

Executive Branch Personnel Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

Rhodes, John

Special Assistant to the President for Climate Policy, White House - Biden-Harris Administration

Date of Termination: 08/31/2022

Other Federal Government Positions Held During the Preceding 12 Months:

None

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Rhodes, John [electronically signed on 10/12/2022 by Rhodes, John in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Phillips, Anjali, Certifying Official [electronically signed on 11/04/2022 by Phillips, Anjali in Integrity.gov]

Other review conducted by

U.S. Office of Government Ethics Certification

Data Revised 11/02/2022

Data Revised 10/25/2022

Data Revised 10/24/2022

Data Revised 10/20/2022

Data Revised 10/14/2022

Data Revised 10/13/2022

Data Revised 10/12/2022

1. Filer's Positions Held Outside United States Government

| # | ORGANIZATION NAME | | CITY, STATE | ORGANIZATION TYPE | POSITION HELD | FROM | TO |
|----|--|-------------|--------------------|-------------------|---------------|--------|---------|
| 1 | LUCAS B RHODES TRUST U/A 12/22/97 FBO LUCAS B RHODES | See Endnote | New York, New York | Trust | Trustee* | 1/1997 | Present |
| 2 | EMILY P RHODES TRUST U/A 12/08/00 FBO EMILY P RHODES | See Endnote | New York, New York | Trust | Trustee* | 1/2000 | Present |
| 3 | SIMON G RHODES TRUST U/A 12/08/00 FBO SIMON G RHODES | See Endnote | New York, New York | Trust | Trustee* | 1/2000 | Present |
| 4 | JOHN B. RHODES TRUST UA 7/20/1956 IRREV TRUST B FBO GRANDCHILDREN | See Endnote | New York, New York | Trust | * Trustee | 1/1990 | Present |
| 5 | JOHN B. RHODES TRUST UW 10/4/1965 FBO GEORGE HERNDON | See Endnote | New York, New York | Trust | *Trustee | 1/1990 | Present |
| 6 | JOHN B. RHODES TRUST UW 10/4/1965 FBO LYDIA RHODES PETTY | See Endnote | New York, New York | Trust | *Trustee | 1/1990 | Present |
| 7 | JOHN B. RHODES TRUST UW 10/4/1965 FBO MARK L. RHODES | See Endnote | New York, New York | Trust | *Trustee | 1/1990 | Present |
| 8 | JOHN B. RHODES TRUST UW 10/4/1965 FBO MARY HERDON JUNDA | See Endnote | New York, New York | Trust | *Trustee | 1/1990 | Present |
| 9 | JOHN B. RHODES TRUST UW 10/4/1965 FBO WILLIAM HERNDON | See Endnote | New York, New York | Trust | *Trustee | 1/1990 | Present |
| 10 | GST EXEMPT TR U/A TWO FBO SIMON RHODES | See Endnote | New York, New York | Trust | *Trustee | 1/1990 | Present |
| 11 | GST EXEMPT TR U/A TWO FBO EMILY RHODES | See Endnote | New York, New York | Trust | *Trustee | 1/1990 | Present |
| 12 | GST EXEMPT TR U/A TWO FBO LUCAS RHODES | See Endnote | New York, New York | Trust | *Trustee | 1/1990 | Present |

2. Filer's Employment Assets & Income and Retirement Accounts

| # | DESCRIPTION | EIF | VALUE | INCOME TYPE | INCOME AMOUNT |
|-----|--|-----|-----------------------|-------------|---------------------------|
| 1 | TIAA | No | | | |
| 1.1 | TIAA Traditional | Yes | \$15,001 - \$50,000 | | None (or less than \$201) |
| 1.2 | TIAA ACC INTL EQ Ix T1 | Yes | \$15,001 - \$50,000 | | None (or less than \$201) |
| 1.3 | TIAA ACC S&P 500 Ix T1 | Yes | \$15,001 - \$50,000 | | None (or less than \$201) |
| 1.4 | TIAA ACC EQ Ix T1 | Yes | \$50,001 - \$100,000 | | None (or less than \$201) |
| 1.5 | TIAA ACC Lg- Cap Val Ix T1 | Yes | \$15,001 - \$50,000 | | None (or less than \$201) |
| 1.6 | Tiaa-cref Acc Vanguard Inst | Yes | \$15,001 - \$50,000 | | None (or less than \$201) |
| 2 | Bregal 401K | No | | | |
| 2.1 | FID LOW PRICED STK (FLPSX) | Yes | \$100,001 - \$250,000 | | None (or less than \$201) |
| 2.2 | FID SMALL CAP GROWTH (FCPGX) | Yes | \$100,001 - \$250,000 | | None (or less than \$201) |
| 2.3 | FID OVERSEAS (FOSFX) | Yes | \$50,001 - \$100,000 | | None (or less than \$201) |
| 3 | Fidelity/ Avalon IRA | No | | | |
| 3.1 | Fidelity Government Cash Reserve Money Mkt | Yes | \$100,001 - \$250,000 | | None (or less than \$201) |
| 3.2 | Dodge & Cox Income | Yes | \$100,001 - \$250,000 | | None (or less than \$201) |

| # | DESCRIPTION | EIF | VALUE | INCOME TYPE | INCOME AMOUNT |
|------|---|-----|-----------------------------|-------------|---------------------------|
| 3.3 | Fidelity Conservative Income Bond Fund | Yes | \$50,001 - \$100,000 | | None (or less than \$201) |
| 3.4 | JP Morgan Mortgage-Backed Securities | Yes | \$50,001 - \$100,000 | | None (or less than \$201) |
| 3.5 | Vanguard Short-Term Inv Grade Adm | Yes | \$50,001 - \$100,000 | | None (or less than \$201) |
| 3.6 | Fidelity Overseas FOR | Yes | \$100,001 - \$250,000 | | None (or less than \$201) |
| 3.7 | Boston Partners All-Cap Value Fund Institutional Class Shares (BPAIX) | Yes | \$250,001 - \$500,000 | | None (or less than \$201) |
| 3.8 | Fidelity Contrafund (FCNTX) | Yes | \$250,001 - \$500,000 | | None (or less than \$201) |
| 3.9 | Janus Henderson Enterprise Fund Class I Shares (JMGRX) | Yes | \$50,001 - \$100,000 | | None (or less than \$201) |
| 3.10 | Parnassus Core Equity Fund Institutional Shares (PRILX) | Yes | \$500,001 - \$1,000,000 | | None (or less than \$201) |
| 3.11 | Thrivent Small Cap Stock Fund Class S Shares (TSCSX) | Yes | \$50,001 - \$100,000 | | None (or less than \$201) |
| 4 | Fidelity/ Avalon Rollover IRA | No | | | |
| 4.1 | FIDELITY GOVERNMENT CASH RESERVES | Yes | \$1,001 - \$15,000 | | None (or less than \$201) |
| 5 | Fidelity/ Avalon Def Annuity | No | | | None (or less than \$201) |
| 5.1 | Fidelity VIP Growth | Yes | \$100,001 - \$250,000 | | None (or less than \$201) |
| 5.2 | Fidelity VIP Govt Money Market | Yes | None (or less than \$1,001) | | None (or less than \$201) |
| 5.3 | Fidelity VIP Overseas | Yes | \$50,001 - \$100,000 | | None (or less than \$201) |

3. Filer's Employment Agreements and Arrangements

| # | EMPLOYER OR PARTY | | CITY, STATE | STATUS AND TERMS | DATE |
|---|-------------------------|-------------|--------------------|--|--------|
| 1 | Bregal Investments 401K | See Endnote | New York, New York | Employer no longer makes contributions, the filer still participates in the plan | 1/2015 |

