Periodic Transaction Report | U.S. Office of Government Ethics; 5 C.F.R. part 2634 (Updated Nov. 2019)

Executive Branch Personnel

Public Financial Disclosure Report:

Periodic Transaction Report (OGE Form 278-T)

Filer's Information

Cisneros, Gilbert R

Under Secretary of Defense for Personnel and Readiness, DoD - Department of Defense -- DAEO Agency

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge. /s/ Cisneros, Gilbert R [electronically signed on 03/21/2022 by Cisneros, Gilbert R in Integrity.gov] - Filer received a 21 day filing extension.

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Raab, Dean, Certifying Official [electronically signed on 03/29/2022 by Raab, Dean in Integrity.gov]

Other review conducted by

/s/ Raab, Dean, Ethics Official [electronically signed on 03/29/2022 by Raab, Dean in Integrity.gov]

U.S. Office of Government Ethics Certification

/s/ Granahan, Megan, Certifying Official [electronically signed on 05/16/2022 by Granahan, Megan in Integrity.gov]

Data Revised 03/29/2022

Transactions

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
1	California State Public Works Board Lease Rev Bonds	Purchase	02/10/2022	No	\$250,001 - \$500,000
2	Credit Suisse Floating Rate High Income Fund Institutional Class Shares (CSHIX)	Purchase	02/01/2022	No	\$1,001 - \$15,000
3	DoubleLine Low Duration Bond Fund Class I Shares (DBLSX)	Purchase	02/01/2022	No	\$1,001 - \$15,000
4	DoubleLine Low Duration Bond Fund Class I Shares (DBLSX)	Purchase	02/02/2022	No	\$15,001 - \$50,000
5	Medtronic Plc (MDT)	Purchase	02/10/2022	No	\$1,001 - \$15,000
6	STERIS Plc (Ireland) (STE)	Sale	02/10/2022	No	\$1,001 - \$15,000
7	Adobe, Inc. (ADBE)	Purchase	02/10/2022	No	\$1,001 - \$15,000
8	AbbVie, Inc. (ABBV)	Purchase	02/10/2022	No	\$1,001 - \$15,000
9	Amgen Inc. (AMGN)	Purchase	02/10/2022	No	\$1,001 - \$15,000
10	Becton, Dickinson and Company (BDX)	Purchase	02/10/2022	No	\$1,001 - \$15,000
11	Berkshire Hathaway Inc. (BRKB)	Purchase	02/10/2022	No	\$1,001 - \$15,000
12	Bristol-Myers Squibb (BMY)	Purchase	02/10/2022	No	\$15,001 - \$50,000
13	CVS Health Corp. (CVS)	Purchase	02/10/2022	No	\$15,001 - \$50,000
14	Catalent Inc.	Purchase	02/10/2022	No	\$1,001 - \$15,000
15	Cerner Corp. (CERN)	Purchase	02/10/2022	No	\$1,001 - \$15,000
16	Dominion Energy, Inc. (D)	Purchase	02/10/2022	No	\$1,001 - \$15,000
17	HCA Healthcare, Inc. (HCA)	Purchase	02/10/2022	No	\$1,001 - \$15,000

