

Executive Branch Personnel Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

Leiter, Amanda

Associate Deputy General Counsel, Environmental Protection Agency

Report Year: 2024

Other Federal Government Positions Held During the Preceding 12 Months:

Senior Counsel, Department of Justice (9/2022 - 7/2023)

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Leiter, Amanda [electronically signed on 06/11/2024 by Leiter, Amanda in Integrity.gov] - Filer received a 45 day filing extension.

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Clarke, Victoria K, Certifying Official [electronically signed on 07/19/2024 by Clarke, Victoria K in Integrity.gov]

Other review conducted by

U.S. Office of Government Ethics Certification

Data Revised 07/19/2024

Data Revised 06/26/2024

1. Filer's Positions Held Outside United States Government

#	ORGANIZATION NAME		CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
1	American University	See Endnote	Washington, District of Columbia	University/College	Professor	9/2011	12/2023

2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	American University	N/A	\$1,001 - \$15,000	Summer stipend	\$15,278
2	Filer IRA	No			
2.1	Fidelity Government Cash Reserves (FDRXX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
2.2	iShares ESG US Aggregate Bond ETF (EAGG)	Yes	\$1,001 - \$15,000		None (or less than \$201)
2.3	iShares ESG MSCI USA ETF (ESGU)	Yes	\$15,001 - \$50,000		None (or less than \$201)
2.4	iShares ESG 1-5 Year USD Corporate Bond ETF (SUSB)	Yes	\$15,001 - \$50,000		None (or less than \$201)
2.5	iShares ESG USD Corporate Bond ETF (SUSC)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
3	American University defined contribution plan:	No			
3.1	Vanguard Tgt Retire 2040	Yes	\$250,001 - \$500,000		None (or less than \$201)
3.2	T-C Lifecycle 2035-Inst	Yes	\$100,001 - \$250,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3.3	Vanguard Tgt Retire 2035	Yes	\$100,001 - \$250,000		None (or less than \$201)
4	CUA Retirement Fund - Nuveen Lifecycle 2035 Fund R6	See Endnote	Yes	\$250,001 - \$500,000	

3. Filer's Employment Agreements and Arrangements

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
1	American University	Washington, District of Columbia	Leave of absence (without pay); on leave through December 2023, when I resigned. No retirement contributions during leave.	9/2022
2	American University	Washington, District of Columbia	My life insurance cannot be waived; that is the only benefit I will continue to receive.	9/2022
3	American University	Washington, District of Columbia	I will continue to participate in this defined contribution plan. The plan sponsor will not make further contributions after my separation.	9/2011

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

(N/A) - Not required for this type of report

5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Earthjustice	N/A		salary	

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
2	GE defined contribution plan:	No			
2.1	Non40TP8I See Endnote	Yes	\$250,001 - \$500,000		None (or less than \$201)
3	Earthjustice defined contribution plan:	Yes			
3.1	Vanguard 2035 Retirement Fund	Yes	\$15,001 - \$50,000		None (or less than \$201)
4	Sam Rollover IRA	No			
4.1	iShares ESG US Aggregate Bond ETF (EAGG)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
4.2	SPDR MSCI Emerging Markets Fossil Fuel Reserves Free ETF (EEMX)	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
4.3	SPDR MSCI EAFE Fossil Fuel Reserves Free ETF (EFAX)	Yes	\$50,001 - \$100,000		\$201 - \$1,000
4.4	iShares ESG MSCI USA ETF (ESGU)	Yes	\$50,001 - \$100,000		\$201 - \$1,000
4.5	Vanguard Pacific Stock Index Fund Vanguard FTSE Pacific ETF Shares (VPL)	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
4.6	iShares 7-10 Year Treasury Bond ETF (IEF)	Yes	\$1,001 - \$15,000		None (or less than \$201)
4.7	Vanguard Short-Term TIPS ETF (VTIP)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
5	Sam Roth IRA	No			
5.1	Fidelity Government Cash Reserves (FDRXX)	Yes	None (or less than \$1,001)		None (or less than \$201)
5.2	iShares ESG US Aggregate Bond ETF (EAGG)	Yes	\$50,001 - \$100,000		\$201 - \$1,000
5.3	SPDR MSCI Emerging Markets Fossil Fuel Reserves Free ETF (EEMX)	Yes	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
5.4	SPDR MSCI EAFE Fossil Fuel Reserves Free ETF (EFAX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
5.5	Vanguard Mid-Cap Index Fund ETF Shares (VO)	Yes	\$15,001 - \$50,000		None (or less than \$201)
5.6	Vanguard Pacific Stock Index Fund Vanguard FTSE Pacific ETF Shares (VPL)	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500

