

Executive Branch Personnel Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

Sloan, Victor S

Associate Director, OHS, Peace Corps

Report Year: 2023

Other Federal Government Positions Held During the Preceding 12 Months:

None

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Sloan, Victor S [electronically signed on 05/18/2023 by Sloan, Victor S in Integrity.gov] - Filer received a 18 day filing extension.

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Gossart, Elizabeth, Certifying Official [electronically signed on 12/01/2023 by Gossart, Elizabeth in Integrity.gov]

Other review conducted by

U.S. Office of Government Ethics Certification

Data Revised 07/05/2023

Data Revised 06/30/2023

1. Filer's Positions Held Outside United States Government

#	ORGANIZATION NAME	CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
1	Sheng Consulting LLC	Flemington, New Jersey	Corporation	Founder and CEO	11/2019	Present

2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Sheng Consulting LLC (Clinical research consulting)	N/A	None (or less than \$1,001)	Salary	\$450
2	Oncopath Genomics, LLC (oncology diagnostics) (stock)	N/A	\$15,001 - \$50,000		None (or less than \$201)
3	Sheng Consulting LLC 401k	No	\$50,001 - \$100,000		None (or less than \$201)
3.1	Schwab Bank	No	None (or less than \$1,001)		None (or less than \$201)
3.2	Vanguard FTSE Developed markets ETF (VEA)	Yes	\$15,001 - \$50,000		None (or less than \$201)
3.3	Vanguard Total Stock Market Index Fund ETF Class Shares (VTI)	Yes	\$15,001 - \$50,000		None (or less than \$201)
3.4	Vanguard Emerging Markets Stock Index Fund ETF Shares (VWO)	Yes	\$1,001 - \$15,000		None (or less than \$201)
4	Victor Sloan Contributory IRA - Ellevest	Yes	\$1,000,001 - \$5,000,000		\$15,001 - \$50,000
4.1	Vanguard Total Stock Market Index Fund ETF Class Shares (VTI)	Yes	\$250,001 - \$500,000		\$2,501 - \$5,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
4.2	Vanguard Total Bond Market Index Fund ETF Shares (BND)	Yes	\$100,001 - \$250,000		\$2,501 - \$5,000
4.3	Vanguard Developed Markets Index Fund ETF Shares (VEA)	Yes	\$100,001 - \$250,000		\$5,001 - \$15,000
4.4	Vanguard Emerging Markets Stock Index Fund ETF Shares (VWO)	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
4.5	Vanguard Value Index Fund ETF Shares (VTV)	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
4.6	Vanguard Short-Term Bond Index Fund ETF Shares (BSV)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
4.7	Vanguard Mid-Cap Index Fund ETF Shares (VO)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
4.8	iShares Broad USD High Yield Corporate Bond ETF (USHY)	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
4.9	Vanguard Small-Cap Index Fund ETF Shares (VB)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
4.10	iShares JPMorgan US Emerging Markets Bond ETF (EMB)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
4.11	Vanguard Small-Cap Value Index Fund ETF Class Shares (VBR)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
4.12	Schwab US TIPS ETF (SCHP)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
4.13	Vanguard Mid-Cap Value Index Fund ETF Class Shares (VOE)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
4.14	Charles Schwab Bank	No	\$1,001 - \$15,000		None (or less than \$201)
4.15	VanEck Vectors JP Morgan EM Local Currency Bond ETF (EMLC)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
4.16	Greenbacker Renewable Energy Company	No	\$50,001 - \$100,000	Dividends	\$2,501 - \$5,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
4.17	Decathlon Alpha Social Equality Fund	Yes	\$15,001 - \$50,000		
5	Victor Sloan traditional IRA UBS	No	\$250,001 - \$500,000		\$5,001 - \$15,000
5.1	UBS Bank	No	\$1,001 - \$15,000		None (or less than \$201)
5.2	iShares Core S&P Small-Cap ETF (IJR)	Yes	\$1,001 - \$15,000		None (or less than \$201)
5.3	iShares MSCI EAFE ETF (EFA)	Yes	\$1,001 - \$15,000		None (or less than \$201)
5.4	iShares Core MSCI EAFE ETF (IEFA)	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
5.5	iShares Core MSCI Emerging Markets ETF (IEMG)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
5.6	Vanguard Value Index Fund ETF Shares (VTV)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
5.7	Vanguard Mid-Cap Index Fund ETF Shares (VO)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
5.8	Vanguard Growth Index Fund ETF Class Shares (VUG)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
5.9	Vanguard Russell 1000 Growth Index Fund ETF Shares (VONG)	Yes	\$1,001 - \$15,000		None (or less than \$201)
5.10	Vanguard Russell 1000 Value Index Fund ETF Shares (VONV)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
5.11	Vanguard Russell 2000 Index Fund ETF Shares (VTWO)	Yes	\$1,001 - \$15,000		None (or less than \$201)
5.12	iShares 20+ Year Treasury Bond ETF (TLT)	Yes	\$15,001 - \$50,000		\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
5.13	iShares Broad USD Investment Grade Corporate Bond ETF (USIG)	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
5.14	iSharesJPMorgan USDEmerging Markets Bond ETF (EMB)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
5.15	iShares Broad USD High Yield Corporate Bond ETF (USHY)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
5.16	VanEck Vectors JP Morgan EM Local Currency Bond ETF (EMLC)	Yes	\$1,001 - \$15,000		None (or less than \$201)
5.17	Vanguard Intermediate-Term Treasury Index Fund ETF Class Shares (VGIT)	Yes	\$1,001 - \$15,000		None (or less than \$201)
5.18	Invesco Opt Yld Dvs Cmd Str No K-1 ETF (PDBC)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
5.19	SPDR S&P Oil & Gas Exploration & Production ETF (XOP)	Yes	\$1,001 - \$15,000		None (or less than \$201)
5.20	iShares MBS ETF (MBB)	Yes	\$1,001 - \$15,000		None (or less than \$201)
6	Victor Sloan IRA UBS #2	No	\$100,001 - \$250,000		\$1,001 - \$2,500
6.1	UBS Bank	No	\$1,001 - \$15,000		None (or less than \$201)
6.2	Abbott Laboratories (ABT)	No	\$1,001 - \$15,000		None (or less than \$201)
6.3	Alphabet, Inc. (GOOGL)	No	\$1,001 - \$15,000		None (or less than \$201)
6.4	Amazon.com, Inc. (AMZN)	No	\$1,001 - \$15,000		None (or less than \$201)
6.5	American Tower Corporation (REIT) (AMT)	No	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
6.6	Ameriprise Financial, Inc. (AMP)	No	\$1,001 - \$15,000		None (or less than \$201)
6.7	Apple, Inc. (AAPL)	No	\$1,001 - \$15,000		None (or less than \$201)
6.8	Applied Materials, Inc. (AMAT)	No	\$1,001 - \$15,000		None (or less than \$201)
6.9	Boston Scientific Corp. (BSX)	No	\$1,001 - \$15,000		None (or less than \$201)
6.10	Costco Wholesale Corp. (COST)	No	\$1,001 - \$15,000		None (or less than \$201)
6.11	Danaher Corp. (DHR)	No	\$1,001 - \$15,000		None (or less than \$201)
6.12	Dollar General Corp. (DG)	No	\$1,001 - \$15,000		None (or less than \$201)
6.13	The Home Depot, Inc. (HD)	No	\$1,001 - \$15,000		None (or less than \$201)
6.14	Honeywell International, Inc. (HON)	No	\$1,001 - \$15,000		None (or less than \$201)
6.15	Estee Lauder Cos. (EL)	No	\$1,001 - \$15,000		None (or less than \$201)
6.16	Microsoft Corp. (MSFT)	No	\$15,001 - \$50,000		\$201 - \$1,000
6.17	NIKE, Inc. (NKE)	No	\$1,001 - \$15,000		None (or less than \$201)
6.18	O'Reilly Automotive, Inc. (ORLY)	No	\$1,001 - \$15,000		None (or less than \$201)
6.19	Parker-Hannifin Corp. (PH)	No	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
6.20	Rockwell Automation, Inc. (ROK)	No	\$1,001 - \$15,000		None (or less than \$201)
6.21	S&P Global, Inc. (SPGI)	No	\$1,001 - \$15,000		None (or less than \$201)
6.22	salesforce.com, inc. (CRM)	No	\$1,001 - \$15,000		None (or less than \$201)
6.23	Texas Instruments Incorporated (TXN)	No	\$1,001 - \$15,000		None (or less than \$201)
6.24	The TJX Cos., Inc. (TJX)	No	\$1,001 - \$15,000		None (or less than \$201)
6.25	Union Pacific Corp. (UNP)	No	\$1,001 - \$15,000		None (or less than \$201)
6.26	UnitedHealth Group, Inc. (UNH)	No	\$1,001 - \$15,000		None (or less than \$201)
6.27	Visa, Inc. (V)	No	\$1,001 - \$15,000		None (or less than \$201)
6.28	The Coca-Cola Co. (KO)	No	\$1,001 - \$15,000		
6.29	Intuitive Surgical, Inc. (ISRG)	No	\$1,001 - \$15,000		
6.30	Eli Lilly & Co. (LLY)	No	\$1,001 - \$15,000		
6.31	Procter & Gamble Co. (PG)	No	\$1,001 - \$15,000		
6.32	Schlumberger NV (SLB)	No	\$1,001 - \$15,000		
6.33	The Sherwin-Williams Co. (SHW)	No	\$1,001 - \$15,000		
6.34	Thermo Fisher Scientific, Inc. (TMO)	No	\$1,001 - \$15,000		

3. Filer's Employment Agreements and Arrangements

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
1	Sheng Consulting LLC	Flemington, New Jersey	I will continue to participate in this defined contribution plan, and the plan sponsor will continue to make contributions.	1/2020

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

(N/A) - Not required for this type of report

5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Merck Stock	N/A	\$250,001 - \$500,000	Dividends	\$5,001 - \$15,000
2	IRA #3 Merck	No	\$100,001 - \$250,000		\$1,001 - \$2,500
3	Manpower 401K	Yes	\$1,001 - \$15,000		\$201 - \$1,000
3.1	JPM SRPB DRE 2020 CF	Yes	\$1,001 - \$15,000		
4	Vanguard rollover IRA	Yes	\$1,000,001 - \$5,000,000		\$100,001 - \$1,000,000
4.1	Vanguard Target Retirement 2020 Fund Investor Class Shares (VTWNX)	Yes	\$500,001 - \$1,000,000		\$15,001 - \$50,000
4.2	Wellington Fund Admiral VWENX	Yes	\$100,001 - \$250,000		\$5,001 - \$15,000
5	IRA #1 UBS	No	\$250,001 - \$500,000	Dividends Interest	\$15,001 - \$50,000
5.1	UBS Bank	No	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
5.2	iShares Core S&P Small-Cap ETF (IJR)	Yes	\$1,001 - \$15,000		None (or less than \$201)
5.3	iShares MSCI EAFE ETF (EFA)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
5.4	iShares Core MSCI EAFE ETF (IEFA)	Yes	\$1,001 - \$15,000		\$1,001 - \$2,500
5.5	iShares Core MSCI Emerging Markets ETF (IEMG)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
5.6	Vanguard Value Index Fund ETF Shares (VTV)	Yes	\$15,001 - \$50,000		\$15,001 - \$50,000
5.7	Vanguard Mid-Cap Index Fund ETF Shares (VO)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
5.8	Vanguard Growth Index Fund ETF Class Shares (VUG)	Yes	\$15,001 - \$50,000		None (or less than \$201)
5.9	Vanguard Russell 1000 Growth Index Fund ETF Shares (VONG)		\$1,001 - \$15,000		\$201 - \$1,000
5.10	iShares Broad USD High Yield Corporate Bond ETF (USHY)	Yes	\$1,001 - \$15,000		None (or less than \$201)
5.11	VanEck Vectors JP Morgan EM Local Currency Bond ETF (EMLC)	Yes	\$1,001 - \$15,000		None (or less than \$201)
5.12	Invesco Opt Yld Dvs Cmd Str No K-1 ETF (PDBC)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
5.13	SPDR S&P Oil & Gas Exploration & Production ETF (XOP)	Yes	\$1,001 - \$15,000		None (or less than \$201)
5.14	iShares S&P Small-Cap 600 Growth ETF (IJT)	Yes	\$1,001 - \$15,000		None (or less than \$201)
5.15	iShares MSCI EAFE Growth ETF (EFG)	Yes	\$1,001 - \$15,000		None (or less than \$201)
5.16	iShares MSCI World ETF (URTH)	Yes	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
5.17	Schwab International Equity ETF (SCHF)	Yes	\$1,001 - \$15,000		None (or less than \$201)
5.18	Schwab US Small-Cap ETF (SCHA)	Yes	\$1,001 - \$15,000		None (or less than \$201)
5.19	Schwab US Large-Cap Value ETF (SCHV)	Yes	None (or less than \$1,001)		None (or less than \$201)
5.20	SPDR Portfolio S&P 500 Growth ETF (SPYG)	Yes	None (or less than \$1,001)		None (or less than \$201)
5.21	SPDR Portfolio S&P 500 Value ETF (SPYV)	Yes	\$1,001 - \$15,000		None (or less than \$201)
5.22	Vanguard Small-Cap Growth Index Fund ETF Class Shares (VBK)	Yes	\$1,001 - \$15,000		None (or less than \$201)
5.23	Vanguard Mid-Cap Growth Index Fund ETF Shares (VOT)	Yes	None (or less than \$1,001)		None (or less than \$201)
5.24	Vanguard FTSE All-World ex US Index Fund ETF Shares (VEU)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
5.25	Vanguard FTSE All-World ex-US Small-Cap Index Fund ETF Shares (VSS)	Yes	None (or less than \$1,001)		None (or less than \$201)
5.26	Vanguard Developed Markets Index Fund ETF Shares (VEA)	Yes	\$1,001 - \$15,000		None (or less than \$201)
5.27	Vanguard Total International Stock Index Fund ETF Shares (VXUS)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
5.28	Invesco National AMT-Free Municipal Bond ETF (PZA)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
5.29	Invesco Emerging Markets Sovereign Debt ETF (PCY)	Yes	\$1,001 - \$15,000		None (or less than \$201)
5.30	iShares Core US Aggregate Bond ETF (AGG)	Yes	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
5.31	iShares National Muni Bond ETF (MUB)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
5.32	iShares Short-Term National Muni Bond ETF (SUB)	Yes	\$1,001 - \$15,000		None (or less than \$201)
5.33	SPDRNuveen Bloomberg Barclays Municipal Bond ETF (TFI)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
5.34	SPDRNuveen Bloomberg Barclays Short Term Municipal Bond ETF (SHM)	Yes	\$1,001 - \$15,000		None (or less than \$201)
5.35	Vanguard Long-Term Bond Index Fund ETF Shares (BLV)	Yes	\$1,001 - \$15,000		None (or less than \$201)
5.36	Xtrackers USD High Yield Corporate Bond ETF (HYLB)	Yes	None (or less than \$1,001)		None (or less than \$201)
6	IRA #2 UBS	No	\$100,001 - \$250,000		\$15,001 - \$50,000
6.1	UBS Bank	No	\$1,001 - \$15,000		None (or less than \$201)
6.2	Abbott Laboratories (ABT)	No	\$1,001 - \$15,000		None (or less than \$201)
6.3	Alphabet, Inc. (GOOGL)	No	\$1,001 - \$15,000		None (or less than \$201)
6.4	Amazon.com, Inc. (AMZN)	No	\$1,001 - \$15,000		None (or less than \$201)
6.5	American Tower Corporation (REIT) (AMT)	No	\$1,001 - \$15,000		None (or less than \$201)
6.6	Ameriprise Financial, Inc. (AMP)	No	\$1,001 - \$15,000		None (or less than \$201)
6.7	Apple, Inc. (AAPL)	No	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
6.8	Applied Materials, Inc. (AMAT)	No	\$1,001 - \$15,000		None (or less than \$201)
6.9	Boston Scientific Corp. (BSX)	No	\$1,001 - \$15,000		None (or less than \$201)
6.10	Costco Wholesale Corp. (COST)	No	\$1,001 - \$15,000		None (or less than \$201)
6.11	Danaher Corp. (DHR)	No	\$1,001 - \$15,000		None (or less than \$201)
6.12	Dollar General Corp. (DG)	No	\$1,001 - \$15,000		None (or less than \$201)
6.13	The Home Depot, Inc. (HD)	No	\$1,001 - \$15,000		None (or less than \$201)
6.14	Honeywell International, Inc. (HON)	No	\$1,001 - \$15,000		None (or less than \$201)
6.15	Estee Lauder Cos. (EL)	No	\$1,001 - \$15,000		None (or less than \$201)
6.16	Microsoft Corp. (MSFT)	No	\$15,001 - \$50,000		\$201 - \$1,000
6.17	NIKE, Inc. (NKE)	No	\$1,001 - \$15,000		None (or less than \$201)
6.18	O'Reilly Automotive, Inc. (ORLY)	No	\$1,001 - \$15,000		None (or less than \$201)
6.19	Parker-Hannifin Corp. (PH)	No	\$1,001 - \$15,000		None (or less than \$201)
6.20	Rockwell Automation, Inc. (ROK)	No	\$1,001 - \$15,000		None (or less than \$201)
6.21	S&P Global, Inc. (SPGI)	No	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
6.22	salesforce.com, inc. (CRM)	No	\$1,001 - \$15,000		None (or less than \$201)
6.23	The Sherwin-Williams Co. (SHW)	No	\$1,001 - \$15,000		None (or less than \$201)
6.24	Texas Instruments Incorporated (TXN)	No	\$1,001 - \$15,000		None (or less than \$201)
6.25	Thermo Fisher Scientific, Inc. (TMO)	No	\$1,001 - \$15,000		None (or less than \$201)
6.26	The TJX Cos., Inc. (TJX)	No	\$1,001 - \$15,000		None (or less than \$201)
6.27	Union Pacific Corp. (UNP)	No	\$1,001 - \$15,000		None (or less than \$201)
6.28	UnitedHealth Group, Inc. (UNH)	No	\$1,001 - \$15,000		None (or less than \$201)
6.29	Visa, Inc. (V)	No	\$1,001 - \$15,000		None (or less than \$201)
6.30	The Coca-Cola Co. (KO)	No	\$1,001 - \$15,000		None (or less than \$201)
6.31	Intuitive Surgical, Inc. (ISRG)	No	\$1,001 - \$15,000		None (or less than \$201)
6.32	Eli Lilly & Co. (LLY)	No	\$1,001 - \$15,000		None (or less than \$201)
6.33	Palo Alto Networks, Inc. (PANW)	No	\$1,001 - \$15,000		None (or less than \$201)
6.34	Procter & Gamble Co. (PG)	No	\$1,001 - \$15,000		None (or less than \$201)
6.35	Schlumberger NV (SLB)	No	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
7	UBS Managed Account #2		No	\$50,001 - \$100,000		\$201 - \$1,000
7.1	UBS Bank		No	None (or less than \$1,001)		None (or less than \$201)
7.2	Investors Bancorp, Inc. (New Jersey) (ISBC)	See Endnote	No	None (or less than \$1,001)		None (or less than \$201)
7.3	Lincoln National Life Ins Co Moneyguard III		No	\$50,001 - \$100,000		None (or less than \$201)
8	Hunterdon County Government		No		poll worker	\$2,041
9	Citizens Financial Group, Inc. (Rhode Island) (CFG)		No	\$1,001 - \$15,000		None (or less than \$201)
10	IRA #3 UBS		No	\$500,001 - \$1,000,000		\$15,001 - \$50,000
10.1	iShares Core S&P Small-Cap ETF (IJR)		Yes	\$15,001 - \$50,000		\$201 - \$1,000
10.2	iShares MSCI EAFE ETF (EFA)		Yes	\$1,001 - \$15,000		\$201 - \$1,000
10.3	iShares Core MSCI EAFE ETF (IEFA)		Yes	\$100,001 - \$250,000		\$5,001 - \$15,000
10.4	iShares Core MSCI Emerging Markets ETF (IEMG)		Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
10.5	SPDR S&P Oil & Gas Exploration & Production ETF (XOP)		Yes	\$1,001 - \$15,000		None (or less than \$201)
10.6	Vanguard Value Index Fund ETF Shares (VTV)		Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
10.7	Vanguard Mid-Cap Index Fund ETF Shares (VO)		Yes	\$50,001 - \$100,000		\$201 - \$1,000
10.8	Vanguard Growth Index Fund ETF Class Shares (VUG)		Yes	\$50,001 - \$100,000		\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
10.9	Vanguard Russell 1000 Growth Index Fund ETF Shares (VONG)	Yes	\$1,001 - \$15,000		None (or less than \$201)
10.10	Vanguard Russell 1000 Value Index Fund ETF Shares (VONV)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
10.11	Vanguard Russell 2000 Index Fund ETF Shares (VTWO)	Yes	\$15,001 - \$50,000		\$15,001 - \$50,000
10.12	iShares 20+ Year Treasury Bond ETF (TLT)	Yes	None (or less than \$1,001)		\$201 - \$1,000
10.13	iShares Broad USD Investment Grade Corporate Bond ETF (USIG)	Yes	\$100,001 - \$250,000		\$201 - \$1,000
10.14	iShares MBS ETF (MBB)	Yes	\$1,001 - \$15,000		None (or less than \$201)
10.15	iSharesJPMorgan USDEmerging Markets Bond ETF (EMB)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
10.16	iShares Broad USD High Yield Corporate Bond ETF (USHY)	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
10.17	VanEck Vectors JP Morgan EM Local Currency Bond ETF (EMLC)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
10.18	Vanguard Intermediate-Term Treasury Index Fund ETF Class Shares (VGIT)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
10.19	Invesco Opt Yld Dvs Cmd Str No K-1 ETF (PDBC)	Yes	\$1,001 - \$15,000		\$201 - \$1,000

