

Executive Branch Personnel Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

Brady, Matthew B

Director, Case Management, Department of Labor

Report Year: 2022

Other Federal Government Positions Held During the Preceding 12 Months:

Director, Enterprise Computing Center (12/2020 - 2/2021)

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Brady, Matthew B [electronically signed on 05/13/2022 by Brady, Matthew B in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

Other review conducted by

U.S. Office of Government Ethics Certification

1. Filer's Positions Held Outside United States Government

None

2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	IRA Matt	No			
2	Vanguard Total Stock Market Index Fund ETF Class Shares (VTI)	Yes	\$50,001 - \$100,000		
3	Vanguard Real Estate Index Fund ETF Shares (VNQ)	Yes	\$15,001 - \$50,000		
4	Grayscale Ethereum Trust (ETHE)	Yes	\$1,001 - \$15,000		
5	Amazon.com, Inc. (AMZN)	N/A	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
6	Chipotle Mexican Grill, Inc. (CMG)	N/A	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
7	The Home Depot, Inc. (HD)	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
8	iShares 20+ Year Treasury Bond ETF (TLT)	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
9	Visa, Inc. (V)	N/A	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000

3. Filer's Employment Agreements and Arrangements

None

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

(N/A) - Not required for this type of report

5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	IRA Wife	No			
2	Grayscale Bitcoin Trust (GBTC)	Yes	\$15,001 - \$50,000		None (or less than \$201)
3	Grayscale Ethereum Trust (ETHE)	Yes	\$15,001 - \$50,000		
4	Ark Fintech Innovation ETF (ARKF)	Yes	None (or less than \$1,001)		\$1,001 - \$2,500
5	Facebook, Inc. (FB)	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
6	The Home Depot, Inc. (HD)	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
7	Visa, Inc. (V)	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000

6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Townhouse Rental, Bowie MD	N/A	\$250,001 - \$500,000	Rent or Royalties	None (or less than \$201)
2	Carvana Co. (CVNA)	N/A	None (or less than \$1,001)	Capital Gains	\$100,001 - \$1,000,000
3	NVIDIA Corp. (NVDA)	N/A	\$100,001 - \$250,000	Capital Gains	\$50,001 - \$100,000
4	Apple, Inc. (AAPL)	N/A	\$15,001 - \$50,000	Capital Gains Dividends	\$100,001 - \$1,000,000
5	Etsy, Inc. (ETSY)	N/A	None (or less than \$1,001)	Capital Gains	\$100,001 - \$1,000,000
6	Tesla, Inc. (TSLA)	N/A	\$250,001 - \$500,000	Capital Gains	\$100,001 - \$1,000,000
7	United States Oil Fund, LP (USO)	Yes	\$100,001 - \$250,000		\$50,001 - \$100,000
8	Bitcoin	N/A	\$50,001 - \$100,000		
9	Ethereum	N/A	\$1,001 - \$15,000		None (or less than \$201)
10	Nano Dimension Ltd. (NNDM)	N/A	None (or less than \$1,001)		None (or less than \$201)
11	Square, Inc. (SQ)	N/A	None (or less than \$1,001)	Capital Gains	\$100,001 - \$1,000,000
12	SPDR Gold Shares (GLD)	N/A	None (or less than \$1,001)	Capital Gains	\$100,001 - \$1,000,000
13	NIO Inc. (NIO)	N/A	None (or less than \$1,001)		\$100,001 - \$1,000,000
14	Airbnb Inc (ABNB)	N/A	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
15	AMC Entertainment Holdings, Inc. (AMC)	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
16	Zoom Video Communications, Inc. (ZM)	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
17	Atlas Corp (ATCO PRD)	N/A	\$15,001 - \$50,000		None (or less than \$201)
18	BlackRock Municipal Income Trust II (BLE)	N/A	\$1,001 - \$15,000		None (or less than \$201)
19	BlackRock Long-Term Municipal Advantage Trust (BTA)	N/A	\$15,001 - \$50,000		None (or less than \$201)
20	Citigroup Inc. Dep. Pfd. Series J (C PRJ)	N/A	\$1,001 - \$15,000		None (or less than \$201)
21	Goldman Sachs Group Inc. Dep. Pfd. Series K (GS PRK)	N/A	\$1,001 - \$15,000		None (or less than \$201)
22	Goldman Sachs Group Inc. Dep. Pfd. (GS PRJ)	N/A	\$1,001 - \$15,000		None (or less than \$201)
23	Invesco Quality Municipal Income Trust (IQI)	N/A	\$1,001 - \$15,000		None (or less than \$201)
24	American Century High-Yield Municipal Fund Investor Class Shares (ABHYX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
25	T Rowe Price Maryland Tax-Free Bond Fund (MDXBX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
26	BlackRock MuniYield Quality Fund, Inc (MQY)	Yes	\$1,001 - \$15,000		None (or less than \$201)
27	Nuveen AMT-Free Quality Municipal Income Fund (NEA)	Yes	\$15,001 - \$50,000		None (or less than \$201)
28	Nuveen Municipal High Income Opportunity Fund (NMZ)	Yes	\$15,001 - \$50,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
29	Nuveen AMT-Free Municipal Credit Income Fund (NVG)	Yes	\$15,001 - \$50,000		None (or less than \$201)
30	Nuveen Municipal Credit Income Fund (NZF)	Yes	\$15,001 - \$50,000		None (or less than \$201)
31	iShares Preferred and Income Securities ETF (PFF)	Yes	\$1,001 - \$15,000		None (or less than \$201)
32	Virtus InfraCap US Preferred Stock ETF (PFFA)	Yes			None (or less than \$201)
33	Global X US Preferred ETF (PFFD)	Yes	\$15,001 - \$50,000		None (or less than \$201)
34	Schwab US Dividend Equity ETF (SCHD)	Yes	\$15,001 - \$50,000		None (or less than \$201)
35	Vanguard Total Stock Market Index Fund ETF Class Shares (VTI)	Yes	\$15,001 - \$50,000		None (or less than \$201)
36	Vanguard High Dividend Yield Index Fund ETF Shares (VYM)	Yes	\$15,001 - \$50,000		None (or less than \$201)
37	Zions Bancorporation NA Preference Shares Series G (ZIONO)	N/A	\$1,001 - \$15,000		None (or less than \$201)

