Annual Report 2017 | U.S. Office of Government Ethics; 5 C.F.R. part 2634 | Form Approved: OMB No. (3209-0001) (January 2018)

Executive Branch Personnel

Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

BLOM, DOMINIQUE

Deputy Assistant Secretary, Department of Housing and Urban Development

Report Year: 2017

Other Federal Government Positions Held During the Preceding 12 Months:

None

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ BLOM, DOMINIQUE [electronically signed on 05/13/2017 by BLOM, DOMINIQUE in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Allen, Lindsey, Certifying Official [electronically signed on 05/24/2017 by Allen, Lindsey in Integrity.gov]

Other review conducted by

/s/ Williams, Clevette M, Ethics Official [electronically signed on 05/19/2017 by Williams, Clevette M in Integrity.gov]

U.S. Office of Government Ethics Certification

Data Revised 05/16/2017

Data Revised 05/15/2017

1. Filer's Positions Held Outside United States Government

None

2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Facebook, Inc Class A Common Stock		\$1,001 - \$15,000	Dividends	None (or less than \$201)
2	Ford Motor Co		\$1,001 - \$15,000	Dividends	None (or less than \$201)
3	DEMCX Delaware Emerging Markets	Yes	\$1,001 - \$15,000		None (or less than \$201)
4	NDACX Nationwide Investor	Yes	\$1,001 - \$15,000		\$201 - \$1,000
5	WFINX Wells Fargo Index fd cl c	Yes	\$15,001 - \$50,000		\$201 - \$1,000
6	MRBCX Mfs total return bond	Yes	\$15,001 - \$50,000		\$201 - \$1,000
7	PTTCX Pimco total return	Yes	\$15,001 - \$50,000		\$201 - \$1,000
8	ASPZX alger spectra fund	Yes	\$15,001 - \$50,000		None (or less than \$201)
9	DBEF Deutsche x-trackers msci	Yes	\$15,001 - \$50,000		\$201 - \$1,000
10	ECON Emerging global shares	Yes	\$1,001 - \$15,000		None (or less than \$201)
11	GICIX Goldman sachs intl small	Yes	\$1,001 - \$15,000		\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
12	LISFX Lord abbett intermediate	Yes	\$100,001 - \$250,000		\$2,501 - \$5,000
13	ITM Market vectrs intrmdiate	Yes	\$100,001 - \$250,000		\$2,501 - \$5,000
14	MEIIX MFS value fd cl i	Yes	\$15,001 - \$50,000		\$201 - \$1,000
15	NHMRX Nuveen High Yield Municipal Bond I	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
16	PZA Powershares national	Yes	\$100,001 - \$250,000		\$2,501 - \$5,000
17	SHM spdr nuveen blmbrg	Yes	\$50,001 - \$100,000		\$201 - \$1,000
18	EMRYX Van eck emerging markets	Yes	\$1,001 - \$15,000		None (or less than \$201)
19	VBR Vanguard small cap value	Yes	\$15,001 - \$50,000		\$201 - \$1,000
20	VBK Vanguard small cap	Yes	\$15,001 - \$50,000		\$201 - \$1,000
21	VO Vanguard mid-cap etf	Yes	\$50,001 - \$100,000		\$201 - \$1,000
