

Executive Branch Personnel

Public Financial Disclosure Report:

Periodic Transaction Report (OGE Form 278-T)

Filer's Information

Dunn, Anita B

Assistant to the President & Senior Advisor to the President, White House - Biden-Harris Administration

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Dunn, Anita B [electronically signed on 01/31/2023 by Dunn, Anita B in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Phillips, Anjali, Certifying Official [electronically signed on 01/31/2023 by Phillips, Anjali in Integrity.gov]

Other review conducted by

/s/ Phillips, Anjali, Ethics Official [electronically signed on 01/31/2023 by Phillips, Anjali in Integrity.gov]

U.S. Office of Government Ethics Certification

/s/ Granahan, Megan, Certifying Official [electronically signed on 03/27/2023 by Granahan, Megan in Integrity.gov]

Transactions

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
1	MASTERCARD INCORPORATED CMN CLASS A	Sale	11/10/2022	No	\$1,001 - \$15,000
2	EMERGENT BIOSOLUTIONS INC. CMN	Sale	11/09/2022	No	\$1,001 - \$15,000
3	PACCAR INC CMN	Sale	11/10/2022	No	\$1,001 - \$15,000
4	LCI INDUSTRIES CMN	Sale	11/10/2022	No	\$1,001 - \$15,000
5	CITIZENS FINANCIAL GROUP, INC. CMN	Sale	11/10/2022	No	\$1,001 - \$15,000
6	H&E EQUIPMENT SERVICES INC CMN	Sale	11/10/2022	No	\$1,001 - \$15,000
7	NEOGEN CORP CMN	Sale	11/10/2022	No	\$1,001 - \$15,000
8	AFLAC INCORPORATED CMN	Sale	11/10/2022	No	\$1,001 - \$15,000
9	WELLTOWER INC. CMN	Sale	11/10/2022	No	\$1,001 - \$15,000
10	TRACTOR SUPPLY COMPANY CMN	Sale	11/10/2022	No	\$1,001 - \$15,000
11	HUNTINGTON BANCSHARES INCORPOR CMN	Sale	11/10/2022	No	\$1,001 - \$15,000
12	AMERIS BANCORP CMN	Sale	11/10/2022	No	\$1,001 - \$15,000
13	FIFTH THIRD BANCORP CMN	Sale	11/10/2022	No	\$1,001 - \$15,000
14	APTIV PLC CMN	Sale	11/10/2022	No	\$1,001 - \$15,000
15	ESTEE LAUDER COS INC CL-A CMN CLASS A	Sale	11/10/2022	No	\$1,001 - \$15,000
16	COMMUNITY HEALTHCARE TRUST INC CMN	Sale	11/09/2022	No	\$1,001 - \$15,000
17	FREEMPORT-MCMORAN INC CMN	Sale	11/10/2022	No	\$1,001 - \$15,000
18	MICROCHIP TECHNOLOGY INCORPORA CMN	Sale	11/10/2022	No	\$1,001 - \$15,000
19	IQVIA HOLDINGS INC CMN	Sale	11/10/2022	No	\$1,001 - \$15,000
20	XYLEM INC. CMN	Sale	11/10/2022	No	\$1,001 - \$15,000
21	METLIFE, INC. CMN	Sale	11/10/2022	No	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
22	HANCOCK WHITNEY CORP CMN	Sale	11/10/2022	No	\$1,001 - \$15,000
23	UNISYS CORPORATION CMN	Sale	11/09/2022	No	\$1,001 - \$15,000
24	HALLIBURTON COMPANY CMN	Sale	11/10/2022	No	\$1,001 - \$15,000
25	KARUNA THERAPEUTICS, INC. CMN	Sale	11/10/2022	No	\$1,001 - \$15,000
26	BECTON, DICKINSON AND COMPANY CMN	Sale	11/10/2022	No	\$1,001 - \$15,000
27	WEWORK INC CMN	Sale	11/10/2022	No	\$1,001 - \$15,000
28	THE WILLIAMS COMPANIES, INC. CMN	Sale	11/10/2022	No	\$1,001 - \$15,000
29	GENERAL MILLS INC CMN	Sale	11/10/2022	No	\$1,001 - \$15,000
30	L3HARRIS TECHNOLOGIES INC CMN	Sale	11/10/2022	No	\$1,001 - \$15,000
31	CUMMINS INC COMMON STOCK	Sale	11/10/2022	No	\$1,001 - \$15,000
32	CONSTELLATION BRANDS INC CMN CLASS A	Sale	11/10/2022	No	\$1,001 - \$15,000
33	ATKORE INC CMN	Sale	11/09/2022	No	\$1,001 - \$15,000
34	PIONEER NATURAL RESOURCES CO CMN	Sale	11/10/2022	No	\$1,001 - \$15,000
35	HERON THERAPEUTICS INC CMN	Sale	11/09/2022	No	\$1,001 - \$15,000
36	PPG INDUSTRIES, INC. CMN	Sale	11/10/2022	No	\$1,001 - \$15,000
37	TRUPANION, INC. CMN	Sale	11/09/2022	No	\$1,001 - \$15,000
38	CENTENE CORPORATION CMN	Sale	11/10/2022	No	\$1,001 - \$15,000
39	GENERAL DYNAMICS CORP. CMN	Sale	11/10/2022	No	\$1,001 - \$15,000
40	DOLLAR GENERAL CORPORATION CMN	Sale	11/10/2022	No	\$1,001 - \$15,000
41	FIDELITY NATL INFO SVCS INC CMN	Sale	11/10/2022	No	\$1,001 - \$15,000
42	MOTOROLA SOLUTIONS INC CMN	Sale	11/10/2022	No	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
43	BOSTON SCIENTIFIC CORP. COMMON STOCK	Sale	11/10/2022	No	\$1,001 - \$15,000
44	FORTUNE BRANDS HOME & SECURITY CMN	Sale	11/10/2022	No	\$1,001 - \$15,000
45	THE BANK OF NY MELLON CORP CMN	Sale	11/10/2022	No	\$1,001 - \$15,000
46	VALERO ENERGY CORPORATION CMN	Sale	11/10/2022	No	\$1,001 - \$15,000
47	PATRICK INDUSTRIES, INC. CMN	Sale	11/10/2022	No	\$1,001 - \$15,000
48	SHOCKWAVE MEDICAL INC CMN	Sale	11/10/2022	No	\$1,001 - \$15,000
49	FORTINET, INC. CMN	Sale	11/10/2022	No	\$1,001 - \$15,000
50	PEDIATRIX MEDICAL GROUP INC CMN	Sale	11/09/2022	No	\$1,001 - \$15,000
51	CROWN CASTLE INTL CORP CMN	Sale	11/10/2022	No	\$1,001 - \$15,000
52	DUPONT DE NEMOURS INC CMN	Sale	11/10/2022	No	\$1,001 - \$15,000
53	HESS CORPORATION CMN	Sale	11/10/2022	No	\$1,001 - \$15,000
54	PBF ENERGY INC CMN	Sale	11/10/2022	No	\$1,001 - \$15,000
55	PRINCIPAL FINANCIAL GROUP, INC CMN	Sale	11/10/2022	No	\$1,001 - \$15,000
56	AARON'S CO INC/THE CMN	Sale	11/09/2022	No	\$1,001 - \$15,000
57	OCCIDENTAL PETROLEUM CORP CMN	Sale	11/10/2022	No	\$1,001 - \$15,000
58	BROADRIDGE FINANCIAL SOLUTIONS IN CMN	Sale	11/10/2022	No	\$1,001 - \$15,000
59	STARBUCKS CORP. CMN	Sale	11/10/2022	No	\$1,001 - \$15,000
60	AIR PRODUCTS & CHEMICALS INC CMN	Sale	11/10/2022	No	\$1,001 - \$15,000
61	METTLER-TOLEDO INTL CMN	Sale	11/10/2022	No	\$1,001 - \$15,000
62	MARTIN MARIETTA MATERIALS,INC CMN	Sale	11/10/2022	No	\$1,001 - \$15,000
63	BIOGEN INC. CMN	Sale	11/10/2022	No	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
64	DUKE ENERGY CORPORATION CMN	Sale	11/10/2022	No	\$1,001 - \$15,000
65	ROSS STORES,INC CMN	Sale	11/10/2022	No	\$1,001 - \$15,000
66	AGILENT TECHNOLOGIES, INC. CMN	Sale	11/10/2022	No	\$1,001 - \$15,000
67	CHIPOTLE MEXICAN GRILL, INC. CMN	Sale	11/10/2022	No	\$1,001 - \$15,000
68	AON PUBLIC LIMITED COMPANY CMN	Sale	11/10/2022	No	\$1,001 - \$15,000
69	REGENERON PHARMACEUTICAL INC CMN	Sale	11/10/2022	No	\$1,001 - \$15,000
70	CHARTER COMMUNICATIONS, INC. CMN	Sale	11/10/2022	No	\$1,001 - \$15,000
71	T-MOBILE US, INC. CMN	Sale	11/10/2022	No	\$1,001 - \$15,000
72	PUBLIC STORAGE CMN	Sale	11/10/2022	No	\$1,001 - \$15,000
73	FISERV, INC. CMN	Sale	11/10/2022	No	\$1,001 - \$15,000
74	PARKER-HANNIFIN CORP. CMN	Sale	11/10/2022	No	\$1,001 - \$15,000
75	CASTLE BIOSCIENCES INC CMN	Sale	11/09/2022	No	\$1,001 - \$15,000
76	OLD DOMINION FREIGHT LINE, INC CMN	Sale	11/10/2022	No	\$1,001 - \$15,000
77	ALEXANDRIA REAL ESTATE EQUITIES, INC.	Sale	11/10/2022	No	\$1,001 - \$15,000
78	INTERCONTINENTAL EXCHANGE INC CMN	Sale	11/10/2022	No	\$1,001 - \$15,000
79	VERTEX PHARMACEUTICALS INCORPO CMN	Sale	11/10/2022	No	\$1,001 - \$15,000
80	SM ENERGY COMPANY CMN	Sale	11/10/2022	No	\$1,001 - \$15,000
81	SEMPRA ENERGY CMN	Sale	11/10/2022	No	\$1,001 - \$15,000
82	PHILLIPS 66 CMN	Sale	11/10/2022	No	\$1,001 - \$15,000
83	NORTHROP GRUMMAN CORP CMN	Sale	11/10/2022	No	\$1,001 - \$15,000
84	ALBEMARLE CORP CMN	Sale	11/10/2022	No	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
85	WASTE MANAGEMENT INC CMN	Sale	11/10/2022	No	\$1,001 - \$15,000
86	SERVICENOW INC CMN	Sale	11/10/2022	No	\$1,001 - \$15,000
87	HELEN OF TROY LTD (NEW) CMN	Sale	11/10/2022	No	\$1,001 - \$15,000
88	THE SOUTHERN CO. CMN	Sale	11/10/2022	No	\$1,001 - \$15,000
89	NXP SEMICONDUCTORS N.V. CMN	Sale	11/10/2022	No	\$1,001 - \$15,000
90	AMERIPRISE FINANCIAL, INC. CMN	Sale	11/10/2022	No	\$1,001 - \$15,000
91	ARTISAN PARTNERS ASSET MGMT IN CMN	Sale	11/10/2022	No	\$1,001 - \$15,000
92	PROG HOLDINGS, INC. CMN	Sale	11/09/2022	No	\$1,001 - \$15,000
93	MARSH & MCLENNAN CO INC CMN	Sale	11/10/2022	No	\$1,001 - \$15,000
94	O'REILLY AUTOMOTIVE, INC. CMN	Sale	11/10/2022	No	\$1,001 - \$15,000
95	POOL CORP CMN	Sale	11/10/2022	No	\$1,001 - \$15,000
96	JOHN BEAN TECHNOLOGIES CORPORA CMN	Sale	11/10/2022	No	\$1,001 - \$15,000
97	MATADOR RES CO CMN	Sale	11/10/2022	No	\$1,001 - \$15,000
98	S&P GLOBAL INC. CMN	Sale	11/10/2022	No	\$1,001 - \$15,000
99	VERTEX, INC. CMN	Sale	11/09/2022	No	\$1,001 - \$15,000
100	BOEING COMPANY CMN	Sale	11/10/2022	No	\$1,001 - \$15,000
101	GENERAL MOTORS COMPANY CMN	Sale	11/10/2022	No	\$1,001 - \$15,000
102	AVID BIOSERVICES, INC. CMN	Sale	11/09/2022	No	\$1,001 - \$15,000
103	NORTHERN TRUST CORP CMN	Sale	11/10/2022	No	\$1,001 - \$15,000
104	MICRON TECHNOLOGY, INC. CMN	Sale	11/10/2022	No	\$1,001 - \$15,000
105	ONESPAWORLD HOLDINGS LIMITED CMN	Sale	11/09/2022	No	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
106	CORSAIR GAMING, INC. CMN	Sale	11/09/2022	No	\$1,001 - \$15,000
107	MONRO, INC. CMN	Sale	11/09/2022	No	\$1,001 - \$15,000
108	ACTIVISION BLIZZARD, INC CMN	Sale	11/10/2022	No	\$1,001 - \$15,000
109	KLA CORP CMN	Sale	11/10/2022	No	\$1,001 - \$15,000
110	EMERSON ELECTRIC CO. CMN	Sale	11/10/2022	No	\$1,001 - \$15,000
111	HUDSON PACIFIC PROPERTIES, INC CMN	Sale	11/10/2022	No	\$1,001 - \$15,000