7. Transactions

#	DESCRIPTION	TYPE	DATE	AMOUNT
1	Tesla, Inc. (TSLA)	Sale	09/08/2021	\$50,001 - \$100,000
2	SPDR Gold Shares (GLD)	Sale	01/04/2021	\$15,001 - \$50,000
3	Square, Inc. (SQ)	See Endnote	01/04/2021	\$15,001 - \$50,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
4	Apple, Inc. (AAPL)	Sale	01/04/2021	\$50,001 - \$100,000
5	Square, Inc. (SQ) See Endnote	Sale	01/04/2021	\$15,001 - \$50,000
6	SPDR Gold Shares (GLD)	Sale	01/04/2021	\$15,001 - \$50,000
7	Carvana Co. (CVNA)	Sale	01/04/2021	\$15,001 - \$50,000
8	Square, Inc. (SQ)	Sale	01/05/2021	\$100,001 - \$250,000
9	SPDR Gold Shares (GLD)	Sale	01/07/2021	\$50,001 - \$100,000
10	Tesla, Inc. (TSLA)	Sale	01/08/2021	\$100,001 - \$250,000
11	United States Oil Fund, LP (USO)	Purchase	01/11/2021	\$50,001 - \$100,000
12	Etsy, Inc. (ETSY)	Sale	01/08/2021	\$1,001 - \$15,000
13	Nano Dimension Ltd (NNDM)	Purchase	01/14/2021	\$1,001 - \$15,000
14	Nano Dimension Ltd (NNDM)	Purchase	01/19/2021	\$1,001 - \$15,000
15	ARK Genomic Revolution ETF (ARKG)	Purchase	01/15/2021	\$1,001 - \$15,000
16	Nano Dimension Ltd (NNDM)	Purchase	01/26/2021	\$1,001 - \$15,000
17	Nano Dimension Ltd (NNDM)	Purchase	01/26/2021	\$1,001 - \$15,000
18	Visa, Inc. (V)	Sale	01/11/2021	\$15,001 - \$50,000
19	ARK Innovation ETF (ARKK)	Purchase	01/11/2021	\$15,001 - \$50,000
20	Chipotle Mexican Grill, Inc. (CMG)	Purchase	01/04/2021	\$1,001 - \$15,000