22	VNQ Vanguard reit etf	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
23	VEA Vanguard ftse developed	Yes	\$1,001 - \$15,000		\$201 - \$1,000
24	MGC Vanguard mega cap etf	Yes	\$100,001 - \$250,000		\$2,501 - \$5,000
25	MGK Vanguard mega cap 300 growth	Yes	\$100,001 - \$250,000		\$1,001 - \$2,500
26	MGV Vanguard mega cap 300 value	Yes	\$100,001 - \$250,000		\$2,501 - \$5,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
27	HLFMX Harding Loevner Frontier	Yes	\$1,001 - \$15,000		None (or less than \$201)
28	NHMRS Nuveen High Yield Municipal Bond I	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
29	FGIMX Salient EM	Yes	\$1,001 - \$15,000		None (or less than \$201)
30	AGDYX AB High Income	Yes	None (or less than \$1,001)		None (or less than \$201)
31	AGSIX AllianceBern Unconstrained Bond Adv	Yes	\$1,001 - \$15,000		None (or less than \$201)
32	LQD iShares iBoxx \$ Invest Grade Corp Bond	Yes	\$1,001 - \$15,000		None (or less than \$201)
33	TIP iShares Barclays TIPS Bond		\$1,001 - \$15,000	Rent or Royalties	None (or less than \$201)
34	IEI iShares Barclays 3-7 Year Treasury Bond	Yes	\$1,001 - \$15,000		None (or less than \$201)
35	MBB iShares Barclays MBS Bond	Yes	\$1,001 - \$15,000		None (or less than \$201)
36	NEZYX Loomis Sayles Strategic	Yes	\$1,001 - \$15,000		None (or less than \$201)
37	MEDIX MFS Emerging Markets	Yes	None (or less than \$1,001)		None (or less than \$201)
38	MGTIX MFS Massachusetts Invest	Yes	None (or less than \$1,001)		None (or less than \$201)
39	PGX Powershares Preferred	Yes	None (or less than \$1,001)		None (or less than \$201)
40	FGIMX Salient EM	Yes	None (or less than \$1,001)		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
41	TGEIX TCW Emerging	Yes	None (or less than \$1,001)		None (or less than \$201)
42	BIV Vanguard Intermediate	Yes	\$1,001 - \$15,000		None (or less than \$201)
43	BSV Vanguard Short Term Bond	Yes	None (or less than \$1,001)		None (or less than \$201)
44	Massachusetts investors growth stock fund class	Yes	\$1,001 - \$15,000		None (or less than \$201)
45	Blackrock s&p 500 stock fund	No	\$1,001 - \$15,000		None (or less than \$201)
46	Davis new york venture fund	Yes	\$1,001 - \$15,000		None (or less than \$201)
47	Franklin small mid cap growth fund class c	Yes	\$1,001 - \$15,000		None (or less than \$201)
48	Blackrock mid cap value equity port inv cl c	Yes	\$1,001 - \$15,000		None (or less than \$201)
49	Fidelity adv sms vill fid adv div Vintl fd cl c	Yes	\$1,001 - \$15,000		None (or less than \$201)
50	Guggenheim S&P Global	Yes	\$1,001 - \$15,000		None (or less than \$201)
51	Templeton Frontier	Yes	\$1,001 - \$15,000		None (or less than \$201)
52	Columbia Emerging Mkts	Yes	\$1,001 - \$15,000		None (or less than \$201)

