

# Executive Branch Personnel Public Financial Disclosure Report (OGE Form 278e)

## Filer's Information

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Kaye, Janice A

Chief Counsel for Administrative Law, DAEO, Chief FOIA Officer, Office of the U.S. Trade Representative

Report Year: 2023

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Other Federal Government Positions Held During the Preceding 12 Months:

None

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Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Kaye, Janice A [electronically signed on 01/09/2023 by Kaye, Janice A in Integrity.gov]

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Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Ricker, Monique T, Certifying Official [electronically signed on 02/01/2023 by Ricker, Monique T in Integrity.gov]

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Other review conducted by

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U.S. Office of Government Ethics Certification

/s/ Granahan, Megan, Certifying Official [electronically signed on 02/17/2023 by Granahan, Megan in Integrity.gov]

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Data Revised 02/08/2023

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## 1. Filer's Positions Held Outside United States Government

None

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## 2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	IRA				
1.1	Adobe Inc stock (ADBE)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.2	Amazon.com Inc stock (AMZN)	N/A	\$15,001 - \$50,000		None (or less than \$201)
1.3	American Tower Corp stock (AMT)	N/A	\$15,001 - \$50,000		None (or less than \$201)
1.4	H & R Block Inc stock (HRB)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.5	Clorox Co stock (CLX)	N/A	\$15,001 - \$50,000		None (or less than \$201)
1.6	Honeywell International Inc stock (HON)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.7	Microsoft Corp stock (MSFT)	N/A	\$15,001 - \$50,000		None (or less than \$201)
1.8	PNC Financial Services Group Inc stock (PNC)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.9	Progressive Corp stock (PGR)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.10	Raytheon Technologies Corp stock (RTX)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.11	salesforce.com inc stock (CRM)	N/A	\$15,001 - \$50,000		None (or less than \$201)
1.12	Texas Instruments Inc stock (TXN)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.13	US Bancorp stock (USB)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.14	Verizon Communications Inc stock (VZ)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.15	Visa Inc stock (V)	N/A	\$15,001 - \$50,000		None (or less than \$201)
1.16	Xcel Energy Inc stock (XEL)	N/A	\$15,001 - \$50,000		None (or less than \$201)
1.17	iShares iBonds Dec 2023 Term Corporate ETF (IBDO)	Yes	\$15,001 - \$50,000		None (or less than \$201)
1.18	iShares iBonds Dec 2024 Term Corporate ETF (IBDP)	Yes	\$15,001 - \$50,000		None (or less than \$201)
1.19	iShares TIPS Bond ETF (TIP)	Yes	\$15,001 - \$50,000		None (or less than \$201)
1.20	iShares iBonds Dec 2025 Term Corporate ETF (IBDQ)	Yes	\$15,001 - \$50,000		None (or less than \$201)
1.21	SPDR Bloomberg Convertible Securities ETF (CWB)	Yes	\$15,001 - \$50,000		None (or less than \$201)
1.22	AbbVie Inc stock (ABBV)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.23	Alphabet Inc stock (GOOGL)	N/A	\$15,001 - \$50,000		None (or less than \$201)
1.24	Apple Inc stock (AAPL)	N/A	\$15,001 - \$50,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.25	Berkshire Hathaway Inc stock (BRK.B)	N/A	\$15,001 - \$50,000		None (or less than \$201)
1.26	Broadridge Financial Solutions Inc stock (BR)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.27	Chevron Corp stock (CVX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.28	Estee Lauder Companies Inc stock (EL)	N/A	\$15,001 - \$50,000		None (or less than \$201)
1.29	Linde PLC stock (LIN)	N/A	\$15,001 - \$50,000		None (or less than \$201)
1.30	Nike Inc stock (NKE)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.31	Pepsico Inc stock (PEP)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.32	iShares Core U.S. Aggregate Bond ETF (AGG)	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.33	iShares US Preferred Stock ETF (PFF)	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.34	iShares Russell 2000 ETF (IWM)	Yes	\$15,001 - \$50,000		None (or less than \$201)
1.35	US bank (cash account)	N/A	\$100,001 - \$250,000		None (or less than \$201)
1.36	Lowes Co stock (LOW)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.37	Mcdonald's Corp stock (MCD)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.38	TJX Co Inc stock (TJX)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.39	Tesla Inc stock (TSLA)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.40	Diageo plc stock (DEO)	N/A	\$15,001 - \$50,000		None (or less than \$201)
1.41	Danaher Corp stock (DHR)	N/A	\$15,001 - \$50,000		None (or less than \$201)
1.42	Pfizer Inc stock (PFE)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.43	Albemarle Corp stock (ALB)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.44	Taiwan Semiconductor Manufacturing Co Ltd stock (TSM)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.45	Accenture PLC stock (ACN)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.46	Nextera Energy Inc stock (NEE)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.47	Extra Space Storage Inc stock (EXR)	N/A	\$15,001 - \$50,000		None (or less than \$201)
1.48	iShares Core S&P Mid-Cap ETF (IJH)	Yes	\$15,001 - \$50,000		None (or less than \$201)
1.49	KLA Corp bond	N/A	\$15,001 - \$50,000		None (or less than \$201)
1.50	Capital One Financial Corp bond	N/A	\$15,001 - \$50,000		None (or less than \$201)
1.51	Dominion Energy Inc bond	N/A	\$15,001 - \$50,000		None (or less than \$201)
1.52	Citigroup Inc bond	N/A	\$15,001 - \$50,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.53	U.S. Treasuries	N/A	\$100,001 - \$250,000		None (or less than \$201)
1.54	iShares iBonds Dec 2026 Term Corporate ETF (IBDR)	Yes	\$15,001 - \$50,000		None (or less than \$201)
1.55	SPDR Bloomberg Barclays Short Term High Yield Bond ETF (SJNK)	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.56	SPDR Portfolio S&P 500 High Dividend ETF (SPYD)	Yes	\$1,001 - \$15,000		None (or less than \$201)
2	IRA #2				
2.1	iShares Core U.S. Aggregate Bond ETF (AGG)	Yes	\$1,001 - \$15,000		None (or less than \$201)
2.2	Accenture PLC stock (ACN)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.3	Honeywell International Inc stock (HON)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.4	PNC Financial Services Group Inc stock (PNC)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.5	Union Pacific Corp stock (UNP)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.6	Charles Schwab Corp 5.95% perpetual preferred stock (SCHW.PRD)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.7	Church & Dwight Co stock (CHD)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.8	Danaher Corp stock (DHR)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.9	Johnson & Johnson stock (JNJ)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.10	Microsoft Corp stock (MSFT)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
2.11	Nextera Energy Inc stock (NEE)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.12	Pfizer Inc stock (PFE)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.13	Roper Technologies stock (ROP)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.14	TJX Co Inc stock (TJX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.15	UnitedHealth Group Inc stock (UNH)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.16	Verizon Communications stock (VZ)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.17	Visa Inc stock (V)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.18	iShares iBoxx \$ Investment Grade Corporate Bond ETF (LQD)	Yes	\$15,001 - \$50,000		None (or less than \$201)
2.19	iShares TIPS Bond ETF (TIP)	Yes	\$1,001 - \$15,000		None (or less than \$201)
2.20	iShares 7-10 Year Treasury Bond ETF (IEF)	Yes	\$1,001 - \$15,000		None (or less than \$201)
2.21	SPDR Bloomberg Barclays Short Term High Yield Bond ETF (SJNK)	Yes	\$1,001 - \$15,000		None (or less than \$201)
2.22	Amazon.com Inc stock (AMZN)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.23	Xcel Energy Inc stock (XEL)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.24	Berkshire Hathaway Inc stock (BRK.B)	N/A	\$1,001 - \$15,000		None (or less than \$201)



