34	Industrial Select Sector SPDR Fund (XLI)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
35	Mirova Global Sustainable Equity Fund Class Y Shares (ESGYX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
36	The Flat Rock Core Income Fund - (CORFX)	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
37	Loomis Sayles Intermediate Municipal Bond Fund Class Y Shares (MIMYX)	Yes	\$100,001 - \$250,000		\$2,501 - \$5,000
38	T Rowe Price Dividend Growth Fund, Inc (PRDGX)	Yes	\$100,001 - \$250,000		\$201 - \$1,000
39	American Beacon TwentyFour Strategic Income Fund Class R5 Shares (TFGIX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
40	JLL Income Property Trust REIT	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
41	AGILENT TECHNOLOGIES INC COM USD0.01	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
42	Patrick Taxable TOD #1953 cash account	N/A	\$1,001 - \$15,000		None (or less than \$201)
43	US bank - CASH account	No	\$1,001 - \$15,000	Interest	\$201 - \$1,000
44	JP Morgan Corp Bond Note	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
45	ACCENTURE PLC	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
46	APPLE INC	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
47	ABBOTT LABORATORIES	N/A	\$1,001 - \$15,000	Dividends	\$1,001 - \$2,500
48	ANALOG DEVICES INC COM USD0.16 2/3	N/A	\$1,001 - \$15,000		None (or less than \$201)
49	AUTOMATIC DATA PROCESSING INC COM USD0.10	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
50	ADOBE SYSTEMS INCORPORATED COM	N/A	\$1,001 - \$15,000		None (or less than \$201)
51	AGCO CORP COM USD0.01	N/A	\$1,001 - \$15,000		None (or less than \$201)
52	AUTODESK INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
53	ADVANCED MICRO DEVICES INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
54	AMGEN INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
55	ARROW ELECTRONICS INC COM USD1	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
56	AFLAC INC COM USD0.10	N/A	\$1,001 - \$15,000		None (or less than \$201)
57	AUTOZONE INC COM USD0.01	N/A	\$1,001 - \$15,000		None (or less than \$201)
58	BOOZ ALLEN HAMILTON HLDG CORP CL A	N/A	\$1,001 - \$15,000		None (or less than \$201)
59	ACTIVISION BLIZZARD INC COM	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
60	BRUNSWICK CORP	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
61	CACI INTERNATIONAL INC	N/A	\$1,001 - \$15,000	Dividends	\$1,001 - \$2,500
62	CADENCE DESIGN SYSTEMS INC COM USD0.01	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
63	DECKERS OUTDOOR CORP	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
64	CHEMED CORP NEW COM	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
65	COSTCO WHOLESALE CORP COM USD0.01	N/A	\$1,001 - \$15,000	Dividends	\$1,001 - \$2,500
66	CHIPOTLE MEXICAN GRILL INC	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
67	DANAHER CORPORATION COM	N/A	\$1,001 - \$15,000		None (or less than \$201)
68	FACTSET RESEARCH SYSTEMS INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
69	EBAY INC. COM	N/A	\$1,001 - \$15,000	Dividends	\$1,001 - \$2,500
70	EPAM SYS INC COM USD0.001	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
71	DOLBY LABORATORIES INC COM CL A	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
72	EXLSERVICE HOLDINGS INC	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
73	FACEBOOK INC-CLASS A	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
74	FEDERAL SIGNAL CORP	N/A	\$1,001 - \$15,000	Dividends	\$1,001 - \$2,500
75	GRACO INC	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