112	META PLATFORMS INC-CLASS A CMN CLASS A	Sale	11/10/2022	No	\$1,001 - \$15,000
113	MATTHEWS INTL CORP CL-A CMN CLASS A	Sale	11/09/2022	No	\$1,001 - \$15,000
114	INSTALLED BLDG PRODS INC CMN	Sale	11/10/2022	No	\$1,001 - \$15,000
115	THE PROGRESSIVE CORPORATION CMN	Sale	11/10/2022	No	\$1,001 - \$15,000
116	AZENTA INC CMN	Sale	11/09/2022	No	\$1,001 - \$15,000
117	COMPASS MINERALS INTL, INC. CMN	Sale	11/09/2022	No	\$1,001 - \$15,000
118	NASDAQ INC. CMN	Sale	11/10/2022	No	\$1,001 - \$15,000
119	HEALTHCARE SVCS GROUP INC CMN	Sale	11/09/2022	No	\$1,001 - \$15,000
120	JPMORGAN CHASE & CO CMN	Sale	11/10/2022	No	\$1,001 - \$15,000
121	XPO LOGISTICS, INC. 6.25% 05/01/2025 USD	Sale	11/28/2022	No	\$1,001 - \$15,000
122	FORTIVE CORPORATION CMN	Sale	11/10/2022	No	\$1,001 - \$15,000
123	LOWES COMPANIES INC CMN	Sale	11/10/2022	No	\$1,001 - \$15,000
124	GILEAD SCIENCES CMN	Sale	11/10/2022	No	\$1,001 - \$15,000
125	CAVCO INDUSTRIES INC CMN	Sale	11/10/2022	No	\$1,001 - \$15,000
126	CHUBB LIMITED CMN	Sale	11/10/2022	No	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
127	SCHLUMBERGER LTD CMN	Sale	11/10/2022	No	\$1,001 - \$15,000
128	MSA SAFETY INC CMN	Sale	11/09/2022	No	\$1,001 - \$15,000
129	EOG RESOURCES INC CMN	Sale	11/10/2022	No	\$1,001 - \$15,000
130	DIGITALBRIDGE GROUP, INC. CMN	Sale	11/10/2022	No	\$1,001 - \$15,000
131	ETSY, INC. CMN	Sale	11/10/2022	No	\$1,001 - \$15,000
132	HUMANA INC. CMN	Sale	11/10/2022	No	\$1,001 - \$15,000
133	ILLINOIS TOOL WORKS CMN	Sale	11/10/2022	No	\$1,001 - \$15,000
134	ADVANCED MICRO DEVICES, INC. CMN	Sale	11/10/2022	No	\$1,001 - \$15,000
135	CIGNA CORP CMN	Sale	11/10/2022	No	\$1,001 - \$15,000
136	BIOLIFE SOLUTIONS, INC. CMN	Sale	11/09/2022	No	\$1,001 - \$15,000
137	WOODSIDE PETROLEUM SPONS ADR (NEW)	Sale	11/10/2022	No	\$1,001 - \$15,000
138	PROLOGIS INC CMN	Sale	11/10/2022	No	\$1,001 - \$15,000
139	WOODWARD INC CMN	Sale	11/09/2022	No	\$1,001 - \$15,000
140	MARATHON PETROLEUM CORPORATION CMN	Sale	11/10/2022	No	\$1,001 - \$15,000
141	HORACE MANN EDUCATORS CORP CMN	Sale	11/09/2022	No	\$1,001 - \$15,000
142	ANALOG DEVICES, INC. CMN	Sale	11/10/2022	No	\$1,001 - \$15,000
143	CHEESECAKE FACTORY INCORPORATE CMN	Sale	11/09/2022	No	\$1,001 - \$15,000
144	SUN COMMUNITIES, INC CMN	Sale	11/10/2022	No	\$1,001 - \$15,000
145	LAM RESEARCH CORPORATION CMN	Sale	11/10/2022	No	\$1,001 - \$15,000
146	GIBRALTAR INDUSTRIES INC CMN	Sale	11/09/2022	No	\$1,001 - \$15,000
147	LOCKHEED MARTIN CORPORATION CMN	Sale	11/10/2022	No	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
148	NIKE CLASS-B CMN CLASS B	Sale	11/10/2022	No	\$1,001 - \$15,000
149	TRUIST FINANCIAL CORPORATION CMN	Sale	11/10/2022	No	\$1,001 - \$15,000
150	EDWARDS LIFESCIENCES CORPORATI CMN	Sale	11/10/2022	No	\$1,001 - \$15,000
151	SEMTECH CORPORATION CMN	Sale	11/09/2022	No	\$1,001 - \$15,000
152	AUTOMATIC DATA PROCESSING INC CMN	Sale	11/10/2022	No	\$1,001 - \$15,000
153	GENERAL ELECTRIC COMPANY CMN	Sale	11/10/2022	No	\$1,001 - \$15,000
154	3M COMPANY CMN	Sale	11/10/2022	No	\$1,001 - \$15,000
155	WESTERN ALLIANCE BANCORP CMN	Sale	11/09/2022	No	\$1,001 - \$15,000
156	MONDELEZ INTERNATIONAL, INC. CMN	Sale	11/10/2022	No	\$1,001 - \$15,000
157	THERMO FISHER SCIENTIFIC INC CMN	Sale	11/10/2022	No	\$1,001 - \$15,000
158	LD HOLDINGS GROUP LLC 6.5% 11/01/2025 USD	Sale	11/28/2022	No	\$1,001 - \$15,000
159	FOX FACTORY HOLDING CORP. CMN	Sale	11/10/2022	No	\$1,001 - \$15,000
160	SOTERA HEALTH COMPANY CMN	Sale	11/10/2022	No	\$1,001 - \$15,000
161	PRUDENTIAL FINANCIAL INC CMN	Sale	11/10/2022	No	\$1,001 - \$15,000
162	ORACLE CORPORATION CMN	Sale	11/10/2022	No	\$1,001 - \$15,000
163	AMERISAFE, INC CMN	Sale	11/09/2022	No	\$1,001 - \$15,000
164	EASTERLY GOVERNMENT PROPERTIES CMN	Sale	11/09/2022	No	\$1,001 - \$15,000
165	NETFLIX, INC. CMN	Sale	11/10/2022	No	\$1,001 - \$15,000
166	U.S. TREASURY NOTE 2.000000% 11/15/2026 MN	Purchase	11/21/2022	No	\$1,001 - \$15,000
167	PHILIP MORRIS INTL INC CMN	Sale	11/10/2022	No	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
168	MEDPACE HOLDINGS, INC. CMN	Sale	11/10/2022	No	\$1,001 - \$15,000
169	U.S. TREASURY NOTE 1.500000% 02/15/2025 FA	Purchase	11/28/2022	No	\$1,001 - \$15,000
170	ALTAIR ENGINEERING INC. CMN CLASS A	Sale	11/09/2022	No	\$1,001 - \$15,000
171	AMGEN INC. CMN	Sale	11/10/2022	No	\$1,001 - \$15,000
172	U.S. BANCORP CMN	Sale	11/10/2022	No	\$1,001 - \$15,000
173	TJX COMPANIES INC (NEW) CMN	Sale	11/10/2022	No	\$1,001 - \$15,000
174	SYNEOS HEALTH INC CMN	Sale	11/09/2022	No	\$1,001 - \$15,000
175	QUALCOMM INC CMN	Sale	11/10/2022	No	\$1,001 - \$15,000
176	VERIZON COMMUNICATIONS, INC. CMN	Sale	11/10/2022	No	\$1,001 - \$15,000
177	ALAMO GROUP INC. CMN	Sale	11/10/2022	No	\$1,001 - \$15,000
178	ELEVANCE HEALTH INC CMN	Sale	11/10/2022	No	\$1,001 - \$15,000
179	UNION PACIFIC CORP. CMN	Sale	11/10/2022	No	\$1,001 - \$15,000
180	WARBY PARKER INC CMN	Sale	11/10/2022	No	\$1,001 - \$15,000
181	VERRA MOBILITY CORPORATION CMN	Sale	11/09/2022	No	\$1,001 - \$15,000
182	KIMCO REALTY CORPORATION 2.7% 10/01/2030 USD	Sale	11/16/2022	No	\$1,001 - \$15,000
183	ADAPTHEALTH CORP CMN	Sale	11/09/2022	No	\$1,001 - \$15,000
184	AMERICAN TOWER CORPORATION CMN	Sale	11/10/2022	No	\$1,001 - \$15,000
185	DECKERS OUTDOORS CORP CMN	Sale	11/09/2022	No	\$1,001 - \$15,000
186	NEXTERA ENERGY, INC. CMN	Sale	11/10/2022	No	\$1,001 - \$15,000
187	BUCKLE INC COM CMN	Sale	11/09/2022	No	\$1,001 - \$15,000
188	AT&T INC. CMN	Sale	11/10/2022	No	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
189	ASGN INCORPORATED CMN	Sale	11/10/2022	No	\$1,001 - \$15,000
190	GLOBAL PAYMENTS INC. 3.2% 08/15/2029 USD	Sale	11/16/2022	No	\$1,001 - \$15,000
191	ACI WORLDWIDE, INC. CMN	Sale	11/09/2022	No	\$1,001 - \$15,000
192	UNITED PARCEL SERVICE, INC. CLASS B COMMON STOCK	Sale	11/10/2022	No	\$1,001 - \$15,000
193	TEXAS INSTRUMENTS INC. CMN	Sale	11/10/2022	No	\$1,001 - \$15,000
194	CVS HEALTH CORP CMN	Sale	11/10/2022	No	\$1,001 - \$15,000
195	RITCHIE BROS. AUCTIONEERS INC CMN	Sale	11/09/2022	No	\$1,001 - \$15,000
196	RLJ LODGING TRUST CMN	Sale	11/09/2022	No	\$1,001 - \$15,000
197	INTL BUSINESS MACHINES CORP CMN	Sale	11/10/2022	No	\$1,001 - \$15,000
198	FANUC CORPORATION UNSPONSORED ADR CMN	Sale	11/10/2022	No	\$1,001 - \$15,000
199	PING AN INS GRP CO OF CHINA SPONSORED ADR CMN	Sale	11/10/2022	No	\$1,001 - \$15,000
200	STRIDE INC CMN	Sale	11/09/2022	No	\$1,001 - \$15,000
201	TAYLOR MORRISON HOME CORP. CMN	Sale	11/09/2022	No	\$1,001 - \$15,000
202	PRIMO WATER CORPORATION CMN	Sale	11/09/2022	No	\$1,001 - \$15,000
203	GLOBAL PAYMENTS INC. CMN	Sale	11/10/2022	No	\$1,001 - \$15,000
204	LINDE PLC CMN	Sale	11/10/2022	No	\$1,001 - \$15,000
205	CHEVRON CORPORATION CMN	Sale	11/10/2022	No	\$1,001 - \$15,000
206	BLACKBAUD, INC CMN	Sale	11/09/2022	No	\$1,001 - \$15,000
207	PENNYMAC FIN SVCS INC 5.375% 10/15/2025 USD	Sale	11/17/2022	No	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
208	COUSINS PROPERTIES INCORPORATE CMN	Sale	11/09/2022	No	\$1,001 - \$15,000
209	RLI CORP CMN	Sale	11/10/2022	No	\$1,001 - \$15,000
210	QUAKER HOUGHTON CMN	Sale	11/09/2022	No	\$1,001 - \$15,000
211	UFP INDUSTRIES, INC. CMN	Sale	11/10/2022	No	\$1,001 - \$15,000
212	AMN HEALTHCARE SERVICES, INC. CMN	Sale	11/10/2022	No	\$1,001 - \$15,000
213	RESOURCES CONNECTION, INC. CMN	Sale	11/09/2022	No	\$1,001 - \$15,000
214	GENERAL MOTORS FINANCIAL COMPA 2.9% 02/26/2025 USD	Sale	11/09/2022	No	\$1,001 - \$15,000
215	GENERAL MOTORS FINANCIAL COMPA 2.9% 02/26/2025 USD	Purchase	09/14/2022	No	\$1,001 - \$15,000
216	BALCHEM CORPORATION CMN	Sale	11/10/2022	No	\$1,001 - \$15,000
217	INTUITIVE SURGICAL, INC. CMN	Sale	11/10/2022	No	\$1,001 - \$15,000
218	AMERICAN EXPRESS CO. CMN	Sale	11/10/2022	No	\$1,001 - \$15,000
219	E*TRADE FINANCIAL CORP 4.5% 06/20/2028 USD	Sale	11/16/2022	No	\$1,001 - \$15,000
220	SALESFORCE INC CMN	Sale	11/10/2022	No	\$1,001 - \$15,000
221	RAYTHEON TECHNOLOGIES CORPORAT CMN	Sale	11/10/2022	No	\$1,001 - \$15,000
222	KINDER MORGAN ENERGY PARTNERS, 4.25% 09/01/2024 USD	Sale	11/09/2022	No	\$1,001 - \$15,000
223	BARNES GROUP INC. CMN	Sale	11/09/2022	No	\$1,001 - \$15,000
224	KINDER MORGAN ENERGY PARTNERS, 4.25% 09/01/2024 USD	Purchase	09/14/2022	No	\$1,001 - \$15,000
225	DANAHER CORPORATION CMN	Sale	11/10/2022	No	\$1,001 - \$15,000
226	EXPONENT, INC. CMN	Sale	11/10/2022	No	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
227	NATIONAL INSTRUMENTS CORP CMN	Sale	11/09/2022	No	\$1,001 - \$15,000
228	INSPERITY INC CMN	Sale	11/10/2022	No	\$1,001 - \$15,000
229	EVERSOURCE ENERGY CMN	Sale	11/09/2022	No	\$1,001 - \$15,000
230	OIL STATES INTERNATIONAL, INC. CMN	Sale	11/09/2022	No	\$1,001 - \$15,000