3. Filer's Employment Agreements and Arrangements

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

(N/A) - Not required for this type of report

5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Johns Hopkins Hospital (Hospital)	N/A		salary, bonus	
2	403(b) Match		\$1,001 - \$15,000	\$1,001 - \$15,000	
3	ARBSX American Century One Choice 2020 Instl	Yes	\$250,001 - \$500,000	\$250,001 - \$500,000	
4	MetLife Guaranteed Account	Yes	\$50,001 - \$100,000		\$201 - \$1,000
5	PONAX PIMCO Income A	Yes	\$50,001 - \$100,000		\$201 - \$1,000
6	AVFIX American Beacon Small Cp Val Inst	Yes	\$1,001 - \$15,000		\$1,001 - \$2,500
7	RERFX American Funds EuroPacific Gr R5	Yes	\$15,001 - \$50,000		None (or less than \$201)
8	BRMKX BlackRock Mid Cap Index K	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
9	FCNTX Fidelity Contrafund	Yes	\$15,001 - \$50,000		None (or less than \$201)
10	JDVWX JHancock Disciplined Value R6	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
11	JATTX Janus Triton T	Yes	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
12	VINIX Vanguard Institutional Index I	See Endnote	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500

6. Other Assets and Income

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Cash Savings Johns Hopkins Federal Credit Union			\$100,001 - \$250,000	Interest	None (or less than \$201)
2	VP Moderate C12	See Endnote	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
3	MGC Vanguard Mega Cap ETF	See Endnote	N/A	\$1,001 - \$15,000		None (or less than \$201)
4	Cash Citibank			\$15,001 - \$50,000	Interest	None (or less than \$201)
5	Cash Merrill Lynch			\$50,001 - \$100,000	Interest	None (or less than \$201)

7. Transactions

#	DESCRIPTION	TYPE	DATE	AMOUNT
1	Baird aggregate bond	Sale	01/00/16	\$1,001 - \$15,000
2	Lincoln fixed annuity	Sale	01/00/16	\$100,001 - \$250,000
3	Am fds europacific growth a	Sale	01/00/16	\$50,001 - \$100,000
4	Columbia mid cap indes fund class z	Sale	01/00/16	\$15,001 - \$50,000

#	DESCRIPTION		TYPE	DATE	AMOUNT
5	Columbia small cap indes primary z shares		Sale	01/00/16	\$15,001 - \$50,000
6	Dtsch equity 500 index s		Sale	01/00/16	\$100,001 - \$250,000
7	Gld sch sachs mid cap value a		Sale	01/00/16	\$15,001 - \$50,000
8	Jpm equity income select		Sale	01/00/16	\$15,001 - \$50,000
9	Wells fargo emerging growth		Sale	01/00/16	\$1,001 - \$15,000
10	Well fargo grown fund class inst	See Endnote	Sale	01/00/16	\$15,001 - \$50,000
11	American century one choice 2020 instl		Purchase	01/00/16	\$250,001 - \$500,000
12	Metlife guaranteed accound		Purchase	01/00/16	\$50,001 - \$100,000
13	PIMCO Income A		Purchase	01/00/16	\$50,001 - \$100,000
14	American beacon small cap val inst		Purchase	01/00/16	\$1,001 - \$15,000
15	American funds europacific gr r5		Purchase	01/00/16	\$15,001 - \$50,000
16	Blackrock mid cap index k		Purchase	01/00/16	\$50,001 - \$100,000
17	Fidelity Contrafund		Purchase	01/00/16	\$15,001 - \$50,000
18	JHancock disciplined value r6		Purchase	01/00/16	\$15,001 - \$50,000
19	Janus Triton T		Purchase	01/00/16	\$1,001 - \$15,000
20	Vanguard institutional index I	See Endnote	Purchase	01/00/16	\$15,001 - \$50,000

#	DESCRIPTION	_	TYPE	DATE	AMOUNT
21	Nationwide investor dendination moderate class		Sale	12/21/16	\$1,001 - \$15,000
22	Vanguard mega cap etf	See Endnote	Purchase	12/21/16	\$1,001 - \$15,000
23	Massachusetts investors growth stock fund class		Sale	12/21/16	\$1,001 - \$15,000
24	Blockrock s&p 500 stock fund inve		Sale	12/21/16	\$15,001 - \$50,000
25	Davis new york venture fund c		Sale	12/21/16	\$1,001 - \$15,000
26	Franklin small mid cap growth fund class c		Sale	12/21/16	\$1,001 - \$15,000
27	Blackrock mid cap value eqty port inv cl c		Sale	12/21/16	\$1,001 - \$15,000
28	Fidelity adv srs vill fid adv div intl fd cl c		Sale	12/21/16	\$1,001 - \$15,000
29	iShares iBoxx \$		Purchase	12/21/16	\$1,001 - \$15,000
30	Ishares tips		Purchase	12/21/16	\$1,001 - \$15,000
31	Vanguard Small-Cap		Purchase	12/21/16	\$1,001 - \$15,000
32	Vanguard mid cap etf		Purchase	12/21/16	\$1,001 - \$15,000
33	Vanguard reit etf		Purchase	12/21/16	\$1,001 - \$15,000
34	Ishares 3-7 year		Purchase	12/21/16	\$1,001 - \$15,000
35	Ishares mbs etf		Purchase	12/21/16	\$1,001 - \$15,000
36	Vanguard Intermediate		Purchase	12/21/16	\$1,001 - \$15,000
37	Vanguard mega cap etf		Purchase	12/21/16	\$1,001 - \$15,000
38	Vanguard mega cap 300		Purchase	12/21/16	\$1,001 - \$15,000
39	Ab unconstrained bond		Purchase	12/21/16	\$1,001 - \$15,000
40	Mfs value fd cl i		Purchase	12/21/16	\$1,001 - \$15,000
41	Alger spectra fund		Purchase	12/21/16	\$1,001 - \$15,000
		-			

