

# Executive Branch Personnel Public Financial Disclosure Report (OGE Form 278e)

## Filer's Information

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Hirsch, Leni M

Special Assistant for COVID Response, Office of the Assistant Secretary for Preparedness & Response, Department of Health & Human Services

Date of Termination: 10/22/2021

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Other Federal Government Positions Held During the Preceding 12 Months:

None

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Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Hirsch, Leni M [electronically signed on 10/21/2021 by Hirsch, Leni M in Integrity.gov]

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Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ CardMina, Mary, Certifying Official [electronically signed on 03/06/2022 by CardMina, Mary in Integrity.gov]

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Other review conducted by

/s/ Shouman, Mohammad, Ethics Official [electronically signed on 03/05/2022 by Shouman, Mohammad in Integrity.gov]

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U.S. Office of Government Ethics Certification

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Data Revised 03/05/2022

Data Revised 03/01/2022

Data Revised 12/19/2021

Data Revised 12/15/2021

Data Revised 10/21/2021

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Comments of Reviewing Officials (public annotations):

PART	#	REFERENCE	COMMENT
N/A	N/A	General	(10/21/2021, Hirsch, Leni M): The filer agreed to update the reported information if it changes before or on the indicated termination date.
N/A	N/A	General	(12/15/2021, Shouman, Mohammad): End Initial Review: 12/06/2021. MS.
N/A	N/A	General	(12/19/2021, Shouman, Mohammad): Awaiting additional information from the filer. MS.
N/A	N/A	General	(03/05/2022, Shouman, Mohammad): Updates made after following up with the filer. MS.

## 1. Filer's Positions Held Outside United States Government

#	ORGANIZATION NAME		CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
1	Family Trust (revocable trust for my own benefit)		Bethesda, Maryland	Trust	Co-Trustee	8/2016	Present
2	Bain and Company, Inc.	See Endnote	San Francisco, California	Corporation	Senior Associate Consultant	9/2020	3/2021
3	Adecco USA, Inc.	See Endnote	Jacksonville, Florida	Corporation	Strategy & Operations Associate (Google, LLC)	12/2020	2/2021

## 2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Bain and Company, Inc. (management consulting)	N/A		Vacation Payout	\$3,144
2	Bain and Company, Inc.	N/A		Bonus	\$7,907
3	Adecco USA, Inc.	N/A		Salary	\$14,895
4	Bain and Company, Inc., 401(k) plan	No			
4.1	Vanguard Federal Money Market Fund (VMFXX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
5	IRA	No			
5.1	Vanguard 500 Index Fund, Admiral Shares (VFIAX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
5.2	Vanguard Total Stock Market Index Fund, Admiral Shares (VTSAX)	Yes	\$1,001 - \$15,000		None (or less than \$201)

### 3. Filer's Employment Agreements and Arrangements

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
1	Bain and Company, Inc.	Boston, Massachusetts	Pursuant to standard practice at Bain and Company, Inc., I was scheduled to receive a bonus from the company in September 2020 for my promotion to Senior Associate Consultant. However, I was on leave from Bain and Company, Inc., from July 2020 until my resignation in March 2021. The bonus was paid in March 2021.	7/2020
2	Bain and Company, Inc.	Boston, Massachusetts	Pursuant to my employment agreement, I received a payout for vacation days accrued during my employment. This payment was made in March 2021.	10/2018
3	Bain and Company, Inc.	Boston, Massachusetts	I continued to retain this 401(k) plan. The plan sponsor did not make further contributions after my separation.	10/2018

