

Executive Branch Personnel

Public Financial Disclosure Report:

Periodic Transaction Report (OGE Form 278-T)

Filer's Information

Kemper, Rufus Crosby

Director, Institute of Museum and Library Services

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Kemper, Rufus Crosby [electronically signed on 04/07/2020 by Kemper, Rufus Crosby in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Weiss, Nancy E, Certifying Official [electronically signed on 05/10/2020 by Weiss, Nancy E in Integrity.gov]

Other review conducted by

/s/ Ridges, Mae, Ethics Official [electronically signed on 05/04/2020 by Ridges, Mae in Integrity.gov]

U.S. Office of Government Ethics Certification

/s/ Granahan, Megan, Certifying Official [electronically signed on 06/05/2020 by Granahan, Megan in Integrity.gov]

Data Revised 05/08/2020

Transactions

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
1	UnitedHealth Group Inc	Purchase	12/11/2019	No	\$1,001 - \$15,000
2	Merck & Co Inc	Purchase	12/11/2019	No	\$1,001 - \$15,000
3	Medtronic Plc	Purchase	12/11/2019	No	\$1,001 - \$15,000
4	Pfizer Inc	Purchase	12/11/2019	No	\$1,001 - \$15,000
5	Abbott Laboratories	Purchase	12/11/2019	No	\$1,001 - \$15,000
6	Broadcom Inc	Purchase	01/09/2020	No	\$1,001 - \$15,000
7	Caterpillar Inc Del	Purchase	01/09/2020	No	\$1,001 - \$15,000
8	Comcast Corp New	Purchase	01/09/2020	No	\$1,001 - \$15,000
9	Chubb Ltd	Purchase	01/09/2020	No	\$1,001 - \$15,000
10	Eaton Corp Plc Ord	Purchase	02/11/2020	No	\$1,001 - \$15,000
11	Delta Air Lines Inc	Purchase	02/11/2020	No	\$1,001 - \$15,000
12	Microsoft Corp	Purchase	02/11/2020	No	\$1,001 - \$15,000
13	Comcast Corp New	Purchase	02/11/2020	No	\$1,001 - \$15,000
14	Union Pacific Corp	Purchase	02/11/2020	No	\$1,001 - \$15,000
15	United Parcel Service Inc	Purchase	02/11/2020	No	\$1,001 - \$15,000
16	Amazon Com Inc	Sale	12/11/2019	No	\$1,001 - \$15,000
17	Genuine Parts Co	Sale	12/11/2019	No	\$1,001 - \$15,000
18	Home Depot Inc	Sale	12/11/2019	No	\$1,001 - \$15,000
19	V F Corp	Sale	12/11/2019	No	\$1,001 - \$15,000

#	DESCRIPTION		TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
20	Morgan Stanley Co		Sale	12/11/2019	No	\$1,001 - \$15,000
21	Disney Walt Co		Sale	01/09/2020	No	\$1,001 - \$15,000
22	Facebook Inc - A Shs		Sale	01/09/2020	No	\$1,001 - \$15,000
23	Verizon Communications Inc		Sale	01/09/2020	No	\$1,001 - \$15,000
24	V F Corp		Sale	01/09/2020	No	\$1,001 - \$15,000
25	Ventas Inc		Sale	01/09/2020	No	\$1,001 - \$15,000
26	Simon Property Group Inc		Sale	01/09/2020	No	\$1,001 - \$15,000
27	Mastercard Inc - Class A		Sale	02/11/2020	No	\$1,001 - \$15,000
28	Southwest Airlines Co		Sale	02/11/2020	No	\$1,001 - \$15,000
29	Raytheon Co		Sale	02/11/2020	No	\$1,001 - \$15,000
30	Intel Corp		Sale	02/11/2020	No	\$1,001 - \$15,000
31	Alphabet Inc Class A		Sale	02/11/2020	No	\$1,001 - \$15,000
32	Accenture Plc - Cl A		Sale	02/11/2020	No	\$1,001 - \$15,000
33	Carillon Funds	See Endnote	Exchange	11/12/2019	No	\$1,001 - \$15,000
34	Carillon Scout Fund	See Endnote	Exchange	11/12/2019	No	\$1,001 - \$15,000
35	Champlain Mid Cap - I	See Endnote	Exchange	11/12/2019	No	\$1,001 - \$15,000
36	Dodge & Cox Fds	See Endnote	Exchange	11/12/2019	No	\$1,001 - \$15,000
37	Primecap Odyssey Fund	See Endnote	Exchange	11/12/2019	No	\$15,001 - \$50,000
38	TIAA-CREF Funds	See Endnote	Exchange	11/12/2019	No	\$15,001 - \$50,000
39	Walhausen Funds	See Endnote	Exchange	11/12/2019	No	\$1,001 - \$15,000
40	iShares Core S&P 500 ETF	See Endnote	Exchange	11/12/2019	No	\$15,001 - \$50,000