42 Wells fargo index fd cl Purchase 12/09/16 \$1,001 - \$15,000 43 Loomis sayles strategic Purchase 12/21/16 \$1,001 - \$15,000 44 Guggenheim s&p global wa Sale 11/23/16 \$1,001 - \$15,000 45 Templeton frontier Sale 09/13/16 \$1,001 - \$15,000 46 Vanguard ftse developed Sale 11/23/16 \$1,001 - \$15,000 47 Deutsche x trackers msc Sale 11/23/16 \$1,001 - \$15,000 48 Columbia emerging mkts Sale 11/23/16 \$1,001 - \$15,000 49 Van eck emerging market Sale 11/23/16 \$1,001 - \$15,000 50 Goldman sachs intl smal Sale 11/23/16 \$1,001 - \$15,000 51 Powershares national Purchase 12/29/16 \$1,001 - \$15,000 52 Powershares national Purchase 11/23/16 \$1,001 - \$15,000 53 Vanguard mega cap etf Purchase 11/23/16 \$1,001 - \$15,000 54 Vanguard mega cap ago Purchase	#	DESCRIPTION	TYPE	DATE	AMOUNT
44 Guggenheim s&p global wa Sale 11/23/16 \$1,001 - \$15,000 45 Templeton frontier Sale 09/13/16 \$1,001 - \$15,000 46 Vanguard fise developed Sale 11/23/16 \$1,001 - \$15,000 47 Deutsche x trackers msc Sale 11/23/16 \$1,001 - \$15,000 48 Columbia emerging mkts Sale 11/23/16 \$1,001 - \$15,000 49 Van eck emerging market Sale 11/23/16 \$1,001 - \$15,000 50 Goldman sachs intl smal Sale 11/23/16 \$1,001 - \$15,000 51 Powershares national Purchase 12/29/16 \$1,001 - \$15,000 52 Powershares national Purchase 11/23/16 \$1,001 - \$15,000 53 Vanguard small cap Purchase 11/23/16 \$1,001 - \$15,000 54 Vanguard mega cap etf Purchase 11/23/16 \$1,001 - \$15,000 55 Vanguard mega cap ag op etf Purchase 11/23/16 \$1,001 - \$15,000 57 Harding loevner frontier Purchase <td>42</td> <td>Wells fargo index fd cl</td> <td>Purchase</td> <td>12/09/16</td> <td>\$1,001 - \$15,000</td>	42	Wells fargo index fd cl	Purchase	12/09/16	\$1,001 - \$15,000
45 Templeton frontier Sale 09/13/16 \$1,001 - \$15,000 46 Vanguard ftse developed Sale 11/23/16 \$1,001 - \$15,000 47 Deutsche x trackers msc Sale 11/23/16 \$1,001 - \$15,000 48 Columbia emerging mkts Sale 11/23/16 \$1,001 - \$15,000 49 Van eck emerging market Sale 11/23/16 \$1,001 - \$15,000 50 Goldman sachs intl smal Sale 11/23/16 \$1,001 - \$15,000 51 Powershares national Purchase 12/29/16 \$1,001 - \$15,000 52 Powershares national Purchase 12/16/16 \$1,001 - \$15,000 53 Vanguard small cap Purchase 11/23/16 \$1,001 - \$15,000 54 Vanguard mega cap etf Purchase 11/23/16 \$1,001 - \$15,000 55 Vanguard mega cap 300 Purchase 11/23/16 \$1,001 - \$15,000 56 Salient em Purchase 11/23/16 \$1,001 - \$15,000 57 Harding loevner frontier Purchase 1	43	Loomis sayles strategic	Purchase	12/21/16	\$1,001 - \$15,000
46 Vanguard ftse developed Sale 11/23/16 \$1,001 - \$15,000 47 Deutsche x trackers msc Sale 11/23/16 \$1,001 - \$15,000 48 Columbia emerging mkts Sale 11/23/16 \$1,001 - \$15,000 49 Van eck emerging market Sale 11/23/16 \$1,001 - \$15,000 50 Goldman sachs intl smal Sale 11/23/16 \$1,001 - \$15,000 51 Powershares national Purchase 12/29/16 \$1,001 - \$15,000 52 Powershares national Purchase 12/16/16 \$1,001 - \$15,000 53 Vanguard small cap Purchase 11/23/16 \$1,001 - \$15,000 54 Vanguard mega cap etf Purchase 11/23/16 \$1,001 - \$15,000 55 Vanguard mega cap 300 Purchase 11/23/16 \$1,001 - \$15,000 56 Salient em Purchase 11/23/16 \$1,001 - \$15,000 57 Harding loevner frontier Purchase 10/7/16 \$1,001 - \$15,000 58 Vanguard mega cap etf Purchase	44	Guggenheim s&p global wa	Sale	11/23/16	\$1,001 - \$15,000