231	MURPHY USA INC. CMN	Sale	11/10/2022	No	\$1,001 - \$15,000
232	TEXAS ROADHOUSE, INC. CMN	Sale	11/09/2022	No	\$1,001 - \$15,000
233	IAA, INC. CMN	Sale	11/09/2022	No	\$1,001 - \$15,000
234	WALMART INC CMN	Sale	11/10/2022	No	\$1,001 - \$15,000
235	CMS ENERGY CORPORATION HYBRID 06/01/2050 USD	Sale	11/16/2022	No	\$1,001 - \$15,000
236	FULTON FINANCIAL CORPORATION CMN	Sale	11/09/2022	No	\$1,001 - \$15,000
237	CHARLES SCHWAB CORPORATION CMN	Sale	11/10/2022	No	\$1,001 - \$15,000
238	HOLOGIC, INC. 3.25% 02/15/2029 USD	Sale	11/16/2022	No	\$1,001 - \$15,000
239	LKQ CORPORATION CMN	Sale	11/09/2022	No	\$1,001 - \$15,000
240	BRISTOL-MYERS SQUIBB COMPANY CMN	Sale	11/10/2022	No	\$1,001 - \$15,000
241	INTUIT INC CMN	Sale	11/10/2022	No	\$1,001 - \$15,000
242	WESTAMERICA BANCORP CMN	Sale	11/09/2022	No	\$1,001 - \$15,000
243	U.S. TREASURY NOTE 0.375000% 12/31/2025 JD	Purchase	11/21/2022	No	\$1,001 - \$15,000
244	SOUTHWESTERN ENERGY COMPANY 4.75% 02/01/2032 USD	Sale	11/16/2022	No	\$1,001 - \$15,000
245	SAIA, INC. CMN	Sale	11/09/2022	No	\$1,001 - \$15,000
246	RAYMOND JAMES FINANCIAL, INC. CMN	Sale	11/09/2022	No	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
247	XP INC CMN CLASS A	Sale	11/10/2022	No	\$1,001 - \$15,000
248	BRUKER CORPORATION CMN	Sale	11/09/2022	No	\$1,001 - \$15,000
249	BLACKROCK, INC. CMN	Sale	11/10/2022	No	\$1,001 - \$15,000
250	BROADCOM INC. CMN	Sale	11/10/2022	No	\$1,001 - \$15,000
251	REPLIGEN CORP CMN	Sale	11/09/2022	No	\$1,001 - \$15,000
252	CISCO SYSTEMS, INC. CMN	Sale	11/10/2022	No	\$1,001 - \$15,000
253	MERCK & CO., INC. CMN	Sale	11/10/2022	No	\$1,001 - \$15,000
254	CSG SYSTEMS INTL INC CMN	Sale	11/09/2022	No	\$1,001 - \$15,000
255	SGS SA ADR CMN	Sale	11/10/2022	No	\$1,001 - \$15,000
256	ABBOTT LABORATORIES CMN	Sale	11/10/2022	No	\$1,001 - \$15,000
257	U.S. TREASURY NOTE 1.500000% 02/15/2025 FA	Purchase	11/17/2022	No	\$1,001 - \$15,000
258	U.S. TREASURY NOTE 1.750000% 12/31/2024 JD	Purchase	12/06/2022	No	\$1,001 - \$15,000
259	WELLS FARGO & CO (NEW) CMN	Sale	11/10/2022	No	\$1,001 - \$15,000
260	U.S. TREASURY NOTE 0.125000% 10/15/2023 AO	Purchase	11/17/2022	No	\$1,001 - \$15,000
261	U.S. TREASURY BILL DUE 10/05/2023	Purchase	11/21/2022	No	\$1,001 - \$15,000
262	U.S. TREASURY NOTE 0.125000% 09/15/2023 MS	Purchase	12/05/2022	No	\$1,001 - \$15,000
263	COMMERCIAL METALS CO CMN	Sale	11/09/2022	No	\$1,001 - \$15,000
264	ONTO INNOVATION INC. CMN	Sale	11/09/2022	No	\$1,001 - \$15,000
265	U.S. TREASURY NOTE 2.250000% 12/31/2023 JD	Purchase	11/18/2022	No	\$1,001 - \$15,000
266	U.S. TREASURY NOTE 2.250000% 12/31/2023 JD	Purchase	11/17/2022	No	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
267	COMCAST CORPORATION CMN CLASS A VOTING	Sale	11/09/2022	No	\$1,001 - \$15,000
268	SHISEIDO CO., LTD. SPONSORED ADR CMN	Sale	11/10/2022	No	\$1,001 - \$15,000
269	OMNICELL, INC. CMN	Sale	11/10/2022	No	\$1,001 - \$15,000
270	AVIENT COR CMN	Sale	11/09/2022	No	\$1,001 - \$15,000
271	NITORI HOLDINGS CO., LTD. ADR CMN	Sale	11/10/2022	No	\$1,001 - \$15,000
272	SYSMEX CORPORATION ADR CMN	Sale	11/10/2022	No	\$1,001 - \$15,000
273	CONOCOPHILLIPS CMN	Sale	11/10/2022	No	\$1,001 - \$15,000
274	GROUP 1 AUTOMOTIVE, INC. CMN	Sale	11/09/2022	No	\$1,001 - \$15,000
275	PROSPERITY BANCSHARES, INC. CMN	Sale	11/09/2022	No	\$1,001 - \$15,000
276	NOVOZYMES AS UNSPONSORED ADR CMN	Sale	11/10/2022	No	\$1,001 - \$15,000
277	GMAC INC. 8.0% 11/01/2031 USD	Sale	11/16/2022	No	\$1,001 - \$15,000
278	PERFORMANCE FOOD GROUP COMPANY CMN	Sale	11/09/2022	No	\$1,001 - \$15,000
279	PHILLIPS 66 CMN	Sale	11/09/2022	No	\$1,001 - \$15,000
280	RLI CORP CMN	Sale	11/09/2022	No	\$1,001 - \$15,000
281	PEPSICO, INC. CMN	Sale	11/10/2022	No	\$1,001 - \$15,000
282	SONOVA HOLDING AG (THE) UNSPONSORED ADR CMN	Sale	11/10/2022	No	\$1,001 - \$15,000
283	MC DONALDS CORP CMN	Sale	11/10/2022	No	\$1,001 - \$15,000
284	WSFS FINANCIAL CORP CMN	Sale	11/09/2022	No	\$1,001 - \$15,000
285	THE HOME DEPOT, INC. CMN	Sale	11/10/2022	No	\$1,001 - \$15,000
286	MUELLER INDUSTRIES INC CMN	Sale	11/09/2022	No	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
287	AIR LIQUIDE SA ADR CMN	Sale	11/10/2022	No	\$1,001 - \$15,000
288	PRAXAIR, INC 2.65% 02/05/2025 USD	Sale	11/09/2022	No	\$1,001 - \$15,000
289	FIDELITY NATL INFO SVCS INC CMN	Sale	11/09/2022	No	\$1,001 - \$15,000
290	ACCENTURE PLC CMN CLASS A	Sale	11/10/2022	No	\$1,001 - \$15,000
291	INDEPENDENT BANK CORP. CMN	Sale	11/09/2022	No	\$1,001 - \$15,000
292	PARK HOTELS & RESORTS INC. CMN	Sale	11/10/2022	No	\$1,001 - \$15,000
293	PRAXAIR, INC 2.65% 02/05/2025 USD	Purchase	09/14/2022	No	\$1,001 - \$15,000
294	VANGUARD FTSE EUROPEAN ETF ETF	Purchase	11/16/2022	No	\$1,001 - \$15,000
295	WILEY JOHN & SONS CL-A CMN CLASS A	Sale	11/09/2022	No	\$1,001 - \$15,000
296	LITTELFUSE, INC. CMN	Sale	11/09/2022	No	\$1,001 - \$15,000
297	EASTGROUP PROPERTIES INC CMN	Sale	11/09/2022	No	\$1,001 - \$15,000
298	ENN ENERGY HOLDINGS LIMITED ADR CMN	Sale	11/10/2022	No	\$1,001 - \$15,000
299	SENSATA TECHNOLOGIES B.V. 5.0% 10/01/2025 USD	Sale	11/16/2022	No	\$1,001 - \$15,000
300	NUSTAR LOGISTICS LP 5.75% 10/01/2025 USD	Sale	11/16/2022	No	\$1,001 - \$15,000
301	ELI LILLY & CO CMN	Sale	11/10/2022	No	\$1,001 - \$15,000
302	PFIZER INC. CMN	Sale	11/10/2022	No	\$1,001 - \$15,000
303	ABBVIE INC CMN	Sale	11/10/2022	No	\$1,001 - \$15,000
304	GENERAL MOTORS FINL CO 5.65% 01/17/2029 USD	Sale	11/16/2022	No	\$1,001 - \$15,000
305	ANGI GROUP, LLC 3.875% 08/15/2028 USD	Sale	11/16/2022	No	\$1,001 - \$15,000
306	CULLEN FROST BANKERS INC CMN	Sale	11/09/2022	No	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
307	CHUGAI PHARMACEUTICAL CO., LTD UNSPONSORED ADR CMN	Sale	11/10/2022	No	\$1,001 - \$15,000
308	COSTCO WHOLESALE CORPORATION CMN	Sale	11/10/2022	No	\$1,001 - \$15,000
309	INGEVITY CORPORATION CMN	Sale	11/09/2022	No	\$1,001 - \$15,000
310	COCA-COLA COMPANY (THE) CMN	Sale	11/10/2022	No	\$1,001 - \$15,000
311	HEALTHCARE REALTY TRUST INC CMN	Sale	11/10/2022	No	\$1,001 - \$15,000
312	KERING ADR CMN	Sale	11/10/2022	No	\$1,001 - \$15,000
313	FREEMPORT-MCMORAN INC. 4.125% 03/01/2028 USD	Sale	11/16/2022	No	\$1,001 - \$15,000
314	RENASANT CORPORATION CMN	Sale	11/09/2022	No	\$1,001 - \$15,000
315	DIAGEO PLC SPON ADR (NEW) SPONSORED ADR CMN	Sale	11/10/2022	No	\$1,001 - \$15,000
316	HDFC BANK LIMITED SPONSORED ADR CMN	Sale	11/10/2022	No	\$1,001 - \$15,000
317	B.A.T. CAPITAL CORPORATION 4.7% 04/02/2027 USD	Sale	11/16/2022	No	\$1,001 - \$15,000
318	CENTRAL GARDEN & PET CO 4.125% 10/15/2030 USD	Sale	11/16/2022	No	\$1,001 - \$15,000
319	CORNING INCORPORATED CMN	Sale	11/09/2022	No	\$1,001 - \$15,000
320	U.S. BANCORP CMN	Sale	11/09/2022	No	\$1,001 - \$15,000
321	GENERAL ELEC CAP CORP MTN 6.75% 03/15/2032 USD	Sale	11/16/2022	No	\$1,001 - \$15,000
322	KOMATSU LTD ADR (NEW) SPONSORED GDS CMN	Sale	11/10/2022	No	\$1,001 - \$15,000
323	BEST BUY CO INC CMN	Sale	11/09/2022	No	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
324	SHIONOGI & CO., LTD. UNSPONSORED ADR CMN	Sale	11/10/2022	No	\$1,001 - \$15,000
325	RLJ LODGING TRUST CMN	Sale	11/10/2022	No	\$1,001 - \$15,000
326	IDACORP, INC. CMN	Sale	11/09/2022	No	\$1,001 - \$15,000
327	BANK OF AMERICA CORP CMN	Sale	11/10/2022	No	\$1,001 - \$15,000
328	BROADCOM INC 4.3% 11/15/2032 USD	Sale	11/16/2022	No	\$1,001 - \$15,000
329	ALFA LAVAL AB UNSPONSORED ADR CMN	Sale	11/10/2022	No	\$1,001 - \$15,000
330	CLEARWATER PAPER CORP 4.75% 08/15/2028 USD	Sale	11/17/2022	No	\$1,001 - \$15,000
331	CANADIAN NATIONAL RAILWAY CO. CMN	Sale	11/10/2022	No	\$1,001 - \$15,000
332	KUBOTA CORP ADR ADR CMN	Sale	11/10/2022	No	\$1,001 - \$15,000
333	AMBEV S.A. SPONSORED ADR CMN	Sale	11/10/2022	No	\$1,001 - \$15,000
334	SPIRIT REALTY LP 3.2% 02/15/2031 USD	Sale	11/16/2022	No	\$1,001 - \$15,000
335	TEXTRON INC. 2.45% 03/15/2031 USD	Sale	11/16/2022	No	\$1,001 - \$15,000
336	ENBRIDGE INC. HYBRID 07/15/2080 USD	Sale	11/16/2022	No	\$1,001 - \$15,000
337	THE BOEING COMPANY 2.25% 06/15/2026 USD	Sale	11/16/2022	No	\$1,001 - \$15,000
338	HERBALIFE/HLF FINANCING 7.875% 09/01/2025 USD	Sale	11/16/2022	No	\$1,001 - \$15,000
339	JEFFERIES GROUP LLC 6.45% 06/08/2027 USD	Sale	11/16/2022	No	\$1,001 - \$15,000
340	SYNCHRONY FINANCIAL 3.7% 08/04/2026 USD	Sale	11/16/2022	No	\$1,001 - \$15,000
341	CONTINENTAL RESOURCES, INC. 4.375% 01/15/2028 USD	Sale	11/16/2022	No	\$1,001 - \$15,000
342	LINDE PLC CMN	Sale	11/10/2022	No	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
343	TEGNA INC. 5.0% 09/15/2029 USD	Sale	11/16/2022	No	\$1,001 - \$15,000
344	NUSTAR LOGISTICS LP 6.375% 10/01/2030 USD	Sale	11/16/2022	No	\$1,001 - \$15,000
345	ENERGY TRANSFER PARTNERS 4.2% 04/15/2027 USD	Sale	11/16/2022	No	\$1,001 - \$15,000