#	DESCRIPTION		TYPE	DATE	AMOUNT
21	iShares 20+ Year Treasury Bond ETF (TLT)		Sale	01/04/2021	\$1,001 - \$15,000
22	The Home Depot, Inc. (HD)		Sale	01/04/2021	\$15,001 - \$50,000
23	ARK Next Generation Internet ETF (ARKW)		Purchase	01/04/2021	\$15,001 - \$50,000
24	Visa, Inc. (V)		Sale	01/07/2021	\$15,001 - \$50,000
25	Ark Fintech Innovation ETF (ARKF)		Purchase	01/07/2021	\$15,001 - \$50,000
26	Facebook, Inc. (FB)		Sale	01/11/2021	\$15,001 - \$50,000
27	Grayscale Bitcoin Trust (GBTC)		Purchase	01/11/2021	\$15,001 - \$50,000
28	Tesla, Inc. (TSLA)	See Endnote	Sale	02/16/2021	\$1,001 - \$15,000
29	ARK Genomic Revolution ETF (ARKG)	See Endnote	Sale	02/19/2021	\$1,001 - \$15,000
30	Tesla, Inc. (TSLA)	See Endnote	Sale	02/19/2021	\$1,001 - \$15,000
31	Nano Dimension Ltd (nndm)		Purchase	02/22/2021	\$1,001 - \$15,000
32	Tesla, Inc. (TSLA)	See Endnote	Purchase	02/22/2021	\$1,001 - \$15,000
33	Tesla, Inc. (TSLA)	See Endnote	Purchase	02/22/2021	\$1,001 - \$15,000
34	ARK Genomic Revolution ETF (ARKG)	See Endnote	Sale	02/22/2021	\$1,001 - \$15,000
35	Tesla, Inc. (TSLA)		Purchase	02/23/2021	\$50,001 - \$100,000
36	Nano Dimension Ltd (NNDM)		Purchase	02/23/2021	\$15,001 - \$50,000
37	Tesla, Inc. (TSLA)		Purchase	02/24/2021	\$50,001 - \$100,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
38	Nano Dimension Ltd (NNDM)	Purchase	03/03/2021	\$15,001 - \$50,000
39	NIO Inc (NIO)	Sale	03/04/2021	\$100,001 - \$250,000
40	Nano Dimension Ltd (NNDM)	Sale	03/04/2021	\$50,001 - \$100,000
41	Carvana Co. (CVNA)	Purchase	03/08/2021	\$50,001 - \$100,000
42	Etsy, Inc. (ETSY)	Purchase	03/08/2021	\$15,001 - \$50,000
43	NVIDIA Corp. (NVDA)	Purchase	03/08/2021	\$15,001 - \$50,000
44	United States Oil Fund, LP (USO)	Purchase	03/08/2021	\$15,001 - \$50,000
45	Tesla, Inc. (TSLA)	Purchase	03/09/2021	\$100,001 - \$250,000
46	AMC Entertainment Holdings, Inc. (AMC)	Purchase	03/09/2021	\$1,001 - \$15,000
47	Bitcoin	Exchange	03/22/2021	\$15,001 - \$50,000
48	United States Oil Fund, LP (USO)	Sale	06/25/2021	\$15,001 - \$50,000
49	United States Oil Fund, LP (USO)	Sale	06/30/2021	\$15,001 - \$50,000
50	Carvana Co. (CVNA)	Sale	07/01/2021	\$15,001 - \$50,000
51	Carvana Co. (CVNA)	Sale	07/12/2021	\$15,001 - \$50,000
52	Tesla, Inc. (TSLA)	Sale	07/12/2021	\$50,001 - \$100,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
53	Carvana Co. (CVNA)	Sale	07/13/2021	\$50,001 - \$100,000
54	Tesla, Inc. (TSLA)	Sale	07/15/2021	\$1,001 - \$15,000
55	NVIDIA Corp. (NVDA)	Purchase	07/15/2021	\$50,001 - \$100,000
56	Etsy, Inc. (ETSY)	Sale	07/15/2021	\$15,001 - \$50,000
57	Apple, Inc. (AAPL)	Purchase	07/15/2021	\$15,001 - \$50,000
58	NIO Inc. (NIO)	Purchase	07/02/2021	\$15,001 - \$50,000
59	ARK Innovation ETF (ARKK)	Sale	07/02/2021	\$15,001 - \$50,000
60	ARK Innovation ETF (ARKK)	Purchase	06/25/2021	\$1,001 - \$15,000