76	FORTINET INC COM USD0.001	N/A	\$1,001 - \$15,000		None (or less than \$201)
77	ALPHABET INC CAP STK CL A	N/A	\$1,001 - \$15,000	Dividends	\$1,001 - \$2,500
78	FLOWERS FOODS INC	N/A	\$1,001 - \$15,000	Dividends	\$1,001 - \$2,500
79	GARMIN LTD COM CHF10.00	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
80	HOME DEPOT INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
81	HUBSPOT INC	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
82	HP INC COM	N/A	\$1,001 - \$15,000	Dividends	\$1,001 - \$2,500
83	IDEXX LABORATORIES INC COM USD0.10	N/A	\$1,001 - \$15,000	Dividends	\$1,001 - \$2,500
84	ICON PLC ORD EUR0.06	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
85	INTUIT INC	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
86	IQVIA HLDGS INC COM	N/A	\$1,001 - \$15,000	Dividends	\$1,001 - \$2,500
87	KLA CORP COM NEW	N/A	\$1,001 - \$15,000		None (or less than \$201)
88	KEYSIGHT TECHNOLOGIES INC COM USD0.01 WD	N/A	\$1,001 - \$15,000	Dividends	\$1,001 - \$2,500
89	KNIGHT-SWIFT TRANSN HLDGS INC CL A	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
90	KIMBERLY-CLARK CORP COM	N/A	\$1,001 - \$15,000		None (or less than \$201)
91	L3HARRIS TECHNOLOGIES INC COM	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
92	LOWES COMPANIES INC COM USD0.50	N/A	\$1,001 - \$15,000		None (or less than \$201)
93	MANHATTAN ASSOCIATES INC COM	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
94	LANDSTAR SYSTEM INC	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
95	LINDE PLC COM EUR0.001	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
96	MONOLITHIC POWER SYSTEMS INC	N/A	\$1,001 - \$15,000	Dividends	\$1,001 - \$2,500
97	MSCI INC	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
98	MICROSOFT CORP	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
99	METTLER-TOLEDO INTERNATIONAL INC COM USD0.01	N/A	\$1,001 - \$15,000	Dividends	\$1,001 - \$2,500
100	NASDAQ INC COM STK	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
101	MACOM TECH SOLUTIONS HLDGS INC COM	N/A	\$1,001 - \$15,000	Dividends	\$1,001 - \$2,500
102	NVIDIA CORPORATION COM	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
103	NEW YORK TIMES CO	N/A	\$1,001 - \$15,000	Dividends	\$1,001 - \$2,500
104	OREILLY AUTOMOTIVE INC COM	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
105	OMNICELL COM COM	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
106	ORACLE CORP	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
107	OLD DOMINION FREIGHT LINE INC COM	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
108	PROGRESSIVE CORP COM	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
109	PAYCHEX INC COM USD0.01	N/A	\$1,001 - \$15,000		None (or less than \$201)
110	POOL CORP COM	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
111	PRIMERICA INC COM USD0.01	N/A	\$1,001 - \$15,000	Dividends	\$1,001 - \$2,500
112	PROCTER AND GAMBLE CO COM	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
113	RENT-A-CENTER INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
114	SAIA INC	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
115	SEAGEN INC COM	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
116	SYNOPSYS INC	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
117	SHERWIN-WILLIAMS CO	N/A	\$1,001 - \$15,000	Dividends	\$1,001 - \$2,500
118	S&P GLOBAL INC COM	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
119	STERIS PLC ORD USD0.001	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
120	TELEDYNE TECHNOLOGIES INC	N/A	\$1,001 - \$15,000	Dividends	\$1,001 - \$2,500