346	AIR LEASE CORP 3.375% 07/01/2025 USD	Sale	11/16/2022	No	\$1,001 - \$15,000
347	ALCON, INC. CMN	Sale	11/10/2022	No	\$1,001 - \$15,000
348	ELECTRONIC ARTS CMN	Sale	11/10/2022	No	\$1,001 - \$15,000
349	ALTRA INDUSTRIAL MOTION CORP CMN	Sale	11/09/2022	No	\$1,001 - \$15,000
350	WEC ENERGY GROUP, INC. CMN	Sale	11/09/2022	No	\$1,001 - \$15,000
351	PLAINS ALL AMERICAN PIPELINE, 3.6% 11/01/2024 USD	Sale	11/16/2022	No	\$1,001 - \$15,000
352	MICROCHIP TECHNOLOGY INCORPORA 4.25% 09/01/2025 USD	Sale	11/16/2022	No	\$1,001 - \$15,000
353	UNICHARM CORP. SPONSORED ADR CMN	Sale	11/10/2022	No	\$1,001 - \$15,000
354	ARCONIC CORPORATION 6.0% 05/15/2025 USD	Sale	11/16/2022	No	\$1,001 - \$15,000
355	EXPEDIA GROUP INC 5.0% 02/15/2026 USD	Sale	11/16/2022	No	\$1,001 - \$15,000
356	BP CAPITAL MARKETS P.L.C. HYBRID PERPETUAL USD	Sale	11/16/2022	No	\$1,001 - \$15,000
357	PRIME SECSRVC BRW/FINANC 5.25% 04/15/2024 USD	Sale	11/16/2022	No	\$1,001 - \$15,000
358	AMERICAN TOWER CORPORATION CMN	Sale	11/09/2022	No	\$1,001 - \$15,000
359	JEFFERIES GRP LLC / CAP 4.15% 01/23/2030 USD	Sale	11/16/2022	No	\$1,001 - \$15,000
360	AMKOR TECHNOLOGY INC 6.625% 09/15/2027 USD	Sale	11/16/2022	No	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
361	BANCO BILBAO VIZCAYA S A ADR SPONSORED ADR CMN USD0.3296	Sale	11/10/2022	No	\$1,001 - \$15,000
362	SEALED AIR CORP 4.0% 12/01/2027 USD	Sale	11/16/2022	No	\$1,001 - \$15,000
363	P.T. TELEKOMUNIKASI INDONESIA ADS (1 ADS --> 40 ORD SHS)	Sale	11/10/2022	No	\$1,001 - \$15,000
364	NESTLE SA SPONSORED ADR (REP 1/20 CHF 10 REGD SHS)	Sale	11/10/2022	No	\$1,001 - \$15,000
365	KILROY REALTY CORPORATION COMMON STOCK	Sale	11/10/2022	No	\$1,001 - \$15,000
366	WESCO DISTRIBUTION INC 7.125% 06/15/2025 USD	Sale	11/16/2022	No	\$1,001 - \$15,000
367	XEROX HOLDINGS CORP 5.0% 08/15/2025 USD	Sale	11/16/2022	No	\$1,001 - \$15,000
368	SPIRIT REALTY LP 3.4% 01/15/2030 USD	Sale	11/16/2022	No	\$1,001 - \$15,000
369	MARRIOTT INTERNATIONAL 3.125% 06/15/2026 USD	Sale	11/16/2022	No	\$1,001 - \$15,000
370	SYMRISE AG UNSPONSORED ADR CMN	Sale	11/10/2022	No	\$1,001 - \$15,000
371	PTC INC 3.625% 02/15/2025 USD	Sale	11/16/2022	No	\$1,001 - \$15,000
372	COUSINS PROPERTIES INCORPORATE CMN	Sale	11/10/2022	No	\$1,001 - \$15,000
373	TENET HEALTHCARE CORP 4.875% 01/01/2026 USD	Sale	11/16/2022	No	\$1,001 - \$15,000
374	PAYCHEX, INC. CMN	Sale	11/09/2022	No	\$1,001 - \$15,000
375	ILLINOIS TOOL WORKS CMN	Sale	11/09/2022	No	\$1,001 - \$15,000
376	NVIDIA CORPORATION CMN	Sale	11/10/2022	No	\$1,001 - \$15,000
377	SOUTHERN COMPANY (THE) HYBRID 01/15/2051 USD	Sale	11/16/2022	No	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
378	BROADCOM INC. 4.15% 11/15/2030 USD	Sale	11/16/2022	No	\$1,001 - \$15,000
379	EPIROC AB ADR CMN	Sale	11/10/2022	No	\$1,001 - \$15,000
380	SBA COMMUNICATIONS CORPORATION CMN	Sale	11/10/2022	No	\$1,001 - \$15,000
381	TESLA, INC. CMN	Sale	11/10/2022	No	\$1,001 - \$15,000
382	ACADIA HEALTHCARE COMPANY INC CMN	Sale	11/09/2022	No	\$1,001 - \$15,000
383	AMERICAN TOWER CORPORATION CMN	Sale	11/10/2022	No	\$1,001 - \$15,000
384	VISA INC. CMN CLASS A	Sale	11/10/2022	No	\$1,001 - \$15,000
385	EXPEDIA GROUP, INC. 3.8% 02/15/2028 USD	Sale	11/16/2022	No	\$1,001 - \$15,000
386	EXXON MOBIL CORPORATION CMN	Sale	11/10/2022	No	\$1,001 - \$15,000
387	BAT CAPITAL CORP 3.462% 09/06/2029 USD	Sale	11/16/2022	No	\$1,001 - \$15,000
388	U.S. TREASURY NOTE 0.125000% 01/15/2024 JJ	Purchase	11/18/2022	No	\$1,001 - \$15,000
389	EATON CORP PLC CMN	Sale	11/09/2022	No	\$1,001 - \$15,000
390	U.S. TREASURY NOTE 0.875000% 01/31/2024 JJ	Purchase	11/17/2022	No	\$1,001 - \$15,000
391	PROLOGIS INC CMN	Sale	11/09/2022	No	\$1,001 - \$15,000
392	U.S. TREASURY NOTE 0.750000% 12/31/2023 JD	Purchase	11/17/2022	No	\$1,001 - \$15,000
393	SENSATA TECHNOLOGIES B.V. 4.375% 02/15/2030 USD	Sale	11/18/2022	No	\$1,001 - \$15,000
394	BOEING COMPANY (THE) 5.15% 05/01/2030 USD	Sale	11/16/2022	No	\$1,001 - \$15,000
395	U.S. TREASURY NOTE 0.125000% 08/15/2023 FA	Purchase	11/17/2022	No	\$1,001 - \$15,000
396	SYNCHRONY FINANCIAL 4.5% 07/23/2025 USD	Sale	11/16/2022	No	\$1,001 - \$15,000
397	U.S. TREASURY NOTE 0.125000% 06/30/2023 JD	Purchase	11/17/2022	No	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
398	MARRIOTT INTERNATIONAL, INC 3.75% 03/15/2025 USD	Sale	11/16/2022	No	\$1,001 - \$15,000
399	TEXTRON INC 3.875% 03/01/2025 USD	Sale	11/16/2022	No	\$1,001 - \$15,000
400	U.S. TREASURY NOTE 2.250000% 12/31/2023 JD	Purchase	11/17/2022	No	\$1,001 - \$15,000
401	PLAINS ALL AMER PIPELINE 4.5% 12/15/2026 USD	Sale	11/16/2022	No	\$1,001 - \$15,000
402	CSPC PHARMACEUTICAL GROUP LIMI ADR CMN	Sale	11/10/2022	No	\$1,001 - \$15,000
403	PROCTER & GAMBLE COMPANY (THE) CMN	Sale	11/10/2022	No	\$1,001 - \$15,000
404	U.S. TREASURY NOTE 2.500000% 08/15/2023 FA	Purchase	11/17/2022	No	\$1,001 - \$15,000
405	ALLY FINANCIAL INC. 4.625% 03/30/2025 USD	Sale	11/16/2022	No	\$1,001 - \$15,000
406	JPMORGAN CHASE & CO CMN	Sale	11/09/2022	No	\$1,001 - \$15,000
407	ADOBE INC CMN	Sale	11/10/2022	No	\$1,001 - \$15,000
408	ENERGY TRANSFER PARTNERS 4.75% 01/15/2026 USD	Sale	11/16/2022	No	\$1,001 - \$15,000
409	MPLX LP 4.875% 06/01/2025 USD	Sale	11/16/2022	No	\$1,001 - \$15,000
410	AIR LEASE CORP MTN 2.875% 01/15/2026 USD	Sale	11/16/2022	No	\$1,001 - \$15,000
411	ABBOTT LABORATORIES CMN	Sale	11/09/2022	No	\$1,001 - \$15,000
412	AMERICOLD REALTY TRUST, INC CMN	Sale	11/10/2022	No	\$1,001 - \$15,000
413	ENVISTA HOLDINGS CORPORATION CMN	Sale	11/10/2022	No	\$1,001 - \$15,000
414	BALL CORPORATION 3.125% 09/15/2031 USD	Sale	11/16/2022	No	\$1,001 - \$15,000
415	JOHNSON & JOHNSON CMN	Sale	11/10/2022	No	\$1,001 - \$15,000
416	RIO TINTO PLC SPONSORED ADR	Sale	11/10/2022	No	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
417	LONZA GROUP AG UNSPONSORED ADR CMN	Sale	11/10/2022	No	\$1,001 - \$15,000
418	CAMDEN PROPERTY TRUST CMN	Sale	11/10/2022	No	\$1,001 - \$15,000
419	BERKSHIRE HATHAWAY INC. CLASS B	Sale	11/10/2022	No	\$1,001 - \$15,000
420	QUALCOMM INC CMN	Sale	11/09/2022	No	\$1,001 - \$15,000
421	TENCENT HOLDINGS LIMITED UNSPONSORED ADR CMN	Sale	11/10/2022	No	\$1,001 - \$15,000
422	KEURIG DR PEPPER INC CMN	Sale	11/09/2022	No	\$1,001 - \$15,000
423	UNITEDHEALTH GROUP INCORPORATE CMN	Sale	11/10/2022	No	\$1,001 - \$15,000
424	CROWN CASTLE INTL CORP CMN	Sale	11/09/2022	No	\$1,001 - \$15,000
425	APPLIED INDUSTRIAL TECHNOLOGY INC	Sale	11/09/2022	No	\$1,001 - \$15,000
426	MEDTRONIC PUBLIC LIMITED COMPA CMN	Sale	11/09/2022	No	\$1,001 - \$15,000
427	SHELL PLC SPONSORED ADR CMN	Sale	11/10/2022	No	\$1,001 - \$15,000
428	MDC HOLDINGS INC 2.5% 01/15/2031 USD	Sale	11/16/2022	No	\$1,001 - \$15,000
429	MPT OPERATING PARTNERSHIP, L.P 3.5% 03/15/2031 USD	Sale	11/16/2022	No	\$1,001 - \$15,000
430	ISHARES S&P 100 ETF	Purchase	11/16/2022	No	\$1,001 - \$15,000
431	SYSCO CORPORATION 5.95% 04/01/2030 USD	Sale	11/16/2022	No	\$1,001 - \$15,000
432	PDC ENERGY INC CMN	Sale	11/09/2022	No	\$1,001 - \$15,000
433	HAIER SMART HOME CO.,LTD. ADR CMN	Sale	11/10/2022	No	\$1,001 - \$15,000
434	ARMSTRONG WORLD INDUSTRIES, INC	Sale	11/10/2022	No	\$1,001 - \$15,000
435	CENTURY TELEPHONE ENTERPRISES 6.875000 01/15/2028	Sale	11/16/2022	No	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
436	TAIWAN SEMICONDUCTOR MFG ADS LTD ADS 1ADS EQUALS 5ORDS	Sale	11/10/2022	No	\$1,001 - \$15,000
437	QORVO, INC. 4.375% 10/15/2029 USD	Sale	11/16/2022	No	\$1,001 - \$15,000
438	NETFLIX, INC. 6.375% 05/15/2029 USD	Sale	11/16/2022	No	\$1,001 - \$15,000
439	MPT OPERATING PARTNERSHIP, L.P 5.0% 10/15/2027 USD	Sale	11/16/2022	No	\$1,001 - \$15,000
440	TRI POINTE GROUP INC 5.7% 06/15/2028 USD	Sale	11/16/2022	No	\$1,001 - \$15,000
441	SPRINT CORPORATION 7.625% 02/15/2025 USD	Sale	11/16/2022	No	\$1,001 - \$15,000
442	COVANTA HOLDING CORPORATION 5.0% 09/01/2030 USD	Sale	11/16/2022	No	\$1,001 - \$15,000
443	ARCELORMITTAL 4.25% 07/16/2029 USD	Sale	11/16/2022	No	\$1,001 - \$15,000
444	BALL CORPORATION 2.875% 08/15/2030 USD	Sale	11/16/2022	No	\$1,001 - \$15,000
445	MURPHY OIL USA, INC. 4.75% 09/15/2029 USD	Sale	11/16/2022	No	\$1,001 - \$15,000
446	TWILIO INC. 3.625% 03/15/2029 USD	Sale	11/16/2022	No	\$1,001 - \$15,000
447	SEMPRA ENERGY CMN	Sale	11/09/2022	No	\$1,001 - \$15,000
448	RYMAN HOSPITALITY PPTYS INC CMN	Sale	11/10/2022	No	\$1,001 - \$15,000
449	CISCO SYSTEMS, INC. CMN	Sale	11/09/2022	No	\$1,001 - \$15,000
450	NEWELL RUBBERMAID INC. STEP 04/01/2026 USD	Sale	11/16/2022	No	\$1,001 - \$15,000
451	CENTENE CORPORATION 4.625% 12/15/2029 USD	Sale	11/16/2022	No	\$1,001 - \$15,000
452	CROWN AMERICAS LLC 4.25% 09/30/2026 USD	Sale	11/16/2022	No	\$1,001 - \$15,000
453	DASSAULT SYSTEMES SPONSORED ADR CMN	Sale	11/10/2022	No	\$1,001 - \$15,000