#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
2.25	iShares iBonds Dec 2024 Term Corporate ETF (IBDP)	Yes	\$1,001 - \$15,000		None (or less than \$201)
2.26	Eli Lilly & Co stock (LLY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.27	Progressive Corp stock (PGR)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.28	Adobe Inc stock (ADBE)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.29	Mcdonald's Corp stock (MCD)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.30	U.S. Bancorp stock (USB)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.31	US bank (cash account)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.32	iShares US Preferred Stock ETF (PFF)	Yes	\$1,001 - \$15,000		None (or less than \$201)
2.33	iShares Core S&P Mid-Cap ETF (IJH)	Yes	\$15,001 - \$50,000		None (or less than \$201)
2.34	iShares Russell 2000 ETF (IWM)	Yes	\$15,001 - \$50,000		None (or less than \$201)
2.35	Lowe's Co stock (LOW)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.36	Raytheon Technologies Corp stock (RTX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.37	SPDR S&P 500 ETF (SPY)	Yes	\$1,001 - \$15,000		None (or less than \$201)
2.38	H & R Block Inc stock (HRB)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
2.39	Texas Instruments Inc stock (TXN)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.40	Broadridge Financial Solutions Inc stock (BR)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.41	iShares iBonds Dec 2025 Term Corporate ETF (IBDQ)	Yes	\$1,001 - \$15,000		None (or less than \$201)
2.42	SPDR Portfolio S&P 500 High Dividend ETF (SPYD)	Yes	\$1,001 - \$15,000		None (or less than \$201)
2.43	Brown Capital Management International Small Company Fund (BCSFX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
2.44	Tesla Inc stock (TSLA)	N/A	\$1,001 - \$15,000		None (or less than \$201)

