Termination Report | U.S. Office of Government Ethics; 5 C.F.R. part 2634 | Form Approved: OMB No. (3209-0001) (Updated Nov. 2021)

Executive Branch Personnel

Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

Cicconi, Martine E

Special Assistant to the President and Senior Counsel, White House - Biden-Harris Administration

Date of Termination: 12/09/2022

Other Federal Government Positions Held During the Preceding 12 Months:

None

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Cicconi, Martine [electronically signed on 01/22/2023 by Cicconi, Martine in Integrity.gov] - Filer received a 15 day filing extension.

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Phillips, Anjali, Certifying Official [electronically signed on 02/15/2023 by Phillips, Anjali in Integrity.gov]

Other review conducted by

/s/ Merron, Eric R, Ethics Official [electronically signed on 02/03/2023 by Merron, Eric R in Integrity.gov]

U.S. Office of Government Ethics Certification

1. Filer's Positions Held Outside United States Government

None

2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	FTSM	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
2	FTGC	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
3	FTCS	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
4	URA	No	None (or less than \$1,001)	Dividends	None (or less than \$201)
5	STIP	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
6	TLT	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7	IVV	No	\$15,001 - \$50,000	Dividends	None (or less than \$201)
8	XT	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
9	JPST	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
10	JEPI	No	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
11	KWEB	No	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
12	ICOW	No	None (or less than \$1,001)	Dividends	None (or less than \$201)
13	CALF	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
14	COWZ	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
15	SPY	No	\$15,001 - \$50,000	Dividends	None (or less than \$201)
16	MOO	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
17	AQMIX	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
18	DEMIX	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
19	DFDIX	No	None (or less than \$1,001)	Dividends	None (or less than \$201)
20	EIRRX	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
21	EIFAX	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
22	FCPIX	No	None (or less than \$1,001)	Dividends	None (or less than \$201)
23	GSIMX	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
24	LOTIX	No	\$1,001 - \$15,000	Dividends Capital Gains	\$201 - \$1,000
25	LIFIX	No	None (or less than \$1,001)	Dividends	None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
26	THDIX	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
27	UBVLX	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)

3. Filer's Employment Agreements and Arrangements

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
1	Akin Gump Strauss Hauer & Feld	Washington , District of Columbia	Partnership agreement (Tier 5 partner)	12/2022
2	Akin Gump Strauss Hauer & Feld	Washington, District of Columbia	Signing bonus (\$100,000)	12/2022
3	Akin Gump Strauss Hauer & Feld	Washington, District of Columbia	Retirement plan (401(k)	1/2023

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

(N/A) - Not required for this type of report

5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	King & Spaulding LLP (Law Firm)	N/A	\$250,001 - \$500,000	salary, bonus	

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
2	Transom Consulting Group (salary and bonus)	N/A	\$100,001 - \$250,000		
3	FTSM	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
4	FTGC	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
5	FTCS	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
6	URA	No	None (or less than \$1,001)	Dividends	None (or less than \$201)
7	STIP	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
8	TLT	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
9	IVV	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
10	XT	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
11	JPST	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
12	JEPI	No	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
13	KWEB	No	None (or less than \$1,001)	Dividends	None (or less than \$201)
14	ICOW	No	None (or less than \$1,001)	Dividends	None (or less than \$201)
15	CALF	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
16	COWZ	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
17	SPY	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
18	MOO	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
19	AQMIX	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
20	DEMIX	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
21	DFDIX	No	None (or less than \$1,001)	Dividends	None (or less than \$201)
22	EIRRX	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
23	EIFAX	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
24	FCPIX	No	None (or less than \$1,001)	Dividends	None (or less than \$201)
25	GSIMX	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
26	LOTIX	No	\$1,001 - \$15,000	Dividends Capital Gains	\$201 - \$1,000
27	LIFIX	No	None (or less than \$1,001)	Dividends	None (or less than \$201)
28	THDIX	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
29	UBVLX	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)