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

(N/A) - Not required for this type of report

5. Spouse's Employment Assets & Income and Retirement Accounts

| # | DESCRIPTION | EIF | VALUE | INCOME TYPE | INCOME AMOUNT |
|-----|--|-----|---------------------------|---------------|---------------------------|
| 1 | National Economic Research Associates, Economic Consulting | N/A | | salary, bonus | |
| 2 | MMC Cash Bonus Award Voluntary Deferral Plan, | No | | | |
| 2.1 | 2003 MMC Stock | Yes | \$1,000,001 - \$5,000,000 | | None (or less than \$201) |
| 3 | TRANSAMERICA MMC 401k | No | | | |
| 3.1 | MMC Stock Fund | Yes | \$15,001 - \$50,000 | | None (or less than \$201) |
| 3.2 | Dodge & Cox Stock Fund | Yes | \$50,001 - \$100,000 | | None (or less than \$201) |
| 3.3 | T. Rowe Price Blue Chip Gro T6 | Yes | \$100,001 - \$250,000 | | None (or less than \$201) |

| # | DESCRIPTION | EIF | VALUE | INCOME TYPE | INCOME AMOUNT |
|-----|--|-----|-----------------------|-------------|---------------------------|
| 3.4 | S&P 500 Fund | Yes | \$100,001 - \$250,000 | | None (or less than \$201) |
| 3.5 | Capital Group EuroPacific Growth Trust U2 | Yes | \$50,001 - \$100,000 | | None (or less than \$201) |
| 3.6 | Non US Equity Index Fund | Yes | \$100,001 - \$250,000 | | None (or less than \$201) |
| 3.7 | PIMCO Total Return Fund | Yes | \$15,001 - \$50,000 | | None (or less than \$201) |
| 3.8 | Invesco Fixed Income Fund | Yes | \$100,001 - \$250,000 | | None (or less than \$201) |
| 4 | TRANSAMERICA MMC SAVINGS & INV SUPPLEMENTAL PLAN | No | | | |
| 4.1 | Dodge & Cox Stock Fund | Yes | \$1,001 - \$15,000 | | None (or less than \$201) |
| 4.2 | T. Rowe Price Blue Chip Growth | Yes | \$1,001 - \$15,000 | | None (or less than \$201) |
| 4.3 | S&P 500 Index Fund | Yes | \$15,001 - \$50,000 | | None (or less than \$201) |
| 4.4 | US Extended Equity Market Index | Yes | \$15,001 - \$50,000 | | None (or less than \$201) |
| 4.5 | Non US Equity Index Fund | Yes | \$1,001 - \$15,000 | | None (or less than \$201) |
| 4.6 | PIMCO Total Return Fund | Yes | \$1,001 - \$15,000 | | None (or less than \$201) |
| 4.7 | Invesco Fixed Income Fund | Yes | \$15,001 - \$50,000 | | None (or less than \$201) |
| 5 | TRADITIONAL IRA | No | | | |
| 5.1 | Fidelity Government Cash Reserve Money Mkt | Yes | \$250,001 - \$500,000 | | None (or less than \$201) |

| # | DESCRIPTION | EIF | VALUE | INCOME TYPE | INCOME AMOUNT |
|------|--|-----|-----------------------|-------------|---------------------------|
| 5.2 | Dodge & Cox Income | Yes | \$100,001 - \$250,000 | | None (or less than \$201) |
| 5.3 | Fidelity Conservative Income Bond Fund | Yes | \$100,001 - \$250,000 | | None (or less than \$201) |
| 5.4 | JP Morgan Mortgage-Backed Securities | Yes | \$50,001 - \$100,000 | | None (or less than \$201) |
| 5.5 | Vanguard Short-Term Inv Grade Adm | Yes | \$50,001 - \$100,000 | | None (or less than \$201) |
| 5.6 | Adobe Inc. | N/A | \$15,001 - \$50,000 | | None (or less than \$201) |
| 5.7 | Akamai Technologies | N/A | \$15,001 - \$50,000 | | None (or less than \$201) |
| 5.8 | Alphabet Class A | N/A | \$15,001 - \$50,000 | | None (or less than \$201) |
| 5.9 | Alphabet Class C | N/A | \$50,001 - \$100,000 | | None (or less than \$201) |
| 5.10 | Amazon.Com | N/A | \$50,001 - \$100,000 | | None (or less than \$201) |
| 5.11 | American Tower Corp. REIT | N/A | \$15,001 - \$50,000 | | None (or less than \$201) |
| 5.12 | Aon PLC Corp. | N/A | \$15,001 - \$50,000 | | None (or less than \$201) |
| 5.13 | Apple Inc. | N/A | \$100,001 - \$250,000 | | None (or less than \$201) |
| 5.14 | Avalon Bay REIT | N/A | \$15,001 - \$50,000 | | None (or less than \$201) |
| 5.15 | Becton Dickinson | N/A | \$15,001 - \$50,000 | | None (or less than \$201) |

| # | DESCRIPTION | EIF | VALUE | INCOME TYPE | INCOME AMOUNT |
|------|----------------------------|-----|----------------------|-------------|---------------------------|
| 5.16 | Berkshire Hathaway CI B | N/A | \$50,001 - \$100,000 | | None (or less than \$201) |
| 5.17 | Borg Warner Automotive | N/A | \$15,001 - \$50,000 | | None (or less than \$201) |
| 5.18 | Broadcom Inc. | N/A | \$15,001 - \$50,000 | | None (or less than \$201) |
| 5.19 | CVS Health Corp | N/A | \$15,001 - \$50,000 | | None (or less than \$201) |
| 5.20 | Comcast CI A | N/A | \$15,001 - \$50,000 | | None (or less than \$201) |
| 5.21 | Danaher Corp | N/A | \$15,001 - \$50,000 | | None (or less than \$201) |
| 5.22 | FIS Global | N/A | \$15,001 - \$50,000 | | None (or less than \$201) |
| 5.23 | First Republic Bank | N/A | \$15,001 - \$50,000 | | None (or less than \$201) |
| 5.24 | LKQ Corp. | N/A | \$15,001 - \$50,000 | | None (or less than \$201) |
| 5.25 | Lowe's | N/A | \$15,001 - \$50,000 | | None (or less than \$201) |
| 5.26 | Microsoft Corp | N/A | \$50,001 - \$100,000 | | None (or less than \$201) |
| 5.27 | Mondelez International Inc | N/A | \$15,001 - \$50,000 | | None (or less than \$201) |
| 5.28 | NVR Inc | N/A | \$15,001 - \$50,000 | | None (or less than \$201) |
| 5.29 | PNC Finl Svcs Group | N/A | \$15,001 - \$50,000 | | None (or less than \$201) |

| # | DESCRIPTION | EIF | VALUE | INCOME TYPE | INCOME AMOUNT |
|------|--|-----|-----------------------|-------------|---------------------------|
| 5.30 | Progressive Corp | N/A | \$15,001 - \$50,000 | | None (or less than \$201) |
| 5.31 | Thermo Fisher Scientific | N/A | \$15,001 - \$50,000 | | None (or less than \$201) |
| 5.32 | Trane Technologies | N/A | \$15,001 - \$50,000 | | None (or less than \$201) |
| 5.33 | Trimble Inc. | N/A | \$15,001 - \$50,000 | | None (or less than \$201) |
| 5.34 | Ulta Beauty, Inc | N/A | \$15,001 - \$50,000 | | None (or less than \$201) |
| 5.35 | Unilever Plc Sp Adr FOR | N/A | \$15,001 - \$50,000 | | None (or less than \$201) |
| 5.36 | Visa | N/A | \$50,001 - \$100,000 | | None (or less than \$201) |
| 5.37 | Walt Disney Company | N/A | \$15,001 - \$50,000 | | None (or less than \$201) |
| 5.38 | Waste Management | N/A | \$15,001 - \$50,000 | | None (or less than \$201) |
| 5.39 | iShares PHLX Semiconductor | Yes | \$15,001 - \$50,000 | | None (or less than \$201) |
| 5.40 | iShares Tr NASDAQ Biotechnology | Yes | \$15,001 - \$50,000 | | None (or less than \$201) |
| 5.41 | Fidelity Overseas FOR | Yes | \$100,001 - \$250,000 | | None (or less than \$201) |
| 5.42 | The PNC Financial Services Group, Inc. (PNC) | N/A | \$15,001 - \$50,000 | | None (or less than \$201) |
| 5.43 | The TJX Cos., Inc. (TJX) | N/A | \$15,001 - \$50,000 | | None (or less than \$201) |

| # | DESCRIPTION | EIF | VALUE | INCOME TYPE | INCOME AMOUNT |
|------|---|-----|-----------------------------|----------------------------|---------------------------|
| 5.44 | VanEck Vectors Pharmaceutical ETF (PPH) | N/A | \$15,001 - \$50,000 | | None (or less than \$201) |
| 5.45 | Tyler Technologies, Inc. (TYL) | N/A | \$1,001 - \$15,000 | | None (or less than \$201) |
| 5.46 | Facebook, Inc. (FB) | N/A | None (or less than \$1,001) | Capital Gains | \$15,001 - \$50,000 |
| 5.47 | Morgan Stanley | N/A | None (or less than \$1,001) | Capital Gains Dividends | \$2,501 - \$5,000 |
| 6 | MORGAN STANLEY ACCESS DIRECT STOCK PLAN ACCT | No | | | |
| 6.1 | Marsh & McLennan Cos Inc | N/A | \$250,001 - \$500,000 | | None (or less than \$201) |
| 7 | MORGAN STANLEY MMC EMPLOYEE STOCK PLAN ACCT | No | | | |
| 7.1 | Marsh & McLennan Cos Inc | N/A | \$1,000,001 - \$5,000,000 | Dividends | \$2,501 - \$5,000 |
| 8 | MMC LTI/MBD CASH AWARDS | N/A | \$500,001 - \$1,000,000 | | None (or less than \$201) |
| 9 | MMC LTI/MBD CASH AWARDS 2 | N/A | \$100,001 - \$250,000 | | None (or less than \$201) |
| 10 | Marsh & McLennan Cos., Inc. (MMC)/ NERA, Defined Benefit Plan (value not readily ascertainable) | N/A | None (or less than \$1,001) | Retirement Payments | \$0 |