6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	529 #1 American Funds (Virginia) older daughter	Yes	\$15,001 - \$50,000		\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.1	College America 529 Capital World Growth & Income WGI A	Yes	\$15,001 - \$50,000		None (or less than \$201)
1.2	College America 529 The growth fund of america GFA A	Yes	\$50,001 - \$100,000		None (or less than \$201)
1.3	College America 529 The investment company of America IC A	Yes	\$50,001 - \$100,000		None (or less than \$201)
1.4	College America 529 New perspective fund NPF A	Yes	\$15,001 - \$50,000		None (or less than \$201)
1.5	College America 529 New world fund NWF A	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.6	College America 529 small cap world SCWF A	Yes	\$15,001 - \$50,000		None (or less than \$201)
1.7	Capital World Growth & Income Fund Class 529-A Shares (CWIAX)	No	None (or less than \$1,001)	cash distribution	\$4,777
1.8	Growth Fund of America Class 529-A Shares (CGFAX)	No	None (or less than \$1,001)	cash distribution	\$9,778
1.9	Investment Company of America Class 529-A Shares (CICAX)	No	None (or less than \$1,001)	cash distribution	\$8,683
1.10	New Perspective Fund Class 529-A Shares (CNPAX)	No	None (or less than \$1,001)	cash distribution	\$6,063
1.11	New World Fund, Inc Class 529-A Shares (CNWAX)	No	None (or less than \$1,001)	cash distribution	\$2,169
1.12	American SMALLCAP World Fund, Inc Class 529-A Shares (CSPAX)	No	None (or less than \$1,001)	cash distribution	\$3,425
2	529 #3 American Funds (Virginia) younger daughter	Yes	\$100,001 - \$250,000		\$15,001 - \$50,000
2.1	College America 529 Capital World Growth and Income WGI A	Yes	\$15,001 - \$50,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT	
2.2	College America 529 The growth fund of America GFA A	Yes	\$15,001 - \$50,000		None (or less than \$201)	
2.3	College America 529 The investment company of America ICA A	Yes	\$15,001 - \$50,000		None (or less than \$201)	
2.4	College America 529 New perspective fund NPF A	Yes	\$15,001 - \$50,000		None (or less than \$201)	
2.5	College America New world fund NWF A	Yes	\$1,001 - \$15,000		None (or less than \$201)	
2.6	College America 529 Small Cap world SCWF A	Yes	\$15,001 - \$50,000		None (or less than \$201)	
2.7	Capital World Growth & Income Fund Class 529-A Shares (CWIAX)	No	None (or less than \$1,001)	cash distribution	\$9,998	
2.8	Growth Fund of America Class 529-A Shares (CGFAX)	No	None (or less than \$1,001)	cash distribution	\$4,341	
2.9	Investment Company of America Class 529-A Shares (CICAX)	No	None (or less than \$1,001)	cash distribution	\$4,300	
2.10	New Perspective Fund Class 529-A Shares (CNPAX)	No	None (or less than \$1,001)	cash distribution	\$10,000	
2.11	American SMALLCAP World Fund, Inc Class 529-A Shares (CSPAX)	No	None (or less than \$1,001)	cash distribution	\$4,899	
3	UCB stock	See Endnote	No	\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000
4	AVG Womens Fund Q2 2019	No	\$1,001 - \$15,000	distribution	\$72	
5	LASF Rigetti LLC (Alumni Ventures Group Syndication)	No	\$1,001 - \$15,000		None (or less than \$201)	
6	AVG 2020 Equity Shareholder Raise 2	No	\$15,001 - \$50,000	distribution	\$379	
7	PNC money market	No	\$100,001 - \$250,000	Interest	\$1,001 - \$2,500	