### 4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

(N/A) - Not required for this type of report

### 5. Spouse's Employment Assets & Income and Retirement Accounts

None

### 6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	U.S. bank (cash)	N/A	\$50,001 - \$100,000		None (or less than \$201)
2	West Halbert Capital, LLC	No			
2.1	Residential real estate, Washington, DC	N/A	\$100,001 - \$250,000		None (or less than \$201)
3	Brokerage account	See Endnote			
3.1	Vanguard Federal Money Market Fund (VMFXX)	Yes	\$250,001 - \$500,000		None (or less than \$201)
3.2	Vanguard 500 Index Fund, Admiral Shares (VFIAX)	Yes	\$250,001 - \$500,000		\$2,501 - \$5,000
3.3	Vanguard High-Yield Corporate Fund, Investor Shares (VWEHX)	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
3.4	Vanguard Mid-Cap Index Fund, Admiral Shares (VIMAX)	Yes	\$100,001 - \$250,000		\$1,001 - \$2,500
3.5	Vanguard Short-Term Bond Index Fund, Admiral Shares (VBIRX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.6	Vanguard Small-Cap Index Fund, Admiral Shares (VSMAX)	Yes	\$100,001 - \$250,000		\$1,001 - \$2,500
3.7	Akre Focus Fund, Institutional Shares (AKRIX)	Yes	\$250,001 - \$500,000		None (or less than \$201)
3.8	Vanguard Information Technology ETF (VGT)	Yes	\$50,001 - \$100,000		None (or less than \$201)
3.9	Vanguard Cash Reserves Federal Money Market Fund Admiral Shares (VMRXX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
4	Family Trust	No			
4.1	Managed account	No			
4.1.1	Bernstein California Municipal Portfolio (SNCAAX)	Yes	\$50,001 - \$100,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
4.1.2	AB Discovery Value Fund, Advisor Class (ABYSX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
4.1.3	AB Discovery Growth Fund, Advisor Class (CHCYX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
4.1.4	AB Small Cap Growth Portfolio, Advisor Class (QUAYX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
4.1.5	AB International Strategic Equities Portfolio, Advisor Class (STEYX)	Yes	\$100,001 - \$250,000		None (or less than \$201)
4.1.6	Bernstein Emerging Markets Portfolio (SNEMX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
4.1.7	Adobe, Inc. (ADBE)	N/A	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
4.1.8	AECOM (ACM)	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
4.1.9	Align Technology, Inc. (ALGN)	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
4.1.10	Alphabet, Inc., Class C (GOOG)	N/A	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
4.1.11	Amazon.com, Inc. (AMZN)	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
4.1.12	American Campus Communities, Inc. (ACC)	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.1.13	American Electric Power Co., Inc. (AEP)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$1,001 - \$2,500
4.1.14	Americold Realty Trust (COLD)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
4.1.15	Anthem, Inc. (ANTM)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
4.1.16	Apple, Inc. (AAPL)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$15,001 - \$50,000
4.1.17	Automatic Data Processing, Inc. (ADP)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$201 - \$1,000
4.1.18	AutoZone, Inc. (AZO)	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.1.19	Bank of America Corporation (BAC)	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.1.20	Booz Allen Hamilton Holding Corporation (BAH)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$201 - \$1,000
4.1.21	CDW Corporation (CDW)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$1,001 - \$2,500
4.1.22	Citigroup, Inc. (C)	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.1.23	CME Group, Inc. (CME)	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.1.24	Comcast Corporation (CMCSA)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$5,001 - \$15,000
4.1.25	ConocoPhillips (COP)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$1,001 - \$2,500
4.1.26	Costco Wholesale Corporation (COST)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$1,001 - \$2,500
4.1.27	CSX Corporation (CSX)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$201 - \$1,000
4.1.28	Deckers Outdoor Corporation (DECK)	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.1.29	Dollar General Corporation (DG)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
4.1.30	Eaton Corporation, PLC (ETN)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$1,001 - \$2,500
4.1.31	Edwards Lifesciences Corporation (EW)	N/A	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
4.1.32	Electronic Arts, Inc. (EA)	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.1.33	Facebook, Inc. (FB)	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
4.1.34	Genpact Limited (G)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$201 - \$1,000
4.1.35	Goldman Sachs Group, Inc. (GS)	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.1.36	Home Depot, Inc. (HD)	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.1.37	Honeywell International, Inc. (HON)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$2,501 - \$5,000
4.1.38	Ingersoll Rand, Inc. (IR)	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