6. Other Assets and Income

| # | DESCRIPTION | EIF | VALUE | INCOME TYPE | INCOME AMOUNT |
|---|------------------------|-----|-------|-------------|---------------|
| 1 | Fidelity/ Avalon IM JR | No | | | |

| # | DESCRIPTION | EIF | VALUE | INCOME TYPE | INCOME AMOUNT |
|------|--|-----|----------------------|---------------|---------------------------|
| 1.1 | Adobe Inc. | N/A | \$15,001 - \$50,000 | | None (or less than \$201) |
| 1.2 | Akamai Technologies | N/A | \$15,001 - \$50,000 | | None (or less than \$201) |
| 1.3 | Alphabet Class A | N/A | \$15,001 - \$50,000 | | None (or less than \$201) |
| 1.4 | Alphabet Class C | N/A | \$15,001 - \$50,000 | | None (or less than \$201) |
| 1.5 | Amazon.Com | N/A | \$50,001 - \$100,000 | | None (or less than \$201) |
| 1.6 | American Tower Corp. REIT | N/A | \$15,001 - \$50,000 | Capital Gains | \$5,001 - \$15,000 |
| 1.7 | Apple Inc. | N/A | \$50,001 - \$100,000 | | None (or less than \$201) |
| 1.8 | Avalon Bay REIT | N/A | \$15,001 - \$50,000 | | None (or less than \$201) |
| 1.9 | Becton Dickinson | N/A | \$15,001 - \$50,000 | | None (or less than \$201) |
| 1.10 | Berkshire Hathaway Cl B | N/A | \$15,001 - \$50,000 | | None (or less than \$201) |
| 1.11 | Bloom Energy Corp Class A | N/A | \$15,001 - \$50,000 | | None (or less than \$201) |
| 1.12 | Broadcom Inc. | N/A | \$15,001 - \$50,000 | | None (or less than \$201) |
| 1.13 | Brookfield Infrastructure Corp Class A | N/A | \$1,001 - \$15,000 | | None (or less than \$201) |
| 1.14 | Brookfield Infrastructure Partners LP | N/A | \$15,001 - \$50,000 | | None (or less than \$201) |

| # | DESCRIPTION | EIF | VALUE | INCOME TYPE | INCOME AMOUNT |
|------|--|-----|-----------------------|-------------|---------------------------|
| 1.15 | Comcast CI A | N/A | \$15,001 - \$50,000 | | None (or less than \$201) |
| 1.16 | CVS Health Corp | N/A | \$15,001 - \$50,000 | Dividends | \$201 - \$1,000 |
| 1.17 | Danaher Corp | N/A | \$15,001 - \$50,000 | | None (or less than \$201) |
| 1.18 | Dodge & Cox Income | Yes | \$15,001 - \$50,000 | | None (or less than \$201) |
| 1.19 | Fidelity Conservative Income Bond Fund | Yes | \$100,001 - \$250,000 | | None (or less than \$201) |
| 1.20 | Fidelity Government Cash Reserve Money Mkt | Yes | \$100,001 - \$250,000 | | \$201 - \$1,000 |
| 1.21 | Fidelity Intermediate Muni Income | Yes | \$15,001 - \$50,000 | | None (or less than \$201) |
| 1.22 | Fidelity Overseas FOR | Yes | \$50,001 - \$100,000 | | None (or less than \$201) |
| 1.23 | First Republic Bank | N/A | \$15,001 - \$50,000 | | None (or less than \$201) |
| 1.24 | First Solar Inc Com | N/A | \$15,001 - \$50,000 | | None (or less than \$201) |
| 1.25 | FIS Global | N/A | \$15,001 - \$50,000 | | None (or less than \$201) |
| 1.26 | iShares Tr NASDAQ Biotechnology | Yes | \$15,001 - \$50,000 | | None (or less than \$201) |
| 1.27 | Johnson & Johnson | N/A | \$15,001 - \$50,000 | | None (or less than \$201) |
| 1.28 | JP Morgan Mortgage-Backed Securities | Yes | \$50,001 - \$100,000 | | None (or less than \$201) |

| # | DESCRIPTION | EIF | VALUE | INCOME TYPE | INCOME AMOUNT |
|------|-----------------------------------|-----|----------------------|---------------|---------------------------|
| 1.29 | LKQ Corp. | N/A | \$15,001 - \$50,000 | | None (or less than \$201) |
| 1.30 | Lowe's | N/A | \$15,001 - \$50,000 | Dividends | \$201 - \$1,000 |
| 1.31 | Microsoft Corp | N/A | \$50,001 - \$100,000 | | None (or less than \$201) |
| 1.32 | Mondelez International Inc | N/A | \$15,001 - \$50,000 | | None (or less than \$201) |
| 1.33 | NVR Inc | N/A | \$15,001 - \$50,000 | | None (or less than \$201) |
| 1.34 | PNC Finl Svcs Group | N/A | \$15,001 - \$50,000 | | None (or less than \$201) |
| 1.35 | Progressive Corp | N/A | \$15,001 - \$50,000 | | None (or less than \$201) |
| 1.36 | Quanta Services | N/A | \$15,001 - \$50,000 | Capital Gains | \$2,501 - \$5,000 |
| 1.37 | Thermo Fisher Scientific | N/A | \$15,001 - \$50,000 | | None (or less than \$201) |
| 1.38 | Trane Technologies | N/A | \$15,001 - \$50,000 | | None (or less than \$201) |
| 1.39 | Trimble Inc. | N/A | \$15,001 - \$50,000 | | None (or less than \$201) |
| 1.40 | Ulta Beauty, Inc | N/A | \$15,001 - \$50,000 | | None (or less than \$201) |
| 1.41 | Vanguard Short-Term Inv Grade Adm | Yes | \$50,001 - \$100,000 | | None (or less than \$201) |
| 1.42 | Visa | N/A | \$15,001 - \$50,000 | | None (or less than \$201) |

| # | DESCRIPTION | EIF | VALUE | INCOME TYPE | INCOME AMOUNT |
|------|---|-----|-----------------------------|---------------|---------------------------|
| 1.43 | Walt Disney Company | N/A | \$15,001 - \$50,000 | | None (or less than \$201) |
| 1.44 | Waste Management | N/A | \$15,001 - \$50,000 | Capital Gains | \$5,001 - \$15,000 |
| 1.45 | iShares PHLX Semiconductor ETF (SOXX) | Yes | \$15,001 - \$50,000 | | None (or less than \$201) |
| 1.46 | The TJX Cos., Inc. (TJX) | N/A | \$15,001 - \$50,000 | | None (or less than \$201) |
| 1.47 | VanEck Vectors Pharmaceutical ETF (PPH) | Yes | \$15,001 - \$50,000 | | None (or less than \$201) |
| 1.48 | Tyler Technologies, Inc. (TYL) | N/A | \$1,001 - \$15,000 | | None (or less than \$201) |
| 1.49 | Unilever | N/A | None (or less than \$1,001) | Capital Gains | \$5,001 - \$15,000 |
| 1.50 | Facebook, Inc. (FB) | N/A | None (or less than \$1,001) | Capital Gains | \$15,001 - \$50,000 |
| 1.51 | Morgan Stanley | N/A | None (or less than \$1,001) | Capital Gains | \$2,501 - \$5,000 |
| 2 | Fidelity/ Avalon IM LPA | No | | | |
| 2.1 | Adobe Inc. | N/A | \$100,001 - \$250,000 | | None (or less than \$201) |
| 2.2 | Akamai Technologies | N/A | \$100,001 - \$250,000 | | None (or less than \$201) |
| 2.3 | Alphabet Class C | N/A | \$500,001 - \$1,000,000 | | None (or less than \$201) |
| 2.4 | Amazon.Com | N/A | \$250,001 - \$500,000 | | None (or less than \$201) |
| 2.5 | American Tower Corp. REIT | N/A | \$100,001 - \$250,000 | Capital Gains | \$50,001 - \$100,000 |