### 3. Filer's Employment Agreements and Arrangements

None

### 4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

(N/A) - Not required for this type of report

### 5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	IRA				

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.1	Adobe Inc stock (ADBE)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.2	Alphabet Inc stock (GOOGL)	N/A	\$15,001 - \$50,000		None (or less than \$201)
1.3	Amazon.com Inc stock (AMZN)	N/A	\$15,001 - \$50,000		None (or less than \$201)
1.4	American Tower Corp stock (AMT)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.5	H & R Block Inc stock (HRB)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.6	Clorox Co stock (CLX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.7	Honeywell International Inc stock (HON)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.8	Microsoft Corp stock (MSFT)	N/A	\$15,001 - \$50,000		None (or less than \$201)
1.9	PNC Financial Services Group Inc stock (PNC)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.10	Progressive Corp stock (PGR)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.11	Raytheon Technologies Corp stock (RTX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.12	salesforce.com inc stock (CRM)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.13	Texas Instruments Inc stock (TXN)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.14	US Bancorp stock (USB)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.15	Verizon Communications Inc stock (VZ)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.16	Visa Inc stock (V)	N/A	\$15,001 - \$50,000		None (or less than \$201)
1.17	Xcel Energy Inc stock (XEL)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.18	iShares iBonds Dec 2023 Term Corporate ETF (IBDO)	Yes	\$15,001 - \$50,000		None (or less than \$201)
1.19	iShares iBonds Dec 2024 Term Corporate ETF (IBDP)	Yes	\$15,001 - \$50,000		None (or less than \$201)
1.20	iShares TIPS Bond ETF (TIP)	Yes	\$15,001 - \$50,000		None (or less than \$201)
1.21	iShares iBonds Dec 2025 Term Corporate ETF (IBDQ)	Yes	\$15,001 - \$50,000		None (or less than \$201)
1.22	SPDR Bloomberg Convertible Securities ETF (CWB)	Yes	\$15,001 - \$50,000		None (or less than \$201)
1.23	SPDR Portfolio S&P 500 High Dividend ETF (SPYD)	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.24	US bank (cash account)	N/A	\$250,001 - \$500,000		None (or less than \$201)
1.25	Lowe's Companies Inc stock (LOW)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.26	McDonald's Corp stock (MCD)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.27	TJX Companies Inc stock ((TJX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.28	Nike Inc stock (NKE)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.29	Tesla Inc stock (TSLA)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.30	Estee Lauder Companies Inc stock (EL)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.31	Berkshire Hathaway Inc stock (BRK.B)	N/A	\$15,001 - \$50,000		None (or less than \$201)
1.32	Danaher Corp stock (DHR)	N/A	\$15,001 - \$50,000		None (or less than \$201)
1.33	AbbVie Inc stock (ABBV)	N/A	\$15,001 - \$50,000		None (or less than \$201)
1.34	Pfizer Inc stock (PFE)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.35	Linde PLC stock (LIN)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.36	Albemarle Corp stock (ALB)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.37	Apple Inc stock (AAPL)	N/A	\$15,001 - \$50,000		None (or less than \$201)
1.38	Broadridge Financial Solutions Inc stock (BR)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.39	Accenture plc stock (ACN)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.40	Taiwan Semiconductor Mfg Co Ltd stock (TSM)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.41	Nextera Energy Inc stock (NEE)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.42	Extra Space Storage Inc stock (EXR)	N/A	\$15,001 - \$50,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.43	iShares Core S&P Mid-Cap ETF (IJH)	Yes	\$15,001 - \$50,000		None (or less than \$201)
1.44	iShares Russell 2000 ETF (IWM)	Yes	\$15,001 - \$50,000		None (or less than \$201)
1.45	DuPont de Nemours bond	N/A	\$15,001 - \$50,000		None (or less than \$201)
1.46	AbbVie Inc bond	N/A	\$15,001 - \$50,000		None (or less than \$201)
1.47	Georgia Power bond	N/A	\$15,001 - \$50,000		None (or less than \$201)
1.48	Take-Two Interactive Software Inc bond	N/A	\$15,001 - \$50,000		None (or less than \$201)
1.49	TD Bank bond	N/A	\$15,001 - \$50,000		None (or less than \$201)
1.50	Biogen Inc bond	N/A	\$15,001 - \$50,000		None (or less than \$201)
1.51	Dominion Energy Inc bond	N/A	\$15,001 - \$50,000		None (or less than \$201)
1.52	U.S. Treasuries	N/A	\$250,001 - \$500,000		None (or less than \$201)
1.53	Federal Home Loan Bank bond	N/A	\$15,001 - \$50,000		None (or less than \$201)
1.54	iShares iBonds Dec 2026 Term Corporate ETF (IBDR)	Yes	\$15,001 - \$50,000		None (or less than \$201)
1.55	SPDR Bloomberg Barclays Short Term High Yield Bond ETF (SJNK)	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.56	iShares Preferred and Income Securities ETF (PFF)	Yes	\$1,001 - \$15,000		None (or less than \$201)
2	River Hawk Consulting LLC	N/A		salary	