6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	US Bank Cash	N/A	\$250,001 - \$500,000		None (or less than \$201)
2	FTSM	No	\$15,001 - \$50,000	Dividends	None (or less than \$201)
3	FLOT	No	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
4	PFF	No	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
5	SHY	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
6	JMBS	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7	JPST	No	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
8	MINT	No	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
9	IGHG	No	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
10	XLF	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
11	FTSM	No	\$15,001 - \$50,000	Dividends	None (or less than \$201)
12	FTGC	No	\$15,001 - \$50,000	Dividends	None (or less than \$201)
13	FTCS	No	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
14	URA	No	\$1,001 - \$15,000	Dividends	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
15	QQQ	No	\$50,001 - \$100,000	Dividends	\$201 - \$1,000
16	STIP	No	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
17	TLT	No	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
18	XT	No	\$15,001 - \$50,000	Dividends	None (or less than \$201)
19	JPST	No	\$50,001 - \$100,000	Dividends	\$201 - \$1,000
20	JEPI	No	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
21	KWEB	No	\$1,001 - \$15,000		None (or less than \$201)
22	ICOW	No	\$1,001 - \$15,000 Dividends		None (or less than \$201)
23	CALF	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
24	COWZ	No	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
25	MOO	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
26	SMH	No	\$15,001 - \$50,000		None (or less than \$201)
27	AQMIX	No	\$15,001 - \$50,000		None (or less than \$201)
28	DEMIX	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
29	DFDIX	No	\$1,001 - \$15,000		None (or less than \$201)
30	EIRRX	No	\$15,001 - Dividends \$50,000		\$1,001 - \$2,500
31	EIFAX	No	\$15,001 - Dividends \$50,000		\$1,001 - \$2,500
32	FCPIX	No	\$1,001 - \$15,000		None (or less than \$201)
33	GSIMX	No	\$1,001 - \$15,000		None (or less than \$201)
34	LOTIX	No	\$15,001 - Dividends \$50,000 Capital Gains		\$1,001 - \$2,500
35	LIFIX	No	\$1,001 - \$15,000 Dividends		None (or less than \$201)
36	THDIX	No	\$1,001 - \$15,000		None (or less than \$201)
37	UBVLX	No	\$1,001 - \$15,000		None (or less than \$201)
38	CTLCX	No	\$15,001 - \$50,000		None (or less than \$201)
39	CTLAX	No	\$15,001 - \$50,000		None (or less than \$201)