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
8	PNC joint investment account	Yes	\$100,001 - \$250,000		\$1,001 - \$2,500
8.1	Goldman Sachs Bk USA CD	No	\$15,001 - \$50,000		None (or less than \$201)
8.2	Goldman Sachs BK USA CD Zero Cpn	No	\$50,001 - \$100,000		None (or less than \$201)
8.3	GS Fin Corp Mtn Zero Cpn	No	\$15,001 - \$50,000		None (or less than \$201)
9	joint checking account PNC	No	\$1,001 - \$15,000		None (or less than \$201)
10	older daughter savings acct #1 credit union	No	\$50,001 - \$100,000	Interest	\$201 - \$1,000
11	Younger daughter savings acct #1 credit union	No	\$15,001 - \$50,000	Interest	\$201 - \$1,000
12	Atara Biotherapeutics, Inc. (ATRA)	No	None (or less than \$1,001)		None (or less than \$201)
13	BRK.B	No	\$15,001 - \$50,000		\$201 - \$1,000
14	BAC	No	\$1,001 - \$15,000		None (or less than \$201)
15	Carnival Corp. (CCL)	No	None (or less than \$1,001)		None (or less than \$201)
16	CYDY	No	None (or less than \$1,001)		None (or less than \$201)
17	Ford Motor Co. (F)	No	\$1,001 - \$15,000		None (or less than \$201)
18	General Electric Co. (GE)	No	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
19	MGTA	No	None (or less than \$1,001)		None (or less than \$201)
20	Credit union account	No	\$100,001 - \$250,000	Interest	\$1,001 - \$2,500
21	T Rowe Price New America Growth Fund, Inc (PRWAX)	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
22	Abeona Therapeutics, Inc. (ABEO)	No	None (or less than \$1,001)		None (or less than \$201)
23	BATRA	No	None (or less than \$1,001)		None (or less than \$201)
24	CFRX (Contrafect)	No	None (or less than \$1,001)		None (or less than \$201)
25	Victor Sloan & Sandra Gong Jt Ten Ellevest	No	\$100,001 - \$250,000		\$201 - \$1,000
25.1	Alliant Strategic Opportunity Zone Fund (Schwab)	No	\$100,001 - \$250,000		None (or less than \$201)
25.2	Charles Schwab Bank	No	None (or less than \$1,001)		None (or less than \$201)
25.3	iShares ESG MSCI EAFE ETF (ESGD)	Yes	\$1,001 - \$15,000		None (or less than \$201)
25.4	iShares ESG MSCI EM ETF (ESGE)	Yes	\$1,001 - \$15,000		None (or less than \$201)
25.5	iShares MSCI USA ESG Select ETF (SUSA)	Yes	\$1,001 - \$15,000		None (or less than \$201)
25.6	iShares National Muni Bond ETF (MUB)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
25.7	iShares Short-Term National Muni Bond ETF (SUB)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
25.8	Vanguard Mid-Cap Index Fund ETF Shares (VO)	Yes	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
25.9	Vanguard Mid-Cap Value Index Fund ETF Class Shares (VOE)	Yes	None (or less than \$1,001)		None (or less than \$201)
25.10	Vanguard Small-Cap Index Fund ETF Shares (VB)	Yes	\$1,001 - \$15,000		None (or less than \$201)
25.11	Vanguard Small-Cap Value Index Fund ETF Class Shares (VBR)	Yes	None (or less than \$1,001)		None (or less than \$201)
25.12	Vanguard Total Stock Market Index Fund ETF Class Shares (VTI)	Yes	\$1,001 - \$15,000		None (or less than \$201)
25.13	Vanguard Value Index Fund ETF Shares (VTV)	Yes	\$1,001 - \$15,000		None (or less than \$201)
25.14	Access Capital Community Investment Fund Class I Shares (ACCSX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
25.15	Pax Ellevest Global Women's Leadership Fund Institutional Class Shares (PXWIX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
26	AVG 2020 Equity Shareholder Raise 2	No	\$15,001 - \$50,000	distribution	\$379
27	AVG what3words 2019	No	\$1,001 - \$15,000		None (or less than \$201)
28	AVG Zeus Living 2019	No	None (or less than \$1,001)		None (or less than \$201)
29	AVG Yassir 2021	No	\$15,001 - \$50,000		None (or less than \$201)
30	UBS Bank	No	\$15,001 - \$50,000		None (or less than \$201)
31	Victor Sloan managed account #1	No	\$500,001 - \$1,000,000		\$15,001 - \$50,000
31.1	UBS Bank	No	\$15,001 - \$50,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
31.2	iShares Core S&P Small-Cap ETF (IJR)	Yes	\$1,001 - \$15,000		None (or less than \$201)
31.3	iShares MSCI EAFE ETF (EFA)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
31.4	iShares Core MSCI EAFE ETF (IEFA)	Yes	\$1,001 - \$15,000		\$1,001 - \$2,500
31.5	iShares Core MSCI Emerging Markets ETF (IEMG)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
31.6	Vanguard Value Index Fund ETF Shares (VTV)	Yes	\$50,001 - \$100,000		None (or less than \$201)
31.7	Vanguard Mid-Cap Index Fund ETF Shares (VO)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
31.8	Vanguard Growth Index Fund ETF Class Shares (VUG)	Yes	\$50,001 - \$100,000		\$201 - \$1,000
31.9	Vanguard Russell 1000 Growth Index Fund ETF Shares (VONG)	Yes	\$1,001 - \$15,000		None (or less than \$201)
31.10	Invesco National AMT-Free Municipal Bond ETF (PZA)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
31.11	iShares National Muni Bond ETF (MUB)	Yes	\$50,001 - \$100,000		\$201 - \$1,000
31.12	iShares JPMorgan US Emerging Markets Bond ETF (EMB)	Yes	\$1,001 - \$15,000		None (or less than \$201)
31.13	iShares Short-Term National Muni Bond ETF (SUB)	Yes	\$1,001 - \$15,000		None (or less than \$201)
31.14	VanEck Vectors JP Morgan EM Local Currency Bond ETF (EMLC)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
31.15	iShares S&P Small-Cap 600 Growth ETF (IJT)	Yes	\$1,001 - \$15,000		None (or less than \$201)
31.16	iShares MSCI EAFE Growth ETF (EFG)	Yes	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
31.17	iShares MSCI World ETF (URTH)	Yes	\$1,001 - \$15,000		None (or less than \$201)
31.18	Schwab International Equity ETF (SCHF)	Yes	\$1,001 - \$15,000		None (or less than \$201)
31.19	Schwab US Small-Cap ETF (SCHA)	Yes	\$1,001 - \$15,000		None (or less than \$201)
31.20	Schwab US Large-Cap Value ETF (SCHV)	Yes	\$1,001 - \$15,000		None (or less than \$201)
31.21	SPDR Portfolio S&P 500 Growth ETF (SPYG)	Yes	\$1,001 - \$15,000		None (or less than \$201)
31.22	SPDR Portfolio S&P 500 Value ETF (SPYV)	Yes	\$1,001 - \$15,000		None (or less than \$201)
31.23	SPDR S&P Oil & Gas Exploration & Production ETF (XOP)	Yes	\$1,001 - \$15,000		None (or less than \$201)
31.24	Vanguard Total Stock Market Index Fund ETF Class Shares (VTI)	Yes	None (or less than \$1,001)		None (or less than \$201)
31.25	Vanguard FTSE All-World ex US Index Fund ETF Shares (VEU)	Yes	\$50,001 - \$100,000		\$201 - \$1,000
31.26	Vanguard Total International Stock Index Fund ETF Shares (VXUS)	Yes	\$50,001 - \$100,000		None (or less than \$201)
31.27	iShares Core US Aggregate Bond ETF (AGG)	Yes	\$1,001 - \$15,000		None (or less than \$201)
31.28	SPDRNuveen Bloomberg Barclays Municipal Bond ETF (TFI)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
31.29	Vanguard Long-Term Bond Index Fund ETF Shares (BLV)	Yes	\$1,001 - \$15,000		None (or less than \$201)
31.30	iShares Broad USD High Yield Corporate Bond ETF (USHY)	Yes	\$1,001 - \$15,000		\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
31.31	SPDRNuveen Bloomberg Barclays Short Term Municipal Bond ETF (SHM)	Yes	\$1,001 - \$15,000		None (or less than \$201)
31.32	Invesco Opt Yld Dvs Cmd Str No K-1 ETF (PDBC)	Yes	\$1,001 - \$15,000		\$1,001 - \$2,500
32	Victor Sloan/Sandra Gong UBS	No	\$500,001 - \$1,000,000	Capital Gains Dividends Interest	\$15,001 - \$50,000
32.1	UBS Bank	No	\$1,001 - \$15,000		None (or less than \$201)
32.2	Analog Devices, Inc. (ADI)	No	\$1,001 - \$15,000		None (or less than \$201)
32.3	Automatic Data Processing, Inc. (ADP)	No	\$1,001 - \$15,000		None (or less than \$201)
32.4	BlackRock, Inc. (BLK)	No	\$1,001 - \$15,000		\$201 - \$1,000
32.5	Broadcom, Inc. (AVGO)	No	\$15,001 - \$50,000		\$201 - \$1,000
32.6	Chubb Ltd. (CB)	No	\$1,001 - \$15,000		None (or less than \$201)
32.7	Cisco Systems, Inc. (CSCO)	No	\$1,001 - \$15,000		\$201 - \$1,000
32.8	The Coca-Cola Co. (KO)	No	\$1,001 - \$15,000		\$201 - \$1,000
32.9	Diageo (DEO)	No	\$1,001 - \$15,000		None (or less than \$201)
32.10	Discover Financial Services (DFS)	No	\$1,001 - \$15,000		None (or less than \$201)
32.11	EOG Resources, Inc. (EOG)	No	\$1,001 - \$15,000		\$201 - \$1,000
32.12	Exxon Mobil Corp. (XOM)	No	\$15,001 - \$50,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
32.13	Honeywell International, Inc. (HON)	No	\$1,001 - \$15,000		None (or less than \$201)
32.14	The Home Depot, Inc. (HD)	No	\$1,001 - \$15,000		\$201 - \$1,000
32.15	Johnson & Johnson (JNJ)	No	\$15,001 - \$50,000		\$201 - \$1,000
32.16	JPMorgan (JPM)	No	\$1,001 - \$15,000		\$201 - \$1,000
32.17	Linde Plc (LIN)	No	\$1,001 - \$15,000		None (or less than \$201)
32.18	Marsh & McLennan Cos., Inc. (MMC)	No	\$1,001 - \$15,000		None (or less than \$201)
32.19	McDonald's Corp. (MCD)	No	\$15,001 - \$50,000		\$201 - \$1,000
32.20	Microsoft Corp. (MSFT)	No	\$15,001 - \$50,000		\$201 - \$1,000
32.21	Morgan Stanley (MS)	No	\$1,001 - \$15,000		\$201 - \$1,000
32.22	NextEra Energy, Inc. (NEE)	No	\$1,001 - \$15,000		None (or less than \$201)
32.23	Oracle Corp. (ORCL)	No	\$1,001 - \$15,000		None (or less than \$201)
32.24	Phillips 66 (PSX)	No	\$1,001 - \$15,000		\$201 - \$1,000
32.25	Procter & Gamble Co. (PG)	No	\$1,001 - \$15,000		None (or less than \$201)
32.26	Prologis, Inc. (PLD)	No	\$1,001 - \$15,000		None (or less than \$201)
32.27	Raytheon Co. (RTN)	No	\$15,001 - \$50,000		\$201 - \$1,000
32.28	Republic Services, Inc. (RSG)	No	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
32.29	Starbucks Corp. (SBUX)	No	\$1,001 - \$15,000		None (or less than \$201)
32.30	Texas Instruments Incorporated (TXN)	No	\$1,001 - \$15,000		\$201 - \$1,000
32.31	Truist Financial (TFC)	No	\$1,001 - \$15,000		None (or less than \$201)
32.32	Union Pacific Corp. (UNP)	No	\$1,001 - \$15,000		None (or less than \$201)
32.33	United Parcel Service, Inc. (UPS)	No	\$1,001 - \$15,000		\$201 - \$1,000
32.34	UnitedHealth Group, Inc. (UNH)	No	\$15,001 - \$50,000		\$201 - \$1,000
32.35	Abbott Laboratories (ABT)	No	\$1,001 - \$15,000		None (or less than \$201)
32.36	AbbVie, Inc. (ABBV)	No	\$15,001 - \$50,000		\$201 - \$1,000
32.37	Accenture Plc (ACN)	No	\$1,001 - \$15,000		None (or less than \$201)
32.38	American Electric Power Co., Inc. (AEP)	No	\$1,001 - \$15,000		\$201 - \$1,000
32.39	Amgen Inc. (AMGN)	No	\$1,001 - \$15,000		\$201 - \$1,000
33	older daughter savings account #2 PNC	No	\$1,001 - \$15,000		None (or less than \$201)
34	younger daughter savings account #2 PNC	No	\$15,001 - \$50,000		None (or less than \$201)
35	Government savings bonds	No	\$100,001 - \$250,000		None (or less than \$201)
36	Schwab Charitable Gift Account	No	\$50,001 - \$100,000		None (or less than \$201)
36.1	Schwab Money Market Pool SCGM1	Yes	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
36.2	Schwab Balanced Pool SCGB1	Yes	\$15,001 - \$50,000		None (or less than \$201)
36.3	Schwab Socially Responsible Balanced Pool SCGR1	Yes	\$15,001 - \$50,000		None (or less than \$201)
37	Viatis (VTRS)	No	None (or less than \$1,001)		None (or less than \$201)
38	Pfizer Inc. (PFE)	No	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
39	Apple, Inc. (AAPL)	No	\$1,001 - \$15,000		None (or less than \$201)
40	Tesla, Inc. (TSLA)	No	\$1,001 - \$15,000		None (or less than \$201)
41	Apple, Inc. (AAPL)	No	\$1,001 - \$15,000		None (or less than \$201)
42	Tesla, Inc. (TSLA)	No	\$1,001 - \$15,000		None (or less than \$201)
43	older daughter checking acct PNC	No	None (or less than \$1,001)		None (or less than \$201)
44	younger daughter checking acct PNC		\$1,001 - \$15,000		None (or less than \$201)
45	AVG Womens Fund Q2 2019	No	\$1,001 - \$15,000	distributions	\$72
46	AVG Ellevest 2022	No	\$1,001 - \$15,000		None (or less than \$201)
47	AVG what3words 2019	No	\$1,001 - \$15,000		None (or less than \$201)
48	AVG Select Fund 2019	No	\$15,001 - \$50,000	distributions	\$2,731
49	AVG Select Fund 2019	No	\$15,001 - \$50,000	distributions	\$2,731

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
50	AVG Zeus Living	No	None (or less than \$1,001)		None (or less than \$201)
51	88 Notes LLC	No	\$15,001 - \$50,000	distribution	\$6,667
52	Nickel Productions LLC	No	\$1,001 - \$15,000	net income from theatrical production	\$6,570
53	529 #4 NJ Best Younger Daughter	Yes	None (or less than \$1,001)		None (or less than \$201)
53.1	Franklin Growth Allocation Age 17 Years 529 Portfolio	No	None (or less than \$1,001)	several cash distributions during reporting period totaling 38738	\$38,738
53.2	Franklin US Govt Money 529 Portfolio	No	None (or less than \$1,001)	several cash distributions during reporting period totaling 28735	\$28,735
54	529 #2 NJ best older daughter	Yes	None (or less than \$1,001)		None (or less than \$201)
54.1	FAOYX (Franklin US Govt Money 529 Portfolio)	No	None (or less than \$1,001)	several cash distributions during reporting period totaling 36287	\$36,287