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
2.1	401K: Vanguard Large Cap Index Fund (VLCAX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
2.2	401K: Vanguard Small-Cap Index Fund (VSMAX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
2.3	401K: Allspring Special Mid Cap Value Fund (WFPRX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
2.4	401K: Vanguard Total Bond Market Index Fund (VBTLX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
2.5	401K: Vanguard Target Retirement Income Fund (VTINX)	Yes	\$1,001 - \$15,000		None (or less than \$201)

## 6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	US bank #1 (cash accounts)	N/A	\$100,001 - \$250,000	Interest	\$201 - \$1,000
2	SPDR Portfolio S&P 500 High Dividend ETF (SPYD)	Yes	\$1,001 - \$15,000		None (or less than \$201)
3	U.S. Treasuries	N/A	\$15,001 - \$50,000		None (or less than \$201)
4	US bank #2 (cash account)	N/A	\$1,001 - \$15,000		None (or less than \$201)

## 7. Transactions

#	DESCRIPTION	TYPE	DATE	AMOUNT
1	IRA: Extra Space Storage Inc stock (EXR)	Purchase	12/19/2022	\$15,001 - \$50,000
2	S-IRA: Extra Space Storage Inc stock (EXR)	Purchase	12/19/2022	\$15,001 - \$50,000
3	IRA: Diageo plc stock (DEO)	Purchase	11/07/2022	\$15,001 - \$50,000
4	S-IRA: Sony Group Corp stock (SONY)	Sale	11/04/2022	\$1,001 - \$15,000
5	IRA: Sony Group Corp stock (SONY)	Sale	11/04/2022	\$1,001 - \$15,000
6	IRA #2: Sony Group Corp stock (SONY)	Sale	11/04/2022	\$1,001 - \$15,000
7	IRA: Amazon.com Inc stock (AMZN)	Purchase	11/18/2022	\$1,001 - \$15,000
8	S-IRA: Amazon.com Inc stock (AMZN)	Purchase	11/18/2022	\$1,001 - \$15,000
9	S-IRA: Estee Lauder Companies Inc stock (EL)	Sale	12/02/2022	\$1,001 - \$15,000
10	S-IRA: Federal Home Loan Bank bond	Purchase	09/30/2022	\$15,001 - \$50,000
11	IRA: NVIDIA Corp stock (NVDA)	Sale	09/23/2022	\$1,001 - \$15,000
12	IRA: Apple Inc stock (AAPL)	Purchase	09/27/2022	\$1,001 - \$15,000
13	IRA: Berkshire Hathaway Inc stock (BRK.B)	Purchase	09/27/2022	\$15,001 - \$50,000
14	S-IRA: Apple Inc stock (AAPL)	Purchase	09/27/2022	\$1,001 - \$15,000
15	S-IRA: Berkshire Hathaway Inc stock (BRK.B)	Purchase	09/27/2022	\$15,001 - \$50,000
16	S-IRA: AbbVie Inc bond	Purchase	10/07/2022	\$15,001 - \$50,000
17	IRA: Xcel Energy Inc stock (XEL)	Purchase	10/21/2022	\$1,001 - \$15,000
18	IRA: Meta Platforms Inc stock (META)	Sale	08/05/2022	\$15,001 - \$50,000