61	GRAYSCALE BITCOIN TRUST (BTC) (GBTC)	Purchase	06/23/2021	\$1,001 - \$15,000
62	Ark Fintech Innovation ETF (ARKF)	Sale	07/02/2021	\$15,001 - \$50,000
63	GRAYSCALE BITCOIN TRUST (BTC) (GBTC)	Purchase	07/02/2021	\$15,001 - \$50,000
64	Etherium	Purchase	06/19/2021	\$1,001 - \$15,000
65	Bitcoin	Purchase	06/19/2021	\$1,001 - \$15,000
66	Tesla, Inc. (TSLA)	Sale	07/20/2021	\$50,001 - \$100,000
67	Carvana Co. (CVNA)	Sale	07/20/2021	\$15,001 - \$50,000
68	NVIDIA Corp. (NVDA)	Sale	07/21/2021	\$15,001 - \$50,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
69	Tesla, Inc. (TSLA)	Sale	07/29/2021	\$15,001 - \$50,000
70	Tesla, Inc. (TSLA)	Sale	07/26/2021	\$100,001 - \$250,000
71	NVIDIA Corp. (NVDA)	Sale	08/03/2021	\$15,001 - \$50,000
72	Carvana Co. (CVNA)	Sale	08/03/2021	\$15,001 - \$50,000
73	Airbnb Inc (ABNB)	Sale	08/13/2021	\$1,001 - \$15,000
74	The Home Depot, Inc. (HD)	Sale	07/28/2021	\$15,001 - \$50,000
75	Amazon.com, Inc. (AMZN)	Sale	07/28/2021	\$15,001 - \$50,000
76	Vanguard Total Stock Market Index Fund ETF Class Shares (VTI)	Purchase	07/28/2021	\$50,001 - \$100,000
77	Tesla, Inc. (TSLA)	Purchase	08/17/2021	\$1,001 - \$15,000
78	Carvana Co. (CVNA)	Sale	08/23/2021	\$1,001 - \$15,000
79	NVIDIA Corp. (NVDA)	Sale	08/23/2021	\$1,001 - \$15,000
80	Airbnb, Inc (ABNB)	Purchase	08/24/2021	\$1,001 - \$15,000
81	Airbnb, Inc (ABNB)	Sale	08/24/2021	\$50,001 - \$100,000
82	United States Oil Fund, LP (USO)	Sale	08/24/2021	\$1,001 - \$15,000
83	Etsy, Inc. (ETSY)	Purchase	08/26/2021	\$1,001 - \$15,000
84	Tesla, Inc. (TSLA)	Sale	08/30/2021	\$1,001 - \$15,000
85	Zoom Video Communications, Inc. (ZM)	Purchase	08/31/2021	\$1,001 - \$15,000
86	Tesla, Inc. (TSLA)	Sale	09/07/2021	\$15,001 - \$50,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
87	Tesla, Inc. (TSLA)	Sale	09/07/2021	\$1,001 - \$15,000
88	Tesla, Inc. (TSLA)	Sale	09/07/2021	\$50,001 - \$100,000
89	Etsy, Inc. (ETSY)	Sale	09/07/2021	\$15,001 - \$50,000
90	Etsy, Inc. (ETSY)	Sale	09/07/2021	\$15,001 - \$50,000
91	Etsy, Inc. (ETSY)	Sale	09/07/2021	\$1,001 - \$15,000
92	Etsy, Inc. (ETSY)	Sale	09/07/2021	\$15,001 - \$50,000
93	Tesla, Inc. (TSLA)	Sale	09/08/2021	\$1,001 - \$15,000
94	Tesla, Inc. (TSLA)	Purchase	09/08/2021	\$1,001 - \$15,000
95	Apple, Inc. (AAPL)	Sale	09/13/2021	\$15,001 - \$50,000
96	Apple, Inc. (AAPL)	Sale	09/14/2021	\$15,001 - \$50,000
97	Apple, Inc. (AAPL)	Sale	09/14/2021	\$15,001 - \$50,000
98	iShares Preferred and Income Securities ETF (PFF)	Purchase	08/23/2021	\$1,001 - \$15,000
99	Apple, Inc. (AAPL)	Purchase	08/25/2021	\$1,001 - \$15,000
100	Nuveen Municipal Credit Income Fund (NZF)	Purchase	09/15/2021	\$1,001 - \$15,000
101	iShares Preferred and Income Securities ETF (PFF)	Purchase	09/08/2021	\$1,001 - \$15,000
102	Global X US Preferred ETF (PFFD)	Purchase	09/07/2021	\$1,001 - \$15,000