454	CENTENE CORP 3.0% 10/15/2030 USD	Sale	11/16/2022	No	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
455	WESTERN DIGITAL CORP 4.75% 02/15/2026 USD	Sale	11/16/2022	No	\$1,001 - \$15,000
456	ICICI BANK LIMITED SPONS ADR	Sale	11/10/2022	No	\$1,001 - \$15,000
457	ENERGIZER HOLDINGS INC 4.375% 03/31/2029 USD	Sale	11/16/2022	No	\$1,001 - \$15,000
458	VERISK ANALYTICS, INC. CMN	Sale	11/10/2022	No	\$1,001 - \$15,000
459	TREEHOUSE FOODS INC 4.0% 09/01/2028 USD	Sale	11/16/2022	No	\$1,001 - \$15,000
460	UNITED PARCEL SERVICE, INC. CLASS B COMMON STOCK	Sale	11/09/2022	No	\$1,001 - \$15,000
461	YUM! BRANDS, INC 3.625% 03/15/2031 USD	Sale	11/16/2022	No	\$1,001 - \$15,000
462	OPEN TEXT CORP 3.875% 02/15/2028 USD	Sale	11/16/2022	No	\$1,001 - \$15,000
463	SAP SE (SPON ADR)	Sale	11/10/2022	No	\$1,001 - \$15,000
464	LOCKHEED MARTIN CORPORATION CMN	Sale	11/09/2022	No	\$1,001 - \$15,000
465	REALTY INCOME CORPORATION CMN	Sale	11/09/2022	No	\$1,001 - \$15,000
466	AECOM 5.125% 03/15/2027 USD	Sale	11/16/2022	No	\$1,001 - \$15,000
467	AMERICAN AXLE & MANUFACTURING, 5.0% 10/01/2029 USD	Sale	11/16/2022	No	\$1,001 - \$15,000
468	CDW LLC/CDW FINANCE 3.25% 02/15/2029 USD	Sale	11/16/2022	No	\$1,001 - \$15,000
469	UNITED RENTALS NORTH AM 3.875% 02/15/2031 USD	Sale	11/16/2022	No	\$1,001 - \$15,000
470	ENPRO INDUSTRIES, INC. 5.75% 10/15/2026 USD	Sale	11/16/2022	No	\$1,001 - \$15,000
471	AUTOMATIC DATA PROCESSING INC CMN	Sale	11/09/2022	No	\$1,001 - \$15,000
472	SUNOCO LP 6.0% 04/15/2027 USD	Sale	11/16/2022	No	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
473	HCA INC. 3.5% 09/01/2030 USD	Sale	11/16/2022	No	\$1,001 - \$15,000
474	HCA INC. 4.125% 06/15/2029 USD	Sale	11/16/2022	No	\$1,001 - \$15,000
475	SILGAN HOLDINGS INC 4.125% 02/01/2028 USD	Sale	11/16/2022	No	\$1,001 - \$15,000
476	BHP GROUP LTD SPONSORED ADR CMN	Sale	11/10/2022	No	\$1,001 - \$15,000
477	ALLISON TRANSMISSION INC 4.75% 10/01/2027 USD	Sale	11/17/2022	No	\$1,001 - \$15,000
478	U.S. TREASURY NOTE 1.000000% 12/15/2024 JD	Purchase	11/17/2022	No	\$1,001 - \$15,000
479	MGM RESORTS INTERNATIONAL 6.75% 05/01/2025 USD	Sale	11/16/2022	No	\$1,001 - \$15,000
480	ADOBE INC CMN	Sale	11/09/2022	No	\$1,001 - \$15,000
481	VANGUARD FTSE EUROPEAN ETF ETF	Purchase	11/16/2022	No	\$1,001 - \$15,000
482	MICROSOFT CORPORATION CMN	Sale	11/09/2022	No	\$1,001 - \$15,000
483	VANGUARD REIT INDEX FUND CMN	Sale	11/09/2022	No	\$1,001 - \$15,000
484	LAMAR MEDIA CORP 3.75% 02/15/2028 USD	Sale	11/16/2022	No	\$1,001 - \$15,000
485	CAPITAL ONE FINANCIAL CORPORAT 3.2% 02/05/2025 USD	Sale	11/09/2022	No	\$1,001 - \$15,000
486	T-MOBILE USA, INC. 3.375% 04/15/2029 USD	Sale	11/16/2022	No	\$1,001 - \$15,000
487	HILLENBRAND INC 5.75% 06/15/2025 USD	Sale	11/16/2022	No	\$1,001 - \$15,000
488	THE HOME DEPOT, INC. CMN	Sale	11/09/2022	No	\$1,001 - \$15,000
489	U.S. TREASURY NOTE 0.875000% 01/31/2024 JJ	Purchase	12/06/2022	No	\$1,001 - \$15,000
490	CAPITAL ONE FINANCIAL CORPORAT 3.2% 02/05/2025 USD	Purchase	09/14/2022	No	\$1,001 - \$15,000
491	GO DADDY OPERATING CO LLC / GD 5.25% 12/01/2027 USD	Sale	11/16/2022	No	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
492	1011778 BC / NEW RED FIN 3.875% 01/15/2028 USD	Sale	11/16/2022	No	\$1,001 - \$15,000
493	GILEAD SCIENCES INC. 3.5% 02/01/2025 USD	Sale	11/09/2022	No	\$1,001 - \$15,000
494	VANGUARD GLOBAL EX-US REAL ESTATE ETF	Sale	11/09/2022	No	\$1,001 - \$15,000
495	FAIR ISAAC CORP 4.0% 06/15/2028 USD	Sale	11/16/2022	No	\$1,001 - \$15,000
496	GILEAD SCIENCES INC. 3.5% 02/01/2025 USD	Purchase	09/14/2022	No	\$1,001 - \$15,000
497	VICI PROPERTIES INC. CMN	Sale	11/10/2022	No	\$1,001 - \$15,000
498	SCHNEIDER ELECTRIC SE UNSPONSORED ADR (FRANCE)	Sale	11/10/2022	No	\$1,001 - \$15,000
499	AMAZON.COM INC CMN	Sale	11/10/2022	No	\$1,001 - \$15,000
500	ESSEX PROPERTY TRUST INC CMN	Sale	11/10/2022	No	\$1,001 - \$15,000
501	MARSH & MCLENNAN CO INC CMN	Sale	11/09/2022	No	\$15,001 - \$50,000
502	MC DONALDS CORP CMN	Sale	11/09/2022	No	\$15,001 - \$50,000
503	TERRENO REALTY CORPORATION CMN	Sale	11/10/2022	No	\$15,001 - \$50,000
504	STARBUCKS CORP. CMN	Sale	11/09/2022	No	\$15,001 - \$50,000
505	PENSKE AUTOMOTIVE GROUP 3.5% 09/01/2025 USD	Sale	11/16/2022	No	\$15,001 - \$50,000
506	OCCIDENTAL PETROLEUM COR 8.0% 07/15/2025 USD	Sale	11/16/2022	No	\$15,001 - \$50,000
507	BLACKROCK, INC. CMN	Sale	11/09/2022	No	\$15,001 - \$50,000
508	VENTAS, INC. CMN	Sale	11/10/2022	No	\$15,001 - \$50,000
509	TRUIST FINANCIAL CORPORATION CMN	Sale	11/09/2022	No	\$15,001 - \$50,000
510	AUTONATION INC 4.75% 06/01/2030 USD	Sale	11/16/2022	No	\$15,001 - \$50,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
511	WALGREENS BOOTS ALLIANCE 3.2% 04/15/2030 USD	Sale	11/16/2022	No	\$15,001 - \$50,000
512	FOMENTO ECONOMICO MEXICANO SAB DE C.V. NEW SPONS ADR	Sale	11/10/2022	No	\$15,001 - \$50,000
513	QUANTA SERVICES INC 2.9% 10/01/2030 USD	Sale	11/16/2022	No	\$15,001 - \$50,000
514	ADYEN N.V. ADR CMN	Sale	11/10/2022	No	\$15,001 - \$50,000
515	TEXAS INSTRUMENTS INC. CMN	Sale	11/09/2022	No	\$15,001 - \$50,000
516	ENBRIDGE INC 2.5% 01/15/2025 USD	Sale	11/09/2022	No	\$15,001 - \$50,000
517	VAIL RESORTS, INC. CMN	Sale	11/10/2022	No	\$15,001 - \$50,000
518	VANGUARD INDEX FUNDS - VANGUAR VANGUARD VALUE ETF	Purchase	09/13/2022	No	\$15,001 - \$50,000
519	ENBRIDGE INC 2.5% 01/15/2025 USD	Purchase	09/14/2022	No	\$15,001 - \$50,000
520	VANGUARD GLOBAL EX-US REAL ESTATE ETF	Purchase	09/13/2022	No	\$15,001 - \$50,000
521	VANGUARD INDEX FUNDS - VANGUAR VANGUARD VALUE ETF	Sale	11/09/2022	No	\$15,001 - \$50,000
522	HASBRO INC 3.9% 11/19/2029 USD	Sale	11/16/2022	No	\$15,001 - \$50,000
523	VANGUARD REIT INDEX FUND CMN	Purchase	09/13/2022	No	\$15,001 - \$50,000
524	B.A.T. CAPITAL CORPORATION 3.222% 08/15/2024 USD	Sale	11/09/2022	No	\$15,001 - \$50,000
525	HUNTSMAN INTERNATIONAL L 4.5% 05/01/2029 USD	Sale	11/16/2022	No	\$15,001 - \$50,000
526	EASTGROUP PROPERTIES INC CMN	Sale	11/10/2022	No	\$15,001 - \$50,000
527	TRINET GROUP INC 3.5% 03/01/2029 USD	Sale	11/16/2022	No	\$15,001 - \$50,000
528	B.A.T. CAPITAL CORPORATION 3.222% 08/15/2024 USD	Purchase	09/14/2022	No	\$15,001 - \$50,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
529	VANGUARD FTSE EUROPEAN ETF ETF	Purchase	11/15/2022	No	\$15,001 - \$50,000
530	SOUTHWESTERN PUBLIC SERV 3.3% 06/15/2024 USD	Sale	11/09/2022	No	\$15,001 - \$50,000
531	VISA INC. CMN CLASS A	Sale	11/10/2022	No	\$15,001 - \$50,000
532	SOUTHWESTERN PUBLIC SERV 3.3% 06/15/2024 USD	Purchase	09/14/2022	No	\$15,001 - \$50,000
533	PNC FINANCIAL SERVICES GROUP, CMN	Sale	11/09/2022	No	\$15,001 - \$50,000
534	3M COMPANY 2.0% 02/14/2025 USD	Sale	11/09/2022	No	\$15,001 - \$50,000
535	NXP BV/NXP FUNDING LLC 4.875% 03/01/2024 USD	Sale	11/09/2022	No	\$15,001 - \$50,000
536	3M COMPANY 2.0% 02/14/2025 USD	Purchase	09/14/2022	No	\$15,001 - \$50,000
537	NXP BV/NXP FUNDING LLC 4.875% 03/01/2024	Purchase	09/14/2022	No	\$15,001 - \$50,000
538	CELANESE US HOLDINGS LLC 5.9% 07/05/2024	Sale	11/09/2022	No	\$15,001 - \$50,000
539	CITIGROUP INC. HYBRID 10/30/2024 USD	Sale	11/09/2022	No	\$15,001 - \$50,000
540	ELI LILLY & CO CMN	Sale	11/09/2022	No	\$15,001 - \$50,000
541	ROCHE HOLDING AG ADR B SHS(NOM CHF 100)	Sale	11/10/2022	No	\$15,001 - \$50,000
542	TRANSCANADA TRUST HYBRID 08/15/2076 USD	Sale	11/16/2022	No	\$15,001 - \$50,000
543	WELLS FARGO & COMPANY MTN 3.0% 02/19/2025 USD	Sale	11/09/2022	No	\$15,001 - \$50,000
544	CELANESE US HOLDINGS LLC 5.9% 07/05/2024	Purchase	09/14/2022	No	\$15,001 - \$50,000
545	BANK OF NOVA SCOTIA (THE) HYBRID PERPETUAL USD	Sale	11/16/2022	No	\$15,001 - \$50,000
546	CITIGROUP INC. HYBRID 10/30/2024 USD	Purchase	09/14/2022	No	\$15,001 - \$50,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
547	AMERISOURCEBERGEN CORP 3.25% 03/01/2025 USD	Sale	11/09/2022	No	\$15,001 - \$50,000
548	WELLS FARGO & COMPANY MTN 3.0% 02/19/2025 USD	Purchase	09/14/2022	No	\$15,001 - \$50,000
549	ORACLE CORP 2.95% 11/15/2024 USD	Sale	11/09/2022	No	\$15,001 - \$50,000
550	BANK OF AMERICA CORPORATION HYBRID MTN 06/14/2024 USD	Sale	11/09/2022	No	\$15,001 - \$50,000
551	ALLIANZ SE ADR CMN	Sale	11/10/2022	No	\$15,001 - \$50,000
552	BANK OF AMERICA CORPORATION HYBRID MTN 06/14/2024 USD	Purchase	09/14/2022	No	\$15,001 - \$50,000
553	ISHARES CORE MSCI EMERGING MARKETS ETF	Purchase	11/16/2022	No	\$15,001 - \$50,000
554	ISHARES, INC.MSCI JAPAN ETF MUTUAL FUND	Purchase	11/16/2022	No	\$15,001 - \$50,000
555	JPMORGAN CHASE & CO. HYBRID 03/01/2025 USD	Sale	11/09/2022	No	\$15,001 - \$50,000
556	AMERISOURCEBERGEN CORP 3.25% 03/01/2025 USD	Purchase	09/14/2022	No	\$15,001 - \$50,000