47 Deutsche x trackers msc Sale 11/23/16 \$1,001 - \$15,000 48 Columbia emerging mkts Sale 11/23/16 \$1,001 - \$15,000 49 Van eck emerging market Sale 11/23/16 \$1,001 - \$15,000 50 Goldman sachs intl smal Sale 11/23/16 \$1,001 - \$15,000 51 Powershares national Purchase 12/29/16 \$1,001 - \$15,000 52 Powershares national Purchase 12/16/16 \$1,001 - \$15,000 53 Vanguard small cap Purchase 11/23/16 \$1,001 - \$15,000 54 Vanguard mega cap etf Purchase 11/23/16 \$1,001 - \$15,000 55 Vanguard mega cap 300 Purchase 11/23/16 \$1,001 - \$15,000 56 Salient em Purchase 11/23/16 \$1,001 - \$15,000 57 Harding loevner frontier Purchase 10/7/16 \$1,001 - \$15,000 58 Vanguard mega cap etf Purchase 9/22/16 \$1,001 - \$15,000 59 Vanguard mega cap etf Purchase	45	Templeton frontier	Sale	09/13/16	\$1,001 - \$15,000
48 Columbia emerging mkts Sale 11/23/16 \$1,001 - \$15,000 49 Van eck emerging market Sale 11/23/16 \$1,001 - \$15,000 50 Goldman sachs intl smal Sale 11/23/16 \$1,001 - \$15,000 51 Powershares national Purchase 12/29/16 \$1,001 - \$15,000 52 Powershares national Purchase 12/16/16 \$1,001 - \$15,000 53 Vanguard small cap Purchase 11/23/16 \$1,001 - \$15,000 54 Vanguard mega cap etf Purchase 11/23/16 \$15,001 - \$15,000 55 Vanguard mega cap 300 Purchase 11/23/16 \$15,001 - \$15,000 56 Salient em Purchase 11/23/16 \$1,001 - \$15,000 57 Harding loevner frontier Purchase 10/7/16 \$1,001 - \$15,000 58 Vanguard mega cap etf Purchase 9/22/16 \$1,001 - \$15,000 59 Vanguard mega cap etf Purchase 9/22/16 \$1,001 - \$15,000 60 Vanguard mega cap agoo Purchase	46	Vanguard ftse developed	Sale	11/23/16	\$1,001 - \$15,000
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50 Goldman sachs intl smal Sale 11/23/16 \$1,001 - \$15,000 51 Powershares national Purchase 12/29/16 \$1,001 - \$15,000 52 Powershares national Purchase 12/16/16 \$1,001 - \$15,000 53 Vanguard small cap Purchase 11/23/16 \$1,001 - \$15,000 54 Vanguard mega cap etf Purchase 11/23/16 \$1,001 - \$15,000 55 Vanguard mega cap 300 Purchase 11/23/16 \$15,001 - \$50,000 56 Salient em Purchase 11/23/16 \$1,001 - \$15,000 57 Harding loevner frontier Purchase 11/23/16 \$1,001 - \$15,000 58 Vanguard mega cap etf Purchase 9/22/16 \$1,001 - \$15,000 59 Vanguard mega cap etf Purchase 9/22/16 \$1,001 - \$15,000 60 Vanguard mega cap 300 Purchase 9/22/16 \$1,001 - \$15,000 61 Harding loevner frontier Purchase 9/13/16 \$1,001 - \$15,000 62 Powershares national Purchase <td>48</td> <td>Columbia emerging mkts</td> <td>Sale</td> <td>11/23/16</td> <td>\$1,001 - \$15,000</td>	48	Columbia emerging mkts	Sale	11/23/16	\$1,001 - \$15,000