6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Vanguard European Stock Index Fund ETF Shares (VGK)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
2	Versus Capital Multi-Manager Real Estate Income Fund (VCMIX)	Yes	\$100,001 - \$250,000		\$2,501 - \$5,000
3	Vanguard Pacific Stock Index Fund Vanguard FTSE Pacific ETF Shares (VPL)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
4	SPDR MSCI EAFE Fossil Fuel Reserves Free ETF (EFAX)	Yes	\$50,001 - \$100,000		None (or less than \$201)
5	Vanguard ESG US Stock ETF (ESGV)	Yes	\$100,001 - \$250,000		\$1,001 - \$2,500
6	Vanguard Limited-Term Tax-Exempt Fund Admiral Shares (VMLUX)	Yes	\$50,001 - \$100,000		\$201 - \$1,000
7	iShares ESG MSCI USA ETF (ESGU)	Yes	\$100,001 - \$250,000		\$201 - \$1,000
8	iShares ESG US Aggregate Bond ETF (EAGG)	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
9	Versus Capital Real Assets Fund (VCRRX)	Yes	\$50,001 - \$100,000		\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
10	Vanguard Mid-Cap Index Fund ETF Shares (VO)	Yes	\$50,001 - \$100,000		\$201 - \$1,000
11	VanEck Vectors Agribusiness ETF (MOO)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
12	iShares Core S&P Mid-Cap ETF (IJH)	Yes	\$1,001 - \$15,000		None (or less than \$201)
13	Vanguard Small-Cap Index Fund ETF Shares (VB)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
14	Vanguard Intermediate-Term Tax Exempt (VWIUX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
15	iShares MSCI Global Metals & Mining Producers ETF (PICK)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
16	Rental apartment, Washington DC	N/A	\$100,001 - \$250,000	Rent or Royalties	\$1,001 - \$2,500
17	Bank of America Corp. (BAC)	N/A	\$15,001 - \$50,000		None (or less than \$201)
18	U.S. bank	N/A	\$50,001 - \$100,000		None (or less than \$201)
19	NEST 529 (Nebraska college savings plan)	No			
19.1	NEST Age based aggressive 19+ D	Yes	None (or less than \$1,001)		None (or less than \$201)
19.2	NEST Age based index conservative 19+	Yes	None (or less than \$1,001)		None (or less than \$201)
19.3	NEST Age based moderate 19+	Yes	None (or less than \$1,001)		None (or less than \$201)
20	Nest 529 (Nebraska college savings plan)	No			
20.1	NEST Growth Static D	Yes	\$15,001 - \$50,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
20.2	Nest MetWest Total Return Bond D	Yes	\$1,001 - \$15,000		None (or less than \$201)
20.3	NEST State Street Equity 500 Index D	Yes	\$50,001 - \$100,000		None (or less than \$201)
20.4	NEST Vanguard ST Inflation Protected D	Yes	\$1,001 - \$15,000		None (or less than \$201)
20.5	NEST Vanguard Total Bond Market Index	Yes	\$15,001 - \$50,000		None (or less than \$201)
20.6	NEST Vanguard Total Intl Stock Index 529	Yes	\$50,001 - \$100,000		None (or less than \$201)
20.7	NEST Vanguard Total Stock Market Index D	Yes	\$50,001 - \$100,000		None (or less than \$201)
21	Fidelity Cash Reserves (FDRXX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
22	Vanguard Intermediate-Term Tax-Exempt Fund Admiral Shares (VWIUX)	Yes	\$100,001 - \$250,000		\$2,501 - \$5,000
23	Vanguard Limited-Term Tax-Exempt Fund Admiral Shares (VMLUX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