4.1.39	Johnson & Johnson (JNJ)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$1,001 - \$2,500
4.1.40	KLA Corporation (KLAC)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$1,001 - \$2,500
4.1.41	Knight-Swift Transportation Holdings, Inc. (KNX)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$201 - \$1,000
4.1.42	LKQ Corporation (LKQ)	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.1.43	LPL Financial Holdings, Inc. (LPLA)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
4.1.44	LyondellBasell Industries, NV (LYB)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$201 - \$1,000
4.1.45	L3Harris Technologies, Inc. (LHX)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$201 - \$1,000
4.1.46	Magna International, Inc. (MGA)	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$201 - \$1,000
4.1.47	Medtronic, PLC (MDT)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$201 - \$1,000
4.1.48	Merck & Co., Inc. (MRK)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$201 - \$1,000
4.1.49	Microsoft Corporation (MSFT)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$15,001 - \$50,000
4.1.50	Mid-America Apartment Communities (MAA)	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$201 - \$1,000
4.1.51	NextEra Energy, Inc. (NEE)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
4.1.52	Nike, Inc. (NKE)	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.1.53	Norfolk Southern Corporation (NSC)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$1,001 - \$2,500
4.1.54	NVIDIA Corporation (NVDA)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$2,501 - \$5,000
4.1.55	NXP Semiconductors NV (NXPI)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$2,501 - \$5,000
4.1.56	Oracle Corporation (ORCL)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$2,501 - \$5,000
4.1.57	PayPal Holdings, Inc. (PYPL)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
4.1.58	PepsiCo, Inc. (PEP)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
4.1.59	Pfizer, Inc. (PFE)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$1,001 - \$2,500
4.1.60	PNC Financial Services Group (PNC)	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.1.61	Procter & Gamble Co. (PG)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$1,001 - \$2,500
4.1.62	Progressive Corporation (PGR)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$2,501 - \$5,000
4.1.63	Prologis, Inc. (PLD)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
4.1.64	Qualcomm, Inc. (QCOM)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$1,001 - \$2,500
4.1.65	Regeneron Pharmaceuticals, Inc. (REGN)	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
4.1.66	Robert Half International, Inc. (RHI)	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.1.67	Roche Holding AG (biopharmaceuticals & diagnostics), ADR	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$1,001 - \$2,500
4.1.68	Southwest Airlines Co. (LUV)	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
4.1.69	Starbucks Corporation (SBUX)	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
4.1.70	Take-Two Interactive Software, Inc. (TTWO)	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.1.71	Texas Instruments, Inc. (TXN)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$2,501 - \$5,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
4.1.72	TJX Companies, Inc. (TJX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.1.73	United Rentals, Inc. (URI)	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
4.1.74	UnitedHealth Group, Inc. (UNH)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$5,001 - \$15,000
4.1.75	Visa, Inc. (V)	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.1.76	Walmart, Inc. (WMT)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$1,001 - \$2,500
4.1.77	Walt Disney Co. (DIS)	N/A	None (or less than \$1,001)	Dividends	\$1,001 - \$2,500
4.1.78	Wells Fargo & Co. (WFC)	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.1.79	Westlake Chemical Corporation (WLK)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$201 - \$1,000
4.1.80	Xilinx, Inc. (XLNX)	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
4.1.81	Stellantis NV (STLA)	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.1.82	T-Mobile US, Inc. (TMUS)	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
4.1.83	American Tower Corporation (AMT)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$201 - \$1,000
4.1.84	Allstate Corp (ALL)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
4.1.85	Altria Group, Inc. (MO)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
4.1.86	CBRE Group, Inc. (CBRE)	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
4.1.87	Raytheon Technologies Corp (RTX)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
4.1.88	U.S. brokerage account (cash)	N/A	\$250,001 - \$500,000		None (or less than \$201)
5	6513 Associates, LP, promissory note	N/A	\$100,001 - \$250,000		None (or less than \$201)
6	6513 Associates, LP	No			
6.1	West Halbert Road, LLC	No			
6.1.1	Matthews Pacific Tiger Fund- IS #102	Yes	None (or less than \$1,001)		\$1,001 - \$2,500