| # | DESCRIPTION | EIF | VALUE | INCOME TYPE | INCOME AMOUNT |
|------|--|-----|-------------------------|-------------|---------------------------|
| 2.6 | Aon PLC Corp. | N/A | \$100,001 - \$250,000 | Dividends | \$201 - \$1,000 |
| 2.7 | Apple Inc. | N/A | \$500,001 - \$1,000,000 | Dividends | \$201 - \$1,000 |
| 2.8 | Avalon Bay REIT | N/A | \$100,001 - \$250,000 | | None (or less than \$201) |
| 2.9 | Becton Dickinson | N/A | \$100,001 - \$250,000 | | None (or less than \$201) |
| 2.10 | Berkshire Hathaway CI B | N/A | \$250,001 - \$500,000 | | None (or less than \$201) |
| 2.11 | Bloom Energy Corp Class A | N/A | \$100,001 - \$250,000 | | None (or less than \$201) |
| 2.12 | Broadcom Inc. | N/A | \$100,001 - \$250,000 | | None (or less than \$201) |
| 2.13 | Brookfield Infrastructure Partners LP | N/A | \$100,001 - \$250,000 | | None (or less than \$201) |
| 2.14 | Comcast CI A | N/A | \$100,001 - \$250,000 | | None (or less than \$201) |
| 2.15 | CVS Health Corp | N/A | \$250,001 - \$500,000 | Dividends | \$1,001 - \$2,500 |
| 2.16 | Danaher Corp | N/A | \$100,001 - \$250,000 | | None (or less than \$201) |
| 2.17 | Dodge & Cox Income | N/A | \$500,001 - \$1,000,000 | Dividends | \$15,001 - \$50,000 |
| 2.18 | Fidelity Conservative Income Bond Fund | Yes | Over \$1,000,000 | | \$2,501 - \$5,000 |
| 2.19 | Fidelity Government Cash Reserve Money Mkt | Yes | \$500,001 - \$1,000,000 | | \$2,501 - \$5,000 |
| 2.20 | Fidelity Intermediate Muni Income | Yes | \$500,001 - \$1,000,000 | | \$1,001 - \$2,500 |

| # | DESCRIPTION | EIF | VALUE | INCOME TYPE | INCOME AMOUNT |
|------|--------------------------------------|-----|-------------------------|-------------|---------------------------|
| 2.21 | Fidelity Overseas FOR | N/A | \$500,001 - \$1,000,000 | | None (or less than \$201) |
| 2.22 | First Republic Bank | N/A | \$100,001 - \$250,000 | Dividends | \$201 - \$1,000 |
| 2.23 | First Solar Inc Com | N/A | \$250,001 - \$500,000 | | None (or less than \$201) |
| 2.24 | FIS Global | N/A | \$100,001 - \$250,000 | | None (or less than \$201) |
| 2.25 | iShares PHLX Semiconductor | Yes | \$100,001 - \$250,000 | | None (or less than \$201) |
| 2.26 | iShares Tr NASDAQ Biotechnology | Yes | \$100,001 - \$250,000 | | None (or less than \$201) |
| 2.27 | Johnson & Johnson | N/A | \$100,001 - \$250,000 | | None (or less than \$201) |
| 2.28 | JP Morgan Mortgage-Backed Securities | Yes | \$250,001 - \$500,000 | | None (or less than \$201) |
| 2.29 | LKQ Corp. | N/A | \$100,001 - \$250,000 | | None (or less than \$201) |
| 2.30 | Lowe's | N/A | \$250,001 - \$500,000 | Dividends | \$1,001 - \$2,500 |
| 2.31 | Microsoft Corp | N/A | \$500,001 - \$1,000,000 | | None (or less than \$201) |
| 2.32 | Mondelez International Inc | N/A | \$250,001 - \$500,000 | | None (or less than \$201) |
| 2.33 | NVR Inc | N/A | \$100,001 - \$250,000 | | None (or less than \$201) |
| 2.34 | PepsiCo Inc | N/A | \$100,001 - \$250,000 | | None (or less than \$201) |

| # | DESCRIPTION | EIF | VALUE | INCOME TYPE | INCOME AMOUNT |
|------|---|-----|---------------------------|---------------|---------------------------|
| 2.35 | PNC Finl Svcs Group | N/A | \$250,001 - \$500,000 | Dividends | \$1,001 - \$2,500 |
| 2.36 | Progressive Corp | N/A | \$100,001 - \$250,000 | | None (or less than \$201) |
| 2.37 | Quanta Services | N/A | \$100,001 - \$250,000 | Capital Gains | \$15,001 - \$50,000 |
| 2.38 | Thermo Fisher Scientific | N/A | \$250,001 - \$500,000 | | None (or less than \$201) |
| 2.39 | Trane Technologies | N/A | \$100,001 - \$250,000 | | None (or less than \$201) |
| 2.40 | Trimble Inc. | N/A | \$100,001 - \$250,000 | | None (or less than \$201) |
| 2.41 | Ulta Beauty, Inc | N/A | \$100,001 - \$250,000 | | None (or less than \$201) |
| 2.42 | Vanguard Short-Term Inv Grade Adm | Yes | \$1,000,001 - \$5,000,000 | | None (or less than \$201) |
| 2.43 | Visa | N/A | \$250,001 - \$500,000 | | None (or less than \$201) |
| 2.44 | Walt Disney Company | N/A | \$100,001 - \$250,000 | | None (or less than \$201) |
| 2.45 | Waste Management | N/A | \$100,001 - \$250,000 | Capital Gains | \$15,001 - \$50,000 |
| 2.46 | The TJX Cos., Inc. (TJX) | N/A | \$100,001 - \$250,000 | | None (or less than \$201) |
| 2.47 | VanEck Vectors Pharmaceutical ETF (PPH) | N/A | \$100,001 - \$250,000 | | None (or less than \$201) |
| 2.48 | Kornit Digital Ltd FOR. | N/A | \$15,001 - \$50,000 | | None (or less than \$201) |

| # | DESCRIPTION | EIF | VALUE | INCOME TYPE | INCOME AMOUNT |
|------|--|-----|-----------------------------|----------------------------|---------------------------|
| 2.49 | Healthpeak Properties, Inc. (HCP) | N/A | \$50,001 - \$100,000 | Dividends | \$201 - \$1,000 |
| 2.50 | Morgan Stanley | N/A | None (or less than \$1,001) | Capital Gains Dividends | \$15,001 - \$50,000 |
| 2.51 | Facebook, Inc. (FB) | N/A | None (or less than \$1,001) | Capital Gains | \$100,001 - \$1,000,000 |
| 3 | JOHN B. RHODES TRUST UA 7/20/1956 IRREV TRUST B FBO GRANDCHILDREN | No | | | |
| 3.1 | ABBOTT LABORATORIES COM | N/A | \$100,001 - \$250,000 | Dividends | \$1,001 - \$2,500 |
| 3.2 | AUTOMATIC DATA PROCESSING INC COM | N/A | \$100,001 - \$250,000 | | None (or less than \$201) |
| 3.3 | AMERICAN TOWER CORPORATION | N/A | \$100,001 - \$250,000 | | None (or less than \$201) |
| 3.4 | AMAZON.COM INC COM | N/A | \$250,001 - \$500,000 | | None (or less than \$201) |
| 3.5 | AMPHENOL CORP CL A | N/A | \$100,001 - \$250,000 | | None (or less than \$201) |
| 3.6 | APTIV PLC COM NEW | N/A | \$100,001 - \$250,000 | | None (or less than \$201) |
| 3.7 | CDW CORP COM | N/A | \$250,001 - \$500,000 | | None (or less than \$201) |
| 3.8 | FACEBOOK INC CL A | N/A | \$100,001 - \$250,000 | | None (or less than \$201) |
| 3.9 | IQVIA HLDGS INC COM | N/A | \$100,001 - \$250,000 | | None (or less than \$201) |
| 3.10 | MASTERCARD INC CL A | N/A | \$250,001 - \$500,000 | Dividends | \$201 - \$1,000 |