24	Vanguard Long-Term Tax-Exempt Fund Admiral Shares (VWLUX)	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
25	Vanguard Short-Term Inflation-Protected Securities Index Fund ETF Class Shares (VTIP)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
26	Apple, Inc. (AAPL)	N/A	\$100,001 - \$250,000	Dividends	\$201 - \$1,000
27	US Sustainability Core 1 Portfolio Institutional Class Shares (DFSIX)	Yes	\$100,001 - \$250,000		\$201 - \$1,000
28	Fidelity 500 Index Fund (FXAIX)	Yes	\$50,001 - \$100,000		\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
29	Vanguard ESG US Stock ETF (ESGV)	Yes	\$250,001 - \$500,000		\$1,001 - \$2,500
30	iShares Core S&P Mid-Cap ETF (IJH)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
31	Invesco WilderHill Clean Energy ETF (PBW)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
32	iShares ESG US Aggregate Bond ETF (EAGG)	Yes	\$1,001 - \$15,000		None (or less than \$201)
33	iShares ESG MSCI USA ETF (ESGU)	Yes	\$250,001 - \$500,000		\$1,001 - \$2,500
34	SPDR MSCI EAFE Fossil Fuel Reserves Free ETF (EFAX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
35	SPDR MSCI Emerging Markets Fossil Fuel Reserves Free ETF (EEMX)	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
36	Vanguard European Stock Index Fund ETF Shares (VGK)	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
37	iShares Core MSCI Emerging Markets ETF (IEMG)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
38	iShares ESG MSCI EAFE ETF (ESGD)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
39	Vanguard Emerging Markets Stock Index Fund ETF Shares (VWO)	Yes	None (or less than \$1,001)		\$201 - \$1,000
40	Fidelity Emerging Asia Fund (FSEAX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
41	Vanguard Pacific Stock Index Fund Vanguard FTSE Pacific ETF Shares (VPL)	Yes	None (or less than \$1,001)		\$2,501 - \$5,000
42	Global X MLP & Energy Infrastructure ETF (MLPX)	Yes	\$1,001 - \$15,000		\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
43	VanEck Vectors Agribusiness ETF (MOO)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
44	iShares MSCIGlobal Metals & Mining Producers ETF (PICK)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
45	Global X Uranium ETF (URA)	Yes	\$1,001 - \$15,000		None (or less than \$201)
46	iShares ESG MSCI EAFE ETF (ESGD)	Yes	\$50,001 - \$100,000		\$201 - \$1,000
47	iShares ESG US Aggregate Bond ETF (EAGG)	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
48	Fidelity Cash Reserves (FDRXX)	Yes	\$100,001 - \$250,000		\$2,501 - \$5,000
49	Fidelity Cash Reserves (FDRXX)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
50	Vanguard European Stock Index Fund ETF Shares (VGK)	Yes	\$50,001 - \$100,000		\$201 - \$1,000
51	VanguardPacific Stock Index FundVanguard FTSEPacific ETF Shares (VPL)	Yes	\$1,001 - \$15,000		None (or less than \$201)
52	iShares 7-10 Year Treasury Bond ETF (IEF)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
53	iShares ESG MSCI USA Small-Cap ETF (ESML)	Yes	\$15,001 - \$50,000		None (or less than \$201)
54	iShares ESG USD Corporate Bond ETF (SUSC)	Yes	\$15,001 - \$50,000		\$201 - \$1,000