51 Powershares national Purchase 12/29/16 \$1,001 - \$15,000 52 Powershares national Purchase 12/16/16 \$1,001 - \$15,000 53 Vanguard small cap Purchase 11/23/16 \$1,001 - \$15,000 54 Vanguard mega cap etf Purchase 11/23/16 \$1,001 - \$15,000 55 Vanguard mega cap 300 Purchase 11/23/16 \$1,001 - \$15,000 56 Salient em Purchase 11/23/16 \$1,001 - \$15,000 57 Harding loevner frontier Purchase 10/7/16 \$1,001 - \$15,000 58 Vanguard mega cap etf Purchase 9/22/16 \$1,001 - \$15,000 59 Vanguard mega cap etf Purchase 9/22/16 \$1,001 - \$15,000 60 Vanguard mega cap 300 Purchase 9/22/16 \$1,001 - \$15,000 61 Harding loevner frontier Purchase 9/13/16 \$1,001 - \$15,000 62 Powershares national Purchase 8/26/16 \$1,001 - \$15,000	49	Van eck emerging market	Sale	11/23/16	\$1,001 - \$15,000
52 Powershares national Purchase 12/16/16 \$1,001 - \$15,000 53 Vanguard small cap Purchase 11/23/16 \$1,001 - \$15,000 54 Vanguard mega cap etf Purchase 11/23/16 \$15,001 - \$15,000 55 Vanguard mega cap 300 Purchase 11/23/16 \$15,001 - \$50,000 56 Salient em Purchase 11/23/16 \$1,001 - \$15,000 57 Harding loevner frontier Purchase 11/23/16 \$1,001 - \$15,000 58 Vanguard mega cap etf Purchase 10/7/16 \$1,001 - \$15,000 59 Vanguard mega cap etf Purchase 9/22/16 \$1,001 - \$15,000 60 Vanguard mega cap 300 Purchase 9/22/16 \$1,001 - \$15,000 61 Harding loevner frontier Purchase 9/13/16 \$1,001 - \$15,000 62 Powershares national Purchase 8/26/16 \$1,001 - \$15,000	50	Goldman sachs intl smal	Sale	11/23/16	\$1,001 - \$15,000
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54 Vanguard mega cap etf Purchase 11/23/16 \$1,001 - \$15,000 55 Vanguard mega cap 300 Purchase 11/23/16 \$15,001 - \$50,000 56 Salient em Purchase 11/23/16 \$1,001 - \$15,000 57 Harding loevner frontier Purchase 11/23/16 \$1,001 - \$15,000 58 Vanguard mega cap etf Purchase 10/7/16 \$1,001 - \$15,000 59 Vanguard mega cap etf Purchase 9/22/16 \$1,001 - \$15,000 60 Vanguard mega cap 300 Purchase 9/22/16 \$1,001 - \$15,000 61 Harding loevner frontier Purchase 9/13/16 \$1,001 - \$15,000 62 Powershares national Purchase 8/26/16 \$1,001 - \$15,000	52	Powershares national	Purchase	12/16/16	\$1,001 - \$15,000
55 Vanguard mega cap 300 Purchase 11/23/16 \$15,001 - \$50,000 56 Salient em Purchase 11/23/16 \$1,001 - \$15,000 57 Harding loevner frontier Purchase 11/23/16 \$1,001 - \$15,000 58 Vanguard mega cap etf Purchase 10/7/16 \$1,001 - \$15,000 59 Vanguard mega cap etf Purchase 9/22/16 \$1,001 - \$15,000 60 Vanguard mega cap 300 Purchase 9/22/16 \$1,001 - \$15,000 61 Harding loevner frontier Purchase 9/13/16 \$1,001 - \$15,000 62 Powershares national Purchase 8/26/16 \$1,001 - \$15,000	53	Vanguard small cap	Purchase	11/23/16	\$1,001 - \$15,000
\$50,000 Salient em Purchase 11/23/16 \$1,001 - \$15,000 Harding loevner frontier Purchase 11/23/16 \$1,001 - \$15,000 Vanguard mega cap etf Purchase 9/22/16 \$1,001 - \$15,000 Vanguard mega cap atf Purchase 9/22/16 \$1,001 - \$15,000 Vanguard mega cap 300 Purchase 9/22/16 \$1,001 - \$15,000 Harding loevner frontier Purchase 9/13/16 \$1,001 - \$15,000 Purchase 9/13/16 \$1,001 - \$15,000 Purchase 9/13/16 \$1,001 - \$15,000	54	Vanguard mega cap etf	Purchase	11/23/16	\$1,001 - \$15,000