7. Transactions

#	DESCRIPTION	TYPE	DATE	AMOUNT
1	Exxon Mobil Corp. (XOM)	Purchase	12/13/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
2	Exxon Mobil Corp. (XOM)	Purchase	12/12/2022	\$1,001 - \$15,000
3	EOG Resources, Inc. (EOG)	Sale	12/12/2022	\$1,001 - \$15,000
4	Phillips 66 (PSX)	Sale	12/12/2022	\$1,001 - \$15,000
5	Exxon Mobil Corp. (XOM)	Purchase	12/09/2022	\$1,001 - \$15,000
6	Amgen Inc. (AMGN)	Sale	12/09/2022	\$1,001 - \$15,000
7	BlackRock, Inc. (BLK)	Sale	12/09/2022	\$1,001 - \$15,000
8	EOG Resources, Inc. (EOG)	Sale	12/09/2022	\$1,001 - \$15,000
9	Discover Financial Services (DFS)	Sale	12/09/2022	\$1,001 - \$15,000
10	Phillips 66 (PSX)	Sale	12/09/2022	\$1,001 - \$15,000
11	Truist Financial Corp TFC	Sale	12/09/2022	\$1,001 - \$15,000
12	The Coca-Cola Co. (KO)	Purchase	11/14/2022	\$1,001 - \$15,000
13	The Coca-Cola Co. (KO)	Purchase	11/11/2022	\$1,001 - \$15,000
14	The Coca-Cola Co. (KO)	Purchase	11/10/2022	\$1,001 - \$15,000
15	Eli Lilly & Co. (LLY)	Purchase	11/10/2022	\$1,001 - \$15,000
16	Booking Holdings Inc. (BKNG)	Sale	11/10/2022	\$1,001 - \$15,000
17	NextEra Energy, Inc. (NEE)	Purchase	11/03/2022	\$1,001 - \$15,000
18	Republic Services, Inc. (RSG)	Sale	11/03/2022	\$1,001 - \$15,000
19	Starbucks Corp. (SBUX)	Sale	11/03/2022	\$1,001 - \$15,000
20	Procter & Gamble Co. (PG)	Purchase	11/01/2022	
21	Honeywell International, Inc. (HON)	Sale	11/01/2022	\$1,001 - \$15,000
22	Visa, Inc. (V)	Sale	11/01/2022	\$1,001 - \$15,000
23	Starbucks Corp. (SBUX)	Purchase	10/24/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
24	United Parcel Service, Inc. (UPS)	Purchase	10/24/2022	\$1,001 - \$15,000
25	Union Pacific Corp. (UNP)	Purchase	10/24/2022	\$1,001 - \$15,000
26	Truist Financial Corp (TFC)	Purchase	10/24/2022	\$1,001 - \$15,000
27	Texas Instruments Incorporated (TXN)	Purchase	10/24/2022	\$1,001 - \$15,000
28	Accenture Plc (ACN)	Purchase	10/24/2022	\$1,001 - \$15,000
29	Raytheon Co. (RTN)	Purchase	10/24/2022	\$1,001 - \$15,000
30	Prologis, Inc. (PLD)	Purchase	10/24/2022	\$1,001 - \$15,000
31	Procter & Gamble Co. (PG)	Purchase	10/24/2022	\$1,001 - \$15,000
32	Phillips 66 (PSX)	Purchase	10/24/2022	\$1,001 - \$15,000
33	Oracle Corp. (ORCL)	Purchase	10/24/2022	\$1,001 - \$15,000
34	Morgan Stanley (MS)	Purchase	10/24/2022	\$1,001 - \$15,000
35	Marsh & McLennan Cos., Inc. (MMC)	Purchase	10/24/2022	\$1,001 - \$15,000
36	Microsoft Corp. (MSFT)	Purchase	10/24/2022	\$15,001 - \$50,000
37	Linde Plc (LIN)	Purchase	10/24/2022	\$1,001 - \$15,000
38	Linde Plc (LIN)	Purchase	10/24/2022	\$1,001 - \$15,000
39	JP Morgan Chase (JPM)	Purchase	10/24/2022	\$1,001 - \$15,000
40	Honeywell International, Inc. (HON)	Purchase	10/24/2022	\$1,001 - \$15,000
41	The Home Depot, Inc. (HD)	Purchase	10/24/2022	\$1,001 - \$15,000
42	Broadcom, Inc. (AVGO)	Purchase	10/24/2022	\$1,001 - \$15,000
43	Discover Financial Services (DFS)	Purchase	10/24/2022	\$1,001 - \$15,000
44	EOG Resources, Inc. (EOG)	Purchase	10/24/2022	\$1,001 - \$15,000
45	Diageo PLC New GB Spon ADR	Purchase	10/24/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
46	Chubb Ltd. (CB)	Purchase	10/24/2022	\$1,001 - \$15,000
47	The Coca-Cola Co. (KO)	Purchase	10/24/2022	\$1,001 - \$15,000
48	BlackRock, Inc. (BLK)	Purchase	10/24/2022	\$1,001 - \$15,000
49	Cisco Systems, Inc. (CSCO)	Purchase	10/24/2022	\$1,001 - \$15,000
50	Analog Devices, Inc. (ADI)	Purchase	10/24/2022	\$1,001 - \$15,000
51	Amgen Inc. (AMGN)	Purchase	10/24/2022	\$1,001 - \$15,000
52	Abbott Laboratories (ABT)	Purchase	10/24/2022	\$1,001 - \$15,000
53	Adobe, Inc. (ADBE)	Sale	09/27/2022	\$1,001 - \$15,000
54	Eli Lilly & Co. (LLY)	Purchase	09/26/2022	\$1,001 - \$15,000
55	Adobe, Inc. (ADBE)	Sale	09/26/2022	\$1,001 - \$15,000
56	Abbott Laboratories (ABT)	Sale	09/23/2022	\$1,001 - \$15,000
57	Amgen Inc. (AMGN)	Sale	09/23/2022	\$1,001 - \$15,000
58	Analog Devices, Inc. (ADI)	Sale	09/23/2022	\$1,001 - \$15,000
59	Cisco Systems, Inc. (CSCO)	Sale	09/23/2022	\$1,001 - \$15,000
60	BlackRock, Inc. (BLK)	Sale	09/23/2022	\$1,001 - \$15,000
61	The Coca-Cola Co. (KO)	Sale	09/23/2022	\$15,001 - \$50,000
62	Chubb Ltd. (CB)	Sale	09/23/2022	\$1,001 - \$15,000
63	Diageo Plc (DEO)	Sale	09/23/2022	\$1,001 - \$15,000
64	EOG Resources, Inc. (EOG)	Sale	09/23/2022	\$1,001 - \$15,000
65	Discover Financial Services (DFS)	Sale	09/23/2022	\$1,001 - \$15,000
66	Broadcom, Inc. (AVGO)	Sale	09/23/2022	\$1,001 - \$15,000
67	The Home Depot, Inc. (HD)	Sale	09/23/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
68	Honeywell International, Inc. (HON)	Sale	09/23/2022	\$1,001 - \$15,000
69	JPM	Sale	09/23/2022	\$1,001 - \$15,000
70	Linde Plc (LIN)	Sale	09/23/2022	\$1,001 - \$15,000
71	Microsoft Corp. (MSFT)	Sale	09/23/2022	\$15,001 - \$50,000
72	Marsh & McLennan Cos., Inc. (MMC)	Sale	09/23/2022	\$1,001 - \$15,000
73	Morgan Stanley (MS)	Sale	09/23/2022	\$1,001 - \$15,000
74	Oracle Corp. (ORCL)	Sale	09/23/2022	\$1,001 - \$15,000
75	Phillips 66 (PSX)	Sale	09/23/2022	\$1,001 - \$15,000
76	Procter & Gamble Co. (PG)	Sale	09/23/2022	\$1,001 - \$15,000
77	Prologis, Inc. (PLD)	Sale	09/23/2022	\$1,001 - \$15,000
78	Raytheon Co. (RTN)	Sale	09/23/2022	\$1,001 - \$15,000
79	Accenture Plc (ACN)	Sale	09/23/2022	\$1,001 - \$15,000
80	Texas Instruments Incorporated (TXN)	Sale	09/23/2022	\$1,001 - \$15,000
81	Truist Financial (TFC)	Sale	09/23/2022	\$1,001 - \$15,000
82	Union Pacific Corp. (UNP)	Sale	09/23/2022	\$1,001 - \$15,000
83	United Parcel Service, Inc. (UPS)	Sale	09/23/2022	\$1,001 - \$15,000
84	Starbucks Corp. (SBUX)	Sale	09/23/2022	\$1,001 - \$15,000
85	Chubb Ltd. (CB)	Sale	09/23/2022	\$1,001 - \$15,000
86	Discover Financial Services (DFS)	Sale	09/23/2022	\$1,001 - \$15,000
87	Adobe, Inc. (ADBE)	Sale	09/27/2022	\$1,001 - \$15,000
88	Eli Lilly & Co. (LLY)	Purchase	09/26/2022	\$1,001 - \$15,000
89	Adobe, Inc. (ADBE)	Sale	09/26/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
90	Abbott Laboratories (ABT)	Sale	09/23/2022	\$1,001 - \$15,000
91	Amgen Inc. (AMGN)	Sale	09/23/2022	\$1,001 - \$15,000
92	Analog Devices, Inc. (ADI)	Sale	09/23/2022	\$1,001 - \$15,000
93	Cisco Systems, Inc. (CSCO)	Sale	09/23/2022	\$1,001 - \$15,000
94	BlackRock, Inc. (BLK)	Sale	09/23/2022	\$1,001 - \$15,000
95	The Coca-Cola Co. (KO)	Sale	09/23/2022	\$15,001 - \$50,000
96	Chubb Ltd. (CB)	Sale	09/23/2022	\$1,001 - \$15,000
97	Diageo Plc (DEO)	Sale	09/23/2022	\$1,001 - \$15,000
98	EOG Resources, Inc. (EOG)	Sale	09/23/2022	\$1,001 - \$15,000
99	Discover Financial Services (DFS)	Sale	09/23/2022	\$1,001 - \$15,000
100	Broadcom, Inc. (AVGO)	Sale	09/23/2022	\$1,001 - \$15,000
101	The Home Depot, Inc. (HD)	Sale	09/23/2022	\$1,001 - \$15,000
102	Honeywell International, Inc. (HON)	Sale	09/23/2022	\$1,001 - \$15,000
103	JPM	Sale	09/23/2022	\$1,001 - \$15,000
104	Linde Plc (LIN)	Sale	09/23/2022	\$1,001 - \$15,000
105	Microsoft Corp. (MSFT)	Sale	09/23/2022	\$15,001 - \$50,000
106	Marsh & McLennan Cos., Inc. (MMC)	Sale	09/23/2022	\$1,001 - \$15,000
107	Morgan Stanley (MS)	Sale	09/23/2022	\$1,001 - \$15,000
108	Oracle Corp. (ORCL)	Sale	09/23/2022	\$1,001 - \$15,000
109	Phillips 66 (PSX)	Sale	09/23/2022	\$1,001 - \$15,000
110	Procter & Gamble Co. (PG)	Sale	09/23/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
111	Prologis, Inc. (PLD)	Sale	09/23/2022	\$1,001 - \$15,000
112	Raytheon Co. (RTN)	Sale	09/23/2022	\$1,001 - \$15,000
113	Accenture Plc (ACN)	Sale	09/23/2022	\$1,001 - \$15,000
114	Texas Instruments Incorporated (TXN)	Sale	09/23/2022	\$1,001 - \$15,000
115	Truist Financial (TFC)	Sale	09/23/2022	\$1,001 - \$15,000
116	Union Pacific Corp. (UNP)	Sale	09/23/2022	\$1,001 - \$15,000
117	United Parcel Service, Inc. (UPS)	Sale	09/23/2022	\$1,001 - \$15,000
118	Starbucks Corp. (SBUX)	Sale	09/23/2022	\$1,001 - \$15,000
119	Chubb Ltd. (CB)	Sale	09/23/2022	\$1,001 - \$15,000
120	Discover Financial Services (DFS)	Sale	09/23/2022	\$1,001 - \$15,000
121	Prologis, Inc. (PLD)	Purchase	09/13/2022	\$1,001 - \$15,000
122	Abbott Laboratories (ABT)	Purchase	09/12/2022	\$1,001 - \$15,000
123	Abbott Laboratories (ABT)	Purchase	09/09/2022	\$1,001 - \$15,000
124	Prologis, Inc. (PLD)	Purchase	09/09/2022	\$1,001 - \$15,000
125	Medtronic Plc (MDT)	Sale	09/09/2022	\$1,001 - \$15,000
126	Abbott Laboratories (ABT)	Purchase	09/08/2022	\$1,001 - \$15,000
127	Prologis, Inc. (PLD)	Purchase	09/08/2022	\$1,001 - \$15,000
128	Comcast Corp. (CMCSA)	Sale	09/08/2022	\$1,001 - \$15,000
129	Medtronic Plc (MDT)	Sale	09/08/2022	\$1,001 - \$15,000
130	Abbott Laboratories (ABT)	Purchase	09/07/2022	\$1,001 - \$15,000
131	Oracle Corp. (ORCL)	Purchase	09/07/2022	\$1,001 - \$15,000
132	Comcast Corp. (CMCSA)	Sale	09/07/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
133	Medtronic Plc (MDT)	Sale	09/07/2022	\$1,001 - \$15,000
134	Abbott Laboratories (ABT)	Purchase	09/06/2022	\$1,001 - \$15,000
135	Oracle Corp. (ORCL)	Purchase	09/06/2022	\$1,001 - \$15,000
136	Prologis, Inc. (PLD)	Purchase	09/06/2022	\$1,001 - \$15,000
137	Comcast Corp. (CMCSA)	Sale	09/06/2022	\$1,001 - \$15,000
138	Abbott Laboratories (ABT)	Sale	09/06/2022	\$1,001 - \$15,000
139	Medtronic Plc (MDT)	Sale	09/06/2022	\$1,001 - \$15,000
140	Oracle Corp. (ORCL)	Purchase	08/11/2022	\$1,001 - \$15,000
141	Medtronic Plc (MDT)	Sale	08/11/2022	\$1,001 - \$15,000
142	LOWES Corporation	Sale	08/01/2022	\$1,001 - \$15,000
143	Lowes corporatoin	Sale	07/29/2022	\$1,001 - \$15,000
144	Oracle Corp. (ORCL)	Purchase	07/26/2022	\$1,001 - \$15,000
145	EOG Resources, Inc. (EOG)	Purchase	07/25/2022	\$1,001 - \$15,000
146	Oracle Corp. (ORCL)	Purchase	07/25/2022	\$1,001 - \$15,000
147	Analog Devices, Inc. (ADI)	Sale	07/25/2022	\$1,001 - \$15,000
148	Cisco Systems, Inc. (CSCO)	Sale	07/25/2022	\$1,001 - \$15,000
149	BlackRock, Inc. (BLK)	Sale	07/25/2022	\$1,001 - \$15,000
150	Oracle Corp. (ORCL)	Purchase	07/21/2022	\$1,001 - \$15,000
151	Cisco Systems, Inc. (CSCO)	Sale	07/21/2022	\$1,001 - \$15,000
152	UnitedHealth Group, Inc. (UNH)	Purchase	07/22/2022	\$1,001 - \$15,000
153	Palo Alto Networks, Inc. (PANW)	Purchase	06/22/2022	\$1,001 - \$15,000
154	UnitedHealth Group, Inc. (UNH)	Purchase	06/21/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
155	The Home Depot, Inc. (HD)	Sale	06/21/2022	\$1,001 - \$15,000
156	META platforms	Sale	06/21/2022	\$1,001 - \$15,000
157	Microsoft Corp. (MSFT)	Purchase	06/21/2022	\$1,001 - \$15,000
158	Analog Devices, Inc. (ADI)	Sale	06/21/2022	\$1,001 - \$15,000
159	Automatic Data Processing, Inc. (ADP)	Sale	06/21/2022	\$1,001 - \$15,000
160	AbbVie, Inc. (ABBV)	Purchase	06/03/2022	\$1,001 - \$15,000
161	Microsoft Corp. (MSFT)	Purchase	06/03/2022	\$1,001 - \$15,000
162	Medtronic Plc (MDT)	Sale	06/03/2022	\$1,001 - \$15,000
163	Raytheon Co. (RTN)	Sale	06/03/2022	\$1,001 - \$15,000
164	Truist	Sale	06/03/2022	\$1,001 - \$15,000
165	88 Notes LLC	Purchase	06/14/2022	\$15,001 - \$50,000
166	AbbVie, Inc. (ABBV)	Purchase	05/05/2022	\$1,001 - \$15,000
167	Honeywell International, Inc. (HON)	Purchase	05/04/2022	\$1,001 - \$15,000
168	AbbVie, Inc. (ABBV)	Purchase	05/04/2022	\$1,001 - \$15,000
169	Rockwell Automation, Inc. (ROK)	Sale	05/04/2022	\$1,001 - \$15,000
170	VF Corp. (VFC)	Sale	05/04/2022	\$1,001 - \$15,000
171	Honeywell International, Inc. (HON)	Purchase	05/03/2022	\$1,001 - \$15,000
172	AbbVie, Inc. (ABBV)	Purchase	05/03/2022	\$1,001 - \$15,000
173	Comcast Corp. (CMCSA)	Sale	05/03/2022	\$1,001 - \$15,000
174	Rockwell Automation, Inc. (ROK)	Sale	05/03/2022	\$1,001 - \$15,000
175	VF Corp. (VFC)	Sale	05/03/2022	\$1,001 - \$15,000
176	The Home Depot, Inc. (HD)	Purchase	05/02/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
177	Honeywell International, Inc. (HON)	Purchase	05/02/2022	\$1,001 - \$15,000
178	AbbVie, Inc. (ABBV)	Purchase	05/02/2022	\$1,001 - \$15,000
179	Amgen Inc. (AMGN)	Sale	05/02/2022	\$1,001 - \$15,000
180	Comcast Corp. (CMCSA)	Sale	05/02/2022	\$1,001 - \$15,000
181	JP Morgan Chase (JPM)	Sale	05/02/2022	\$1,001 - \$15,000
182	Raytheon Co. (RTN)	Sale	05/02/2022	\$1,001 - \$15,000
183	Rockwell Automation, Inc. (ROK)	Sale	05/02/2022	\$1,001 - \$15,000
184	VF Corp. (VFC)	Sale	05/02/2022	\$1,001 - \$15,000
185	AVSF -Ellevest 2022	Purchase	03/07/2022	\$1,001 - \$15,000