557	ORACLE CORP 2.95% 11/15/2024 USD	Purchase	09/14/2022	No	\$15,001 - \$50,000
558	AIR LEASE CORPORATION MTN 2.3% 02/01/2025 USD	Sale	11/09/2022	No	\$15,001 - \$50,000
559	MORGAN STANLEY HYBRID 04/05/2024 USD	Sale	11/09/2022	No	\$15,001 - \$50,000
560	JPMORGAN CHASE & CO. HYBRID 03/01/2025 USD	Purchase	09/14/2022	No	\$15,001 - \$50,000
561	REALTY INCOME CORP 3.4% 01/15/2028 USD	Sale	11/16/2022	No	\$15,001 - \$50,000
562	MORGAN STANLEY HYBRID 04/05/2024 USD	Purchase	09/14/2022	No	\$15,001 - \$50,000
563	COLGATE-PALMOLIVE CO CMN	Sale	11/09/2022	No	\$15,001 - \$50,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
564	SPRINGLEAF FINANCE CORPORATION 5.375% 11/15/2029 USD	Sale	11/16/2022	No	\$15,001 - \$50,000
565	AIR LEASE CORPORATION MTN 2.3% 02/01/2025 USD	Purchase	09/14/2022	No	\$15,001 - \$50,000
566	EQUITY LIFESTYLE PROPERTIES, I CMN	Sale	11/10/2022	No	\$15,001 - \$50,000
567	PRUDENTIAL FINANCIAL, INC. HYBRID 06/15/2043 USD	Sale	11/16/2022	No	\$15,001 - \$50,000
568	U.S. TREASURY NOTE 1.625000% 10/31/2026 AO	Purchase	12/05/2022	No	\$15,001 - \$50,000
569	EQUITY RESIDENTIAL CMN	Sale	11/10/2022	No	\$15,001 - \$50,000
570	NEXTERA ENERGY, INC. CMN	Sale	11/09/2022	No	\$15,001 - \$50,000
571	VULCAN MATERIALS CO CMN	Sale	11/10/2022	No	\$15,001 - \$50,000
572	AIA GROUP LIMITED SPONSORED ADR CMN SERIES	Sale	11/10/2022	No	\$15,001 - \$50,000
573	L'OREAL CO (ADR) ADR CMN	Sale	11/10/2022	No	\$15,001 - \$50,000
574	INVITATION HOMES INC. CMN	Sale	11/10/2022	No	\$15,001 - \$50,000
575	INFINEON TECHNOLOGIES AG - ADR SPONSORED ADR CMN	Sale	11/10/2022	No	\$15,001 - \$50,000
576	PROCTER & GAMBLE COMPANY (THE) CMN	Sale	11/09/2022	No	\$15,001 - \$50,000
577	LOWES COMPANIES INC CMN	Sale	11/10/2022	No	\$15,001 - \$50,000
578	AIR PRODUCTS & CHEMICALS INC CMN	Sale	11/09/2022	No	\$15,001 - \$50,000
579	ATLAS COPCO AB SPONS ADR NEW REPSTG COM SER-A	Sale	11/10/2022	No	\$15,001 - \$50,000
580	HOWMET AEROSPACE INC. 3.0% 01/15/2029 USD	Sale	11/16/2022	No	\$15,001 - \$50,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
581	U.S. TREASURY NOTE 2.250000% 12/31/2023 JD	Purchase	11/18/2022	No	\$15,001 - \$50,000
582	FORD MOTOR COMPANY 3.25% 02/12/2032 USD	Sale	11/16/2022	No	\$15,001 - \$50,000
583	U.S. TREASURY NOTE 1.875000% 02/15/2032 FA	Purchase	11/21/2022	No	\$15,001 - \$50,000
584	MONDELEZ INTERNATIONAL, INC. CMN	Sale	11/09/2022	No	\$15,001 - \$50,000
585	NAVIENT CORP 4.875% 03/15/2028 USD	Sale	11/16/2022	No	\$15,001 - \$50,000
586	NIKE CLASS-B CMN CLASS B	Sale	11/09/2022	No	\$15,001 - \$50,000
587	MIDDLEBY CORPORATION (THE) CMN	Sale	11/09/2022	No	\$15,001 - \$50,000
588	CHEVRON CORPORATION CMN	Sale	11/09/2022	No	\$15,001 - \$50,000
589	ISHARES CORE DIVIDEND GROWTH ETF	Purchase	11/16/2022	No	\$15,001 - \$50,000
590	HILTON DOMESTIC OPERATING CO I 4.875% 01/15/2030 USD	Sale	11/16/2022	No	\$15,001 - \$50,000
591	BROADCOM INC. CMN	Sale	11/09/2022	No	\$15,001 - \$50,000
592	ABBVIE INC CMN	Sale	11/09/2022	No	\$15,001 - \$50,000
593	CHENIERE ENERGY PARTNERS, L.P. 4.5% 10/01/2029 USD	Sale	11/16/2022	No	\$15,001 - \$50,000
594	ALEXANDRIA REAL ESTATE EQUITIES, INC.	Sale	11/10/2022	No	\$15,001 - \$50,000
595	CME GROUP INC. CMN CLASS A	Sale	11/09/2022	No	\$15,001 - \$50,000
596	DBS GROUP HOLDINGS SPONSORED ADR CMN	Sale	11/10/2022	No	\$15,001 - \$50,000
597	AVALONBAY COMMUNITIES INC CMN	Sale	11/10/2022	No	\$15,001 - \$50,000
598	DOLLAR TREE STORES, INC. CMN	Sale	11/10/2022	No	\$15,001 - \$50,000
599	OTIS WORLDWIDE CORPORATION CMN	Sale	11/09/2022	No	\$15,001 - \$50,000
600	PEPSICO, INC. CMN	Sale	11/09/2022	No	\$15,001 - \$50,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
601	WELLS FARGO & COMPANY HYBRID PERPETUAL USD	Sale	11/16/2022	No	\$15,001 - \$50,000
602	U.S. TREASURY NOTE 1.500000% 02/15/2030 FA	Purchase	11/17/2022	No	\$15,001 - \$50,000
603	WELLTOWER INC. CMN	Sale	11/10/2022	No	\$15,001 - \$50,000
604	FACTSET RESEARCH SYSTEMS INC CMN	Sale	11/09/2022	No	\$15,001 - \$50,000
605	CUBESMART CMN	Sale	11/10/2022	No	\$15,001 - \$50,000
606	U.S. TREASURY NOTE 1.625000% 10/31/2026 AO	Purchase	11/17/2022	No	\$15,001 - \$50,000
607	BRECKINRIDGE CNTY KY SCH DIST REV 3% 04/01/30-CA AO	Sale	11/14/2022	No	\$15,001 - \$50,000
608	ISHARES, INC. - ISHARES MSCI UNITED KINGDOM ETF	Purchase	11/16/2022	No	\$15,001 - \$50,000
609	ISHARES MSCI PACIFIC EX JAPAN ETF	Purchase	11/16/2022	No	\$15,001 - \$50,000
610	SKYWORKS SOLUTIONS, INC. CMN	Sale	11/10/2022	No	\$15,001 - \$50,000
611	VERISIGN, INC. CMN	Sale	11/10/2022	No	\$15,001 - \$50,000
612	VANGUARD INDEX FUNDS - VANGUAR SMALL-CAP VALUE ETF	Sale	11/09/2022	No	\$15,001 - \$50,000
613	VANGUARD FTSE EUROPEAN ETF ETF	Purchase	12/02/2022	No	\$15,001 - \$50,000
614	U.S. TREASURY NOTE 2.500000% 04/30/2024 AO	Purchase	12/05/2022	No	\$15,001 - \$50,000
615	ALPHABET INC. CMN CLASS A	Sale	11/10/2022	No	\$15,001 - \$50,000
616	VANGUARD INDEX FUNDS - VANGUAR SMALL-CAP VALUE ETF	Purchase	09/13/2022	No	\$15,001 - \$50,000
617	MEDTRONIC PUBLIC LIMITED COMPA CMN	Sale	11/10/2022	No	\$15,001 - \$50,000
618	GRACO INC. CMN	Sale	11/09/2022	No	\$15,001 - \$50,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
619	MERCK & CO., INC. CMN	Sale	11/09/2022	No	\$15,001 - \$50,000
620	DIGITAL REALTY TRUST, INC. CMN	Sale	11/10/2022	No	\$15,001 - \$50,000
621	NEW JERSEY ST TRANSN TR FD REV 5.2500% 12/15/22 JD	Sale	11/14/2022	No	\$15,001 - \$50,000
622	NORDSTROM INC 4.375% 04/01/2030 USD	Sale	11/16/2022	No	\$15,001 - \$50,000
623	CARRIER GLOBAL CORPORATION CMN	Sale	11/09/2022	No	\$15,001 - \$50,000
624	ISHARES CORE MSCI EMERGING MARKETS ETF	Sale	11/09/2022	No	\$15,001 - \$50,000
625	BECTON, DICKINSON AND COMPANY CMN	Sale	11/09/2022	No	\$15,001 - \$50,000
626	ORACLE CORPORATION CMN	Sale	11/09/2022	No	\$15,001 - \$50,000
627	TJX COMPANIES INC (NEW) CMN	Sale	11/09/2022	No	\$15,001 - \$50,000
628	OTIS WORLDWIDE CORPORATION CMN	Sale	11/10/2022	No	\$15,001 - \$50,000
629	WASTE MANAGEMENT INC CMN	Sale	11/10/2022	No	\$15,001 - \$50,000
630	METTLER-TOLEDO INTL CMN	Sale	11/09/2022	No	\$15,001 - \$50,000
631	ANALOG DEVICES, INC. CMN	Sale	11/09/2022	No	\$15,001 - \$50,000
632	ISHARES S&P 100 ETF	Purchase	11/16/2022	No	\$15,001 - \$50,000
633	U.S. TREASURY NOTE 0.125000% 02/15/2024 FA	Purchase	12/05/2022	No	\$15,001 - \$50,000
634	ISHARES CORE MSCI EMERGING MARKETS ETF	Purchase	09/13/2022	No	\$15,001 - \$50,000
635	NEW BOSTON OHIO LOC SCH DIST GO 3% 11/01/28-CA MN	Sale	11/14/2022	No	\$15,001 - \$50,000
636	U.S. TREASURY NOTE 2.000000% 02/15/2025 FA	Purchase	12/05/2022	No	\$15,001 - \$50,000
637	ISHARES CORE S&P MID-CAP ETF	Purchase	11/16/2022	No	\$15,001 - \$50,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
638	ISHARES CORE S&P SMALL-CAP ETF	Purchase	11/16/2022	No	\$15,001 - \$50,000
639	NRG ENERGY, INC. 5.75% 01/15/2028 USD	Sale	11/16/2022	No	\$15,001 - \$50,000
640	S&P GLOBAL INC. CMN	Sale	11/10/2022	No	\$15,001 - \$50,000
641	U.S. TREASURY NOTE 0.875000% 11/15/2030 MN	Purchase	11/21/2022	No	\$15,001 - \$50,000
642	U.S. TREASURY NOTE 2.125000% 11/30/2023 MN	Purchase	11/21/2022	No	\$15,001 - \$50,000
643	LOWES COMPANIES INC CMN	Sale	11/09/2022	No	\$15,001 - \$50,000
644	U.S. TREASURY NOTE 0.250000% 10/31/2025 AO	Purchase	11/21/2022	No	\$15,001 - \$50,000
645	U.S. TREASURY BILL DUE 10/05/2023	Purchase	11/21/2022	No	\$15,001 - \$50,000
646	LKQ CORPORATION CMN	Sale	11/10/2022	No	\$15,001 - \$50,000
647	ISHARES S&P 100 ETF	Purchase	11/15/2022	No	\$15,001 - \$50,000
648	MSCI INC. CMN	Sale	11/09/2022	No	\$15,001 - \$50,000
649	CROWN CASTLE INTL CORP CMN	Sale	11/10/2022	No	\$15,001 - \$50,000
650	SHERWIN-WILLIAMS CO CMN	Sale	11/09/2022	No	\$15,001 - \$50,000
651	MORGAN STANLEY FINANCE LLC LINKED TO S&P 500 INDEX	Purchase	12/13/2022	No	\$15,001 - \$50,000
652	CANADIAN IMPERIAL BANK OF COMM LINKED TO S&P 500 INDEX	Purchase	12/14/2022	No	\$15,001 - \$50,000
653	LINDE PLC CMN	Sale	11/09/2022	No	\$15,001 - \$50,000
654	U.S. TREASURY NOTE 1.125000% 02/15/2031 FA	Purchase	11/21/2022	No	\$15,001 - \$50,000
655	UBS AG LINKED TO S&P 500 INDEX	Purchase	11/21/2022	No	\$15,001 - \$50,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
656	JPMORGAN CHASE & CO. LINKED TO S&P 500 INDEX	Purchase	11/25/2022	No	\$15,001 - \$50,000
657	TORONTO DOMINION BANK LINKED TO S&P 500 INDEX	Purchase	11/28/2022	No	\$15,001 - \$50,000
658	ROYAL BANK OF CANADA LINKED TO S&P 500 INDEX	Purchase	11/30/2022	No	\$15,001 - \$50,000
659	SOCIETE GENERALE LINKED TO S&P 500 INDEX	Purchase	12/06/2022	No	\$15,001 - \$50,000
660	BNP PARIBAS LINKED TO S&P 500 INDEX	Purchase	12/07/2022	No	\$15,001 - \$50,000
661	MICROSOFT CORPORATION CMN	Sale	11/10/2022	No	\$15,001 - \$50,000