57 Harding loevner frontier Purchase 11/23/16 \$1,001 - \$15,000 58 Vanguard mega cap etf Purchase 10/7/16 \$1,001 - \$15,000 59 Vanguard mega cap etf Purchase 9/22/16 \$1,001 - \$15,000 60 Vanguard mega cap 300 Purchase 9/22/16 \$1,001 - \$15,000 61 Harding loevner frontier Purchase 9/13/16 \$1,001 - \$15,000 62 Powershares national Purchase 8/26/16 \$1,001 - \$15,000	55	Vanguard mega cap 300	Purchase	11/23/16	\$15,001 - \$50,000
58 Vanguard mega cap etf Purchase 10/7/16 \$1,001 - \$15,000 59 Vanguard mega cap etf Purchase 9/22/16 \$1,001 - \$15,000 60 Vanguard mega cap 300 Purchase 9/22/16 \$1,001 - \$15,000 61 Harding loevner frontier Purchase 9/13/16 \$1,001 - \$15,000 62 Powershares national Purchase 8/26/16 \$1,001 - \$15,000	56	Salient em	Purchase	11/23/16	\$1,001 - \$15,000
59 Vanguard mega cap etf Purchase 9/22/16 \$1,001 - \$15,000 60 Vanguard mega cap 300 Purchase 9/22/16 \$1,001 - \$15,000 61 Harding loevner frontier Purchase 9/13/16 \$1,001 - \$15,000 62 Powershares national Purchase 8/26/16 \$1,001 - \$15,000	57	Harding loevner frontier	Purchase	11/23/16	\$1,001 - \$15,000
60 Vanguard mega cap 300 Purchase 9/22/16 \$1,001 - \$15,000 61 Harding loevner frontier Purchase 9/13/16 \$1,001 - \$15,000 62 Powershares national Purchase 8/26/16 \$1,001 - \$15,000	58	Vanguard mega cap etf	Purchase	10/7/16	\$1,001 - \$15,000
61 Harding loevner frontier Purchase 9/13/16 \$1,001 - \$15,000 62 Powershares national Purchase 8/26/16 \$1,001 - \$15,000	59	Vanguard mega cap etf	Purchase	9/22/16	\$1,001 - \$15,000
62 Powershares national Purchase 8/26/16 \$1,001 - \$15,000	60	Vanguard mega cap 300	Purchase	9/22/16	\$1,001 - \$15,000
	61	Harding loevner frontier	Purchase	9/13/16	\$1,001 - \$15,000
63 Powershares national Purchase 8/12/16 \$1,001 - \$15,000	62	Powershares national	Purchase	8/26/16	\$1,001 - \$15,000
	63	Powershares national	Purchase	8/12/16	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
64	Powershares national	Purchase	8/1/16	\$1,001 - \$15,000
65	Powershares national	Purchase	7/14/16	\$1,001 - \$15,000
66	Powershares national	Purchase	7/1/16	\$1,001 - \$15,000
67	Powershares national	Purchase	6/24/16	\$15,001 - \$50,000
68	Powershares national	Purchase	6/15/16	\$1,001 - \$15,000
69	Powershares national	Purchase	6/1/16	\$1,001 - \$15,000
70	Powershares national	Purchase	5/19/16	\$1,001 - \$15,000
71	Powershares national	Purchase	4/21/16	\$1,001 - \$15,000
72	Powershares national	Purchase	4/8/16	\$1,001 - \$15,000
73	Vanguard mega cap etf	Purchase	2/25/16	\$1,001 - \$15,000
74	Vanguard mega cap 300	Purchase	2/11/16	\$1,001 - \$15,000
75	Deutsche x trackers msc	Purchase	2/11/16	\$1,001 - \$15,000
76	Vanguard small cap	Purchase	1/14/16	\$1,001 - \$15,000
77	Vanguard mid cap etf	Purchase	1/14/16	\$1,001 - \$15,000