186	ABBV	Purchase	05/05/2022	\$1,001 - \$15,000
187	Honeywell International, Inc. (HON)	Purchase	05/04/2022	\$1,001 - \$15,000
188	AbbVie, Inc. (ABBV)	Purchase	05/04/2022	\$1,001 - \$15,000
189	Rockwell Automation, Inc. (ROK)	Sale	05/04/2022	\$1,001 - \$15,000
190	VF Corp. (VFC)	Sale	05/04/2022	\$1,001 - \$15,000
191	Honeywell International, Inc. (HON)	Purchase	05/04/2022	\$1,001 - \$15,000
192	AbbVie, Inc. (ABBV)	Purchase	05/03/2022	\$1,001 - \$15,000
193	Comcast Corp. (CMCSA)	Sale	05/03/2022	\$1,001 - \$15,000
194	Rockwell Automation, Inc. (ROK)	Sale	05/03/2022	\$1,001 - \$15,000
195	VF Corp. (VFC)	Sale	05/03/2022	\$1,001 - \$15,000
196	The Home Depot, Inc. (HD)	Purchase	05/02/2022	\$1,001 - \$15,000
197	Honeywell International, Inc. (HON)	Purchase	05/02/2022	\$1,001 - \$15,000
198	AbbVie, Inc. (ABBV)	Purchase	05/02/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
199	Amgen Inc. (AMGN)	Sale	05/02/2022	\$1,001 - \$15,000
200	Comcast Corp. (CMCSA)	Sale	05/02/2022	\$1,001 - \$15,000
201	JP Morgan Chase JPM	Sale	05/02/2022	\$1,001 - \$15,000
202	Raytheon Co. (RTN)	Sale	05/02/2022	\$1,001 - \$15,000
203	Rockwell Automation, Inc. (ROK)	Sale	05/02/2022	\$1,001 - \$15,000
204	VF Corp. (VFC)	Sale	05/02/2022	\$1,001 - \$15,000
205	ABBV	Purchase	05/05/2022	\$1,001 - \$15,000
206	Honeywell International, Inc. (HON)	Purchase	05/04/2022	\$1,001 - \$15,000
207	AbbVie, Inc. (ABBV)	Purchase	05/04/2022	\$1,001 - \$15,000
208	Rockwell Automation, Inc. (ROK)	Sale	05/04/2022	\$1,001 - \$15,000
209	VF Corp. (VFC)	Sale	05/04/2022	\$1,001 - \$15,000
210	Honeywell International, Inc. (HON)	Purchase	05/04/2022	\$1,001 - \$15,000
211	AbbVie, Inc. (ABBV)	Purchase	05/03/2022	\$1,001 - \$15,000
212	Comcast Corp. (CMCSA)	Sale	05/03/2022	\$1,001 - \$15,000
213	Rockwell Automation, Inc. (ROK)	Sale	05/03/2022	\$1,001 - \$15,000
214	VF Corp. (VFC)	Sale	05/03/2022	\$1,001 - \$15,000
215	The Home Depot, Inc. (HD)	Purchase	05/02/2022	\$1,001 - \$15,000
216	Honeywell International, Inc. (HON)	Purchase	05/02/2022	\$1,001 - \$15,000
217	AbbVie, Inc. (ABBV)	Purchase	05/02/2022	\$1,001 - \$15,000
218	Amgen Inc. (AMGN)	Sale	05/02/2022	\$1,001 - \$15,000
219	Comcast Corp. (CMCSA)	Sale	05/02/2022	\$1,001 - \$15,000
220	JP Morgan Chase JPM	Sale	05/02/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
221	Raytheon Co. (RTN)	Sale	05/02/2022	\$1,001 - \$15,000
222	Rockwell Automation, Inc. (ROK)	Sale	05/02/2022	\$1,001 - \$15,000
223	VF Corp. (VFC)	Sale	05/02/2022	\$1,001 - \$15,000
224	Union Pacific Corp. (UNP)	Sale	03/08/2022	\$1,001 - \$15,000
225	Estee Lauder Cos. (EL)	Purchase	03/08/2022	\$1,001 - \$15,000
226	The Home Depot, Inc. (HD)	Purchase	03/15/2022	\$1,001 - \$15,000
227	American Electric Power Co., Inc. (AEP)	Purchase	03/15/2022	\$1,001 - \$15,000
228	UnitedHealth Group, Inc. (UNH)	Sale	03/28/2022	\$1,001 - \$15,000
229	Costco Wholesale Corp. (COST)	Sale	03/28/2022	\$1,001 - \$15,000
230	NIKE, Inc. (NKE)	Purchase	03/28/2022	\$1,001 - \$15,000
231	Intuitive Surgical, Inc. (ISRG)	Purchase	03/28/2022	\$1,001 - \$15,000
232	UnitedHealth Group, Inc. (UNH)	Sale	03/28/2022	\$1,001 - \$15,000
233	North Carolina Med Care SR A/BE/R	Sale	03/04/2022	\$15,001 - \$50,000
234	New York St Dorm Auth SA SR RV BE/R	Sale	03/04/2022	\$15,001 - \$50,000
235	New York NY City B-1 RV BE/R	Sale	03/04/2022	\$15,001 - \$50,000
236	New York NY City Mun WT CC-3 BE/R	Sale	03/04/2022	\$1,001 - \$15,000
237	New York City Trans Fina S-1A BE/R	Sale	03/04/2022	\$1,001 - \$15,000
238	New York NY City Mun WT BB-1 RV BE/R	Sale	03/04/2022	\$1,001 - \$15,000
239	Keller TX Indpt Sch T SR A BE/R	Sale	03/04/2022	\$15,001 - \$50,000
240	Multnomah Cnty OR S/D # BE/R	Sale	03/04/2022	\$15,001 - \$50,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
241	California St Various PU BE/R	Sale	03/04/2022	\$15,001 - \$50,000
242	Anne Arundel Cnty MD Con BE/R	Sale	03/04/2022	\$15,001 - \$50,000
243	Broward Cnty FI Sch Brd SR A RV BE/R	Sale	03/04/2022	\$15,001 - \$50,000
244	Lower Co TX AU SR RV BE/R	Sale	03/04/2022	\$15,001 - \$50,000
245	Miami Dade Cnty FI COP O SR D BE/R	Sale	03/04/2022	\$15,001 - \$50,000
246	Massachusetts St For Iss Be/R	Sale	03/04/2022	\$15,001 - \$50,000
247	North Tex Twy Auth Rev SR B	Sale	03/04/2022	\$15,001 - \$50,000
248	Oregon St For Previous I SR K BE/R	Sale	03/04/2022	\$15,001 - \$50,000
249	Prince Georges Cnty Md BE/R	Sale	03/04/2022	\$15,001 - \$50,000
250	Ohio St Wtr Dev Auth Wtr SR A RV BE/R	Sale	03/04/2022	\$15,001 - \$50,000
251	The Este Lauder Companies, Inc. (EL)	Purchase	03/08/2022	\$1,001 - \$15,000
252	Union Pacific Corp. (UNP)	Sale		\$1,001 - \$15,000
253	American Electric Power Co., Inc. (AEP)	Purchase	03/04/2022	\$1,001 - \$15,000
254	Amgen Inc. (AMGN)	Purchase	03/04/2022	\$1,001 - \$15,000
255	Comcast Corp. (CMCSA)	Purchase	03/04/2022	\$15,001 - \$50,000
256	Analog Devices, Inc. (ADI)	Purchase	03/04/2022	\$15,001 - \$50,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
257	Automatic Data Processing, Inc. (ADP)	Purchase	03/04/2022	\$1,001 - \$15,000
258	Cisco Systems, Inc. (CSCO)	Purchase	03/04/2022	\$15,001 - \$50,000
259	BlackRock, Inc. (BLK)	Purchase	03/04/2022	\$1,001 - \$15,000
260	Chubb Ltd. (CB)	Purchase	03/04/2022	\$15,001 - \$50,000
261	Diageo	Purchase	03/04/2022	\$1,001 - \$15,000
262	EOG Resources, Inc. (EOG)	Purchase	03/04/2022	\$1,001 - \$15,000
263	Discover Financial Services (DFS)	Purchase	03/04/2022	\$1,001 - \$15,000
264	Broadcom, Inc. (AVGO)	Purchase	03/04/2022	\$15,001 - \$50,000
265	The Home Depot, Inc. (HD)	Purchase	03/04/2022	\$1,001 - \$15,000
266	Johnson & Johnson (JNJ)	Purchase	03/04/2022	\$15,001 - \$50,000
267	J P Morgan Chase	Purchase	03/04/2022	\$15,001 - \$50,000
268	Linde Plc (LIN)	Purchase	03/04/2022	\$1,001 - \$15,000
269	Microsoft Corp. (MSFT)	Purchase	03/04/2022	\$15,001 - \$50,000
270	Marsh & McLennan Cos., Inc. (MMC)	Purchase	03/04/2022	\$1,001 - \$15,000
271	Morgan Stanley	Purchase	03/04/2022	\$1,001 - \$15,000
272	Morgan Stanley	Purchase	03/04/2022	\$1,001 - \$15,000
273	Morgan Stanley	Purchase	03/04/2022	\$1,001 - \$15,000
274	Medtronic Plc (MDT)	Purchase	03/04/2022	\$15,001 - \$50,000
275	NextEra Energy, Inc. (NEE)	Purchase	03/04/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
276	Phillips 66 (PSX)	Purchase	03/04/2022	\$1,001 - \$15,000
277	Procter & Gamble Co. (PG)	Purchase	03/04/2022	\$1,001 - \$15,000
278	Raytheon Co. (RTN)	Purchase	03/04/2022	\$15,001 - \$50,000
279	Republic Services, Inc. (RSG)	Purchase	03/04/2022	\$1,001 - \$15,000
280	Rockwell Automation, Inc. (ROK)	Purchase	03/04/2022	\$1,001 - \$15,000
281	Rockwell Automation, Inc. (ROK)	Purchase	03/04/2022	\$1,001 - \$15,000
282	Accenture Plc (ACN)	Purchase	03/04/2022	\$1,001 - \$15,000
283	Texas Instruments Incorporated (TXN)	Purchase	03/04/2022	\$1,001 - \$15,000
284	Truist Financial Corp	Purchase	03/04/2022	\$15,001 - \$50,000
285	Union Pacific Corp. (UNP)	Purchase	03/04/2022	\$1,001 - \$15,000
286	United Parcel service	Purchase	03/04/2022	\$1,001 - \$15,000
287	UnitedHealth Group, Inc. (UNH)	Purchase	03/04/2022	\$15,001 - \$50,000
288	VF Corp. (VFC)	Purchase	03/04/2022	\$1,001 - \$15,000
289	Starbucks Corp. (SBUX)	Purchase	03/04/2022	\$1,001 - \$15,000
290	The Este Lauder Companies, Inc. (EL)	Purchase	03/08/2022	\$1,001 - \$15,000
291	Union Pacific Corp. (UNP)	Sale	03/04/2022	\$1,001 - \$15,000
292	American Electric Power Co., Inc. (AEP)	Purchase	03/04/2022	\$1,001 - \$15,000
293	Amgen Inc. (AMGN)	Purchase	03/04/2022	\$1,001 - \$15,000
294	Comcast Corp. (CMCSA)	Purchase	03/04/2022	\$15,001 - \$50,000
295	Analog Devices, Inc. (ADI)	Purchase	03/04/2022	\$15,001 - \$50,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
296	Automatic Data Processing, Inc. (ADP)	Purchase	03/04/2022	\$1,001 - \$15,000
297	Cisco Systems, Inc. (CSCO)	Purchase	03/04/2022	\$15,001 - \$50,000
298	BlackRock, Inc. (BLK)	Purchase	03/04/2022	\$1,001 - \$15,000
299	Chubb Ltd. (CB)	Purchase	03/04/2022	\$15,001 - \$50,000
300	Diageo	Purchase	03/04/2022	\$1,001 - \$15,000
301	EOG Resources, Inc. (EOG)	Purchase	03/04/2022	\$1,001 - \$15,000
302	Discover Financial Services (DFS)	Purchase	03/04/2022	\$1,001 - \$15,000
303	Broadcom, Inc. (AVGO)	Purchase	03/04/2022	\$15,001 - \$50,000
304	The Home Depot, Inc. (HD)	Purchase	03/04/2022	\$1,001 - \$15,000
305	Johnson & Johnson (JNJ)	Purchase	03/04/2022	\$15,001 - \$50,000
306	J P Morgan Chase	Purchase	03/04/2022	\$15,001 - \$50,000
307	Linde Plc (LIN)	Purchase	03/04/2022	\$1,001 - \$15,000
308	Microsoft Corp. (MSFT)	Purchase	03/04/2022	\$15,001 - \$50,000
309	Marsh & McLennan Cos., Inc. (MMC)	Purchase	03/04/2022	\$1,001 - \$15,000
310	Morgan Stanley	Purchase	03/04/2022	\$1,001 - \$15,000
311	Morgan Stanley	Purchase	03/04/2022	\$1,001 - \$15,000
312	Morgan Stanley	Purchase	03/04/2022	\$1,001 - \$15,000
313	Medtronic Plc (MDT)	Purchase	03/04/2022	\$15,001 - \$50,000
314	NextEra Energy, Inc. (NEE)	Purchase	03/04/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
315	Phillips 66 (PSX)	Purchase	03/04/2022	\$1,001 - \$15,000
316	Procter & Gamble Co. (PG)	Purchase	03/04/2022	\$1,001 - \$15,000
317	Raytheon Co. (RTN)	Purchase	03/04/2022	\$15,001 - \$50,000
318	Republic Services, Inc. (RSG)	Purchase	03/04/2022	\$1,001 - \$15,000
319	Rockwell Automation, Inc. (ROK)	Purchase	03/04/2022	\$1,001 - \$15,000
320	Rockwell Automation, Inc. (ROK)	Purchase	03/04/2022	\$1,001 - \$15,000
321	Accenture Plc (ACN)	Purchase	03/04/2022	\$1,001 - \$15,000
322	Texas Instruments Incorporated (TXN)	Purchase	03/04/2022	\$1,001 - \$15,000
323	Truist Financial Corp	Purchase	03/04/2022	\$15,001 - \$50,000
324	Union Pacific Corp. (UNP)	Purchase	03/04/2022	\$1,001 - \$15,000
325	United Parcel service	Purchase	03/04/2022	\$1,001 - \$15,000
326	UnitedHealth Group, Inc. (UNH)	Purchase	03/04/2022	\$15,001 - \$50,000
327	VF Corp. (VFC)	Purchase	03/04/2022	\$1,001 - \$15,000
328	Starbucks Corp. (SBUX)	Purchase	03/04/2022	\$1,001 - \$15,000
329	Adobe, Inc. (ADBE)	Purchase	02/14/2022	\$1,001 - \$15,000
330	Facebook, Inc. (FB)	Sale	02/14/2022	\$1,001 - \$15,000
331	Ellevest 2022, LLC	Purchase	02/15/2022	\$1,001 - \$15,000
332	Vanguard Russell 1000 Growth Index Fund ETF Shares (VONG)	Purchase	12/16/2022	\$1,001 - \$15,000
333	iShares Currency Hedged MSCI EAFE ETF (HEFA)	Sale	12/16/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
334	SPDRNuveen Bloomberg Barclays Municipal Bond ETF (TFI)	Purchase	11/08/2022	\$1,001 - \$15,000
335	Vanguard Russell 1000 Growth Index Fund ETF Shares (VONG)	Purchase	11/08/2022	\$1,001 - \$15,000
336	iSharesJPMorgan USDEmerging Markets Bond ETF (EMB)	Sale	11/08/2022	\$1,001 - \$15,000
337	iShares Core MSCIEmerging Markets ETF (IEMG)	Sale	11/08/2022	\$1,001 - \$15,000
338	iShares Broad USD High Yield Corporate Bond ETF (USHY)	Sale	11/08/2022	\$1,001 - \$15,000
339	Schwab US Large-Cap Growth ETF (SCHG)	Sale	11/08/2022	\$1,001 - \$15,000
340	Vanguard Small-Cap Growth Index Fund ETF Class Shares (VBK)	Sale	11/08/2022	\$1,001 - \$15,000
341	Vanguard Mid-Cap Growth Index Fund ETF Shares (VOT)	Sale	11/08/2022	\$1,001 - \$15,000
342	VanEck Vectors JP Morgan EM Local Currency Bond ETF (EMLC)	Sale	11/08/2022	\$1,001 - \$15,000
343	iShares 20+ Year Treasury Bond ETF (TLT)	Purchase	10/27/2022	\$1,001 - \$15,000
344	iShares Core MSCIEmerging Markets ETF (IEMG)	Purchase	10/27/2022	\$1,001 - \$15,000
345	Vanguard Dividend Appreciation Index Fund ETF Class Shares (VIG)	Sale	10/24/2022	\$250,001 - \$500,000
346	iShares Broad USD Investment Grade Corporate Bond ETF (USIG)	Purchase	10/21/2022	\$1,001 - \$15,000
347	iShares MBS ETF (MBB)	Purchase	10/21/2022	\$1,001 - \$15,000
348	SPDR S&P Oil & Gas Exploration & Production ETF (XOP)	Purchase	10/21/2022	\$1,001 - \$15,000
349	Vanguard Intermediate-Term Treasury Index Fund ETF Class Shares (VGIT)	Purchase	10/21/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
350	iShares Core S&P 500 ETF (IVV)	Purchase	09/26/2022	\$1,001 - \$15,000
351	iShares Broad USD High Yield Corporate Bond ETF (USHY)	Sale	10/21/2022	\$1,001 - \$15,000
352	Invesco Opt Yld Dvs Cmd Str No K-1 ETF (PDBC)	Sale	10/21/2022	\$1,001 - \$15,000