7. Transactions

#	DESCRIPTION	TYPE	DATE	AMOUNT
1	First Trust Enhanced Short Maturity ETF (FTSM)	Purchase	03/08/2022	\$15,001 - \$50,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
2	iShares 1-3 Year Treasury Bond ETF (SHY)	Purchase	03/08/2022	\$1,001 - \$15,000
3	JPMorgan Ultra-Short Income ETF (JPST)	Purchase	03/08/2022	\$1,001 - \$15,000
4	PIMCO Enhanced Short Maturity Active Exchange-Traded Fund (MINT)	Purchase	03/08/2022	\$15,001 - \$50,000
5	iShares Floating Rate Bond ETF (FLOT)	Sale	03/08/2022	\$1,001 - \$15,000
6	Janus Henderson Mortgage-Backed Securities ETF (JMBS)	Sale	03/08/2022	\$1,001 - \$15,000
7	Proshares Investment Grade-Interest Rate Hedged ETF (IGHG)	Sale	03/08/2022	\$1,001 - \$15,000
8	HYHG	Sale	03/08/2022	\$1,001 - \$15,000
9	First Trust Enhanced Short Maturity ETF (FTSM)	Purchase	10/19/2022	\$1,001 - \$15,000
10	AQMIX	Purchase	06/13/2022	\$1,001 - \$15,000
11	LoCorr Market Trend Fund Class I Shares (LOTIX)	Purchase	06/13/2022	\$1,001 - \$15,000
12	JPST	Purchase	06/13/2022	\$1,001 - \$15,000
13	LoCorr Market Trend Fund Class I Shares (LOTIX)	Purchase	05/24/2222	\$1,001 - \$15,000
14	iShares Exponential Technologies ETF (XT)	Purchase	05/17/2022	\$1,001 - \$15,000
15	iShares Core S&P 500 ETF (IVV)	Purchase	05/17/2022	\$1,001 - \$15,000
16	JEPI	Purchase	05/17/2022	\$1,001 - \$15,000
17	Pacer US Cash Cows 100 ETF (COWZ)	Purchase	05/17/2022	\$1,001 - \$15,000
18	SPDR S&P 500 ETF Trust (SPY)	Purchase	05/17/2022	\$1,001 - \$15,000
19	Goldman Sachs Absolute Return Tracker Fund Institutional Class Shares (GJRTX)	Purchase	04/27/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
20	AQR Managed Futures Strategy Fund Class I Shares (AQMIX)	Purchase	04/26/2022	\$1,001 - \$15,000
21	Thornburg Developing World Fund Class I Shares (THDIX)	Purchase	04/26/2022	\$1,001 - \$15,000
22	VanEck Vectors Agribusiness ETF (MOO)	Purchase	04/26/2022	\$1,001 - \$15,000
23	Eaton Vance Short Duration Inflation- Protected Income Fund Class I Shs (EIRRX)	Purchase	03/29/2022	\$1,001 - \$15,000
24	First TrustGlobal Tactical Commodity Strategy Fund (FTGC)	Purchase	03/08/2022	\$1,001 - \$15,000
25	JPMorgan Ultra-Short Income ETF (JPST)	Purchase	03/08/2022	\$1,001 - \$15,000
26	VanEck Vectors Agribusiness ETF (MOO)	Purchase	03/08/2022	\$1,001 - \$15,000
27	Emerging Markets Fund Institutional Class Shares (DEMIX)	Purchase	01/26/2022	\$1,001 - \$15,000
28	Pacer US Small Cap Cash Cows 100 ETF (CALF)	Purchase	01/26/2022	\$1,001 - \$15,000
29	SPDR S&P Metals & Mining ETF (XME)	Purchase	01/26/2022	\$1,001 - \$15,000
30	AQR Managed Futures Strategy Fund Class I Shares (AQMIX)	Sale	10/19/2022	\$1,001 - \$15,000
31	First TrustGlobal Tactical Commodity Strategy Fund (FTGC)	Sale	10/19/2022	\$1,001 - \$15,000
32	LoCorr Market Trend Fund Class I Shares (LOTIX)	Sale	10/19/2022	\$1,001 - \$15,000
33	Goldman Sachs Absolute Return Tracker Fund Institutional Class Shares (GJRTX)	Sale	06/14/2022	\$1,001 - \$15,000
34	iShares Core S&P 500 ETF (IVV)	Sale	06/13/2022	\$1,001 - \$15,000