| # | DESCRIPTION | EIF | VALUE | INCOME TYPE | INCOME AMOUNT |
|------|---|-----|-----------------------------|---------------|---------------------------|
| 3.11 | MICROSOFT CORP COM | N/A | \$250,001 - \$500,000 | | None (or less than \$201) |
| 3.12 | NIKE INC CL B | N/A | \$100,001 - \$250,000 | Capital Gains | \$1,001 - \$2,500 |
| 3.13 | SCHWAB CHARLES CORP NEW COM | N/A | \$250,001 - \$500,000 | Dividends | \$201 - \$1,000 |
| 3.14 | STERICYCLE INC COM | N/A | \$100,001 - \$250,000 | | None (or less than \$201) |
| 3.15 | CONSTELLATION BRANDS INC CL A | N/A | \$100,001 - \$250,000 | Dividends | \$201 - \$1,000 |
| 3.16 | TJX COS INC NEW COM | N/A | \$100,001 - \$250,000 | Capital Gains | \$5,001 - \$15,000 |
| 3.17 | ZOETIS INC CL A | N/A | \$100,001 - \$250,000 | | None (or less than \$201) |
| 3.18 | CIBC CASH RESERVE (PRINCIPAL) | N/A | \$50,001 - \$100,000 | | None (or less than \$201) |
| 3.19 | PRINCIPAL CASH | N/A | \$15,001 - \$50,000 | | None (or less than \$201) |
| 3.20 | Eaton Corp. Plc (ETN) | N/A | \$50,001 - \$100,000 | Dividends | \$201 - \$1,000 |
| 3.21 | The Cooper Cos., Inc. (COO) | N/A | \$100,001 - \$250,000 | | None (or less than \$201) |
| 3.22 | International Flavors & Fragrances, Inc. (IFF) | N/A | None (or less than \$1,001) | Capital Gains | \$1,001 - \$2,500 |
| 4 | JOHN B. RHODES TRUST UW 10/4/1965 FBO JOHN B. RHODES III | No | | | |
| 4.1 | ABBOTT LABORATORIES COM | N/A | \$100,001 - \$250,000 | Dividends | \$201 - \$1,000 |

| # | DESCRIPTION | EIF | VALUE | INCOME TYPE | INCOME AMOUNT |
|------|-----------------------------------|-----|-----------------------|-------------|---------------------------|
| 4.2 | AUTOMATIC DATA PROCESSING INC COM | N/A | \$100,001 - \$250,000 | | None (or less than \$201) |
| 4.3 | AMERICAN TOWER CORPORATION | N/A | \$100,001 - \$250,000 | | None (or less than \$201) |
| 4.4 | AMAZON.COM INC COM | N/A | \$100,001 - \$250,000 | | None (or less than \$201) |
| 4.5 | AMPHENOL CORP CL A | N/A | \$100,001 - \$250,000 | | None (or less than \$201) |
| 4.6 | APTIV PLC COM NEW | N/A | \$100,001 - \$250,000 | | None (or less than \$201) |
| 4.7 | CDW CORP COM | N/A | \$100,001 - \$250,000 | | None (or less than \$201) |
| 4.8 | FACEBOOK INC CL A | N/A | \$50,001 - \$100,000 | | None (or less than \$201) |
| 4.9 | IQVIA HLDGS INC COM | N/A | \$100,001 - \$250,000 | | None (or less than \$201) |
| 4.10 | MASTERCARD INC CL A | N/A | \$250,001 - \$500,000 | Dividends | \$201 - \$1,000 |
| 4.11 | MICROSOFT CORP COM | N/A | \$250,001 - \$500,000 | | None (or less than \$201) |
| 4.12 | NIKE INC CL B | N/A | \$100,001 - \$250,000 | | None (or less than \$201) |
| 4.13 | SCHWAB CHARLES CORP NEW COM | N/A | \$100,001 - \$250,000 | Dividends | \$201 - \$1,000 |
| 4.14 | STERICYCLE INC COM | N/A | \$100,001 - \$250,000 | | None (or less than \$201) |
| 4.15 | CONSTELLATION BRANDS INC CL A | N/A | \$100,001 - \$250,000 | Dividends | \$201 - \$1,000 |

| # | DESCRIPTION | EIF | VALUE | INCOME TYPE | INCOME AMOUNT |
|------|--|-----|-----------------------------|---------------|---------------------------|
| 4.16 | TJX COS INC NEW COM | N/A | \$100,001 - \$250,000 | | None (or less than \$201) |
| 4.17 | ZOETIS INC CL A | N/A | \$100,001 - \$250,000 | | None (or less than \$201) |
| 4.18 | CIBC CASH RESERVE (PRINCIPAL) | N/A | \$15,001 - \$50,000 | | None (or less than \$201) |
| 4.19 | Eaton Corp. Plc (ETN) | N/A | \$50,001 - \$100,000 | Dividends | \$201 - \$1,000 |
| 4.20 | The Cooper Cos., Inc. (COO) | N/A | \$50,001 - \$100,000 | | None (or less than \$201) |
| 4.21 | International Flavors & Fragrances, Inc. (IFF) | N/A | None (or less than \$1,001) | Capital Gains | \$201 - \$1,000 |
| 5 | GSTE TRUST U/W/O JOHN B RHODES F/B/O JOHN B RHODES JR | No | | | |
| 5.1 | U.S. \$ CASH BALANCE | N/A | \$1,001 - \$15,000 | | None (or less than \$201) |
| 5.2 | ABBOTT LABORATORIES | N/A | \$50,001 - \$100,000 | Dividends | \$201 - \$1,000 |
| 5.3 | AMAZON.COM INC | N/A | \$50,001 - \$100,000 | | None (or less than \$201) |
| 5.4 | AMERICAN TOWER CORP | N/A | \$15,001 - \$50,000 | | None (or less than \$201) |
| 5.5 | AMPHENOL CORP-CL A | N/A | \$15,001 - \$50,000 | | None (or less than \$201) |
| 5.6 | APTIV PLC | N/A | \$15,001 - \$50,000 | | None (or less than \$201) |
| 5.7 | AUTOMATIC DATA PROCESSING | N/A | \$50,001 - \$100,000 | | None (or less than \$201) |

| # | DESCRIPTION | EIF | VALUE | INCOME TYPE | INCOME AMOUNT |
|------|--|-----|-----------------------------|----------------------------|---------------------------|
| 5.8 | CDW CORP/DE | N/A | \$50,001 - \$100,000 | | None (or less than \$201) |
| 5.9 | CONSTELLATION BRANDS INC-A | N/A | \$15,001 - \$50,000 | | None (or less than \$201) |
| 5.10 | FACEBOOK INC-CLASS A | N/A | \$15,001 - \$50,000 | | None (or less than \$201) |
| 5.11 | IQVIA HOLDINGS INC | N/A | \$50,001 - \$100,000 | | None (or less than \$201) |
| 5.12 | MASTERCARD INC - A | N/A | \$50,001 - \$100,000 | | None (or less than \$201) |
| 5.13 | MICROSOFT CORP | N/A | \$50,001 - \$100,000 | | None (or less than \$201) |
| 5.14 | NIKE INC -CL B | N/A | \$50,001 - \$100,000 | | None (or less than \$201) |
| 5.15 | SCHWAB (CHARLES) CORP | N/A | \$50,001 - \$100,000 | Dividends | \$201 - \$1,000 |
| 5.16 | STERICYCLE INC | N/A | \$15,001 - \$50,000 | | None (or less than \$201) |
| 5.17 | TJX COMPANIES INC | N/A | \$15,001 - \$50,000 | | None (or less than \$201) |
| 5.18 | ZOETIS INC | N/A | \$50,001 - \$100,000 | | None (or less than \$201) |
| 5.19 | The Cooper Cos., Inc. (COO) | N/A | \$15,001 - \$50,000 | | None (or less than \$201) |
| 5.20 | Eaton Corp. Plc (ETN) | N/A | \$15,001 - \$50,000 | | None (or less than \$201) |
| 5.21 | International Flavors & Fragrances, Inc. (IFF) | N/A | None (or less than \$1,001) | Capital Gains Dividends | \$201 - \$1,000 |