#	DESCRIPTION	TYPE DATE		NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
18	The Hershey Co. (HSY)	Purchase	02/10/2022	No	\$1,001 - \$15,000
19	Incyte Corp. (INCY)	Purchase	02/10/2022	No	\$1,001 - \$15,000
20	Intercontinental Exchange, Inc. (ICE)	Purchase	02/10/2022	No	\$1,001 - \$15,000
21	Laboratory Corp. of America Holdings (LH)	Purchase	02/10/2022	No	\$1,001 - \$15,000
22	Eli Lilly & Co. (LLY)	Purchase	02/10/2022	No	\$1,001 - \$15,000
23	Lowe's Companies Inc.	Purchase	02/10/2022	No	\$1,001 - \$15,000
24	Mondelez International, Inc. (MDLZ)	Purchase	02/10/2022	No	\$1,001 - \$15,000
25	PepsiCo, Inc. (PEP)	Purchase	02/10/2022	No	\$1,001 - \$15,000
26	Philip Morris International, Inc. (PM)	Purchase	02/10/2022	No	\$1,001 - \$15,000
27	Procter & Gamble Co. (PG)	Purchase	02/10/2022	No	\$1,001 - \$15,000
28	Progressive Corp. (PGR)	Purchase	02/10/2022	No	\$1,001 - \$15,000
29	S&P Global, Inc. (SPGI)	Purchase	02/10/2022	No	\$1,001 - \$15,000
30	Southern Company (SO)	Purchase	02/10/2022	No	\$1,001 - \$15,000
31	Thermo Fisher Scientific, Inc. (TMO)	Purchase	02/10/2022	No	\$1,001 - \$15,000
32	Walmart, Inc. (WMT)	Purchase	02/10/2022	No	\$1,001 - \$15,000
33	NVIDIA Corp. (NVDA)	Purchase	02/11/2022	No	\$1,001 - \$15,000
34	Insulet Corp. (PODD)	Purchase	02/15/2022	No	\$15,001 - \$50,000
35	Allegiant Travel Co. (ALGT)	Purchase	02/16/2022	No	\$1,001 - \$15,000
36	Apple, Inc. (AAPL)	Purchase	02/16/2022	No	\$1,001 - \$15,000
37	Insulet Corp. (PODD)	Purchase	02/16/2022	No	\$15,001 - \$50,000
38	Chuy's Holdings, Inc. (CHUY)	Purchase	02/16/2022	No	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
39	ICU Medical, Inc. (ICUI)	Purchase	02/16/2022	No	\$1,001 - \$15,000
40	Independent Bank Corp. (Massachusetts) (INDB)	Purchase	02/16/2022	No	\$1,001 - \$15,000
41	Lithia Motors, Inc. (LAD)	Purchase	02/16/2022	No	\$1,001 - \$15,000
42	Oxford Industries, Inc. (OXM)	Purchase	02/16/2022	No	\$1,001 - \$15,000
43	Ryman Hospitality Properties, Inc. (RHP)	Purchase	02/16/2022	No	\$1,001 - \$15,000
44	Rio Tinto PLC	Purchase	02/17/2022	No	\$1,001 - \$15,000
45	ChemoCentryx, Inc. (CCXI)	Purchase	02/17/2022	No	\$1,001 - \$15,000
46	Shyft Group Inc. Pure		02/17/2022	No	\$1,001 - \$15,000
47	Terex Corp. (TEX)	Purchase	02/17/2022	No	\$1,001 - \$15,000
48	Enphase Energy, Inc. (ENPH)	Purchase	02/18/2022	No	\$15,001 - \$50,000
49	Estee Lauder Cos. (EL)	Purchase	02/18/2022	No	\$15,001 - \$50,000
50	Enphase Energy, Inc. (ENPH)	Purchase	02/22/2022	No	\$1,001 - \$15,000
51	Estee Lauder Cos. (EL)	Purchase	02/22/2022	No	\$1,001 - \$15,000
52	SPX Corp. (SPXC)	Purchase	02/22/2022	No	\$1,001 - \$15,000
53	Crowdstrike Holdings Inc.	Purchase	02/25/2022	No	\$1,001 - \$15,000
54	iShares Core MSCI EAFE ETF (IEFA)	Purchase	02/28/2022	No	\$100,001 - \$250,000
55	iShares Core MSCIEmerging Markets ETF (IEMG)	Purchase	02/28/2022	No	\$100,001 - \$250,000
56	Vanguard Value Index Fund ETF Shares (VTV)	Purchase	02/28/2022	No	\$100,001 - \$250,000
57	Boot Barn Holdings, Inc. (BOOT)	Sale	02/03/2022	No	\$1,001 - \$15,000
58	Linde Plc (LIN)	Sale	02/10/2022	No	\$1,001 - \$15,000

#	DESCRIPTION TY		DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
59	UnitedHealth Group, Inc. (UNH)	Sale	02/10/2022	No	\$50,001 - \$100,000
60	Anthem, Inc. (ANTM)	Sale	02/10/2022	No	\$1,001 - \$15,000
61	Etsy, Inc. (ETSY)	Sale	02/10/2022	No	\$1,001 - \$15,000
62	Meta Platforms Inc.	Sale	02/10/2022	No	\$1,001 - \$15,000
63	Johnson & Johnson (JNJ)	Sale	02/10/2022	No	\$50,001 - \$100,000
64	Merck & Co., Inc. (MRK)	Sale	02/10/2022	No	\$15,001 - \$50,000
65	Pfizer Inc. (PFE)	Sale	02/10/2022	No	\$15,001 - \$50,000
66	Realty Income Corp. (O)	Sale	02/10/2022	No	\$1,001 - \$15,000
67	salesforce.com, inc. (CRM)	Sale	02/10/2022	No	\$1,001 - \$15,000
68	Visa, Inc. (V)	Sale	02/10/2022	No	\$1,001 - \$15,000
69	NVIDIA Corp. (NVDA)	Sale	02/11/2022	No	\$1,001 - \$15,000
70	ABIOMED, Inc. (ABMD)	Sale	02/15/2022	No	\$15,001 - \$50,000
71	NXP Semiconductors	Sale	02/16/2022	No	\$1,001 - \$15,000
72	ABIOMED, Inc. (ABMD)	Sale	02/16/2022	No	\$15,001 - \$50,000
73	Sea Ltd.	Sale	02/16/2022	No	\$1,001 - \$15,000
74	Skyline Champion Corp. (SKY)	Sale	02/16/2022	No	\$1,001 - \$15,000
75	UFP Industries, Inc. (UFPI)	Sale	02/16/2022	No	\$1,001 - \$15,000
76	Meta Platforms Inc.	Sale	02/18/2022	No	\$50,001 - \$100,000
77	iShares MSCIEmerging Markets ex China ETF (EMXC)	Sale	02/28/2022	No	\$100,001 - \$250,000

#	DESCRIPTION		TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
78	IHS Markit Ltd. (INFO)	See Endnote	Exchange	02/28/2022	No	\$1,001 - \$15,000

Endnotes

PART	#	ENDNOTE
Transactions	78	Exchange of IHS Markit Ltd. for S&P Global Inc. following merger.

Summary of Contents

The 278-T discloses purchases, sales, or exchanges of securities in excess of \$1,000 made on behalf of the filer, the filer's spouse, or dependent child. Transactions are required to be disclosed within 30 days of receiving notification of a transaction but not later than 45 days after the transaction. Filers need not disclose (1) mutual funds and other excepted investment funds; (2) certificates of deposit, savings or checking accounts, and money market accounts; (3) U.S. Treasury bills, notes, and bonds; (4) Thrift Savings Plan accounts; (5) real property; and (6) transactions that are solely by and between the filer, the filer's spouse, and the filer's dependent children.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seg., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person. department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a

position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.