35	SPDR S&P 500 ETF Trust (SPY)	Sale	06/13/2022	\$1,001 - \$15,000
36	SPDR S&P Metals & Mining ETF (XME)	Sale	06/13/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
37	First Trust Cloud Computing ETF (SKYY)	Sale	05/17/2022	\$1,001 - \$15,000
38	First Trust NASDAQ Cybersecurity ETF (CIBR)	Sale	05/17/2022	\$1,001 - \$15,000
39	First Trust Capital Strength ETF (FTCS)	Sale	05/17/2022	\$1,001 - \$15,000
40	Invesco QQQ Trust, Series 1 (QQQ)	Sale	05/17/2022	\$15,001 - \$50,000
41	VanEck Vectors Semiconductor ETF (SMH)	Sale	05/17/2022	\$1,001 - \$15,000
42	Emerging Markets Fund Institutional Class Shares (DEMIX)	Sale	04/26/2022	\$1,001 - \$15,000
43	Delaware Smid Cap Growth Fund Institutional Class Shares (DFDIX)	Sale	04/26/2022	\$1,001 - \$15,000
44	Delaware Smid Cap Growth Fund Institutional Class Shares (DFDIX)	Sale	03/08/2022	\$1,001 - \$15,000
45	First Trust Capital Strength ETF (FTCS)	Sale	03/08/2022	\$1,001 - \$15,000
46	JPMorgan Opportunistic Equity Long/Short Fund Class I Shares (JOEQX)	Sale	01/26/2022	\$1,001 - \$15,000
47	Eaton Vance Short Duration Inflation- Protected Income Fund Class I Shs (EIRRX)	Purchase	10/20/2022	\$1,001 - \$15,000
48	Eaton Vance Floating-Rate Advantage Fund Class I Shares (EIFAX)	Purchase	10/20/2022	\$1,001 - \$15,000
49	First Trust Enhanced Short Maturity ETF (FTSM)	Purchase	10/19/2022	\$1,001 - \$15,000
50	iShares 20+ Year Treasury Bond ETF (TLT)	Purchase	10/19/2022	\$1,001 - \$15,000
51	iShares 0-5 Year TIPS Bond ETF (STIP)	Purchase	10/19/2022	\$1,001 - \$15,000
52	iShares 20+ Year Treasury Bond ETF (TLT)	Purchase	09/13/2022	\$1,001 - \$15,000
53	iShares 20+ Year Treasury Bond ETF (TLT)	Purchase	08/24/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
54	AQR Managed Futures Strategy Fund Class I Shares (AQMIX)	Purchase	06/13/2022	\$1,001 - \$15,000
55	LoCorr Market Trend Fund Class I Shares (LOTIX)	Purchase	06/13/2022	\$1,001 - \$15,000
56	JPMorgan Ultra-Short Income ETF (JPST)	Purchase	06/13/2022	\$1,001 - \$15,000
57	KraneShares CSI China Internet ETF (KWEB)	Purchase	05/24/2022	\$1,001 - \$15,000
58	LoCorr Market Trend Fund Class I Shares (LOTIX)	Purchase	05/24/2022	\$1,001 - \$15,000
59	iShares Exponential Technologies ETF (XT)	Purchase	05/17/2022	\$1,001 - \$15,000
60	iShares Core S&P 500 ETF (IVV)	Purchase	05/17/2022	\$15,001 - \$50,000
61	JEPI	Purchase	05/17/2022	\$1,001 - \$15,000
62	Pacer US Cash Cows 100 ETF (COWZ)	Purchase	05/17/2022	\$1,001 - \$15,000
63	SPDR S&P 500 ETF Trust (SPY)	Purchase	05/17/2022	\$15,001 - \$50,000
64	Goldman Sachs Absolute Return Tracker Fund Institutional Class Shares (GJRTX)	Purchase	04/27/2022	\$1,001 - \$15,000
65	AQR Managed Futures Strategy Fund Class I Shares (AQMIX)	Purchase	04/26/2022	\$1,001 - \$15,000
66	iShares 0-5 Year TIPS Bond ETF (STIP)	Purchase	04/26/2022	\$1,001 - \$15,000
67	Putnam Short Duration Bond Fund Class Y Shares (PARYX)	Purchase	04/26/2022	\$1,001 - \$15,000
68	Thornburg Developing World Fund Class I Shares (THDIX)	Purchase	04/26/2022	\$1,001 - \$15,000
69	VanEck Vectors Agribusiness ETF (MOO)	Purchase	04/26/2022	\$1,001 - \$15,000