#	DESCRIPTION	TYPE	DATE	AMOUNT
19	S-IRA: Meta Platforms Inc stock (META)	Sale	08/05/2022	\$1,001 - \$15,000
20	IRA: Amazon.com Inc stock (AMZN)	Purchase	08/08/2022	\$1,001 - \$15,000
21	S-IRA: Amazon.com Inc stock (AMZN)	Purchase	08/08/2022	\$1,001 - \$15,000
22	IRA: H & R Block Inc stock (HRB)	Sale	08/23/2022	\$1,001 - \$15,000
23	S-IRA: H & R Block Inc stock (HRB)	Sale	08/23/2022	\$1,001 - \$15,000
24	S-IRA: PayPal Holdings Inc stock (PYPL)	Sale	09/02/2022	\$1,001 - \$15,000
25	IRA: PayPal Holdings Inc stock (PYPL)	Sale	09/02/2022	\$1,001 - \$15,000
26	S-IRA: Linde plc stock (LIN)	Purchase	06/24/2022	\$1,001 - \$15,000
27	IRA: Baxter International Inc stock (BAX)	Sale	07/15/2022	\$1,001 - \$15,000
28	IRA #2: Baxter International Inc stock (BAX)	Sale	07/15/2022	\$1,001 - \$15,000
29	S-IRA: Albemarle Corp stock (ALB)	Purchase	07/15/2022	\$1,001 - \$15,000
30	S-IRA: Baxter International Inc stock (BAX)	Sale	07/15/2022	\$1,001 - \$15,000
31	S-IRA: Taiwan Semiconductor Mfg Co Ltd stock (TSM)	Purchase	07/22/2022	\$1,001 - \$15,000
32	IRA: Taiwan Semiconductor Mfg Co Ltd stock (TSM)	Purchase	07/22/2022	\$1,001 - \$15,000
33	S-IRA: Netflix Inc stock (NFLX)	Sale	05/13/2022	\$1,001 - \$15,000
34	IRA: Volkswagen Group stock (VLKPF)	Sale	05/16/2022	\$1,001 - \$15,000
35	IRA #2: Volkswagen Group stock (VLKPF)	Sale	05/16/2022	\$1,001 - \$15,000
36	S-IRA: Volkswagen Group stock (VLKPF)	Sale	05/16/2022	\$1,001 - \$15,000
37	IRA #2: Tesla Inc stock (TSLA)	Purchase	05/17/2022	\$1,001 - \$15,000
38	S-IRA: H & R Block Inc stock (HRB)	Sale	05/20/2022	\$1,001 - \$15,000
39	IRA: H & R Block Inc stock (HRB)	Sale	05/20/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
40	S-IRA: Estee Lauder Companies Inc stock (EL)	Purchase	05/20/2022	\$1,001 - \$15,000
41	IRA: Estee Lauder Companies Inc stock (EL)	Purchase	05/20/2022	\$1,001 - \$15,000
42	S-IRA: NVIDIA Corp stock (NVDA)	Purchase	03/01/2022	\$1,001 - \$15,000
43	IRA: Albemarle Corp stock (ALB)	Purchase	03/02/2022	\$1,001 - \$15,000
44	IRA: Paypal Holdings Inc stock (PYPL)	Purchase	03/02/2022	\$1,001 - \$15,000
45	IRA: Raytheon Technologies Corp stock (RTX)	Sale	03/02/2022	\$1,001 - \$15,000
46	S-IRA: Albemarle Corp stock (ALB)	Purchase	03/02/2022	\$1,001 - \$15,000
47	S-IRA: Paypal Holdings Inc stock (PYPL)	Purchase	03/02/2022	\$1,001 - \$15,000
48	IRA: Accenture plc stock (ACN)	Purchase	03/09/2022	\$1,001 - \$15,000
49	S-IRA: Accenture plc stock (ACN)	Purchase	03/09/2022	\$1,001 - \$15,000
50	IRA: American Tower Corp stock (AMT)	Purchase	03/09/2022	\$1,001 - \$15,000
51	S-IRA: American Tower Corp stock (AMT)	Purchase	03/09/2022	\$1,001 - \$15,000
52	IRA: Estee Lauder Companies Inc stock (EL)	Purchase	03/09/2022	\$1,001 - \$15,000
53	S-IRA: Estee Lauder Companies Inc stock (EL)	Purchase	03/09/2022	\$1,001 - \$15,000
54	IRA: Lowe's Companies Inc stock (LOW)	Purchase	03/09/2022	\$1,001 - \$15,000
55	S-IRA: Lowe's Companies Inc stock (LOW)	Purchase	03/09/2022	\$1,001 - \$15,000
56	IRA: McDonald's Corp stock (MCD)	Purchase	03/09/2022	\$1,001 - \$15,000
57	S-IRA: McDonald's Corp stock (MCD)	Purchase	03/09/2022	\$1,001 - \$15,000
58	IRA: Nike Inc stock (NKE)	Purchase	03/09/2022	\$1,001 - \$15,000