#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
121	TRANSUNION COM	N/A	\$1,001 - \$15,000		None (or less than \$201)
122	PRICE T ROWE GROUPS COM USD0.20	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
123	TEMPUR SEALY INTERNATIONAL INC	N/A	\$1,001 - \$15,000	Dividends	\$1,001 - \$2,500
124	TARGET CORP	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
125	TRACTOR SUPPLY CO	N/A	\$1,001 - \$15,000		None (or less than \$201)
126	TEXAS INSTRUMENTS INC COM USD1.00	N/A	\$1,001 - \$15,000		None (or less than \$201)
127	TRANE TECHNOLOGIES PLC COM USD1	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
128	VEEVA SYSTEMS INC	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
129	TYLER TECHNOLOGIES INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
130	UNITEDHEALTH GROUP INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
131	VISTA OUTDOOR INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
132	VERIZON COMMUNICATIONS INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
133	WERNER ENTERPRISES INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
134	WESTAMERICA BANCORP	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
135	WHIRLPOOL CORP	N/A	\$1,001 - \$15,000	Dividends	\$1,001 - \$2,500
136	WILLIAMS-SONOMA INC	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
137	WEST PHARMACEUTICAL SERVICES INC	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
138	WESTERN UNION CO	N/A	\$1,001 - \$15,000		None (or less than \$201)
139	YETI HLDGS INC COM	N/A	\$1,001 - \$15,000	Dividends	\$1,001 - \$2,500
140	ZEBRA TECHNOLOGIES CORPORATION CL A	N/A	\$1,001 - \$15,000		None (or less than \$201)
141	WATSCO INC	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
142	Joint Account #2348	No			
142.1	iShares MSCI All Country Asia ex Japan ETF (AAXJ)	Yes	\$1,001 - \$15,000		None (or less than \$201)
142.2	iShares 3-7 Year Treasury Bond ETF (IEI)	Yes	\$1,001 - \$15,000		None (or less than \$201)
142.3	iShares Core MSCI Europe ETF (IEUR)	Yes	\$1,001 - \$15,000		None (or less than \$201)
142.4	iShares US Financial Services ETF (IYG)	Yes	\$1,001 - \$15,000		None (or less than \$201)
142.5	SPDR Portfolio Short Term Corporate Bond ETF (SPSB)	Yes	\$1,001 - \$15,000		None (or less than \$201)
142.6	Vanguard Intermediate-Term Corporate Bond Index Fund ETF Class Shares (VCIT)	Yes	\$15,001 - \$50,000		None (or less than \$201)
142.7	Vanguard FTSE All-World ex US Index Fund ETF Shares (VEU)	Yes	\$15,001 - \$50,000		None (or less than \$201)
142.8	Vanguard Pacific Stock Index Fund Vanguard FTSE Pacific ETF Shares (VPL)	Yes	\$1,001 - \$15,000		None (or less than \$201)
142.9	Communication Services Select Sector SPDR Fund (XLC)	Yes	\$1,001 - \$15,000		None (or less than \$201)
142.10	Energy Select Sector SPDR Fund (XLE)	Yes	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
142.11	Financial Select Sector SPDR Fund (XLF)	Yes	\$1,001 - \$15,000		None (or less than \$201)
142.12	Consumer Discretionary Select Sector SPDR Fund (XLY)	Yes	\$1,001 - \$15,000		None (or less than \$201)
142.13	Technology Select Sector SPDR Fund (XLK)	Yes	\$15,001 - \$50,000		None (or less than \$201)
142.14	Health Care Select Sector SPDR Fund (XLV)	Yes	\$1,001 - \$15,000		None (or less than \$201)
142.15	Consumer Discretionary Select Sector SPDR Fund (XLY)	Yes	\$1,001 - \$15,000		None (or less than \$201)
142.16	Flat Rock Core Income Fund (CORFX)	Yes	\$15,001 - \$50,000		\$2,501 - \$5,000
142.17	Greenbacker Renewable Energy, LLC	See Endnote	Yes	\$15,001 - \$50,000	\$1,001 - \$2,500
143	Individual Taxable #1954	No			
143.1	Income Fund of America Class F2 Shares (AMEFX)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
143.2	Mirova Global Sustainable Equity Fund Class Y Shares (ESGYX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
143.3	Fidelity International Enhanced Index Fund (FIENX)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
143.4	Fidelity Large Cap Core Enhanced Index Fund (FLCEX)	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
143.5	Fidelity Mid Cap Enhanced Index Fund (FMEIX)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
143.6	NatixisLoomis Sayles Growth Fund Class Y Shares (LSGRX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
143.7	BlackRockGlobal Allocation Fund, Inc Institutional Shares (MALOX)	Yes	\$1,001 - \$15,000		None (or less than \$201)

## 7. Transactions

(N/A) - Not required for this type of report

## 8. Liabilities

#	CREDITOR NAME	TYPE	AMOUNT	YEAR INCURRED	RATE	TERM
1	Bank of America	Mortgage (investment/rental property)	\$100,001 - \$250,000	2010	1.75	25
2	Fidelity	Exercised Line of Credit	\$250,001 - \$500,000	2020	2.25	Open Ended

## 9. Gifts and Travel Reimbursements

(N/A) - Not required for this type of report

## Endnotes

PART	#	ENDNOTE
6.	13	Commercial and industrial real estate development, acquisition, leasing and management in NC, AZ, and SC
6.	14	Limited Partnership - investment portfolio: <a href="https://www.partnersgroup.com/en/businesses/our-portfolio/">https://www.partnersgroup.com/en/businesses/our-portfolio/</a>
6.	15	Limited Partnership

PART	#	ENDNOTE
6.	142.17	Greenbacker Renewable Energy Company (GREC) is an independent power producer that generates power, invests in power generation technology and sells power to public and private offtakers.

# Summary of Contents

## 1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

## 2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

## 3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

#### 4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

#### 5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

#### 6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

## 7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during the reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

## 8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

## 9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$166 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.



## Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

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