70	Eaton Vance Short Duration Inflation- Protected Income Fund Class I Shs (EIRRX)	Purchase	03/29/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
71	First TrustGlobal Tactical Commodity Strategy Fund (FTGC)	Purchase	03/08/2022	\$1,001 - \$15,000
72	JPMorgan Ultra-Short Income ETF (JPST)	Purchase	03/08/2022	\$1,001 - \$15,000
73	Pacer US Small Cap Cash Cows 100 ETF (CALF)	Purchase	03/08/2022	\$1,001 - \$15,000
74	SPDR S&P Metals & Mining ETF (XME)	Purchase	03/08/2022	\$1,001 - \$15,000
75	VanEck Vectors Agribusiness ETF (MOO)	Purchase	03/08/2022	\$1,001 - \$15,000
76	Emerging Markets Fund Institutional Class Shares (DEMIX)	Purchase	01/26/2022	\$1,001 - \$15,000
77	Pacer Developed Markets International Cash Cows 100 ETF (ICOW)	Purchase	01/26/2022	\$1,001 - \$15,000
78	Pacer US Small Cap Cash Cows 100 ETF (CALF)	Purchase	01/26/2022	\$1,001 - \$15,000
79	Proshares Investment Grade-Interest Rate Hedged ETF (IGHG)	Purchase	01/26/2022	\$1,001 - \$15,000
80	SPDR S&P Metals & Mining ETF (XME)	Purchase	01/26/2022	\$1,001 - \$15,000
81	AQR Managed Futures Strategy Fund Class I Shares (AQMIX)	Sale	10/19/2022	\$1,001 - \$15,000
82	First TrustGlobal Tactical Commodity Strategy Fund (FTGC)	Sale	10/19/2022	\$1,001 - \$15,000
83	LoCorr Market Trend Fund Class I Shares (LOTIX)	Sale	10/19/2022	\$1,001 - \$15,000
84	VanEck Vectors Agribusiness ETF (MOO)	Sale	10/19/2022	\$1,001 - \$15,000
85	Proshares Investment Grade-Interest Rate Hedged ETF (IGHG)	Sale	09/13/2022	\$1,001 - \$15,000
86	Putnam Short Duration Bond Fund Class Y Shares (PARYX)	Sale	08/24/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
87	Goldman Sachs Absolute Return Tracker Fund Institutional Class Shares (GJRTX)	Sale	06/14/2022	\$1,001 - \$15,000
88	iShares Core S&P 500 ETF (IVV)	Sale	06/13/2022	\$1,001 - \$15,000
89	SPDR S&P 500 ETF Trust (SPY)	Sale	06/13/2022	\$1,001 - \$15,000
90	SPDR S&P Metals & Mining ETF (XME)	Sale	06/13/2022	\$1,001 - \$15,000
91	Emerging Markets Fund Institutional Class Shares (DEMIX)	Sale	05/24/2022	\$1,001 - \$15,000
92	First Trust Cloud Computing ETF (SKYY)	Sale	05/17/2022	\$1,001 - \$15,000
93	First Trust NASDAQ Cybersecurity ETF (CIBR)	Sale	05/17/2022	\$1,001 - \$15,000
94	First Trust Capital Strength ETF (FTCS)	Sale	05/17/2022	\$15,001 - \$50,000
95	Invesco QQQ Trust, Series 1 (QQQ)	Sale	05/17/2022	\$15,001 - \$50,000
96	VanEck Vectors Semiconductor ETF (SMH)	Sale	05/17/2022	\$1,001 - \$15,000
97	Guggenheim Total Return Bond Fund Institutional Class Shares (GIBIX)	Sale	04/26/2022	\$1,001 - \$15,000
98	Emerging Markets Fund Institutional Class Shares (DEMIX)	Sale	04/26/2022	\$1,001 - \$15,000
99	Delaware Smid Cap Growth Fund Institutional Class Shares (DFDIX)	Sale	04/26/2022	\$1,001 - \$15,000
100	First Trust TCW Opportunistic Fixed Income ETF (FIXD)	Sale	04/26/2022	\$1,001 - \$15,000
101	First Trust Capital Strength ETF (FTCS)	Sale	04/26/2022	\$1,001 - \$15,000
102	Goldman Sachs GQG Partners International Opportunities Fund Institutional Class Shs (GSIMX)	Sale	04/26/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