59	S-IRA: Nike Inc stock (NKE)	Purchase	03/09/2022	\$1,001 - \$15,000
60	IRA: TJX Companies Inc stock ((TJX)	Purchase	03/09/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
61	S-IRA: TJX Companies Inc stock ((TJX)	Purchase	03/09/2022	\$1,001 - \$15,000
62	IRA: iShares Core S&P Mid-Cap ETF (IJH)	Purchase	03/09/2022	\$1,001 - \$15,000
63	S-IRA: iShares Core S&P Mid-Cap ETF (IJH)	Purchase	03/09/2022	\$1,001 - \$15,000
64	IRA: iShares Preferred and Income Securities ETF (PFF)	Purchase	03/09/2022	\$1,001 - \$15,000
65	S-IRA: iShares Preferred and Income Securities ETF (PFF)	Purchase	03/09/2022	\$1,001 - \$15,000
66	IRA: Accenture plc stock (ACN)	Purchase	01/20/2022	\$1,001 - \$15,000
67	S-IRA: Accenture plc stock (ACN)	Purchase	01/20/2022	\$1,001 - \$15,000
68	IRA: Adobe Inc stock (ADBE)	Purchase	01/20/2022	\$1,001 - \$15,000
69	S-IRA: Adobe Inc stock (ADBE)	Purchase	01/20/2022	\$1,001 - \$15,000
70	IRA: American Tower Corp stock (AMT)	Purchase	01/20/2022	\$1,001 - \$15,000
71	S-IRA: American Tower Corp stock (AMT)	Purchase	01/20/2022	\$1,001 - \$15,000
72	IRA: Broadridge Financial Solutions Inc stock (BR)	Purchase	01/20/2022	\$1,001 - \$15,000
73	S-IRA: Broadridge Financial Solutions Inc stock (BR)	Purchase	01/20/2022	\$1,001 - \$15,000
74	IRA: Danaher Corp stock (DHR)	Purchase	01/20/2022	\$1,001 - \$15,000
75	S-IRA: Danaher Corp stock (DHR)	Purchase	01/20/2022	\$1,001 - \$15,000
76	IRA: Estee Lauder Companies Inc stock (EL)	Purchase	01/20/2022	\$1,001 - \$15,000
77	S-IRA: Estee Lauder Companies Inc stock (EL)	Purchase	01/20/2022	\$1,001 - \$15,000
78	IRA: Nextera Energy Inc stock (NEE)	Purchase	01/20/2022	\$1,001 - \$15,000
79	S-IRA: Nextera Energy Inc stock (NEE)	Purchase	01/20/2022	\$1,001 - \$15,000
80	IRA: Nike Inc stock (NKE)	Purchase	01/20/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
81	S-IRA: Nike Inc stock (NKE)	Purchase	01/20/2022	\$1,001 - \$15,000
82	IRA: Paypal Holdings Inc stock (PYPL)	Purchase	01/20/2022	\$1,001 - \$15,000
83	S-IRA: Paypal Holdings Inc stock (PYPL)	Purchase	01/20/2022	\$1,001 - \$15,000
84	IRA: salesforce.com inc stock (CRM)	Purchase	01/20/2022	\$1,001 - \$15,000
85	S-IRA: salesforce.com inc stock (CRM)	Purchase	01/20/2022	\$1,001 - \$15,000
86	IRA: Texas Instruments Inc stock (TXN)	Purchase	01/20/2022	\$1,001 - \$15,000
87	S-IRA: Texas Instruments Inc stock (TXN)	Purchase	01/20/2022	\$1,001 - \$15,000
88	IRA: Verizon Communications Inc stock (VZ)	Purchase	01/20/2022	\$1,001 - \$15,000
89	S-IRA: Verizon Communications Inc stock (VZ)	Purchase	01/20/2022	\$1,001 - \$15,000
90	IRA: iShares iBonds Dec 2026 Term Corporate ETF (IBDR)	Purchase	01/20/2022	\$15,001 - \$50,000
91	S-IRA: iShares iBonds Dec 2026 Term Corporate ETF (IBDR)	Purchase	01/20/2022	\$15,001 - \$50,000
92	IRA: SPDR Bloomberg Convertible Securities ETF (CWB)	Purchase	01/20/2022	\$1,001 - \$15,000
93	S-IRA: SPDR Bloomberg Convertible Securities ETF (CWB)	Purchase	01/20/2022	\$1,001 - \$15,000
94	IRA: SPDR Bloomberg Barclays Short Term High Yield Bond ETF (SJNK)	Purchase	01/20/2022	\$1,001 - \$15,000
95	S-IRA: SPDR Bloomberg Barclays Short Term High Yield Bond ETF (SJNK)	Purchase	01/20/2022	\$1,001 - \$15,000