| # | DESCRIPTION | EIF | VALUE | INCOME TYPE | INCOME AMOUNT |
|------|---|-----|-----------------------|-------------|---------------------------|
| 6 | TRUST U/A MARY F ALLEN 11/6/62 BOFA & LUCY ALLEN TTEE | No | | | |
| 6.1 | APPLE INC | N/A | \$100,001 - \$250,000 | | None (or less than \$201) |
| 6.2 | AUTOMATIC DATA PROCESSING INC | N/A | \$15,001 - \$50,000 | | None (or less than \$201) |
| 6.3 | BANK OF AMERICA MONEY MARKET | N/A | \$50,001 - \$100,000 | | None (or less than \$201) |
| 6.4 | BECTON DICKINSON & CO | N/A | \$15,001 - \$50,000 | | None (or less than \$201) |
| 6.5 | BERKSHIRE HATHAWAY INC DEL | N/A | \$15,001 - \$50,000 | | None (or less than \$201) |
| 6.6 | CDW CORP | N/A | \$15,001 - \$50,000 | | None (or less than \$201) |
| 6.7 | COCA COLA CO | N/A | \$1,001 - \$15,000 | | None (or less than \$201) |
| 6.8 | COSTCO WHSL CORP NEW | N/A | \$50,001 - \$100,000 | | None (or less than \$201) |
| 6.9 | DIAGEO PLC | N/A | \$15,001 - \$50,000 | | None (or less than \$201) |
| 6.10 | HEINEKEN HLDG NV | N/A | \$15,001 - \$50,000 | | None (or less than \$201) |
| 6.11 | INTERM TERM TAX EX BOND CTF | Yes | \$100,001 - \$250,000 | | None (or less than \$201) |
| 6.12 | JOHNSON & JOHNSON | N/A | \$50,001 - \$100,000 | | None (or less than \$201) |
| 6.13 | LINDT & SPRUENGLI AG | N/A | \$1,001 - \$15,000 | | None (or less than \$201) |

| # | DESCRIPTION | EIF | VALUE | INCOME TYPE | INCOME AMOUNT |
|------|-----------------------------------|-----|----------------------|-------------|---------------------------|
| 6.14 | MASTERCARD INC | N/A | \$50,001 - \$100,000 | | None (or less than \$201) |
| 6.15 | MONDELEZ INTL INC | N/A | \$1,001 - \$15,000 | | None (or less than \$201) |
| 6.16 | NESTLE S A | N/A | \$15,001 - \$50,000 | | None (or less than \$201) |
| 6.17 | PEPSICO INC | N/A | \$15,001 - \$50,000 | | None (or less than \$201) |
| 6.18 | SCHWAB CHARLES CORP NEW | N/A | \$15,001 - \$50,000 | | None (or less than \$201) |
| 6.19 | UNILEVER PLC | N/A | \$15,001 - \$50,000 | | None (or less than \$201) |
| 6.20 | UNITED STATES TREAS BD | N/A | \$15,001 - \$50,000 | Interest | \$1,001 - \$2,500 |
| 6.21 | VISA INC | N/A | \$15,001 - \$50,000 | | None (or less than \$201) |
| 6.22 | Verizon Communications, Inc. (VZ) | N/A | \$1,001 - \$15,000 | | None (or less than \$201) |
| 6.23 | SAP | N/A | \$15,001 - \$50,000 | | None (or less than \$201) |
| 6.24 | Facebook, Inc. (FB) | N/A | \$1,001 - \$15,000 | | None (or less than \$201) |
| 6.25 | McCormick & Co., Inc. (MKC) | N/A | \$15,001 - \$50,000 | | None (or less than \$201) |
| 6.26 | Liberty Broadband Corp. (LBRDK) | N/A | \$1,001 - \$15,000 | | None (or less than \$201) |
| 6.27 | The Walt Disney Co. (DIS) | N/A | \$15,001 - \$50,000 | | None (or less than \$201) |

| # | DESCRIPTION | EIF | VALUE | INCOME TYPE | INCOME AMOUNT |
|------|--|-----|-----------------------------|-------------------------|---------------------------|
| 6.28 | Alphabet, Inc. (GOOG) | N/A | \$15,001 - \$50,000 | | None (or less than \$201) |
| 6.29 | 3M Co. (MMM) | N/A | None (or less than \$1,001) | Capital Gains Dividends | \$2,501 - \$5,000 |
| 6.30 | US Treasury Note | N/A | None (or less than \$1,001) | | \$15,001 - \$50,000 |
| 6.31 | Flowserve Corp. (FLS) | N/A | None (or less than \$1,001) | Capital Gains | \$2,501 - \$5,000 |
| 7 | TRUST UW FRANCIS J ALLEN FBO LUCY ALLEN | No | | | |
| 7.1 | BLACKROCK EVNT DRVN EQ-INST | Yes | \$15,001 - \$50,000 | | \$1,001 - \$2,500 |
| 7.2 | BLACKROCK NTL MUNI-K | Yes | \$50,001 - \$100,000 | | \$2,501 - \$5,000 |
| 7.3 | BLACKSTONE ALT MULTI-STRAT-Y | Yes | \$50,001 - \$100,000 | | \$1,001 - \$2,500 |
| 7.4 | iShares 3-7 Year Treasury Bond ETF (IEI) | Yes | \$50,001 - \$100,000 | | None (or less than \$201) |
| 7.5 | iShares 7-10 Year Treasury Bond ETF (IEF) | Yes | \$15,001 - \$50,000 | | None (or less than \$201) |
| 7.6 | JPM BTABLDRS DEV ASIA X-JPN | Yes | \$50,001 - \$100,000 | | None (or less than \$201) |
| 7.7 | JPM INTERM T/F BND FD - USD - R6 ISIN US48129C1080 0.82% | Yes | \$250,001 - \$500,000 | | \$201 - \$1,000 |
| 7.8 | JPM TAX AWR EQTY FD - USD - R6 ISIN US48129C8358 | Yes | \$100,001 - \$250,000 | | \$201 - \$1,000 |
| 7.9 | JPM TAX FREE MMKT INST SWEEP FD #840 0.02% | Yes | \$15,001 - \$50,000 | | \$201 - \$1,000 |

| # | DESCRIPTION | EIF | VALUE | INCOME TYPE | INCOME AMOUNT |
|------|--|-----|-----------------------|-------------|---------------------------|
| 7.10 | JPMORGAN BETABUILDERS CANADA | Yes | \$50,001 - \$100,000 | | None (or less than \$201) |
| 7.11 | JPMORGAN BETABUILDERS JAPAN ETF | Yes | \$50,001 - \$100,000 | | None (or less than \$201) |
| 7.12 | LORD ABBETT SHRT DUR INC-F3 | Yes | \$50,001 - \$100,000 | | \$201 - \$1,000 |
| 7.13 | NEUBERGER BERMAN LONG SH-INS | Yes | \$15,001 - \$50,000 | | None (or less than \$201) |
| 7.14 | PIMCO INCOME FUND-INS | Yes | \$15,001 - \$50,000 | | None (or less than \$201) |
| 7.15 | PIMCO MRTG OPP & BND-INST | Yes | \$15,001 - \$50,000 | | None (or less than \$201) |
| 7.16 | SIX CIRCLES CREDIT OPPORT | Yes | \$50,001 - \$100,000 | | \$201 - \$1,000 |
| 7.17 | SIX CIRCLES INTL UNCON EQ | Yes | \$250,001 - \$500,000 | | None (or less than \$201) |
| 7.18 | SIX CIRCLES TAX AW U/S DUR | Yes | \$15,001 - \$50,000 | | \$201 - \$1,000 |
| 7.19 | SIX CIRCLES US UNCONSTR EQ | Yes | \$250,001 - \$500,000 | | None (or less than \$201) |
| 7.20 | SPDR S&P 500 ETF TRUST | Yes | \$250,001 - \$500,000 | | None (or less than \$201) |
| 7.21 | VANGUARD SHORT-TERM TREASURY INDEX FUND | Yes | \$100,001 - \$250,000 | | None (or less than \$201) |
| 7.22 | MFS International Intrinsic Value Fund Class R6 Shares (MINJX) | Yes | \$15,001 - \$50,000 | | None (or less than \$201) |
| 7.23 | Six Circles Tax Aware Bnd | Yes | \$100,001 - \$250,000 | | \$201 - \$1,000 |