103	PIMCO Investment Grade Credit Bond Fund Institutional Class Shares (PIGIX)	Sale	03/28/2022	\$1,001 - \$15,000
104	AB High Income Fund, Inc Advisor Class Shares (AGDYX)	Sale	03/08/2022	\$1,001 - \$15,000
105	Delaware Smid Cap Growth Fund Institutional Class Shares (DFDIX)	Sale	03/08/2022	\$1,001 - \$15,000
106	First Trust NASDAQ Cybersecurity ETF (CIBR)	Sale	03/08/2022	\$1,001 - \$15,000
107	First Trust Capital Strength ETF (FTCS)	Sale	03/08/2022	\$1,001 - \$15,000
108	JPMorgan Opportunistic Equity Long/Short Fund Class I Shares (JOEQX)	Sale	01/26/2022	\$1,001 - \$15,000
109	Undiscovered Managers Behavioral Value Fund Class L Shares (UBVLX)	Sale	01/26/2022	\$1,001 - \$15,000
110	Pacer US Small Cap Cash Cows 100 ETF (CALF)	Purchase	12/01/2022	\$1,001 - \$15,000
111	Eaton Vance Short Duration Inflation- Protected Income Fund Class I Shs (EIRRX)	Purchase	10/20/2022	\$1,001 - \$15,000
112	Eaton Vance Floating-Rate Advantage Fund Class I Shares (EIFAX)	Purchase	10/20/2022	\$1,001 - \$15,000
113	First Trust Enhanced Short Maturity ETF (FTSM)	Purchase	10/19/2022	\$15,001 - \$50,000
114	First Trust Capital Strength ETF (FTCS)	Purchase	10/19/2022	\$1,001 - \$15,000
115	iShares Exponential Technologies ETF (XT)	Purchase	10/19/2022	\$1,001 - \$15,000
116	iShares 20+ Year Treasury Bond ETF (TLT)	Purchase	10/19/2022	\$1,001 - \$15,000
117	iShares 0-5 Year TIPS Bond ETF (STIP)	Purchase	10/19/2022	\$1,001 - \$15,000
118	JEPI	Purchase	10/19/2022	\$1,001 - \$15,000
119	Lord Abbett Inflation Focused Fund Class I Shares (LIFIX)	Purchase	10/19/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
120	Pacer US Cash Cows 100 ETF (COWZ)	Purchase	10/19/2022	\$1,001 - \$15,000
121	Thornburg Developing World Fund Class I Shares (THDIX)	Purchase	10/19/2022	\$1,001 - \$15,000
122	iShares 20+ Year Treasury Bond ETF (TLT)	Purchase	09/13/2022	\$15,001 - \$50,000
123	iShares 20+ Year Treasury Bond ETF (TLT)	Purchase	08/24/2022	\$15,001 - \$50,000
124	iShares 0-5 Year TIPS Bond ETF (STIP)	Purchase	06/27/2022	\$1,001 - \$15,000
125	JPMorgan Ultra-Short Income ETF (JPST)	Purchase	06/27/2022	\$1,001 - \$15,000
126	AQR Managed Futures Strategy Fund Class I Shares (AQMIX)	Purchase	06/13/2022	\$1,001 - \$15,000
127	LoCorr Market Trend Fund Class I Shares (LOTIX)	Purchase	06/13/2022	\$1,001 - \$15,000
128	JPMorgan Ultra-Short Income ETF (JPST)	Purchase	06/13/2022	\$15,001 - \$50,000
129	KraneShares CSI China Internet ETF (KWEB)	Purchase	05/24/2022	\$1,001 - \$15,000
130	LoCorr Market Trend Fund Class I Shares (LOTIX)	Purchase	05/24/2022	\$15,001 - \$50,000
131	iShares Exponential Technologies ETF (XT)	Purchase	05/17/2022	\$15,001 - \$50,000
132	JEPI	Purchase	05/17/2022	\$15,001 - \$50,000
133	Pacer US Cash Cows 100 ETF (COWZ)	Purchase	05/17/2022	\$15,001 - \$50,000
134	Goldman Sachs Absolute Return Tracker Fund Institutional Class Shares (GJRTX)	Purchase	04/27/2022	\$1,001 - \$15,000
135	AQR Managed Futures Strategy Fund Class I Shares (AQMIX)	Purchase	04/26/2022	\$15,001 - \$50,000