## 7. Transactions

#	DESCRIPTION	TYPE	DATE	AMOUNT
1	Family Trust managed account: American Electric Power Co., Inc. (AEP)	Sale	07/12/2021	\$1,001 - \$15,000
2	Family Trust managed account: Alphabet, Inc., Class C (GOOG)	Sale	07/12/2021	\$15,001 - \$50,000
3	Family Trust managed account: AECOM (ACM)	Sale	07/12/2021	\$1,001 - \$15,000
4	Family Trust managed account: Adobe, Inc. (ADBE)	Sale	07/12/2021	\$1,001 - \$15,000
5	Family Trust managed account: Align Technology, Inc. (ALGN)	Sale	07/12/2021	\$1,001 - \$15,000
6	Family Trust managed account: Amazon.com, Inc. (AMZN)	Sale	07/12/2021	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
7	Family Trust managed account: Americold Realty Trust (COLD)	Sale	07/12/2021	\$1,001 - \$15,000
8	Family Trust managed account: Anthem, Inc. (ANTM)	Sale	07/12/2021	\$1,001 - \$15,000
9	Family Trust managed account: American Tower Corporation (AMT)	Sale	07/12/2021	\$1,001 - \$15,000
10	Family Trust managed account: Apple, Inc. (AAPL)	Sale	07/12/2021	\$15,001 - \$50,000
11	Family Trust managed account: Booz Allen Hamilton Holding Corporation (BAH)	Sale	07/12/2021	\$1,001 - \$15,000
12	Family Trust managed account: Automatic Data Processing, Inc. (ADP)	Sale	07/12/2021	\$1,001 - \$15,000
13	Family Trust managed account: CDW Corporation (CDW)	Sale	07/12/2021	\$1,001 - \$15,000
14	Family Trust managed account: Chevron Corporation (CVX)	Sale	07/12/2021	\$1,001 - \$15,000
15	Family Trust managed account: Citrix Systems, Inc. (CTXS)	Sale	07/12/2021	\$1,001 - \$15,000
16	Family Trust managed account: ConocoPhillips (COP)	Sale	07/12/2021	\$1,001 - \$15,000
17	Family Trust managed account: Costco Wholesale Corporation (COST)	Sale	07/12/2021	\$1,001 - \$15,000
18	Family Trust managed account: Comcast Corporation (CMCSA)	Sale	07/12/2021	\$1,001 - \$15,000
19	Family Trust managed account: CSX Corporation (CSX)	Sale	07/12/2021	\$1,001 - \$15,000
20	Family Trust managed account: Edwards Lifesciences Corporation (EW)	Sale	07/12/2021	\$1,001 - \$15,000
21	Family Trust managed account: Eaton Corporation, PLC (ETN)	Sale	07/12/2021	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
22	Family Trust managed account: EOG Resources, Inc. (EOG)	Sale	07/12/2021	\$1,001 - \$15,000
23	Family Trust managed account: Genpact Limited (G)	Sale	07/12/2021	\$1,001 - \$15,000
24	Family Trust managed account: Facebook, Inc. (FB)	Sale	07/12/2021	\$1,001 - \$15,000
25	Family Trust managed account: Honeywell International, Inc. (HON)	Sale	07/12/2021	\$1,001 - \$15,000
26	Family Trust managed account: Ingersoll Rand, Inc. (IR)	Sale	07/12/2021	\$1,001 - \$15,000
27	Family Trust managed account: Johnson & Johnson (JNJ)	Sale	07/12/2021	\$1,001 - \$15,000
28	Family Trust managed account: KLA Corporation (KLAC)	Sale	07/12/2021	\$1,001 - \$15,000
29	Family Trust managed account: Knight-Swift Transportation Holdings, Inc. (KNX)	Sale	07/12/2021	\$1,001 - \$15,000
30	Family Trust managed account: L3Harris Technologies, Inc. (LHX)	Sale	07/12/2021	\$1,001 - \$15,000
31	Family Trust managed account: LyondellBasell Industries, NV (LYB)	Sale	07/12/2021	\$1,001 - \$15,000
32	Family Trust managed account: Medtronic, PLC (MDT)	Sale	07/12/2021	\$1,001 - \$15,000
33	Family Trust managed account: NextEra Energy, Inc. (NEE)	Sale	07/12/2021	\$1,001 - \$15,000
34	Family Trust managed account: Microsoft Corporation (MSFT)	Sale	07/12/2021	\$15,001 - \$50,000
35	Family Trust managed account: Norfolk Southern Corporation (NSC)	Sale	07/12/2021	\$1,001 - \$15,000
36	Family Trust managed account: NVIDIA Corporation (NVDA)	Sale	07/12/2021	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
37	Family Trust managed account: NXP Semiconductors NV (NXPI)	Sale	07/12/2021	\$1,001 - \$15,000
38	Family Trust managed account: Pfizer, Inc. (PFE)	Sale	07/12/2021	\$1,001 - \$15,000
39	Family Trust managed account: Prologis, Inc. (PLD)	Sale	07/12/2021	\$1,001 - \$15,000
40	Family Trust managed account: Progressive Corporation (PGR)	Sale	07/12/2021	\$1,001 - \$15,000
41	Family Trust managed account: Oracle Corporation (ORCL)	Sale	07/12/2021	\$1,001 - \$15,000
42	Family Trust managed account: Procter & Gamble Co. (PG)	Sale	07/12/2021	\$1,001 - \$15,000
43	Family Trust managed account: Qualcomm, Inc. (QCOM)	Sale	07/12/2021	\$1,001 - \$15,000
44	Family Trust managed account: Regeneron Pharmaceuticals, Inc. (REGN)	Sale	07/12/2021	\$1,001 - \$15,000
45	Family Trust managed account: Reinsurance Group of America, Inc. (RGA)	Sale	07/12/2021	\$1,001 - \$15,000
46	Family Trust managed account: Roche Holding AG (biopharmaceuticals & diagnostics), ADR	Sale	07/12/2021	\$1,001 - \$15,000
47	Family Trust managed account: Starbucks Corporation (SBUX)	Sale	07/12/2021	\$1,001 - \$15,000
48	Family Trust managed account: Southwest Airlines Co. (LUV)	Sale	07/12/2021	\$1,001 - \$15,000
49	Family Trust managed account: Texas Instruments, Inc. (TXN)	Sale	07/12/2021	\$1,001 - \$15,000
50	Family Trust managed account: T-Mobile US, Inc. (TMUS)	Sale	07/12/2021	\$1,001 - \$15,000