#	DESCRIPTION		TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
41	iShares Core S&P Mid-Cap ETF	See Endnote	Exchange	11/12/2019	No	\$1,001 - \$15,000
42	iShares Core S&P Small-Cap ETF	See Endnote	Exchange	11/12/2019	No	\$1,001 - \$15,000
43	iShares MSCI EAFE	See Endnote	Exchange	11/12/2019	No	\$1,001 - \$15,000
44	Dodge & Cox Income Fd	See Endnote	Exchange	11/12/2019	No	\$1,001 - \$15,000
45	Phillips 66		Purchase	11/05/2019	No	\$1,001 - \$15,000
46	Broadcom Inc		Purchase	01/08/2020	No	\$1,001 - \$15,000
47	Comcast Corp New		Purchase	01/08/2020	No	\$1,001 - \$15,000
48	Chubb Ltd		Purchase	01/08/2020	No	\$1,001 - \$15,000
49	Caterpillar Inc Del		Purchase	01/08/2020	No	\$1,001 - \$15,000
50	Phillips 66		Purchase	11/05/2019	No	\$1,001 - \$15,000
51	ExxonMobil Corp		Purchase	11/05/2019	No	\$1,001 - \$15,000
52	United Parcel Service Inc		Purchase	11/05/2019	No	\$1,001 - \$15,000
53	Union Pacific Corp		Purchase	11/05/2019	No	\$1,001 - \$15,000
54	Chevron Corp		Purchase	11/05/2019	No	\$1,001 - \$15,000
55	Delta Air Lines Inc		Purchase	11/05/2019	No	\$1,001 - \$15,000
56	Becton Dickinson & Co		Purchase	12/11/2019	No	\$1,001 - \$15,000
57	Broadcom Inc		Purchase	01/09/2020	No	\$1,001 - \$15,000
58	Caterpillar Inc Del		Purchase	01/09/2020	No	\$1,001 - \$15,000
59	Comcast Corp New		Purchase	01/09/2020	No	\$1,001 - \$15,000
60	Chubb Ltd		Purchase	01/09/2020	No	\$1,001 - \$15,000
61	Accenture Plc - CI A		Purchase	02/11/2020	No	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
62	Ventas Inc	Sale	01/08/2020	No	\$1,001 - \$15,000
63	V F Corp	Sale	01/08/2020	No	\$1,001 - \$15,000
64	Apple Inc	Sale	01/08/2020	No	\$1,001 - \$15,000
65	ConocoPhillips	Sale	11/05/2019	No	\$1,001 - \$15,000
66	Boeing Co	Sale	11/05/2019	No	\$1,001 - \$15,000
67	Southwest Airlines Co	Sale	11/05/2019	No	\$1,001 - \$15,000
68	Wells Fargo & Co	Sale	12/11/2019	No	\$1,001 - \$15,000
69	Merck & Co Inc	Sale	12/11/2019	No	\$1,001 - \$15,000
70	UnitedHealth Group Inc	Sale	12/11/2019	No	\$1,001 - \$15,000
71	Apple Inc	Sale	01/09/2020	No	\$1,001 - \$15,000
72	V F Corp	Sale	01/09/2020	No	\$1,001 - \$15,000
73	Microsoft Corp	Sale	02/11/2020	No	\$1,001 - \$15,000
74	Texas Instruments Inc	Sale	02/11/2020	No	\$1,001 - \$15,000
75	Mastercard Inc - Class A	Sale	02/11/2020	No	\$1,001 - \$15,000
76	Intuit	Sale	02/11/2020	No	\$1,001 - \$15,000
77	Apple Inc	Sale	02/11/2020	No	\$1,001 - \$15,000

Endnotes

PART	#	ENDNOTE
Transactions	33	Free Receipt - Transfer from account being closed
Transactions	34	Free Receipt - Transfer from account being closed

PART	#	ENDNOTE
Transactions	35	Free Receipt - Transfer from account being closed
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Transactions	44	Free Receipt - Transfer from account being closed

Summary of Contents

The 278-T discloses purchases, sales, or exchanges of securities in excess of \$1,000 made on behalf of the filer, the filer's spouse, or dependent child. Transactions are required to be disclosed within 30 days of receiving notification of a transaction but not later than 45 days after the transaction. Filers need not disclose (1) mutual funds and other excepted investment funds; (2) certificates of deposit, savings or checking accounts, and money market accounts; (3) U.S. Treasury bills, notes, and bonds; (4) Thrift Savings Plan accounts; (5) real property; and (6) transactions that are solely by and between the filer, the filer's spouse, and the filer's dependent children.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management

inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.