353	Vanguard Value Index Fund ETF Shares (VTV)	Sale	10/21/2022	\$1,001 - \$15,000
354	Vanguard Growth Index Fund ETF Class Shares (VUG)	Sale	10/21/2022	\$1,001 - \$15,000
355	iShares National Muni Bond ETF (MUB)	Purchase	10/21/2022	\$1,001 - \$15,000
356	SPDR S&P Oil & Gas Exploration & Production ETF (XOP)	Purchase	10/21/2022	\$1,001 - \$15,000
357	Vanguard Long-Term Bond Index Fund ETF Shares (BLV)	Purchase	10/21/2022	\$1,001 - \$15,000
358	iShares Core S&P 500 ETF (IVV)	Sale	10/21/2022	\$1,001 - \$15,000
359	Invesco Opt Yld Dvs Cmd Str No K-1 ETF (PDBC)	Sale	10/21/2022	\$1,001 - \$15,000
360	Vanguard Total Stock Market Index Fund ETF Class Shares (VTI)	Sale	10/21/2022	\$1,001 - \$15,000
361	Vanguard Small-Cap Growth Index Fund ETF Class Shares (VBK)	Sale	10/21/2022	\$1,001 - \$15,000
362	Vanguard Mid-Cap Index Fund ETF Shares (VO)	Sale	10/21/2022	\$1,001 - \$15,000
363	Vanguard Total International Stock Index Fund ETF Shares (VXUS)	Sale	10/21/2022	\$1,001 - \$15,000
364	iShares Core S&P Small-Cap ETF (IJR)	Purchase	09/26/2022	\$1,001 - \$15,000
365	iShares S&P Small-Cap 600 Growth ETF (IJT)	Purchase	09/26/2022	\$1,001 - \$15,000
366	iShares Core US Aggregate Bond ETF (AGG)	Purchase	09/26/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
367	iShares MSCI EAFE Growth ETF (EFG)	Purchase	09/26/2022	\$1,001 - \$15,000
368	iSharesJPMorgan USDEmerging Markets Bond ETF (EMB)	Purchase	09/26/2022	\$1,001 - \$15,000
369	iShares National Muni Bond ETF (MUB)	Purchase	09/26/2022	\$15,001 - \$50,000
370	iShares Short-Term National Muni Bond ETF (SUB)	Purchase	09/26/2022	\$1,001 - \$15,000
371	iShares MSCI World ETF (URTH)	Purchase	09/26/2022	\$1,001 - \$15,000
372	iShares Currency Hedged MSCI EAFE ETF (HEFA)	Purchase	09/26/2022	\$1,001 - \$15,000
373	iShares Broad USD High Yield Corporate Bond ETF (USHY)	Purchase	09/26/2022	\$1,001 - \$15,000
374	Invesco National AMT-Free Municipal Bond ETF (PZA)	Purchase	09/26/2022	\$1,001 - \$15,000
375	SPDR Portfolio S&P 500 Growth ETF (SPYG)	Purchase	09/26/2022	\$1,001 - \$15,000
376	SPDR Portfolio S&P 500 Value ETF (SPYV)	Purchase	09/26/2022	\$1,001 - \$15,000
377	Schwab US Small-Cap ETF (SCHA)	Purchase	09/26/2022	\$1,001 - \$15,000
378	Schwab International Equity ETF (SCHF)	Purchase	09/26/2022	\$1,001 - \$15,000
379	Schwab US Large-Cap Growth ETF (SCHG)	Purchase	09/26/2022	\$1,001 - \$15,000
380	Schwab US Large-Cap Value ETF (SCHV)	Purchase	09/26/2022	\$1,001 - \$15,000
381	Vanguard Total Stock Market Index Fund ETF Class Shares (VTI)	Purchase	09/26/2022	\$1,001 - \$15,000
382	Vanguard Small-Cap Growth Index Fund ETF Class Shares (VBK)	Purchase	09/26/2022	\$1,001 - \$15,000
383	Vanguard Mid-Cap Index Fund ETF Shares (VO)	Purchase	09/26/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
384	Vanguard Mid-Cap Growth Index Fund ETF Shares (VOT)	Purchase	09/26/2022	\$1,001 - \$15,000
385	Vanguard FTSE All-World ex US Index Fund ETF Shares (VEU)	Purchase	09/26/2022	\$50,001 - \$100,000
386	VanEck Vectors JP Morgan EM Local Currency Bond ETF (EMLC)	Purchase	09/26/2022	\$1,001 - \$15,000
387	Vanguard Long-Term Bond Index Fund ETF Shares (BLV)	Purchase	09/26/2022	\$1,001 - \$15,000
388	Vanguard Total International Stock Index Fund ETF Shares (VXUS)	Purchase	09/26/2022	\$50,001 - \$100,000
389	iShares Core MSCI EAFE ETF (IEFA)	Sale	09/26/2022	\$50,001 - \$100,000
390	iShares Core MSCIEmerging Markets ETF (IEMG)	Sale	09/26/2022	\$15,001 - \$50,000
391	SPDRNuveen Bloomberg Barclays Municipal Bond ETF (TFI)	Sale	09/26/2022	\$50,001 - \$100,000
392	Xtrackers USD High Yield Corporate Bond ETF (HYLB)	Sale	09/26/2022	\$1,001 - \$15,000
393	iShares S&P Small-Cap 600 Value ETF (IJS)	Sale	09/26/2022	\$1,001 - \$15,000
394	iShares MSCI EAFE ETF (EFA)	Sale	09/26/2022	\$1,001 - \$15,000
395	iShares MSCI EAFE Value ETF (EFV)	Sale	09/26/2022	\$1,001 - \$15,000
396	iShares JP Morgan EM Local Currency Bond ETF (LEMB)	Sale	09/26/2022	\$1,001 - \$15,000
397	SPDR PortfolioEmerging Markets ETF (SPEM)	Sale	09/26/2022	\$1,001 - \$15,000
398	VanguardEmerging Markets Stock Index Fund ETF Shares (VWO)	Sale	09/26/2022	\$1,001 - \$15,000
399	Vanguard FTSE All-World ex-US Small-Cap Index Fund ETF Shares (VSS)	Sale	09/26/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
400	Vanguard Intermediate-Term Treasury Index Fund ETF Class Shares (VGIT)	Sale	09/26/2022	\$1,001 - \$15,000
401	Vanguard Russell 1000 Growth Index Fund ETF Shares (VONG)	Sale	09/26/2022	\$15,001 - \$50,000
402	Vanguard Russell 1000 Value Index Fund ETF Shares (VONV)	Sale	09/26/2022	\$1,001 - \$15,000
403	Vanguard Russell 2000 Index Fund ETF Shares (VTWO)	Sale	09/26/2022	\$1,001 - \$15,000
404	Vanguard Intermediate-Term Bond Index Fund ETF Shares (BIV)	Sale	09/26/2022	\$15,001 - \$50,000
405	Vanguard Dividend Appreciation Index Fund ETF Class Shares (VIG)	Purchase	09/23/2022	\$250,001 - \$500,000
406	Vanguard Russell 1000 Growth Index Fund ETF Shares (VONG)	Sale	08/05/2022	\$1,001 - \$15,000
407	Vanguard Russell 1000 Value Index Fund ETF Shares (VONV)	Sale	08/05/2022	\$1,001 - \$15,000
408	Vanguard Intermediate-Term Treasury Index Fund ETF Class Shares (VGIT)	Purchase	07/29/2022	\$1,001 - \$15,000
409	Invesco Opt Yld Dvs Cmd Str No K-1 ETF (PDBC)	Sale	07/29/2022	\$1,001 - \$15,000
410	iShares Core MSCI Emerging Markets ETF (IEMG)	Purchase	08/26/2022	\$1,001 - \$15,000
411	iShares Broad USD High Yield Corporate Bond ETF (USHY)	Purchase	08/26/2022	\$1,001 - \$15,000
412	Vanguard FTSE All-World ex-US Small-Cap Index Fund ETF Shares (VSS)	Purchase	08/26/2022	\$1,001 - \$15,000
413	Vanguard Russell 1000 Value Index Fund ETF Shares (VONV)	Sale	08/26/2022	\$1,001 - \$15,000
414	iShares Core MSCI Emerging Markets ETF (IEMG)	Purchase	08/26/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
415	Invesco Emerging Markets Sovereign Debt ETF (PCY)	Purchase	08/26/2022	\$1,001 - \$15,000
416	Vanguard Value Index Fund ETF Shares (VTV)	Sale	07/12/2022	\$1,001 - \$15,000
417	Invesco Opt Yld Dvs Cmd Str No K-1 ETF (PDBC)	Sale	06/24/2022	\$1,001 - \$15,000
418	Vanguard Russell 1000 Value Index Fund ETF Shares (VONV)	Sale	06/24/2022	\$1,001 - \$15,000
419	iShares Core MSCI EAFE ETF (IEFA)	Purchase	07/12/2022	\$1,001 - \$15,000
420	iShares Core MSCI Emerging Markets ETF (IEMG)	Purchase	07/12/2022	\$1,001 - \$15,000
421	iShares Broad USD Investment Grade Corporate Bond ETF (USIG)	Sale	07/12/2022	\$1,001 - \$15,000
422	iShares 20+ Year Treasury Bond ETF (TLT)	Purchase	06/24/2022	\$1,001 - \$15,000
423	iShares Russell Mid-Cap Value ETF (IWS)	Sale	06/24/2022	\$1,001 - \$15,000
424	iShares MSCI EAFE Value ETF (EFV)	Purchase	06/24/2022	\$1,001 - \$15,000
425	iShares Short-Term National Muni Bond ETF (SUB)	Purchase	06/24/2022	\$1,001 - \$15,000
426	Vanguard Russell 1000 Growth Index Fund ETF Shares (VONG)	Sale	06/24/2022	\$1,001 - \$15,000
427	iShares Core MSCI EAFE ETF (IEFA)	Purchase	05/27/2022	\$1,001 - \$15,000
428	iShares S&P Small-Cap 600 Value ETF (IJS)	Purchase	05/27/2022	\$1,001 - \$15,000
429	iShares MSCI EAFE Value ETF (EFV)	Purchase	05/27/2022	\$1,001 - \$15,000
430	Vanguard Russell 1000 Growth Index Fund ETF Shares (VONG)	Purchase	05/27/2022	\$1,001 - \$15,000
431	iShares Russell Mid-Cap Value ETF (IWS)	Sale	05/27/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
432	Xtrackers USD High Yield Corporate Bond ETF (HYLB)	Purchase	04/29/2022	\$1,001 - \$15,000
433	iShares JP Morgan EM Local Currency Bond ETF (LEMB)	Purchase	04/29/2022	\$1,001 - \$15,000
434	SPDR PortfolioEmerging Markets ETF (SPEM)	Purchase	04/29/2022	\$1,001 - \$15,000
435	Vanguard Russell 1000 Growth Index Fund ETF Shares (VONG)	Purchase	04/29/2022	\$1,001 - \$15,000
436	Vanguard Russell 2000 Index Fund ETF Shares (VTWO)	Sale	04/29/2022	\$1,001 - \$15,000
437	iShares JP Morgan EM Local Currency Bond ETF (LEMB)	Purchase	04/19/2022	\$1,001 - \$15,000
438	SPDRNuveen Bloomberg Barclays Municipal Bond ETF (TFI)	Purchase	04/19/2022	\$50,001 - \$100,000
439	SchwabEmerging Markets Equity ETF (SCHE)	Purchase	04/19/2022	\$1,001 - \$15,000
440	SPDR PortfolioEmerging Markets ETF (SPEM)	Purchase	04/19/2022	\$1,001 - \$15,000
441	VanguardEmerging Markets Stock Index Fund ETF Shares (VWO)	Purchase	04/19/2022	\$1,001 - \$15,000
442	Vanguard Intermediate-Term Treasury Index Fund ETF Class Shares (VGIT)	Purchase	04/19/2022	\$1,001 - \$15,000
443	Vanguard Intermediate-Term Bond Index Fund ETF Shares (BIV)	Purchase	04/19/2022	\$15,001 - \$50,000
444	VanguardEmerging Markets Government Bond Index Fund ETF Shares (VWOB)	Purchase	04/19/2022	\$1,001 - \$15,000
445	iShares Core S&P Small-Cap ETF (IJR)	Sale	04/19/2022	\$1,001 - \$15,000
446	iShares National Muni Bond ETF (MUB)	Sale	04/19/2022	\$15,001 - \$50,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
447	iSharesJPMorgan USDEmerging Markets Bond ETF (EMB)	Sale	04/19/2022	\$1,001 - \$15,000
448	iShares Short-Term National Muni Bond ETF (SUB)	Sale	04/19/2022	\$15,001 - \$50,000
449	iShares Core MSCIEmerging Markets ETF (IEMG)	Sale	04/19/2022	\$15,001 - \$50,000
450	iShares Broad USD High Yield Corporate Bond ETF (USHY)	Sale	04/19/2022	\$1,001 - \$15,000
451	Invesco National AMT-Free Municipal Bond ETF (PZA)	Sale	04/19/2022	\$15,001 - \$50,000
452	VanEck Vectors JP Morgan EM Local Currency Bond ETF (EMLC)	Sale	04/19/2022	\$1,001 - \$15,000
453	Vanguard Long-Term Bond Index Fund ETF Shares (BLV)	Sale	04/19/2022	\$1,001 - \$15,000
454	iShares Broad USD Investment Grade Corporate Bond ETF (USIG)	Purchase	03/25/2022	\$15,001 - \$50,000
455	iShares MSCI EAFE ETF (EFA)	Sale	03/25/2022	\$1,001 - \$15,000
456	iShares 20+ Year Treasury Bond ETF (TLT)	Sale	03/25/2022	\$1,001 - \$15,000
457	Invesco Opt Yld Dvs Cmd Str No K-1 ETF (PDBC)	Sale	03/25/2022	\$1,001 - \$15,000
458	Vanguard Intermediate-Term Treasury Index Fund ETF Class Shares (VGIT)	Sale	03/25/2022	\$1,001 - \$15,000
459	iShares 20+ Year Treasury Bond ETF (TLT)	Purchase	03/08/2022	\$1,001 - \$15,000
460	Vanguard Intermediate-Term Treasury Index Fund ETF Class Shares (VGIT)	Purchase	03/08/2022	\$1,001 - \$15,000
461	S&P Global, Inc. (SPGI)	Purchase	03/08/2022	\$1,001 - \$15,000
462	iShares 20+ Year Treasury Bond ETF (TLT)	Purchase	03/07/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
463	Vanguard Intermediate-Term Treasury Index Fund ETF Class Shares (VGIT)	Purchase	03/07/2022	\$1,001 - \$15,000
464	iShares Russell Mid-Cap Value ETF (IWS)	Sale	03/07/2022	\$1,001 - \$15,000
465	iShares MSCI EAFE ETF (EFA)	Sale	03/07/2022	\$1,001 - \$15,000
466	iShares JP Morgan EM Local Currency Bond ETF (LEMB)	Purchase	03/07/2022	\$1,001 - \$15,000
467	Vanguard Russell 2000 Index Fund ETF Shares (VTWO)	Sale	03/07/2022	\$1,001 - \$15,000
468	Vanguard Growth Index Fund ETF Class Shares (VUG)	Sale	03/07/2022	\$1,001 - \$15,000
469	iShares MSCI EAFE ETF (EFA)	Sale	03/07/2022	\$1,001 - \$15,000
470	iShares Core MSCI EAFE ETF (IEFA)	Sale	03/07/2022	\$1,001 - \$15,000
471	Vanguard Long-Term Bond Index Fund ETF Shares (BLV)	Purchase	03/07/2022	\$1,001 - \$15,000
472	Vanguard Russell 1000 Growth Index Fund ETF Shares (VONG)	Purchase	03/07/2022	\$1,001 - \$15,000
473	SPDRNuveen Bloomberg Barclays Municipal Bond ETF (TFI)	Purchase	03/07/2022	\$1,001 - \$15,000
474	Invesco Opt Yld Dvs Cmd Str No K-1 ETF (PDBC)	Purchase	02/25/2022	\$1,001 - \$15,000
475	Vanguard Mid-Cap Index Fund ETF Shares (VO)	Sale	02/25/2022	\$1,001 - \$15,000
476	Invesco Opt Yld Dvs Cmd Str No K-1 ETF (PDBC)	Purchase	02/25/2022	\$1,001 - \$15,000
477	Vanguard Mid-Cap Index Fund ETF Shares (VO)	Sale	02/25/2022	\$1,001 - \$15,000
478	iShares Russell Mid-Cap Value ETF (IWS)	Purchase	02/24/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
479	iShares National Muni Bond ETF (MUB)	Purchase	02/24/2022	\$15,001 - \$50,000
480	iSharesJPMorgan USDEmerging Markets Bond ETF (EMB)	Purchase	02/24/2022	\$1,001 - \$15,000
481	iShares Short-Term National Muni Bond ETF (SUB)	Purchase	02/24/2022	\$1,001 - \$15,000
482	iShares Core MSCI EAFE ETF (IEFA)	Purchase	02/24/2022	\$15,001 - \$50,000
483	iShares Core MSCIEmerging Markets ETF (IEMG)	Purchase	02/24/2022	\$15,001 - \$50,000