#	DESCRIPTION		TYPE	DATE	AMOUNT
51	Family Trust managed account: United Rentals, Inc. (URI)		Sale	07/12/2021	\$1,001 - \$15,000
52	Family Trust managed account: UnitedHealth Group, Inc. (UNH)		Sale	07/12/2021	\$1,001 - \$15,000
53	Family Trust managed account: Valero Energy Corporation (VLO)		Sale	07/12/2021	\$1,001 - \$15,000
54	Family Trust managed account: Vertex Pharmaceuticals, Inc. (VRTX)		Sale	07/12/2021	\$1,001 - \$15,000
55	Family Trust managed account: Walmart, Inc. (WMT)		Sale	07/12/2021	\$1,001 - \$15,000
56	Family Trust managed account: Walt Disney Co. (DIS)		Sale	07/12/2021	\$1,001 - \$15,000
57	Family Trust managed account: Westlake Chemical Corporation (WLK)		Sale	07/12/2021	\$1,001 - \$15,000
58	Family Trust managed account: Xilinx, Inc. (XLNX)		Sale	07/12/2021	\$1,001 - \$15,000
59	Family Trust managed account: Zimmer Biomet Holdings, Inc. (ZBH)		Sale	07/12/2021	\$1,001 - \$15,000
60	Family Trust managed account: Zoetis, Inc. (ZTS)		Sale	07/12/2021	\$1,001 - \$15,000
61	Brokerage account: Akre Focus Fund - Institutional Shares (AKRIX)		Purchase	03/11/2021	\$15,001 - \$50,000
62	Brokerage account: Vanguard 500 Index Fund - Admiral Shares (VFIAX)	See Endnote	Purchase		\$50,001 - \$100,000
63	Brokerage account: Vanguard Mid-Cap Index Fund - Admiral Shares (VIMAX)		Purchase	03/11/2021	\$15,001 - \$50,000
64	Brokerage account: Vanguard Small-Cap Index Fund - Admiral Shares (VSMAX)	See Endnote	Purchase		\$15,001 - \$50,000
65	Brokerage account: Vanguard Mid-Cap Index Fund - Admiral Shares (VIMAX)		Purchase	08/09/2021	\$50,001 - \$100,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
66	Brokerage account: Vanguard Information Technology ETF (VGT)	Purchase	09/21/2021	\$50,001 - \$100,000

## 8. Liabilities

None

## 9. Gifts and Travel Reimbursements

None

## Endnotes

PART	#	ENDNOTE
1.	2	On leave from July 2020 until my resignation in March 2021.
1.	3	Staffed to Google, LLC, via Adecco USA, Inc., on a contract basis.
6.	3	Inadvertently reported holding Vanguard Total Stock Market Index Fund, Admiral Shares (VTSAX) in this account in the 2021 new entrant OGE Form 278e (Executive Branch Personnel Public Financial Disclosure Report). The mutual fund is held only in the IRA, as reported in Part 2.
7.	62	Multiple.
7.	64	Multiple.

# Summary of Contents

## 1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

## 2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

## 3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

#### 4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

#### 5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

#### 6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

## 7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during the reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

## 8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

## 9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$166 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

## Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

## Public Burden Information

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Pursuant to the Paperwork Reduction Act, as amended, an agency may not conduct or sponsor, and no person is required to respond to, a collection of information unless it displays a currently valid OMB control number (that number, 3209-0001, is displayed here and at the top of the first page of this OGE Form 278e).

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