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DESCRIPTION	TYPE	DATE	AMOUNT
Invesco QQQ Trust, Series 1 (QQQ)	Purchase	01/26/2022	\$1,001 - \$15,000
JPMorgan Ultra-Short Income ETF (JPST)	Purchase	01/26/2022	\$1,001 - \$15,000
Pacer Developed Markets International Cash Cows 100 ETF (ICOW)	Purchase	01/26/2022	\$1,001 - \$15,000
Pacer US Small Cap Cash Cows 100 ETF (CALF)	Purchase	01/26/2022	\$1,001 - \$15,000
AllianzGI Convertible Fund Institutional Class Shares (ANNPX)	Purchase	01/26/2022	\$1,001 - \$15,000
Proshares Investment Grade-Interest Rate Hedged ETF (IGHG)	Purchase	01/26/2022	\$15,001 - \$50,000
SPDR S&P Metals & Mining ETF (XME)	Purchase	01/26/2022	\$1,001 - \$15,000
Delaware Smid Cap Growth Fund Institutional Class Shares (DFDIX)	Sale	12/01/2022	\$1,001 - \$15,000
AQR Managed Futures Strategy Fund Class I Shares (AQMIX)	Sale	10/19/2022	\$15,001 - \$50,000
First TrustGlobal Tactical Commodity Strategy Fund (FTGC)	Sale	10/19/2022	\$15,001 - \$50,000
Global X Uranium ETF (URA)	Sale	10/19/2022	\$1,001 - \$15,000
LoCorr Market Trend Fund Class I Shares (LOTIX)	Sale	10/19/2022	\$1,001 - \$15,000
JPMorgan Ultra-Short Income ETF (JPST)	Sale	10/19/2022	\$1,001 - \$15,000
VanEck Vectors Agribusiness ETF (MOO)	Sale	10/19/2022	\$1,001 - \$15,000
Proshares Investment Grade-Interest Rate Hedged ETF (IGHG)	Sale	09/13/2022	\$15,001 - \$50,000
Putnam Short Duration Bond Fund Class Y Shares (PARYX)	Sale	08/24/2022	\$15,001 - \$50,000
Delaware Smid Cap Growth Fund Institutional Class Shares (DFDIX)	Sale	07/13/2022	\$1,001 - \$15,000
	Invesco QQQ Trust, Series 1 (QQQ) JPMorgan Ultra-Short Income ETF (JPST) Pacer Developed Markets International Cash Cows 100 ETF (ICOW) Pacer US Small Cap Cash Cows 100 ETF (CALF) AllianzGI Convertible Fund Institutional Class Shares (ANNPX) Proshares Investment Grade-Interest Rate Hedged ETF (IGHG) SPDR S&P Metals & Mining ETF (XME) Delaware Smid Cap Growth Fund Institutional Class Shares (DFDIX) AQR Managed Futures Strategy Fund Class I Shares (AQMIX) First TrustGlobal Tactical Commodity Strategy Fund (FTGC) Global X Uranium ETF (URA) LoCorr Market Trend Fund Class I Shares (LOTIX) JPMorgan Ultra-Short Income ETF (JPST) VanEck Vectors Agribusiness ETF (MOO) Proshares Investment Grade-Interest Rate Hedged ETF (IGHG) Putnam Short Duration Bond Fund Class Y Shares (PARYX) Delaware Smid Cap Growth Fund Institutional	Invesco QQQ Trust, Series 1 (QQQ) JPMorgan Ultra-Short Income ETF (JPST) Purchase Pacer Developed Markets International Cash Cows 100 ETF (ICOW) Pacer US Small Cap Cash Cows 100 ETF (CALF) AllianzGl Convertible Fund Institutional Class Shares (ANNPX) Proshares Investment Grade-Interest Rate Hedged ETF (IGHG) SPDR S&P Metals & Mining ETF (XME) Delaware Smid Cap Growth Fund Institutional Class Shares (DFDIX) AQR Managed Futures Strategy Fund Class I Shares (AQMIX) First TrustGlobal Tactical Commodity Strategy Fund (FTGC) Global X Uranium ETF (URA) LoCorr Market Trend Fund Class I Shares (LOTIX) JPMorgan Ultra-Short Income ETF (JPST) VanEck Vectors Agribusiness ETF (MOO) Sale Proshares Investment Grade-Interest Rate Hedged ETF (IGHG) Putnam Short Duration Bond Fund Class Y Shares (PARYX) Delaware Smid Cap Growth Fund Institutional Sale	Invesco QQQ Trust, Series 1 (QQQ) JPMorgan Ultra-Short Income ETF (JPST) Purchase 01/26/2022 Pacer Developed Markets International Cash Cows 100 ETF (ICOW) Pacer US Small Cap Cash Cows 100 ETF (CALF) AllianzGI Convertible Fund Institutional Class Shares (ANNPX) Proshares Investment Grade-Interest Rate Hedged ETF (IGHG) SPDR S&P Metals & Mining ETF (XME) Pelaware Smid Cap Growth Fund Institutional Class Shares (AQMIX) AQR Managed Futures Strategy Fund Class I Shares (AQMIX) First TrustGlobal Tactical Commodity Strategy Fund (FTGC) Global X Uranium ETF (URA) JPMorgan Ultra-Short Income ETF (JPST) VanEck Vectors Agribusiness ETF (MOO) Purchase 01/26/2022 O1/26/2022 D1/26/2022 D1/26/2022 Sale D1/26/2022 D1/26/2022 D1/26/2022 D1/26/2022 D1/26/2022 D1/26/2022 D2/26/2022 D3/26/2022 D3/26/2022