| # | DESCRIPTION | EIF | VALUE | INCOME TYPE | INCOME AMOUNT |
|------|---|-----|-------------------------|----------------------------|---------------------------|
| 7.24 | Vanguard Intermediate-Term Treasury Index Fund ETF Class Shares (VGIT) | Yes | \$50,001 - \$100,000 | | None (or less than \$201) |
| 8 | TRUST UW MARY F ALLEN U/A 11/01/93 LUCY ALLEN TTEE FBO SIMON, EMILY, & LUCAS RHODES | No | | | |
| 8.1 | Fidelity Government Cash Reserve Money Mkt | Yes | \$500,001 - \$1,000,000 | | \$1,001 - \$2,500 |
| 8.2 | Fidelity Conservative Income Bond Fund | Yes | \$500,001 - \$1,000,000 | | \$201 - \$1,000 |
| 8.3 | Fidelity Intermediate Muni Income | Yes | \$50,001 - \$100,000 | | None (or less than \$201) |
| 8.4 | JP Morgan Mortgage-Backed Securities | Yes | \$100,001 - \$250,000 | | \$201 - \$1,000 |
| 8.5 | Vanguard Short-Term Inv Grade Adm | Yes | \$250,001 - \$500,000 | | \$201 - \$1,000 |
| 8.6 | Brookfield Infrastructure Partners LP | N/A | \$1,001 - \$15,000 | Capital Gains Dividends | None (or less than \$201) |
| 8.7 | Adobe Inc. | N/A | \$50,001 - \$100,000 | | None (or less than \$201) |
| 8.8 | Akamai Technologies | N/A | \$15,001 - \$50,000 | | None (or less than \$201) |
| 8.9 | Alphabet Class C | N/A | \$100,001 - \$250,000 | | None (or less than \$201) |
| 8.10 | Amazon.Com | N/A | \$100,001 - \$250,000 | | None (or less than \$201) |
| 8.11 | American Tower Corp. REIT | N/A | \$50,001 - \$100,000 | Capital Gains | \$15,001 - \$50,000 |
| 8.12 | Aon PLC Corp. | N/A | \$50,001 - \$100,000 | | None (or less than \$201) |

| # | DESCRIPTION | EIF | VALUE | INCOME TYPE | INCOME AMOUNT |
|------|---------------------------|-----|-----------------------|-------------|---------------------------|
| 8.13 | Apple Inc. | N/A | \$250,001 - \$500,000 | Dividends | \$201 - \$1,000 |
| 8.14 | Avalon Bay REIT | N/A | \$15,001 - \$50,000 | | None (or less than \$201) |
| 8.15 | Becton Dickinson | N/A | \$50,001 - \$100,000 | | None (or less than \$201) |
| 8.16 | Bloom Energy Corp Class A | N/A | \$15,001 - \$50,000 | | None (or less than \$201) |
| 8.17 | Broadcom Inc. | N/A | \$50,001 - \$100,000 | | None (or less than \$201) |
| 8.18 | CVS Health Corp | N/A | \$50,001 - \$100,000 | Dividends | \$201 - \$1,000 |
| 8.19 | Comcast Cl A | N/A | \$50,001 - \$100,000 | | None (or less than \$201) |
| 8.20 | Danaher Corp | N/A | \$50,001 - \$100,000 | | None (or less than \$201) |
| 8.21 | FIS Global | N/A | \$15,001 - \$50,000 | | None (or less than \$201) |
| 8.22 | First Republic Bank | N/A | \$50,001 - \$100,000 | | None (or less than \$201) |
| 8.23 | First Solar Inc Com | N/A | \$15,001 - \$50,000 | | None (or less than \$201) |
| 8.24 | Johnson & Johnson | N/A | \$50,001 - \$100,000 | | None (or less than \$201) |
| 8.25 | LKQ Corp. | N/A | \$50,001 - \$100,000 | | None (or less than \$201) |
| 8.26 | Lowe's | N/A | \$15,001 - \$50,000 | Dividends | \$201 - \$1,000 |

| # | DESCRIPTION | EIF | VALUE | INCOME TYPE | INCOME AMOUNT |
|------|----------------------------|-----|-----------------------|---------------|---------------------------|
| 8.27 | Microsoft Corp | N/A | \$100,001 - \$250,000 | | None (or less than \$201) |
| 8.28 | Mondelez International Inc | N/A | \$50,001 - \$100,000 | | None (or less than \$201) |
| 8.29 | NVR Inc | N/A | \$15,001 - \$50,000 | | None (or less than \$201) |
| 8.30 | PNC Finl Svcs Group | N/A | \$50,001 - \$100,000 | Dividends | \$201 - \$1,000 |
| 8.31 | Progressive Corp | N/A | \$50,001 - \$100,000 | | None (or less than \$201) |
| 8.32 | Quanta Services | N/A | \$50,001 - \$100,000 | Capital Gains | \$5,001 - \$15,000 |
| 8.33 | Thermo Fisher Scientific | N/A | \$50,001 - \$100,000 | | None (or less than \$201) |
| 8.34 | Trane Technologies | N/A | \$15,001 - \$50,000 | | None (or less than \$201) |
| 8.35 | Trimble Inc. | N/A | \$50,001 - \$100,000 | | None (or less than \$201) |
| 8.36 | Ulta Beauty, Inc | N/A | \$50,001 - \$100,000 | | None (or less than \$201) |
| 8.37 | Visa | N/A | \$100,001 - \$250,000 | | None (or less than \$201) |
| 8.38 | Walt Disney Company | N/A | \$50,001 - \$100,000 | | None (or less than \$201) |
| 8.39 | Waste Management | N/A | \$50,001 - \$100,000 | Capital Gains | \$5,001 - \$15,000 |
| 8.40 | iShares PHLX Semiconductor | Yes | \$50,001 - \$100,000 | | None (or less than \$201) |

| # | DESCRIPTION | EIF | VALUE | INCOME TYPE | INCOME AMOUNT |
|------|--|-------------|-----------------------------|-------------|---------------------------|
| 8.41 | iShares Tr NASDAQ Biotechnology | Yes | \$15,001 - \$50,000 | | None (or less than \$201) |
| 8.42 | Fidelity Overseas FOR | Yes | \$100,001 - \$250,000 | | None (or less than \$201) |
| 8.43 | Fidelity 500 Index Fund (FXAIX) | Yes | \$50,001 - \$100,000 | | None (or less than \$201) |
| 8.44 | BrookfieldGlobal Listed Infrastructure Income Fund Inc (INF) | Yes | \$50,001 - \$100,000 | | None (or less than \$201) |
| 8.45 | Alphabet, Inc. (GOOG) | N/A | \$50,001 - \$100,000 | | None (or less than \$201) |
| 8.46 | Valmont Industries, Inc. (VMI) | N/A | \$15,001 - \$50,000 | | None (or less than \$201) |
| 8.47 | Berkshire Hathaway Inc. (BRKB) | N/A | \$100,001 - \$250,000 | | None (or less than \$201) |
| 8.48 | VanEck Vectors Pharmaceutical ETF (PPH) | N/A | \$50,001 - \$100,000 | | None (or less than \$201) |
| 8.49 | The TJX Cos., Inc. (TJX) | N/A | \$50,001 - \$100,000 | | None (or less than \$201) |
| 8.50 | Tyler Technologies, Inc. (TYL) | N/A | \$15,001 - \$50,000 | | None (or less than \$201) |
| 8.51 | Rexel SA For | N/A | \$15,001 - \$50,000 | | None (or less than \$201) |
| 8.52 | 3M Co. (MMM) | N/A | None (or less than \$1,001) | Dividends | \$201 - \$1,000 |
| 9 | Woodmark Investments | See Endnote | No | | |
| 9.1 | Fidelity Government Cash Reserve Money Mkt | Yes | \$1,000,001 - \$5,000,000 | | \$5,001 - \$15,000 |
| 9.2 | Dodge & Cox Income | Yes | Over \$1,000,000 | | None (or less than \$201) |