484	iShares Broad USD High Yield Corporate Bond ETF (USHY)	Purchase	02/24/2022	\$1,001 - \$15,000
485	WisdomTree US MidCap Dividend Fund (DON)	Sale	02/24/2022	\$1,001 - \$15,000
486	Vanguard Developed Markets Index Fund ETF Shares (VEA)	Sale	02/24/2022	\$1,001 - \$15,000
487	VanEck Vectors AMT-Free Long Municipal Index ETF (MLN)	Sale	02/24/2022	\$1,001 - \$15,000
488	VanEck Vectors AMT-Free Short Municipal Index ETF (SMB)	Sale	02/24/2022	\$1,001 - \$15,000
489	SPDR PortfolioEmerging Markets ETF (SPEM)	Sale	02/24/2022	\$15,001 - \$50,000
490	Vanguard Russell 2000 Index Fund ETF Shares (VTWO)	Sale	01/31/2022	\$1,001 - \$15,000
491	Invesco Opt Yld Dvs Cmd Str No K-1 ETF (PDBC)	Sale	01/31/2022	\$1,001 - \$15,000
492	IQ 50 Percent Hedged FTSE International ETF (HFXI)	Sale	01/31/2022	\$1,001 - \$15,000
493	Invesco National AMT-Free Municipal Bond ETF (PZA)	Purchase	02/24/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
494	Vanguard Russell 1000 Growth Index Fund ETF Shares (VONG)	Purchase	02/24/2022	\$15,001 - \$50,000
495	VanEck Vectors JP Morgan EM Local Currency Bond ETF (EMLC)	Purchase	02/24/2022	\$1,001 - \$15,000
496	First Trust Managed Municipal ETF (FMB)	Sale	02/24/2022	\$15,001 - \$50,000
497	Invesco Opt Yld Dvs Cmd Str No K-1 ETF (PDBC)	Sale	02/24/2022	\$1,001 - \$15,000
498	Invesco Fundamental High Yield Corporate Bond ETF (PHB)	Sale	02/24/2022	\$1,001 - \$15,000
499	global x emerging markets (EMBD)	Sale	02/24/2022	\$1,001 - \$15,000
500	SPDR Bloomberg Barclays Emerging Markets Local Bond ETF (EBND)	Sale	02/24/2022	\$1,001 - \$15,000
501	Schwab US Large-Cap Growth ETF (SCHG)	Sale	02/24/2022	\$1,001 - \$15,000
502	Vanguard Value Index Fund ETF Shares (VTV)	Sale	01/31/2022	\$1,001 - \$15,000
503	VanEck Vectors AMT-Free Long Municipal Index ETF (MLN)	Sale	01/31/2022	\$1,001 - \$15,000
504	iShares Core MSCI EAFE ETF (IEFA)	Purchase	01/28/2022	\$1,001 - \$15,000
505	Vanguard Intermediate-Term Treasury Index Fund ETF Class Shares (VGIT)	Purchase	01/28/2022	\$1,001 - \$15,000
506	iShares 20+ Year Treasury Bond ETF (TLT)	Sale	01/28/2022	\$1,001 - \$15,000
507	iShares Currency Hedged MSCI Japan ETF (HEWJ)	Sale	01/28/2022	\$1,001 - \$15,000
508	WisdomTree Japan Hedged Equity Fund (DXJ)	Sale	01/28/2022	\$1,001 - \$15,000
509	First Trust Managed Municipal ETF (FMB)	Purchase	01/24/2022	\$15,001 - \$50,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
510	IQ 50 Percent Hedged FTSE International ETF (HFXI)	Purchase	01/24/2022	\$1,001 - \$15,000
511	Invesco Fundamental High Yield Corporate Bond ETF (PHB)	Purchase	01/24/2022	\$1,001 - \$15,000
512	Global X Emerging Markets (EMBD)	Purchase	01/24/2022	\$1,001 - \$15,000
513	Vanguard Russell 1000 Growth Index Fund ETF Shares (VONG)	Purchase	12/16/2022	\$1,001 - \$15,000
514	iShares Currency Hedged MSCI EAFE ETF (HEFA)	Sale	12/16/2022	\$1,001 - \$15,000
515	iShares Broad USD Investment Grade Corporate Bond ETF (USIG)	Purchase	12/09/2022	\$1,001 - \$15,000
516	SPDRNuveen Bloomberg Barclays Municipal Bond ETF (TFI)	Purchase	11/22/2022	\$1,001 - \$15,000
517	The Coca-Cola Co. (KO)	Purchase	11/14/2022	\$1,001 - \$15,000
518	The Coca-Cola Co. (KO)	Purchase	11/11/2022	\$1,001 - \$15,000
519	Fidelity National Information Services, Inc. (FIS)	Sale	11/11/2022	\$1,001 - \$15,000
520	Fidelity National Information Services, Inc. (FIS)	Purchase	11/11/2022	\$1,001 - \$15,000
521	The Coca-Cola Co. (KO)	Purchase	11/10/2022	\$1,001 - \$15,000
522	Eli Lilly & Co. (LLY)	Purchase	11/10/2022	\$1,001 - \$15,000
523	Booking Holdings Inc. (BKNG)	Sale	11/10/2022	\$1,001 - \$15,000
524	Thermo Fisher Scientific, Inc. (TMO)	Purchase	11/01/2022	\$1,001 - \$15,000
525	Visa, Inc. (V)	Sale	11/01/2022	\$1,001 - \$15,000
526	iShares National Muni Bond ETF (MUB)	Purchase	10/21/2022	\$1,001 - \$15,000
527	SPDR S&P Oil & Gas Exploration & Production ETF (XOP)	Purchase	10/21/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
528	Vanguard Long-Term Bond Index Fund ETF Shares (BLV)	Purchase	10/21/2022	\$1,001 - \$15,000
529	iShares Core S&P 500 ETF (IVV)	Sale	10/21/2022	\$1,001 - \$15,000
530	Invesco Opt Yld Dvs Cmd Str No K-1 ETF (PDBC)	Sale	10/21/2022	\$1,001 - \$15,000
531	Vanguard Total Stock Market Index Fund ETF Class Shares (VTI)	Sale	10/21/2022	\$1,001 - \$15,000
532	Vanguard Small-Cap Growth Index Fund ETF Class Shares (VBK)	Sale	10/21/2022	\$1,001 - \$15,000
533	Vanguard FTSE All-World ex-US Small-Cap Index Fund ETF Shares (VSS)	Sale	10/21/2022	\$1,001 - \$15,000
534	iShares Broad USD Investment Grade Corporate Bond ETF (USIG)	Purchase	10/21/2022	\$1,001 - \$15,000
535	iShares MBS ETF (MBB)	Purchase	10/21/2022	\$1,001 - \$15,000
536	SPDR S&P Oil & Gas Exploration & Production ETF (XOP)	Purchase	10/21/2022	\$15,001 - \$50,000
537	Vanguard Intermediate-Term Treasury Index Fund ETF Class Shares (VGIT)	Purchase	10/21/2022	\$1,001 - \$15,000
538	iShares Broad USD High Yield Corporate Bond ETF (USHY)	Sale	10/21/2022	\$1,001 - \$15,000
539	Invesco Opt Yld Dvs Cmd Str No K-1 ETF (PDBC)	Sale	10/21/2022	\$1,001 - \$15,000
540	Vanguard Value Index Fund ETF Shares (VTV)	Sale	10/21/2022	\$1,001 - \$15,000
541	Vanguard Growth Index Fund ETF Class Shares (VUG)	Sale	10/21/2022	\$1,001 - \$15,000
542	iShares 20+ Year Treasury Bond ETF (TLT)	Purchase	09/27/2022	\$1,001 - \$15,000
543	iSharesJPMorgan USDEmerging Markets Bond ETF (EMB)	Purchase	09/27/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
544	iShares Core MSCI EAFE ETF (IEFA)	Purchase	09/27/2022	\$1,001 - \$15,000
545	iShares Core MSCI Emerging Markets ETF (IEMG)	Purchase	09/27/2022	\$1,001 - \$15,000
546	iShares Broad USD High Yield Corporate Bond ETF (USHY)	Purchase	09/27/2022	\$1,001 - \$15,000
547	VanEck Vectors JP Morgan EM Local Currency Bond ETF (EMLC)	Purchase	09/27/2022	\$1,001 - \$15,000
548	Adobe, Inc. (ADBE)	Sale	09/27/2022	\$1,001 - \$15,000
549	iShares Core S&P 500 ETF (IVV)	Purchase	09/26/2022	\$1,001 - \$15,000
550	iShares Core S&P Small-Cap ETF (IJR)	Purchase	09/26/2022	\$1,001 - \$15,000
551	iShares S&P Small-Cap 600 Growth ETF (IJT)	Purchase	09/26/2022	\$1,001 - \$15,000
552	iShares Core US Aggregate Bond ETF (AGG)	Purchase	09/26/2022	\$1,001 - \$15,000
553	iShares MSCI EAFE Growth ETF (EFG)	Purchase	09/26/2022	\$1,001 - \$15,000
554	iShares National Muni Bond ETF (MUB)	Purchase	09/26/2022	\$15,001 - \$50,000
555	Invesco National AMT-Free Municipal Bond ETF (PZA)	Purchase	09/26/2022	\$15,001 - \$50,000
556	Vanguard FTSE All-World ex US Index Fund ETF Shares (VEU)	Purchase	09/26/2022	\$15,001 - \$50,000
557	Vanguard Total International Stock Index Fund ETF Shares (VXUS)	Purchase	09/26/2022	\$15,001 - \$50,000
558	iShares JP Morgan EM Local Currency Bond ETF (LEMB)	Sale	09/26/2022	\$1,001 - \$15,000
559	iShares MSCI EAFE Value ETF (EFV)	Sale	09/26/2022	\$1,001 - \$15,000
560	iShares MSCI EAFE ETF (EFA)	Sale	09/26/2022	\$1,001 - \$15,000
561	iShares S&P Small-Cap 600 Value ETF (IJS)	Sale	09/26/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
562	Vanguard Developed Markets Index Fund ETF Shares (VEA)	Purchase	09/26/2022	\$1,001 - \$15,000
563	VanEck Vectors JP Morgan EM Local Currency Bond ETF (EMLC)	Purchase	09/26/2022	\$1,001 - \$15,000
564	Vanguard FTSE All-World ex-US Small-Cap Index Fund ETF Shares (VSS)	Purchase	09/26/2022	\$1,001 - \$15,000
565	iShares Short-Term National Muni Bond ETF (SUB)	Purchase	09/26/2022	\$1,001 - \$15,000
566	iShares MSCI World ETF (URTH)	Purchase	09/26/2022	\$1,001 - \$15,000
567	iShares Currency Hedged MSCI EAFE ETF (HEFA)	Purchase	09/26/2022	\$1,001 - \$15,000
568	iShares Broad USD High Yield Corporate Bond ETF (USHY)	Purchase	09/26/2022	\$1,001 - \$15,000
569	Invesco Emerging Markets Sovereign Debt ETF (PCY)	Purchase	09/26/2022	\$1,001 - \$15,000
570	SPDR Portfolio S&P 500 Value ETF (SPYV)	Purchase	09/26/2022	\$1,001 - \$15,000
571	Schwab US Small-Cap ETF (SCHA)	Purchase	09/26/2022	\$1,001 - \$15,000
572	Schwab International Equity ETF (SCHF)	Purchase	09/26/2022	\$1,001 - \$15,000
573	Vanguard Total Stock Market Index Fund ETF Class Shares (VTI)	Purchase	09/26/2022	\$1,001 - \$15,000
574	Vanguard Small-Cap Growth Index Fund ETF Class Shares (VBK)	Purchase	09/26/2022	\$1,001 - \$15,000
575	Vanguard Intermediate-Term Bond Index Fund ETF Shares (BIV)	Sale	09/26/2022	\$15,001 - \$50,000
576	Adobe, Inc. (ADBE)	Sale	09/26/2022	\$1,001 - \$15,000
577	iShares Core MSCI EAFE ETF (IEFA)	Sale	09/26/2022	\$15,001 - \$50,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
578	iShares Core MSCI Emerging Markets ETF (IEMG)	Sale	09/26/2022	\$15,001 - \$50,000
579	SPDR Nuveen Bloomberg Barclays Municipal Bond ETF (TFI)	Sale	09/26/2022	\$15,001 - \$50,000
580	Vanguard Russell 2000 Index Fund ETF Shares (VTWO)	Sale	09/26/2022	\$1,001 - \$15,000
581	Schwab Emerging Markets Equity ETF (SCHE)	Sale	09/26/2022	\$1,001 - \$15,000
582	Vanguard Russell 1000 Value Index Fund ETF Shares (VONV)	Sale	09/26/2022	\$1,001 - \$15,000
583	Vanguard Russell 1000 Growth Index Fund ETF Shares (VONG)	Sale	09/26/2022	\$1,001 - \$15,000
584	SPDR Portfolio Emerging Markets ETF (SPEM)	Sale	09/26/2022	\$1,001 - \$15,000
585	Vanguard Emerging Markets Stock Index Fund ETF Shares (VWO)	Sale	09/26/2022	\$1,001 - \$15,000
586	Vanguard Intermediate-Term Treasury Index Fund ETF Class Shares (VGIT)	Sale	09/26/2022	\$1,001 - \$15,000
587	iShares Core MSCI Emerging Markets ETF (IEMG)	Purchase	08/26/2022	\$1,001 - \$15,000
588	Vanguard Russell 1000 Value Index Fund ETF Shares (VONV)	Sale	08/26/2022	\$1,001 - \$15,000
589	Vanguard Russell 1000 Growth Index Fund ETF Shares (VONG)	Sale	08/05/2022	\$1,001 - \$15,000
590	Vanguard Russell 1000 Value Index Fund ETF Shares (VONV)	Sale	08/05/2022	\$1,001 - \$15,000
591	Lowes Companies (LOW)	Sale	08/01/2022	\$1,001 - \$15,000
592	Vanguard Intermediate-Term Treasury Index Fund ETF Class Shares (VGIT)	Purchase	07/29/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
593	Invesco Opt Yld Dvs Cmd Str No K-1 ETF (PDBC)	Sale	07/29/2022	\$1,001 - \$15,000
594	Lowes companies (LOW)	Sale	07/29/2022	\$1,001 - \$15,000
595	iShares Core MSCI EAFE ETF (IEFA)	Purchase	07/12/2022	\$1,001 - \$15,000
596	iShares Core MSCIEmerging Markets ETF (IEMG)	Purchase	07/12/2022	\$1,001 - \$15,000
597	iShares Broad USD Investment Grade Corporate Bond ETF (USIG)	Sale	07/12/2022	\$1,001 - \$15,000
598	Vanguard Value Index Fund ETF Shares (VTV)	Sale	07/12/2022	\$1,001 - \$15,000
599	iShares MSCI EAFE Value ETF (EFV)	Purchase	06/24/2022	\$1,001 - \$15,000
600	Vanguard Russell 1000 Value Index Fund ETF Shares (VONV)	Sale	06/24/2022	\$1,001 - \$15,000
601	iShares 20+ Year Treasury Bond ETF (TLT)	Purchase	06/24/2022	\$1,001 - \$15,000
602	iShares Russell Mid-Cap Value ETF (IWS)	Sale	06/24/2022	\$1,001 - \$15,000
603	Palo Alto Networks, Inc. (PANW)	Purchase	06/21/2022	\$1,001 - \$15,000
604	UnitedHealth Group, Inc. (UNH)	Purchase	06/21/2022	\$1,001 - \$15,000
605	meta platforms (META)	Sale	06/21/2022	\$1,001 - \$15,000
606	iShares S&P Small-Cap 600 Value ETF (IJS)	Purchase	05/27/2022	\$1,001 - \$15,000
607	iShares MSCI EAFE Value ETF (EFV)	Purchase	05/27/2022	\$1,001 - \$15,000
608	Vanguard Russell 1000 Growth Index Fund ETF Shares (VONG)	Purchase	05/27/2022	\$1,001 - \$15,000
609	iShares Russell Mid-Cap Value ETF (IWS)	Sale	05/27/2022	\$1,001 - \$15,000
610	iShares Core MSCI EAFE ETF (IEFA)	Purchase	05/27/2022	\$1,001 - \$15,000
611	SchwabEmerging Markets Equity ETF (SCHE)		04/29/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
612	Vanguard Russell 2000 Index Fund ETF Shares (VTWO)	Sale	04/29/2022	\$1,001 - \$15,000
613	iShares JP Morgan EM Local Currency Bond ETF (LEMB)	Purchase	04/19/2022	\$1,001 - \$15,000
614	SPDRNuveen Bloomberg Barclays Municipal Bond ETF (TFI)	Purchase	04/19/2022	\$15,001 - \$50,000
615	Vanguard Intermediate-Term Bond Index Fund ETF Shares (BIV)	Purchase	04/19/2022	\$15,001 - \$50,000
616	iShares National Muni Bond ETF (MUB)	Sale	04/19/2022	\$15,001 - \$50,000
617	Invesco National AMT-Free Municipal Bond ETF (PZA)	Sale	04/19/2022	\$15,001 - \$50,000