#	DESCRIPTION	TYPE	DATE	AMOUNT
169	Eaton Vance Core Plus Bond Fund Class I Shares (EIBAX)	Sale	06/27/2022	\$1,001 - \$15,000
170	iShares Preferred and Income Securities ETF (PFF)	Sale	06/27/2022	\$1,001 - \$15,000
171	AllianzGI Convertible Fund Institutional Class Shares (ANNPX)	Sale	06/27/2022	\$1,001 - \$15,000
172	Goldman Sachs Absolute Return Tracker Fund Institutional Class Shares (GJRTX)	Sale	06/24/2022	\$1,001 - \$15,000
173	First Trust Capital Strength ETF (FTCS)	Sale	06/13/2022	\$1,001 - \$15,000
174	iShares Exponential Technologies ETF (XT)	Sale	06/13/2022	\$1,001 - \$15,000
175	JEPI	Sale	06/13/2022	\$1,001 - \$15,000
176	Pacer US Cash Cows 100 ETF (COWZ)	Sale	06/13/2022	\$1,001 - \$15,000
177	SPDR S&P Metals & Mining ETF (XME)	Sale	06/13/2022	\$1,001 - \$15,000
178	AQR Managed Futures Strategy Fund Class I Shares (AQMIX)	Sale	05/24/2022	\$1,001 - \$15,000
179	Emerging Markets Fund Institutional Class Shares (DEMIX)	Sale	05/24/2022	\$1,001 - \$15,000
180	First Trust Capital Strength ETF (FTCS)	Sale	05/24/2022	\$1,001 - \$15,000
181	JEPI	Sale	05/24/2022	\$1,001 - \$15,000
182	First Trust Cloud Computing ETF (SKYY)	Sale	05/17/2022	\$15,001 - \$50,000
183	First Trust NASDAQ Cybersecurity ETF (CIBR)	Sale	05/17/2022	\$15,001 - \$50,000
184	First Trust Capital Strength ETF (FTCS)	Sale	05/17/2022	\$50,001 - \$100,000
185	Guggenheim Total Return Bond Fund Institutional Class Shares (GIBIX)	Sale	04/26/2022	\$15,001 - \$50,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
186	Emerging Markets Fund Institutional Class Shares (DEMIX)	Sale	04/26/2022	\$1,001 - \$15,000
187	Delaware Smid Cap Growth Fund Institutional Class Shares (DFDIX)	Sale	04/26/2022	\$1,001 - \$15,000
188	Eaton Vance Core Plus Bond Fund Class I Shares (EIBAX)	Sale	04/26/2022	\$1,001 - \$15,000
189	Fidelity Advisor International Capital Appreciation Fund Class I Shares (FCPIX)	Sale	04/26/2022	\$1,001 - \$15,000
190	First TrustGlobal Tactical Commodity Strategy Fund (FTGC)	Sale	04/26/2022	\$1,001 - \$15,000
191	First Trust Cloud Computing ETF (SKYY)	Sale	04/26/2022	\$1,001 - \$15,000
192	First Trust TCW Opportunistic Fixed Income ETF (FIXD)	Sale	04/26/2022	\$15,001 - \$50,000
193	First Trust NASDAQ Cybersecurity ETF (CIBR)	Sale	04/26/2022	\$1,001 - \$15,000
194	First Trust Capital Strength ETF (FTCS)	Sale	04/26/2022	\$1,001 - \$15,000
195	Goldman Sachs GQG Partners International Opportunities Fund Institutional Class Shs (GSIMX)	Sale	04/26/2022	\$1,001 - \$15,000
196	Invesco QQQ Trust, Series 1 (QQQ)	Sale	04/26/2022	\$1,001 - \$15,000
197	Pacer Developed Markets International Cash Cows 100 ETF (ICOW)	Sale	04/26/2022	\$1,001 - \$15,000
198	Pacer US Small Cap Cash Cows 100 ETF (CALF)	Sale	04/26/2022	\$1,001 - \$15,000
199	SPDR S&P Metals & Mining ETF (XME)	Sale	04/26/2022	\$1,001 - \$15,000
200	VanEck Vectors Semiconductor ETF (SMH)	Sale	04/26/2022	\$1,001 - \$15,000
201	iShares Preferred and Income Securities ETF (PFF)	Sale	03/28/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
202	PIMCO Investment Grade Credit Bond Fund Institutional Class Shares (PIGIX)	Sale	03/28/2022	\$15,001 - \$50,000
203	AB High Income Fund, Inc Advisor Class Shares (AGDYX)	Sale	03/08/2022	\$1,001 - \$15,000
204	Guggenheim Total Return Bond Fund Institutional Class Shares (GIBIX)	Sale	03/08/2022	\$1,001 - \$15,000
205	Delaware Smid Cap Growth Fund Institutional Class Shares (DFDIX)	Sale	03/08/2022	\$1,001 - \$15,000
206	First Trust TCW Opportunistic Fixed Income ETF (FIXD)	Sale	03/08/2022	\$1,001 - \$15,000
207	First Trust NASDAQ Cybersecurity ETF (CIBR)	Sale	03/08/2022	\$1,001 - \$15,000
208	First Trust Capital Strength ETF (FTCS)	Sale	03/08/2022	\$1,001 - \$15,000
209	Global X Uranium ETF (URA)	Sale	03/08/2022	\$1,001 - \$15,000
210	Invesco QQQ Trust, Series 1 (QQQ)	Sale	03/08/2022	\$1,001 - \$15,000
211	PIMCO Investment Grade Credit Bond Fund Institutional Class Shares (PIGIX)	Sale	03/08/2022	\$1,001 - \$15,000
212	JPMorgan Ultra-Short Income ETF (JPST)	Sale	02/24/2022	\$1,001 - \$15,000
213	AB High Income Fund, Inc Advisor Class Shares (AGDYX)	Sale	01/26/2022	\$1,001 - \$15,000
214	Guggenheim Total Return Bond Fund Institutional Class Shares (GIBIX)	Sale	01/26/2022	\$1,001 - \$15,000
215	Delaware Smid Cap Growth Fund Institutional Class Shares (DFDIX)	Sale	01/26/2022	\$1,001 - \$15,000
216	Eaton Vance Core Plus Bond Fund Class I Shares (EIBAX)	Sale	01/26/2022	\$1,001 - \$15,000
217	Fidelity Advisor International Capital Appreciation Fund Class I Shares (FCPIX)	Sale	01/26/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
218	First Trust TCW Opportunistic Fixed Income ETF (FIXD)	Sale	01/26/2022	\$1,001 - \$15,000
219	First Trust Capital Strength ETF (FTCS)	Sale	01/26/2022	\$1,001 - \$15,000
220	JPMorgan Opportunistic Equity Long/Short Fund Class I Shares (JOEQX)	Sale	01/26/2022	\$1,001 - \$15,000
221	PIMCO Investment Grade Credit Bond Fund Institutional Class Shares (PIGIX)	Sale	01/26/2022	\$1,001 - \$15,000
222	Undiscovered Managers Behavioral Value Fund Class L Shares (UBVLX)	Sale	01/26/2022	\$1,001 - \$15,000

8. Liabilities

None

9. Gifts and Travel Reimbursements

#	SOURCE NAME	CITY, STATE	BRIEF DESCRIPTION	VALUE
1	Akin Gump Strauss Hauer & Feld	Washington, District of Columbia	Reimbursement for travel made in connection with future employment	\$822.00

Endnotes

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during the reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$166 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal. State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding: (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record: (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGÉ Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

Public Burden Information

This collection of information is estimated to take an average of ten hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE), Suite 500, 1201 New York Avenue, N.W., Washington, DC 20005-3917.

Pursuant to the Paperwork Reduction Act, as amended, an agency may not conduct or sponsor, and no person is required to respond to, a collection of information unless it displays a currently valid OMB control number (that number, 3209-0001, is displayed here and at the top of the first page of this OGE Form 278e).