| # | DESCRIPTION | EIF | VALUE | INCOME TYPE | INCOME AMOUNT |
|------|--|-----|---------------------------|---------------|---------------------------|
| 9.3 | Fidelity Conservative Income Bond Fund | Yes | Over \$1,000,000 | | \$5,001 - \$15,000 |
| 9.4 | JP Morgan Mortgage-Backed Securities | Yes | Over \$1,000,000 | | \$2,501 - \$5,000 |
| 9.5 | Vanguard Short-Term Inv Grade Adm | Yes | Over \$1,000,000 | | \$2,501 - \$5,000 |
| 9.6 | Brookfield Infrastructure Corp Class A | N/A | \$50,001 - \$100,000 | | None (or less than \$201) |
| 9.7 | Brookfield Infrastructure Partners LP | N/A | \$500,001 - \$1,000,000 | | None (or less than \$201) |
| 9.8 | Adobe Inc. | N/A | \$250,001 - \$500,000 | | None (or less than \$201) |
| 9.9 | Akamai Technologies | N/A | \$250,001 - \$500,000 | | None (or less than \$201) |
| 9.10 | Alphabet Class A | N/A | \$500,001 - \$1,000,000 | | None (or less than \$201) |
| 9.11 | Alphabet Class C | N/A | \$500,001 - \$1,000,000 | | None (or less than \$201) |
| 9.12 | Amazon.Com | N/A | \$1,000,001 - \$5,000,000 | Capital Gains | \$100,001 - \$1,000,000 |
| 9.13 | American Tower Corp. REIT | N/A | \$250,001 - \$500,000 | Capital Gains | \$100,001 - \$1,000,000 |
| 9.14 | Aon PLC Corp. | N/A | \$500,001 - \$1,000,000 | Dividends | \$1,001 - \$2,500 |
| 9.15 | Apple Inc. | N/A | \$1,000,001 - \$5,000,000 | Dividends | \$2,501 - \$5,000 |
| 9.16 | Avalon Bay REIT | N/A | \$250,001 - \$500,000 | | None (or less than \$201) |
| 9.17 | Becton Dickinson | N/A | \$500,001 - \$1,000,000 | Dividends | None (or less than \$201) |

| # | DESCRIPTION | EIF | VALUE | INCOME TYPE | INCOME AMOUNT |
|------|---------------------------|-----|---------------------------|-------------|---------------------------|
| 9.18 | Berkshire Hathaway Cl B | N/A | \$1,000,001 - \$5,000,000 | | None (or less than \$201) |
| 9.19 | Bloom Energy Corp Class A | N/A | \$250,001 - \$500,000 | | None (or less than \$201) |
| 9.20 | Broadcom Inc. | N/A | \$500,001 - \$1,000,000 | Dividends | None (or less than \$201) |
| 9.21 | CVS Health Corp | N/A | \$500,001 - \$1,000,000 | Dividends | \$2,501 - \$5,000 |
| 9.22 | Comcast Cl A | N/A | \$250,001 - \$500,000 | Dividends | None (or less than \$201) |
| 9.23 | Danaher Corp | N/A | \$250,001 - \$500,000 | | None (or less than \$201) |
| 9.24 | EDP Renewables FOR | N/A | \$250,001 - \$500,000 | | None (or less than \$201) |
| 9.25 | FIS Global | N/A | \$250,001 - \$500,000 | | None (or less than \$201) |
| 9.26 | First Solar Inc Com | N/A | \$250,001 - \$500,000 | | None (or less than \$201) |
| 9.27 | Johnson & Johnson | N/A | \$500,001 - \$1,000,000 | | None (or less than \$201) |
| 9.28 | Kornit Digital Ltd FOR. | N/A | \$50,001 - \$100,000 | | None (or less than \$201) |
| 9.29 | LKQ Corp. | N/A | \$500,001 - \$1,000,000 | | None (or less than \$201) |
| 9.30 | Lowe's | N/A | \$500,001 - \$1,000,000 | Dividends | \$2,501 - \$5,000 |
| 9.31 | Microsoft Corp | N/A | \$1,000,001 - \$5,000,000 | | None (or less than \$201) |

| # | DESCRIPTION | EIF | VALUE | INCOME TYPE | INCOME AMOUNT |
|------|---------------------------------|-----|-------------------------|---------------|---------------------------|
| 9.32 | Mondelez International Inc | N/A | \$250,001 - \$500,000 | | None (or less than \$201) |
| 9.33 | NVR Inc | N/A | \$250,001 - \$500,000 | | None (or less than \$201) |
| 9.34 | PNC Finl Svcs Group | N/A | \$250,001 - \$500,000 | Dividends | \$2,501 - \$5,000 |
| 9.35 | Progressive Corp | N/A | \$500,001 - \$1,000,000 | | None (or less than \$201) |
| 9.36 | Quanta Services | N/A | \$250,001 - \$500,000 | Capital Gains | \$50,001 - \$100,000 |
| 9.37 | Thermo Fisher Scientific | N/A | \$250,001 - \$500,000 | | None (or less than \$201) |
| 9.38 | Trane Technologies | N/A | \$250,001 - \$500,000 | | None (or less than \$201) |
| 9.39 | Trimble Inc. | N/A | \$250,001 - \$500,000 | | None (or less than \$201) |
| 9.40 | Ulta Beauty, Inc | N/A | \$250,001 - \$500,000 | | None (or less than \$201) |
| 9.41 | Visa | N/A | \$500,001 - \$1,000,000 | | None (or less than \$201) |
| 9.42 | Walt Disney Company | N/A | \$250,001 - \$500,000 | | None (or less than \$201) |
| 9.43 | Waste Management | N/A | \$250,001 - \$500,000 | Capital Gains | \$100,001 - \$1,000,000 |
| 9.44 | iShares PHLX Semiconductor | Yes | \$500,001 - \$1,000,000 | | None (or less than \$201) |
| 9.45 | iShares Tr NASDAQ Biotechnology | Yes | \$250,001 - \$500,000 | | None (or less than \$201) |

| # | DESCRIPTION | EIF | VALUE | INCOME TYPE | INCOME AMOUNT |
|------|---|-----|---------------------------|-------------|---------------------------|
| 9.46 | Fidelity Overseas FOR | Yes | \$500,001 - \$1,000,000 | | None (or less than \$201) |
| 9.47 | Bain Capital Asia Fund II LP | Yes | \$500,001 - \$1,000,000 | | None (or less than \$201) |
| 9.48 | Chilton Capital REIT Fund | Yes | \$1,000,001 - \$5,000,000 | | None (or less than \$201) |
| 9.49 | Fidelity Distressed Oportunity Funds | Yes | \$1,000,001 - \$5,000,000 | | None (or less than \$201) |
| 9.50 | SPDR Gold Tr Gold Shares | Yes | \$500,001 - \$1,000,000 | | None (or less than \$201) |
| 9.51 | Summit Sustainable Opp L/S Fund | Yes | \$1,000,001 - \$5,000,000 | | None (or less than \$201) |
| 9.52 | WHI Real Estate Partners IV, LP | Yes | \$250,001 - \$500,000 | | None (or less than \$201) |
| 9.53 | WHI Real Estate Partners V, LP | Yes | \$500,001 - \$1,000,000 | | None (or less than \$201) |
| 9.54 | Valmont Industries, Inc. (VMI) | N/A | \$250,001 - \$500,000 | | None (or less than \$201) |
| 9.55 | The TJX Cos., Inc. (TJX) | N/A | \$500,001 - \$1,000,000 | | None (or less than \$201) |
| 9.56 | VanEck Vectors Pharmaceutical ETF (PPH) | Yes | \$500,001 - \$1,000,000 | | None (or less than \$201) |
| 9.57 | Tyler Technologies, Inc. (TYL) | N/A | \$100,001 - \$250,000 | | None (or less than \$201) |
| 9.58 | Roxel SA For | N/A | \$50,001 - \$100,000 | | None (or less than \$201) |
| 9.59 | Iberdrola SA For | N/A | \$250,001 - \$500,000 | | None (or less than \$201) |

7. Transactions

None

8. Liabilities

None

9. Gifts and Travel Reimbursements

None

Endnotes

| PART | # | ENDNOTE |
|------|---|---|
| 1. | 1 | *Trustee position with no financial interest |
| 1. | 2 | * Trustee position with no financial interest |
| 1. | 3 | * Trustee position with no financial interest |
| 1. | 4 | * Trustee position with no financial interest |
| 1. | 5 | * trustee position with no financial interest |
| 1. | 6 | * Trustee with no financial interest |
| 1. | 7 | *trustee position with no financia linterest |
| 1. | 8 | *Trustee position with no financial interest |

| PART | # | ENDNOTE |
|------|----|---|
| 1. | 9 | *Trustee position with no financial interest |
| 1. | 10 | *Trustee position with no financial interest |
| 1. | 11 | *Trustee position with no financial interest |
| 1. | 12 | *Trustee position with no financial interest |
| 3. | 1 | This was left off of previous reports in error. |
| 6. | 9 | Woodmark Investments LLC is a family limited partnership with less than 50 members. Lucy Allen's interest in the partnership is 10.8869%. Lucy's ownership value \$5,929,077. |

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during the reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$166 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

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