96	IRA: NVIDIA Corp stock (NVDA)	Purchase	01/20/2022	\$1,001 - \$15,000
97	S-IRA: Apple Inc stock (AAPL)	Purchase	01/24/2022	\$15,001 - \$50,000
98	SPDR Portfolio S&P 500 High Dividend ETF (SPYD)	Purchase	01/24/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
99	IRA: Accenture plc stock (ACN)	Purchase	01/27/2022	\$1,001 - \$15,000
100	S-IRA: Accenture plc stock (ACN)	Purchase	01/27/2022	\$1,001 - \$15,000
101	IRA: Alphabet Inc stock (GOOGL)	Purchase	01/27/2022	\$1,001 - \$15,000
102	S-IRA: Alphabet Inc stock (GOOGL)	Purchase	01/27/2022	\$1,001 - \$15,000
103	IRA: Amazon.com Inc stock (AMZN)	Purchase	01/27/2022	\$1,001 - \$15,000
104	S-IRA: Amazon.com Inc stock (AMZN)	Purchase	01/27/2022	\$1,001 - \$15,000
105	IRA: American Tower Corp stock (AMT)	Purchase	01/27/2022	\$1,001 - \$15,000
106	IRA: Apple Inc stock (AAPL)	Purchase	01/27/2022	\$15,001 - \$50,000
107	S-IRA: Apple Inc stock (AAPL)	Purchase	01/27/2022	\$15,001 - \$50,000
108	IRA: Danaher Corp stock (DHR)	Purchase	01/27/2022	\$1,001 - \$15,000
109	S-IRA: Danaher Corp stock (DHR)	Purchase	01/27/2022	\$1,001 - \$15,000
110	IRA: Microsoft Corp stock (MSFT)	Purchase	01/27/2022	\$1,001 - \$15,000
111	S-IRA: Microsoft Corp stock (MSFT)	Purchase	01/27/2022	\$1,001 - \$15,000
112	IRA: Pfizer Inc stock (PFE)	Purchase	01/27/2022	\$1,001 - \$15,000
113	S-IRA: Pfizer Inc stock (PFE)	Purchase	01/27/2022	\$1,001 - \$15,000
114	IRA: iShares Core S&P Mid-Cap ETF (IJH)	Purchase	01/27/2022	\$15,001 - \$50,000
115	S-IRA: iShares Core S&P Mid-Cap ETF (IJH)	Purchase	01/27/2022	\$15,001 - \$50,000
116	IRA: iShares Russell 2000 ETF (IWM)	Purchase	01/27/2022	\$15,001 - \$50,000
117	S-IRA: iShares Russell 2000 ETF (IWM)	Purchase	01/27/2022	\$15,001 - \$50,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
118	IRA: Tesla Inc stock (TSLA)	Purchase	02/01/2022	\$1,001 - \$15,000
119	S-IRA: Tesla Inc stock (TSLA)	Purchase	02/01/2022	\$1,001 - \$15,000
120	S-IRA: Netflix Inc stock (NFLX)	Purchase	02/04/2022	\$1,001 - \$15,000
121	S-IRA: Broadridge Financial Solutions Inc stock (BR)	Purchase	02/14/2022	\$1,001 - \$15,000
122	IRA: Clorox Co stock (CLX)	Purchase	02/15/2022	\$1,001 - \$15,000
123	IRA: Honeywell International Inc stock (HON)	Purchase	02/15/2022	\$1,001 - \$15,000
124	IRA: Linde PLC stock (LIN)	Purchase	02/15/2022	\$1,001 - \$15,000
125	IRA: Microsoft Corp stock (MSFT)	Purchase	02/15/2022	\$1,001 - \$15,000
126	IRA: Meta Platforms Inc stock (META)	Purchase	02/15/2022	\$1,001 - \$15,000
127	IRA: salesforce.com inc stock (CRM)	Purchase	02/15/2022	\$1,001 - \$15,000
128	IRA: Sony Group Corp stock (SONY)	Purchase	02/15/2022	\$1,001 - \$15,000
129	IRA: iShares Russell 2000 ETF (IWM)	Purchase	02/15/2022	\$15,001 - \$50,000
130	S-IRA: AbbVie Inc stock (ABBV)	Purchase	02/15/2022	\$15,001 - \$50,000
131	S-IRA: Clorox Co stock (CLX)	Purchase	02/15/2022	\$1,001 - \$15,000
132	S-IRA: Linde PLC stock (LIN)	Purchase	02/15/2022	\$1,001 - \$15,000
133	S-IRA: Meta Platforms Inc stock (META)	Purchase	02/15/2022	\$1,001 - \$15,000
134	S-IRA: salesforce.com inc stock (CRM)	Purchase	02/15/2022	\$1,001 - \$15,000