662	SYSCO CORPORATION CMN	Sale	11/10/2022	No	\$15,001 - \$50,000
663	MASTERCARD INCORPORATED CMN CLASS A	Sale	11/09/2022	No	\$15,001 - \$50,000
664	EQUINIX, INC. REIT	Sale	11/10/2022	No	\$15,001 - \$50,000
665	U.S. TREASURY NOTE 1.750000% 05/15/2023 MN	Purchase	09/14/2022	No	\$15,001 - \$50,000
666	U.S. TREASURY NOTE 1.750000% 05/15/2023 MN	Sale	11/09/2022	No	\$15,001 - \$50,000
667	PEPSICO, INC. CMN	Sale	11/09/2022	No	\$15,001 - \$50,000
668	ISHARES, INC.MSCI JAPAN ETF MUTUAL FUND	Purchase	11/16/2022	No	\$15,001 - \$50,000
669	ISHARES CORE MSCI EMERGING MARKETS ETF	Purchase	11/16/2022	No	\$15,001 - \$50,000
670	ISHARES MSCI EUROZONE ETF	Purchase	11/16/2022	No	\$15,001 - \$50,000
671	U.S. TREASURY NOTE 1.500000% 11/30/2028 MN	Purchase	11/21/2022	No	\$15,001 - \$50,000
672	ALPHABET INC. CMN CLASS A	Sale	11/10/2022	No	\$15,001 - \$50,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
673	PUBLIC STORAGE CMN	Sale	11/10/2022	No	\$15,001 - \$50,000
674	FISERV, INC. CMN	Sale	11/10/2022	No	\$15,001 - \$50,000
675	ANALOG DEVICES, INC. CMN	Sale	11/10/2022	No	\$15,001 - \$50,000
676	U.S. TREASURY NOTE 1.000000% 12/15/2024 JD	Purchase	11/17/2022	No	\$15,001 - \$50,000
677	U.S. TREASURY NOTE 1.000000% 12/15/2024 JD	Purchase	12/05/2022	No	\$15,001 - \$50,000
678	APPLE INC. CMN	Sale	11/10/2022	No	\$15,001 - \$50,000
679	U.S. TREASURY NOTE 0.250000% 11/15/2023 MN	Purchase	12/05/2022	No	\$15,001 - \$50,000
680	U.S. TREASURY NOTE 0.500000% 11/30/2023 MN	Purchase	12/05/2022	No	\$15,001 - \$50,000
681	ISHARES S&P 100 ETF	Purchase	12/02/2022	No	\$15,001 - \$50,000
682	U.S. TREASURY NOTE 0.125000% 10/15/2023 AO	Purchase	12/05/2022	No	\$15,001 - \$50,000
683	U.S. TREASURY NOTE 0.750000% 12/31/2023 JD	Purchase	12/05/2022	No	\$15,001 - \$50,000
684	JOHNSON & JOHNSON CMN	Sale	11/09/2022	No	\$15,001 - \$50,000
685	ISHARES CORE DIVIDEND GROWTH ETF	Purchase	11/16/2022	No	\$15,001 - \$50,000
686	ISHARES CORE MSCI EMERGING MARKETS ETF	Purchase	11/15/2022	No	\$50,001 - \$100,000
687	ISHARES, INC.MSCI JAPAN ETF MUTUAL FUND	Purchase	11/15/2022	No	\$50,001 - \$100,000
688	ALPHABET INC. CMN CLASS A	Sale	11/09/2022	No	\$50,001 - \$100,000
689	MOODY'S CORPORATION CMN	Sale	11/09/2022	No	\$50,001 - \$100,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
690	U.S. TREASURY NOTE 0.500000% 10/31/2027 AO	Purchase	11/21/2022	No	\$50,001 - \$100,000
691	U.S. TREASURY NOTE 0.750000% 01/31/2028 JJ	Purchase	11/21/2022	No	\$50,001 - \$100,000
692	UNIVERSITY MD SYS AUXILIARY REV 5% 04/01/23 AO	Sale	11/14/2022	No	\$50,001 - \$100,000
693	ISHARES, INC. - ISHARES MSCI UNITED KINGDOM ETF	Purchase	11/16/2022	No	\$50,001 - \$100,000
694	ISHARES CORE S&P SMALL-CAP ETF	Sale	11/09/2022	No	\$50,001 - \$100,000
695	U.S. TREASURY NOTE 1.625000% 10/31/2026 AO	Purchase	11/21/2022	No	\$50,001 - \$100,000
696	ISHARES MSCI PACIFIC EX JAPAN ETF	Purchase	11/16/2022	No	\$50,001 - \$100,000
697	ISHARES CORE S&P SMALL-CAP ETF	Purchase	09/13/2022	No	\$50,001 - \$100,000
698	MORGAN STANLEY FINANCE LLC LINKED TO BASKET OF INDICES	Sale	11/10/2022	No	\$50,001 - \$100,000
699	ISHARES CORE DIVIDEND GROWTH ETF	Purchase	11/15/2022	No	\$50,001 - \$100,000
700	BURLINGTON CNTY N J BRDG COMMN REV 5.0000% 10/01/24-CA AO	Sale	11/14/2022	No	\$50,001 - \$100,000
701	AUTOZONE, INC. CMN	Sale	11/09/2022	No	\$50,001 - \$100,000
702	TEX ST DB 5.0000% 10/01/44-CA AO	Sale	11/14/2022	No	\$50,001 - \$100,000
703	UNITEDHEALTH GROUP INCORPORATE CMN	Sale	11/09/2022	No	\$50,001 - \$100,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
704	PROLOGIS INC CMN	Sale	11/10/2022	No	\$50,001 - \$100,000
705	MICHIGAN ST UNIV REVS REV 5% 08/15/24-CA FA	Sale	11/14/2022	No	\$50,001 - \$100,000
706	ISHARES, INC. - ISHARES MSCI UNITED KINGDOM ETF	Purchase	11/15/2022	No	\$50,001 - \$100,000
707	ISHARES MSCI PACIFIC EX JAPAN ETF	Purchase	11/15/2022	No	\$50,001 - \$100,000
708	U.S. TREASURY NOTE 0.875000% 01/31/2024 JJ	Purchase	11/17/2022	No	\$50,001 - \$100,000
709	U.S. TREASURY NOTE 0.750000% 12/31/2023 JD	Purchase	11/17/2022	No	\$50,001 - \$100,000
710	U.S. TREASURY NOTE 0.125000% 08/15/2023 FA	Purchase	11/17/2022	No	\$50,001 - \$100,000
711	MARYLAND ST GO 5% 08/01/24 FA	Sale	11/14/2022	No	\$50,001 - \$100,000
712	U.S. TREASURY NOTE 0.125000% 06/30/2023 JD	Purchase	11/17/2022	No	\$50,001 - \$100,000
713	U.S. TREASURY NOTE 2.500000% 08/15/2023 FA	Purchase	11/17/2022	No	\$50,001 - \$100,000
714	ISHARES RUSSELL 1000 GROWTH ETF	Purchase	11/16/2022	No	\$50,001 - \$100,000
715	GLENDALE ARIZ WTR & SWR REV REV 2.5000% 07/01/23 JJ	Sale	11/14/2022	No	\$50,001 - \$100,000
716	U.S. TREASURY NOTE 1.500000% 02/15/2025 FA	Purchase	11/17/2022	No	\$50,001 - \$100,000
717	BNP PARIBAS LINKED TO BASKET OF INDICES	Sale	11/10/2022	No	\$50,001 - \$100,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
718	ISHARES CORE S&P MID-CAP ETF	Purchase	11/16/2022	No	\$50,001 - \$100,000
719	ISHARES, INC.MSCI JAPAN ETF MUTUAL FUND	Purchase	12/02/2022	No	\$50,001 - \$100,000
720	ISHARES CORE MSCI EMERGING MARKETS ETF	Purchase	12/02/2022	No	\$50,001 - \$100,000
721	FLORIDA ST TPK AUTH TPK REV REV 5% 07/01/23 JJ	Sale	11/14/2022	No	\$50,001 - \$100,000
722	MICROSOFT CORPORATION CMN	Sale	11/09/2022	No	\$50,001 - \$100,000
723	ISHARES CORE S&P SMALL-CAP ETF	Purchase	11/16/2022	No	\$50,001 - \$100,000
724	MONTGOMERY CNTY MD GO 5% 12/01/23 JD	Sale	11/14/2022	No	\$50,001 - \$100,000
725	HOWARD CNTY MD GO 5% 08/15/24 FA	Sale	11/14/2022	No	\$50,001 - \$100,000
726	MET WASH ARPTS AUTH SYS REV 5% 10/01/29- CA AO	Sale	11/14/2022	No	\$50,001 - \$100,000
727	CLARK CNTY NEV SCH DIST GO 5% 06/15/26-CA JD	Sale	11/14/2022	No	\$50,001 - \$100,000
728	ISHARES RUSSELL 1000 VALUE	Purchase	11/16/2022	No	\$50,001 - \$100,000
729	WEST JEFFERSON HILLS PA SCH GO 4% 08/01/23 FA	Sale	11/14/2022	No	\$50,001 - \$100,000
730	ST MARYS CNTY MD GO 4% 07/15/28 JJ	Sale	11/14/2022	No	\$50,001 - \$100,000
731	MISSISSIPPI ST GO 5% 10/01/27 AO	Sale	11/14/2022	No	\$50,001 - \$100,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
732	MD ST FOR ISSUES DTD PR TO GO 5.0000% 03/15/24 MS	Sale	11/14/2022	No	\$50,001 - \$100,000
733	ISHARES CORE S&P SMALL-CAP ETF	Purchase	11/15/2022	No	\$50,001 - \$100,000
734	ISHARES CORE S&P MID-CAP ETF	Purchase	11/15/2022	No	\$50,001 - \$100,000
735	KING CNTY WASH DB 4% 07/01/28-CA JJ	Sale	11/14/2022	No	\$50,001 - \$100,000
736	ISHARES CORE DIVIDEND GROWTH ETF	Purchase	12/02/2022	No	\$50,001 - \$100,000
737	U.S. TREASURY BILL DUE 07/13/2023	Purchase	09/14/2022	No	\$50,001 - \$100,000
738	U.S. TREASURY BILL DUE 07/13/2023	Sale	11/09/2022	No	\$50,001 - \$100,000
739	U.S. TREASURY BILL DUE 12/15/2022	Purchase	09/14/2022	No	\$50,001 - \$100,000
740	U.S. TREASURY NOTE 1.625000% 12/15/2022 JD	Purchase	09/14/2022	No	\$50,001 - \$100,000
741	ISHARES CORE MSCI EAFE ETF ETF	Sale	11/09/2022	No	\$50,001 - \$100,000
742	U.S. TREASURY BILL DUE 12/15/2022	Sale	11/09/2022	No	\$50,001 - \$100,000
743	U.S. TREASURY NOTE 1.625000% 12/15/2022 JD	Sale	11/09/2022	No	\$50,001 - \$100,000
744	ISHARES TRUST-ISHARES CURRENCY HEDGED MSCI EAFE ETF	Purchase	09/13/2022	No	\$50,001 - \$100,000
745	MARYLAND ST GO 5% 03/15/28 MS	Sale	11/14/2022	No	\$50,001 - \$100,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
746	ISHARES CORE MSCI EAFE ETF ETF	Purchase	09/13/2022	No	\$50,001 - \$100,000
747	ISHARES TRUST-ISHARES CURRENCY HEDGED MSCI EAFE ETF	Sale	11/09/2022	No	\$50,001 - \$100,000
748	UBS AG LINKED TO S&P 500 INDEX	Purchase	12/19/2022	No	\$50,001 - \$100,000
749	THE BANK OF NOVA SCOTIA LINKED TO S&P 500 INDEX	Purchase	12/21/2022	No	\$50,001 - \$100,000
750	TORONTO DOMINION BANK LINKED TO S&P 500 INDEX	Purchase	12/27/2022	No	\$50,001 - \$100,000
751	JPMORGAN CHASE & CO. LINKED TO S&P 500 INDEX	Purchase	12/29/2022	No	\$50,001 - \$100,000
752	SOCIETE GENERALE LINKED TO S&P 500 INDEX	Purchase	12/06/2022	No	\$50,001 - \$100,000
753	BNP PARIBAS LINKED TO S&P 500 INDEX	Purchase	12/07/2022	No	\$50,001 - \$100,000
754	MORGAN STANLEY FINANCE LLC LINKED TO S&P 500 INDEX	Purchase	12/13/2022	No	\$50,001 - \$100,000
755	CANADIAN IMPERIAL BANK OF COMM LINKED TO S&P 500 INDEX	Purchase	12/14/2022	No	\$50,001 - \$100,000
756	MARYLAND ST GO 5% 08/01/29-CA FA	Sale	11/14/2022	No	\$50,001 - \$100,000
757	ISHARES MSCI PACIFIC EX JAPAN ETF	Purchase	12/02/2022	No	\$50,001 - \$100,000
758	ISHARES, INC. - ISHARES MSCI UNITED KINGDOM ETF	Purchase	12/02/2022	No	\$50,001 - \$100,000
759	ANNE ARUNDEL CNTY MD DB 5% 10/01/26 AO	Sale	11/14/2022	No	\$100,001 - \$250,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
760	ISHARES MSCI EUROZONE ETF	Purchase	11/16/2022	No	\$100,001 - \$250,000