8. Liabilities

None

9. Gifts and Travel Reimbursements

Endnotes

PART	#	ENDNOTE
5.	12	items 3-12 are part of 403(b). Lincloln became Transamerica.
6.	2	RiverSource Retirement Advisory Variable Annunity, TSA
6.	3	Merrill Lynch
7.	10	items 1-10 were Lincoln 403(b), transfer to TransAmerica 403(b)
7.	20	items 11-20 are TransAmerica 403(b); used to be Lincoln 403(b)
7.	22	items 21-22 are spouse Merrill Lynch

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities that (1) ended the reporting period with a value greater than \$1,000 or (2) produced
 more than \$200 in income during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as
 appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities that (1) ended the reporting period with a value greater than \$1,000 or (2) produced more than \$200 in income during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, that (1) ended the reporting period with a value greater than \$1,000 or (2) produced more than \$200 in investment income during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 of income was produced). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$390 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$390 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$156 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal. State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to another Federal agency, court or party in a court or Federal administrative proceeding when the Government is a party or in order to comply with a judge-issued subpoena; (4) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination: (5) to the National Archives and Records Administration or the General Services Administration in records management inspections: (6) to the Office of Management and Budget during legislative coordination on private relief legislation; (7) to the Department of Justice or in certain legal proceedings when the disclosing agency, an employee of the disclosing agency, or the United States is a party to litigation or has an interest in the litigation and the use of such records is deemed relevant and necessary to the litigation; (8) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another: (9) to a Member of Congress or a congressional office in response to an inquiry made on behalf of an individual who is the subject of the record: (10) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to an OGE Government-wide system of records; and (11) on the OGE Website and to any person, department or agency, any written ethics agreement filed with OGE by an individual nominated by the President to a position requiring Senate confirmation. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

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