135	S-IRA: Sony Group Corp stock (SONY)	Purchase	02/15/2022	\$1,001 - \$15,000
136	S-IRA: iShares Russell 2000 ETF (IWM)	Purchase	02/15/2022	\$1,001 - \$15,000
137	S-IRA: Volkswagen Group stock (VLKPF)	Purchase	02/22/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
138	S-IRA: iShares iBonds Dec 2022 Term Corporate ETF (IBDN)	Sale	12/21/2022	\$15,001 - \$50,000
139	IRA #2: UnitedHealth Group Inc stock (UNH)	Sale	11/10/2022	\$1,001 - \$15,000
140	IRA: Taiwan Semiconductor Mfg Co Ltd stock (TSM)	Purchase	08/19/2022	\$1,001 - \$15,000
141	IRA: Microsoft Corp stock (MSFT)	Purchase	08/04/2022	\$1,001 - \$15,000
142	IRA: Capital One Financial Corp bond	Purchase	05/03/2022	\$15,001 - \$50,000
143	IRA: KLA Corp bond	Purchase	04/12/2022	\$15,001 - \$50,000
144	IRA: Citigroup Inc bond	Purchase	03/24/2022	\$15,001 - \$50,000
145	IRA: Dominion Energy Inc bond	Purchase	02/10/2022	\$15,001 - \$50,000
146	S-IRA: iShares Russell 2000 ETF (IWM)	Purchase	10/17/2022	\$1,001 - \$15,000
147	S-IRA: DuPont de Nemours bond	Purchase	09/07/2022	\$50,001 - \$100,000
148	S-IRA: Georgia Power bond	Purchase	06/03/2022	\$15,001 - \$50,000
149	S-IRA: Take-Two Interactive Software Inc bond	Purchase	04/08/2022	\$15,001 - \$50,000
150	S-IRA: TD Bank bond	Purchase	03/29/2022	\$15,001 - \$50,000
151	S-IRA: Biogen Inc bond	Purchase	05/18/2022	\$15,001 - \$50,000
152	S-IRA: Dominion Energy Inc bond	Purchase	02/10/2022	\$15,001 - \$50,000
153	S-IRA: NVIDIA Corp stock (NVDA)	Sale	09/23/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
154	IRA: iShares iBonds Dec 2022 Term Corporate ETF (IBDN)	Sale	12/21/2022	\$15,001 - \$50,000

## 8. Liabilities

None

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## 9. Gifts and Travel Reimbursements

None

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## Endnotes



# Summary of Contents

## 1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

## 2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

## 3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

#### 4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

#### 5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

#### 6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

## 7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during the reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

## 8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

## 9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$166 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

## Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

## Public Burden Information

This collection of information is estimated to take an average of ten hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE), Suite 500, 1201 New York Avenue, N.W., Washington, DC 20005-3917.

Pursuant to the Paperwork Reduction Act, as amended, an agency may not conduct or sponsor, and no person is required to respond to, a collection of information unless it displays a currently valid OMB control number (that number, 3209-0001, is displayed here and at the top of the first page of this OGE Form 278e).

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