7. Transactions

#	DESCRIPTION	TYPE	DATE	AMOUNT
1	Call SPX New S&P 500 Index (exp. 9/14/23) 100sh	Purchase	09/18/2023	\$15,001 - \$50,000
2	Global X Uranium ETF (URA)	Sale	07/25/2023	\$1,001 - \$15,000
3	Global X MLP & Energy Infrastructure ETF (MLPX)	Sale	07/25/2023	\$15,001 - \$50,000
4	SPDR S&P Biotech ETF (XBI)	Sale	07/25/2023	\$50,001 - \$100,000
5	Put SPX New S&P 500 Index (exp. 5/19/2023) 100sh	Purchase	03/09/2023	\$1,001 - \$15,000
6	Vanguard Intl Equity Index Fds FTSE Europe ETF (VGK)	Sale	01/11/2023	\$15,001 - \$50,000
7	Ishares TR ESG AM MSCI EAFE (ESGD)	Sale	02/09/2023	\$1,001 - \$15,000
8	ISHARES TR ESG AWR US AGRGT (EAGG)	Sale	02/22/2023	\$1,001 - \$15,000
9	PUT (SPX) NEW S & P 500 INDEX (exp. 5/19/23) 100sh	Purchase	02/22/2023	\$1,001 - \$15,000
10	CALL (SPX) NEW S & P 500 INDEX (exp. 5/19/23) 100sh	Sale	02/22/2023	\$1,001 - \$15,000
11	CALL (SPX) NEW S & P 500 INDEX (exp. 5/19/23) 100sh	Sale	03/09/2023	\$1,001 - \$15,000
12	GLOBAL X FDS MSCI NORWAY ETF (NORW)	Sale	04/05/2023	\$15,001 - \$50,000
13	ISHARES TR GL CLEAN ENE ETF (ICLN)	Sale	04/05/2023	\$15,001 - \$50,000
14	ISHARES TR MSCI UK ETF NEW (EWU)	Sale	04/05/2023	\$50,001 - \$100,000
15	VANGUARD WORLD FD ESG US STK ETF (ESGV)	Purchase	04/05/2023	\$50,001 - \$100,000
16	PUT (SPX) NEW S & P 500 INDEX (exp. 9/15/23) 100sh	Purchase	04/28/2023	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
17	CALL (SPX) NEW S & P 500 INDEX (exp. 9/15/23) 100sh	Sale	04/28/2023	\$1,001 - \$15,000
18	PUT (SPX) NEW S & P 500 INDEX (exp. 9/15/23) 100sh	Sale	04/28/2023	\$1,001 - \$15,000
19	PUT (SPX) NEW S & P 500 INDEX (exp. 9/15/23) 100sh	Purchase	04/28/2023	\$1,001 - \$15,000
20	CALL (SPX) NEW S & P 500 INDEX (exp. 9/15/23) 100sh	Sale	04/28/2023	\$1,001 - \$15,000
21	PUT (SPX) NEW S & P 500 INDEX (exp. 9/15/23) 100sh	Sale	04/28/2023	\$1,001 - \$15,000
22	ISHARES TR 7-10 YR TRSY BD (IEF)	Purchase	05/10/2023	\$15,001 - \$50,000
23	ISHARES TR ESG AWRE 1 5 YR (SUSB)	Sale	05/10/2023	\$15,001 - \$50,000
24	ISHARES TR 7-10 YR TRSY BD (IEF)	Purchase	05/10/2023	\$1,001 - \$15,000
25	ISHARES TR ESG AWRE 1 5 YR (SUSB)	Sale	05/10/2023	\$1,001 - \$15,000
26	Vanguard Mid-Cap Index Fund ETF Shares (VO)	Purchase	06/29/2023	\$1,001 - \$15,000
27	VANGUARD INDEX FUNDS S&P 500 ETF USD (VOO)	Sale	07/10/2023	\$1,001 - \$15,000
28	ISHARES INC ESG AWR MSCI EM (ESGE)	Sale	07/14/2023	\$15,001 - \$50,000
29	ISHARES TR ESG AWARE MSCI USA ETF (ESGU)	Purchase	07/14/2023	\$15,001 - \$50,000
30	ISHARES TR ESG AWR US AGRGT (EAGG)	Sale	07/14/2023	\$15,001 - \$50,000

8. Liabilities

None

9. Gifts and Travel Reimbursements

None

Endnotes

PART	#	ENDNOTE
1.	1	On leave without pay, effective Sept. 1, 2022
2.	4	Inadvertently omitted from prior report.
5.	2.1	The filer has not been able to obtain more information about this fund, but because it is an EIF, it would not create conflicts.

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during the reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$480 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$480 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$192 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. § 13101 et seq., as amended by the Representative Louise McIntosh Slaughter Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13989 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

Public Burden Information

This collection of information is estimated to take an average of ten hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE) Suite 500, 1201 New York Avenue, N.W., Washington, DC 20005-3917.

Pursuant to the Paperwork Reduction Act, as amended, an agency may not conduct or sponsor, and no person is required to respond to, a collection of information unless it displays a currently valid OMB control number (that number, 3209-0001, is displayed here and at the top of the first page of this OGE Form 278e).