761	CLEVELAND CNTY OKLA INDPT SCH GO 1.2500% 03/01/24 MS	Sale	11/14/2022	No	\$100,001 - \$250,000
762	JACKSONVILLE FLA SPL REV REV 5% 10/01/26 AO	Sale	11/14/2022	No	\$100,001 - \$250,000
763	MARYLAND ST DEPT TRANSN CONS REV 5% 10/01/24 AO	Sale	11/14/2022	No	\$100,001 - \$250,000
764	ISHARES CORE S&P SMALL-CAP ETF	Purchase	12/02/2022	No	\$100,001 - \$250,000
765	CANADIAN IMPERIAL BANK OF COMM LINKED TO BASKET OF INDICES	Sale	11/10/2022	No	\$100,001 - \$250,000
766	ISHARES CORE S&P MID-CAP ETF	Purchase	12/02/2022	No	\$100,001 - \$250,000
767	MONTGOMERY CNTY MD GO 5% 11/01/29-CA MN	Sale	11/14/2022	No	\$100,001 - \$250,000
768	U.S. TREASURY NOTE 1.375000% 11/15/2031 MN	Purchase	11/17/2022	No	\$100,001 - \$250,000
769	WASHINGTON CNTY MD GO 5% 07/01/23 JJ	Sale	11/14/2022	No	\$100,001 - \$250,000
770	MONTGOMERY&PRINCE GEORGE CTY GO 5.0000% 06/01/23 JD	Sale	11/14/2022	No	\$100,001 - \$250,000
771	ISHARES MSCI EUROZONE ETF	Purchase	11/15/2022	No	\$100,001 - \$250,000
772	HOWARD CNTY MD GO 5% 02/15/27 FA	Sale	11/14/2022	No	\$100,001 - \$250,000
773	UBS AG LINKED TO S&P 500 INDEX	Purchase	11/21/2022	No	\$100,001 - \$250,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
774	JPMORGAN CHASE & CO. LINKED TO S&P 500 INDEX	Purchase	11/25/2022	No	\$100,001 - \$250,000
775	TORONTO DOMINION BANK LINKED TO S&P 500 INDEX	Purchase	11/28/2022	No	\$100,001 - \$250,000
776	ROYAL BANK OF CANADA LINKED TO S&P 500 INDEX	Purchase	11/30/2022	No	\$100,001 - \$250,000
777	SOCIETE GENERALE LINKED TO S&P 500 INDEX	Purchase	12/06/2022	No	\$100,001 - \$250,000
778	BNP PARIBAS LINKED TO S&P 500 INDEX	Purchase	12/07/2022	No	\$100,001 - \$250,000
779	MORGAN STANLEY FINANCE LLC LINKED TO S&P 500 INDEX	Purchase	12/13/2022	No	\$100,001 - \$250,000
780	CITY OF NY GEN OBLIGATION BDS GO 5.0000% 08/01/26-CA FA	Sale	11/14/2022	No	\$100,001 - \$250,000
781	CANADIAN IMPERIAL BANK OF COMM LINKED TO S&P 500 INDEX	Purchase	12/14/2022	No	\$100,001 - \$250,000
782	KENOSHA WIS GO 4% 06/01/23 JD	Sale	11/14/2022	No	\$100,001 - \$250,000
783	CONN ST FOR ISSUES TO 10/19/10 GO 5.0000% 03/01/25-CA MS	Sale	11/14/2022	No	\$100,001 - \$250,000
784	EL PASO TEX DB 5% 08/15/24 FA	Sale	11/14/2022	No	\$100,001 - \$250,000
785	U.S. TREASURY NOTE 0.125000% 12/15/2023 JD	Sale	12/21/2022	No	\$100,001 - \$250,000
786	TRAVIS CNTY TEX WTR CTL & IMPT REV 4% 11/01/23 MN	Sale	11/14/2022	No	\$100,001 - \$250,000
787	U.S. TREASURY NOTE 1.750000% 11/15/2029 MN	Purchase	11/17/2022	No	\$100,001 - \$250,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
788	ANNE ARUNDEL CNTY MD GO 5% 10/01/25 AO	Sale	11/14/2022	No	\$100,001 - \$250,000
789	SAN ANTONIO TEX GO 5% 08/01/29 FA	Sale	11/14/2022	No	\$100,001 - \$250,000
790	MONTGOMERY CNTY MD GO 4% 08/01/32-CA FA	Sale	11/14/2022	No	\$100,001 - \$250,000
791	MARYLAND ST GO 5% 08/01/29 FA	Sale	11/14/2022	No	\$100,001 - \$250,000
792	MARYLAND ST GO 5% 03/01/31 MS	Sale	11/14/2022	No	\$100,001 - \$250,000
793	ISHARES RUSSELL 1000 GROWTH ETF	Purchase	11/16/2022	No	\$100,001 - \$250,000
794	MONTGOMERY CNTY MD GO 4% 11/01/29-CA MN	Sale	11/14/2022	No	\$100,001 - \$250,000
795	BALTIMORE MD GO 4% 10/15/30 AO	Sale	11/14/2022	No	\$100,001 - \$250,000
796	ISHARES MSCI EUROZONE ETF	Purchase	12/02/2022	No	\$100,001 - \$250,000
797	ISHARES RUSSELL 1000 VALUE	Purchase	11/16/2022	No	\$100,001 - \$250,000
798	UBS AG LINKED TO BASKET OF INDICES	Sale	11/10/2022	No	\$100,001 - \$250,000
799	KLEIN TEX INDPT SCH DIST GO 5% 02/01/28 FA	Sale	11/14/2022	No	\$100,001 - \$250,000
800	TORONTO DOMINION BANK LINKED TO BASKET OF INDICES	Sale	11/10/2022	No	\$100,001 - \$250,000
801	JPMORGAN CHASE & CO. LINKED TO BASKET OF INDICES	Sale	11/10/2022	No	\$100,001 - \$250,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
802	ISHARES RUSSELL 1000 GROWTH ETF	Purchase	11/15/2022	No	\$100,001 - \$250,000
803	U.S. TREASURY NOTE 0.875000% 11/15/2030 MN	Purchase	11/17/2022	No	\$100,001 - \$250,000
804	CITIGROUP INC. LINKED TO BASKET OF INDICES	Sale	11/10/2022	No	\$100,001 - \$250,000
805	JACKSONVILLE FLA SPL REV REV 5% 10/01/24 AO	Sale	11/14/2022	No	\$100,001 - \$250,000
806	U.S. TREASURY NOTE 1.875000% 02/15/2032 FA	Purchase	12/05/2022	No	\$100,001 - \$250,000
807	SPDR S&P 500 ETF TRUST	Purchase	11/16/2022	No	\$100,001 - \$250,000
808	MONTGOMERY CNTY MD GO 5% 11/01/25 MN	Sale	11/14/2022	No	\$100,001 - \$250,000
809	U.S. TREASURY NOTE 1.250000% 08/15/2031 FA	Purchase	11/17/2022	No	\$100,001 - \$250,000
810	ISHARES RUSSELL 1000 VALUE	Purchase	11/15/2022	No	\$100,001 - \$250,000
811	U.S. TREASURY NOTE 0.875000% 11/15/2030 MN	Purchase	12/05/2022	No	\$100,001 - \$250,000
812	U.S. TREASURY NOTE 1.875000% 02/15/2032 FA	Purchase	11/17/2022	No	\$100,001 - \$250,000
813	MONTGOMERY CNTY MD GO 5% 11/01/28 MN	Sale	11/14/2022	No	\$100,001 - \$250,000
814	PERRYSBURG OHIO EXMP VLG SCH GO 5% 12/01/22 JD	Sale	11/14/2022	No	\$100,001 - \$250,000
815	MONTGOMERY CNTY MD REV REV 5% 04/01/31 AO	Sale	11/14/2022	No	\$100,001 - \$250,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
816	COPPERAS COVE TEX GO 4% 08/15/26-CA FA	Sale	11/14/2022	No	\$100,001 - \$250,000
817	HSBC USA INC. LINKED TO BASKET OF INDICES	Sale	11/10/2022	No	\$100,001 - \$250,000
818	FREDERICK MD GO 5% 12/01/29-CA JD	Sale	11/14/2022	No	\$250,001 - \$500,000
819	BALTIMORE MD REV REV 5% 07/01/27-CA JJ	Sale	11/14/2022	No	\$250,001 - \$500,000
820	U.S. TREASURY NOTE 0.125000% 12/15/2023 JD	Purchase	12/05/2022	No	\$250,001 - \$500,000
821	WASHINGTON SUBN SAN DIST MD DB 5% 12/01/30 JD	Sale	11/14/2022	No	\$250,001 - \$500,000
822	U.S. TREASURY BILL DUE 11/30/2023	Purchase	12/05/2022	No	\$250,001 - \$500,000
823	OCEAN CITY MD GO 5% 11/01/27 MN	Sale	11/14/2022	No	\$250,001 - \$500,000
824	ISHARES RUSSELL 1000 GROWTH ETF	Purchase	12/02/2022	No	\$250,001 - \$500,000
825	U.S. TREASURY NOTE 2.125000% 11/30/2023 MN	Purchase	11/17/2022	No	\$250,001 - \$500,000
826	ISHARES RUSSELL 1000 VALUE	Purchase	12/02/2022	No	\$250,001 - \$500,000
827	U.S. TREASURY NOTE 0.375000% 11/30/2025 MN	Purchase	12/05/2022	No	\$250,001 - \$500,000
828	U.S. TREASURY NOTE 1.125000% 02/15/2031 FA	Purchase	12/05/2022	No	\$250,001 - \$500,000
829	U.S. TREASURY BILL DUE 10/05/2023	Purchase	11/17/2022	No	\$250,001 - \$500,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
830	U.S. TREASURY NOTE 1.375000% 12/31/2028 JD	Purchase	12/05/2022	No	\$250,001 - \$500,000
831	PRINCE GEORGES CNTY MD GO 5% 09/15/30 MS	Sale	11/14/2022	No	\$250,001 - \$500,000
832	VANGUARD S&P 500 ETF	Sale	11/09/2022	No	\$250,001 - \$500,000
833	U.S. TREASURY NOTE 1.125000% 02/29/2028 FA	Purchase	12/05/2022	No	\$250,001 - \$500,000
834	U.S. TREASURY NOTE 0.625000% 11/30/2027 MN	Purchase	12/05/2022	No	\$250,001 - \$500,000
835	VANGUARD S&P 500 ETF	Purchase	09/13/2022	No	\$250,001 - \$500,000
836	U.S. TREASURY NOTE 0.250000% 10/31/2025 AO	Purchase	11/17/2022	No	\$250,001 - \$500,000
837	WASHINGTON CNTY MD GO 5% 07/01/29 JJ	Sale	11/14/2022	No	\$250,001 - \$500,000
838	CHARLES CNTY MD GO 5% 10/01/26 AO	Sale	11/14/2022	No	\$250,001 - \$500,000
839	U.S. TREASURY NOTE 1.625000% 09/30/2026 MS	Purchase	12/05/2022	No	\$250,001 - \$500,000
840	U.S. TREASURY NOTE 1.375000% 12/31/2028 JD	Purchase	11/17/2022	No	\$500,001 - \$1,000,000
841	SPDR S&P 500 ETF TRUST	Purchase	11/16/2022	No	\$500,001 - \$1,000,000
842	U.S. TREASURY NOTE 1.250000% 03/31/2028 MS	Purchase	11/17/2022	No	\$500,001 - \$1,000,000
843	U.S. TREASURY NOTE 0.500000% 10/31/2027 AO	Purchase	11/17/2022	No	\$500,001 - \$1,000,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
844	SPDR S&P 500 ETF TRUST	Purchase	11/15/2022	No	\$500,001 - \$1,000,000
845	U.S. TREASURY NOTE 2.000000% 11/15/2026 MN	Purchase	11/17/2022	No	\$500,001 - \$1,000,000
846	SPDR S&P 500 ETF TRUST	Purchase	12/02/2022	No	\$500,001 - \$1,000,000
847	STAGWELL INC CMN	Sale	11/09/2022	No	\$1,000,001 - \$5,000,000

Endnotes

Summary of Contents

The 278-T discloses purchases, sales, or exchanges of securities in excess of \$1,000 made on behalf of the filer, the filer's spouse, or dependent child. Transactions are required to be disclosed within 30 days of receiving notification of a transaction but not later than 45 days after the transaction. Filers need not disclose (1) mutual funds and other excepted investment funds; (2) certificates of deposit, savings or checking accounts, and money market accounts; (3) U.S. Treasury bills, notes, and bonds; (4) Thrift Savings Plan accounts; (5) real property; and (6) transactions that are solely by and between the filer, the filer's spouse, and the filer's dependent children.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public

financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.