618	iShares Broad USD Investment Grade Corporate Bond ETF (USIG)	Purchase	03/25/2022	\$15,001 - \$50,000
619	iShares MSCI EAFE ETF (EFA)	Sale	03/25/2022	\$1,001 - \$15,000
620	UnitedHealth Group, Inc. (UNH)	Sale	03/29/2022	\$1,001 - \$15,000
621	Intuitive Surgical, Inc. (ISRG)	Purchase	03/28/2022	\$1,001 - \$15,000
622	NIKE, Inc. (NKE)	Purchase	03/28/2022	\$1,001 - \$15,000
623	UnitedHealth Group, Inc. (UNH)	Sale	03/28/2022	\$1,001 - \$15,000
624	Vanguard Long-Term Bond Index Fund ETF Shares (BLV)	Sale	04/19/2022	\$1,001 - \$15,000
625	VanEck Vectors JP Morgan EM Local Currency Bond ETF (EMLC)	Sale	04/19/2022	\$1,001 - \$15,000
626	SPDRNuveen Bloomberg Barclays Short Term Municipal Bond ETF (SHM)	Purchase	04/19/2022	\$1,001 - \$15,000
627	SPDR Portfolio Emerging Markets ETF (SPEM)	Purchase	04/19/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
628	VanguardEmerging Markets Stock Index Fund ETF Shares (VWO)	Purchase	04/19/2022	\$1,001 - \$15,000
629	Vanguard Intermediate-Term Treasury Index Fund ETF Class Shares (VGIT)	Purchase	04/19/2022	\$1,001 - \$15,000
630	iSharesJPMorgan USDEmerging Markets Bond ETF (EMB)	Sale	04/19/2022	\$1,001 - \$15,000
631	iShares Short-Term National Muni Bond ETF (SUB)	Sale	04/19/2022	\$1,001 - \$15,000
632	iShares Core MSCIEmerging Markets ETF (IEMG)	Sale	04/19/2022	\$1,001 - \$15,000
633	iShares Broad USD High Yield Corporate Bond ETF (USHY)	Sale	04/19/2022	\$1,001 - \$15,000
634	Vanguard Intermediate-Term Treasury Index Fund ETF Class Shares (VGIT)	Sale	03/25/2022	\$15,001 - \$50,000
635	iShares National Muni Bond ETF (MUB)	Purchase	02/24/2022	\$15,001 - \$50,000
636	iShares Core MSCI EAFE ETF (IEFA)	Purchase	02/24/2022	\$15,001 - \$50,000
637	First Trust Managed Municipal ETF (FMB)	Sale	02/24/2022	\$15,001 - \$50,000
638	iShares Core MSCI EAFE ETF (IEFA)	Purchase	01/28/2022	\$15,001 - \$50,000
639	Vanguard Intermediate-Term Treasury Index Fund ETF Class Shares (VGIT)	Purchase	01/28/2022	\$1,001 - \$15,000
640	WisdomTree Japan Hedged Equity Fund (DXJ)	Sale	01/28/2022	\$1,001 - \$15,000
641	Invesco National AMT-Free Municipal Bond ETF (PZA)	Sale	01/28/2022	\$1,001 - \$15,000
642	iShares Currency Hedged MSCI Japan ETF (HEWJ)	Sale	01/28/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
643	meta platforms (FB)	Sale	02/14/2022	\$1,001 - \$15,000
644	Alphabet, Inc. (GOOGL)	Purchase	02/14/2022	\$1,001 - \$15,000
645	SPDR Bloomberg Barclays Emerging Markets Local Bond ETF (EBND)	Sale	02/24/2022	\$1,001 - \$15,000
646	WisdomTree US MidCap Dividend Fund (DON)	Sale	02/24/2022	\$1,001 - \$15,000
647	Schwab US Large-Cap Growth ETF (SCHG)	Sale	02/24/2022	\$1,001 - \$15,000
648	SPDR Portfolio Emerging Markets ETF (SPEM)	Sale	02/24/2022	\$1,001 - \$15,000
649	Vanguard Value Index Fund ETF Shares (VTV)	Sale	02/24/2022	\$1,001 - \$15,000
650	Vanguard Developed Markets Index Fund ETF Shares (VEA)	Sale	02/24/2022	\$1,001 - \$15,000
651	iShares 20+ Year Treasury Bond ETF (TLT)	Sale	03/25/2022	\$1,001 - \$15,000
652	Invesco Opt Yld Dvs Cmd Str No K-1 ETF (PDBC)	Sale	03/25/2022	\$1,001 - \$15,000
653	Vanguard Intermediate-Term Treasury Index Fund ETF Class Shares (VGIT)	Purchase	03/07/2022	\$15,001 - \$50,000
654	iShares 20+ Year Treasury Bond ETF (TLT)	Purchase	03/08/2022	\$1,001 - \$15,000
655	S&P Global, Inc. (SPGI)	Purchase	03/08/2022	\$1,001 - \$15,000
656	Union Pacific Corp. (UNP)	Sale	03/08/2022	\$1,001 - \$15,000
657	global x emerging markets (EMBD)	Sale	02/24/2022	\$1,001 - \$15,000
658	Invesco National AMT-Free Municipal Bond ETF (PZA)	Sale	02/24/2022	\$1,001 - \$15,000
659	Invesco Opt Yld Dvs Cmd Str No K-1 ETF (PDBC)	Sale	02/24/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
660	VanEck Vectors JP Morgan EM Local Currency Bond ETF (EMLC)	Purchase	02/24/2022	\$1,001 - \$15,000
661	Vanguard Russell 1000 Growth Index Fund ETF Shares (VONG)	Purchase	02/24/2022	\$1,001 - \$15,000
662	iShares Core MSCI Emerging Markets ETF (IEMG)	Purchase	02/24/2022	\$1,001 - \$15,000
663	iShares JPMorgan US Emerging Markets Bond ETF (EMB)	Purchase	02/24/2022	\$1,001 - \$15,000
664	iShares Russell Mid-Cap Value ETF (IWS)	Purchase	02/24/2022	\$1,001 - \$15,000
665	Vanguard Mid-Cap Index Fund ETF Shares (VO)	Sale	02/25/2022	\$1,001 - \$15,000
666	Invesco Opt Yld Dvs Cmd Str No K-1 ETF (PDBC)	Purchase	02/25/2022	\$1,001 - \$15,000
667	Vanguard Mid-Cap Index Fund ETF Shares (VO)	Sale	02/25/2022	\$1,001 - \$15,000
668	Invesco Opt Yld Dvs Cmd Str No K-1 ETF (PDBC)	Purchase	02/25/2022	\$1,001 - \$15,000
669	iShares MSCI EAFE ETF (EFA)	Sale	02/07/2022	\$1,001 - \$15,000
670	iShares Russell Mid-Cap Value ETF (IWS)	Sale	02/07/2022	\$1,001 - \$15,000
671	iShares JP Morgan EM Local Currency Bond ETF (LEMB)	Purchase	03/07/2022	\$1,001 - \$15,000
672	SPDR Nuveen Bloomberg Barclays Municipal Bond ETF (TFI)	Purchase	03/07/2022	\$1,001 - \$15,000
673	iShares 20+ Year Treasury Bond ETF (TLT)	Purchase	03/07/2022	\$1,001 - \$15,000
674	Vanguard Russell 1000 Growth Index Fund ETF Shares (VONG)	Purchase	03/07/2022	\$1,001 - \$15,000
675	Vanguard Long-Term Bond Index Fund ETF Shares (BLV)	Purchase	03/07/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
676	iShares Core MSCI EAFE ETF (IEFA)	Sale	03/07/2022	\$1,001 - \$15,000
677	Vanguard Growth Index Fund ETF Class Shares (VUG)	Sale	03/07/2022	\$1,001 - \$15,000
678	Vanguard Russell 2000 Index Fund ETF Shares (VTWO)	Sale	03/07/2022	\$1,001 - \$15,000
679	iShares Core MSCI Emerging Markets ETF (IEMG)	Purchase	08/05/2022	\$1,001 - \$15,000
680	iShares 20+ Year Treasury Bond ETF (TLT)	Sale	01/28/2022	\$15,001 - \$50,000
681	SPDR Bloomberg Barclays Emerging Markets Local Bond ETF (EBND)	Purchase	01/24/2022	\$1,001 - \$15,000
682	Schwab US Large-Cap Growth ETF (SCHG)	Purchase	01/24/2022	\$1,001 - \$15,000
683	SPDR Portfolio Emerging Markets ETF (SPEM)	Purchase	01/24/2022	\$15,001 - \$50,000
684	VanEck Vectors AMT-Free Short Municipal Index ETF (SMB)	Purchase	01/24/2022	\$1,001 - \$15,000
685	VanEck Vectors AMT-Free Long Municipal Index ETF (MLN)	Purchase	01/24/2022	\$1,001 - \$15,000
686	Vanguard Developed Markets Index Fund ETF Shares (VEA)	Purchase	01/24/2022	\$1,001 - \$15,000
687	DON	Purchase	01/24/2022	\$1,001 - \$15,000
688	iShares Russell Mid-Cap Value ETF (IWS)	Sale	01/24/2022	\$1,001 - \$15,000
689	iShares National Muni Bond ETF (MUB)	Sale	01/24/2022	\$15,001 - \$50,000
690	iShares JPMorgan US Emerging Markets Bond ETF (EMB)	Sale	01/24/2022	\$1,001 - \$15,000
691	iShares Short-Term National Muni Bond ETF (SUB)	Sale	01/24/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
692	iShares Core MSCI EAFE ETF (IEFA)	Sale	01/24/2022	\$1,001 - \$15,000
693	iShares Core MSCI Emerging Markets ETF (IEMG)	Sale	01/24/2022	\$15,001 - \$50,000
694	iShares Currency Hedged MSCI Japan ETF (HEWJ)	Sale	01/24/2022	\$1,001 - \$15,000
695	iShares Broad USD High Yield Corporate Bond ETF (USHY)	Sale	01/24/2022	\$1,001 - \$15,000
696	Invesco National AMT-Free Municipal Bond ETF (PZA)	Sale	01/24/2022	\$1,001 - \$15,000
697	Vanguard Growth Index Fund ETF Class Shares (VUG)	Sale	01/24/2022	\$1,001 - \$15,000
698	Vanguard Russell 1000 Growth Index Fund ETF Shares (VONG)	Sale	01/24/2022	\$1,001 - \$15,000
699	VanEck Vectors JP Morgan EM Local Currency Bond ETF (EMLC)	Sale	01/24/2022	\$1,001 - \$15,000
700	First Trust Managed Municipal ETF (FMB)	Purchase	01/24/2022	\$15,001 - \$50,000
701	Global X Emerging Markets Bond ETF Global X Emerging Markets Bond ETF (EMBD)	Purchase	01/24/2022	\$1,001 - \$15,000
702	SPDR Bloomberg Barclays Emerging Markets Local Bond ETF (EBND)	Purchase	01/24/2022	\$1,001 - \$15,000
703	Schwab US Large-Cap Growth ETF (SCHG)	Purchase	01/24/2022	\$1,001 - \$15,000
704	SPEM	Purchase	01/24/2022	\$1,001 - \$15,000
705	Vanguard Developed Markets Index Fund ETF Shares (VEA)	Purchase	01/24/2022	\$1,001 - \$15,000
706	WisdomTree US MidCap Dividend Fund (DON)	Purchase	01/24/2022	\$1,001 - \$15,000
707	iShares Russell Mid-Cap Value ETF (IWS)	Sale	01/24/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
708	iShares National Muni Bond ETF (MUB)	Sale	01/24/2022	\$15,001 - \$50,000
709	iSharesJPMorgan USDEmerging Markets Bond ETF (EMB)	Sale	01/24/2022	\$1,001 - \$15,000
710	iShares Core MSCIEmerging Markets ETF (IEMG)	Sale	01/24/2022	\$1,001 - \$15,000
711	Vanguard Growth Index Fund ETF Class Shares (VUG)	Sale	01/24/2022	\$1,001 - \$15,000
712	Vanguard Russell 1000 Growth Index Fund ETF Shares (VONG)	Purchase	01/24/2022	\$1,001 - \$15,000
713	VanEck Vectors JP Morgan EM Local Currency Bond ETF (EMLC)	Sale	01/24/2022	\$1,001 - \$15,000
714	iShares Core MSCI EAFE ETF (IEFA)	Purchase	01/24/2022	\$1,001 - \$15,000
715	iShares Core MSCIEmerging Markets ETF (IEMG)	Purchase	01/24/2022	\$1,001 - \$15,000
716	Invesco Opt Yld Dvs Cmd Str No K-1 ETF (PDBC)	Purchase	01/05/2022	\$1,001 - \$15,000
717	FlexShares MorningstarGlobal Upstream Natural Resources Index Fund (GUNR)	Sale	01/05/2022	\$1,001 - \$15,000
718	PDBC	Purchase	01/05/2022	\$1,001 - \$15,000
719	FlexShares MorningstarGlobal Upstream Natural Resources Index Fund (GUNR)	Sale	01/05/2022	\$1,001 - \$15,000
720	Invesco Opt Yld Dvs Cmd Str No K-1 ETF (PDBC)	Purchase	01/05/2022	\$1,001 - \$15,000
721	FlexShares MorningstarGlobal Upstream Natural Resources Index Fund (GUNR)	Sale	01/05/2022	\$1,001 - \$15,000
722	Invesco Opt Yld Dvs Cmd Str No K-1 ETF (PDBC)	Purchase	01/05/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
723	FlexShares MorningstarGlobal Upstream Natural Resources Index Fund (GUNR)	Sale	01/05/2022	\$1,001 - \$15,000
724	Vanguard Total Bond Market Index Fund ETF Shares (BND)	Sale	11/08/2022	\$15,001 - \$50,000
725	Vanguard Total Bond Market Index Fund ETF Shares (BND)	Sale	08/29/2022	\$1,001 - \$15,000
726	Vanguard Short-Term Bond Index Fund ETF Shares (BSV)	Sale	08/29/2022	\$1,001 - \$15,000
727	Vanguard Short-Term Bond Index Fund ETF Shares (BSV)	Sale	07/15/2022	\$1,001 - \$15,000
728	FAOPX (Franklin Growth Allocation 17 yrs 529 portfolio)	Sale	01/07/2022	\$1,001 - \$15,000
729	FAOYX (Franklin US Govt Money 529 Portfolio)	Sale	02/11/2022	\$1,001 - \$15,000
730	FAOYX (Franklin US Govt Money 529 Portfolio)	Sale	03/11/2022	\$1,001 - \$15,000
731	FAOYX (Franklin US Govt Money 529 Portfolio)	Sale	04/11/2022	\$1,001 - \$15,000
732	FAOYX (Franklin US Govt Money 529 Portfolio)	Sale	10/10/2022	\$1,001 - \$15,000
733	FAOYX (Franklin US Govt Money 529 Portfolio)	Sale	08/29/2022	\$15,001 - \$50,000
734	FAOYX (Franklin US Govt Money 529 Portfolio)	Sale	09/23/2022	\$1,001 - \$15,000
735	Capital World Growth & Income Fund Class 529-A Shares (CWIAX)	Sale	12/05/2022	\$1,001 - \$15,000
736	Growth Fund of America Class 529-A Shares (CGFAX)	Sale	12/05/2022	\$1,001 - \$15,000
737	Investment Company of America Class 529-A Shares (CICAX)	Sale	12/05/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
738	New Perspective Fund Class 529-A Shares (CNPAX)	Sale	12/05/2022	\$1,001 - \$15,000
739	New World Fund, Inc Class 529-A Shares (CNWAX)	Sale	12/05/2022	\$1,001 - \$15,000
740	American SMALLCAP World Fund, Inc Class 529-A Shares (CSPAX)	Sale	12/05/2022	\$1,001 - \$15,000
741	Capital World Growth & Income Fund Class 529-A Shares (CWIAX)	Sale	12/13/2022	\$1,001 - \$15,000
742	Growth Fund of America Class 529-A Shares (CGFAX)	Sale	12/13/2022	\$1,001 - \$15,000
743	Investment Company of America Class 529-A Shares (CICAX)	Sale	12/13/2022	\$1,001 - \$15,000
744	New Perspective Fund Class 529-A Shares (CNPAX)	Sale	12/13/2022	\$1,001 - \$15,000
745	American SMALLCAP World Fund, Inc Class 529-A Shares (CSPAX)	Sale	12/13/2022	\$1,001 - \$15,000

8. Liabilities

None

9. Gifts and Travel Reimbursements

None

Endnotes

PART	#	ENDNOTE
5.	7.2	Investors Bancorp was acquired by Citizens Financial Group on April 7 2022
6.	3	Note - this stock is a combination of stock purchased through employee stock purchase plan and stock awards for performance.

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during the reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$166 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

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