103	Global X US Preferred ETF (PFFD)	Purchase	09/08/2021	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
104	American Century High-Yield Municipal Fund Investor Class Shares (ABHYX)	Purchase	09/15/2021	\$1,001 - \$15,000
105	Etsy, Inc. (ETSY)	Sale	09/22/2021	\$15,001 - \$50,000
106	NVIDIA Corp. (NVDA)	Sale	09/22/2021	\$50,001 - \$100,000
107	Tesla, Inc. (TSLA)	Sale	09/22/2021	\$50,001 - \$100,000
108	Carvana Co. (CVNA)	Sale	09/23/2021	\$50,001 - \$100,000
109	NVIDIA Corp. (NVDA)	Sale	09/23/2021	\$15,001 - \$50,000
110	United States Oil Fund, LP (USO)	Purchase	09/28/2021	\$1,001 - \$15,000
111	Tesla, Inc. (TSLA)	Sale	10/11/2021	\$1,001 - \$15,000
112	Nuveen AMT-Free Quality Municipal Income Fund (NEA)	Purchase	09/21/2021	\$1,001 - \$15,000
113	Nuveen Municipal Credit Income Fund (NZF)	Purchase	09/21/2021	\$1,001 - \$15,000
114	Global X US Preferred ETF (PFFD)	Purchase	09/21/2021	\$1,001 - \$15,000
115	Citigroup Inc. Dep. Pfd. (C.PRJ)	Purchase	09/22/2021	\$1,001 - \$15,000
116	Vanguard Total Stock Market Index Fund ETF Class Shares (VTI)	Purchase	09/22/2021	\$1,001 - \$15,000
117	Vanguard High Dividend Yield Index Fund ETF Shares (VYM)	Purchase	09/22/2021	\$1,001 - \$15,000
118	Nuveen AMT-Free Municipal Credit Income Fund (NVG)	Purchase	09/24/2021	\$1,001 - \$15,000
119	Virtus InfraCap US Preferred Stock ETF (PFFA)	Purchase	09/24/2021	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
120	T Rowe Price Maryland Tax-Free Bond Fund (MDXBX)	Purchase	09/28/2021	\$1,001 - \$15,000
121	Ameriserv Financial Capital Trust (ASRVP)	Purchase	09/29/2021	\$1,001 - \$15,000
122	Goldman Sachs Group Inc. Dep. Pfd. (GS PRJ)	Purchase	09/29/2021	\$1,001 - \$15,000
123	Goldman Sachs Group Inc. Dep. Pfd. Series K (GS PRK)	Purchase	09/29/2021	\$1,001 - \$15,000
124	Vanguard Total Stock Market Index Fund ETF Class Shares (VTI)	Purchase		\$1,001 - \$15,000
125	Vanguard High Dividend Yield Index Fund ETF Shares (VYM)	Purchase	09/29/2021	\$1,001 - \$15,000
126	Nuveen Municipal High Income Opportunity Fund (NMZ)	Purchase	10/06/2021	\$15,001 - \$50,000
127	Nuveen AMT-Free Municipal Credit Income Fund (NVG)	Purchase	10/06/2021	\$1,001 - \$15,000
128	Nuveen Municipal Credit Income Fund (NZF)	Purchase	10/06/2021	\$1,001 - \$15,000
129	Global X US Preferred ETF (PFFD)	Purchase	10/06/2021	\$1,001 - \$15,000
130	Virtus InfraCap US Preferred Stock ETF (PFFA)	Purchase	10/06/2021	\$1,001 - \$15,000
131	Nuveen AMT-Free Quality Municipal Income Fund (NEA)	Purchase	10/07/2021	\$1,001 - \$15,000
132	Nuveen Municipal High Income Opportunity Fund (NMZ)	Purchase	10/07/2021	\$1,001 - \$15,000
133	Schwab US Dividend Equity ETF (SCHD)	Purchase	10/07/2021	\$15,001 - \$50,000
134	American Century High-Yield Municipal Fund Investor Class Shares (ABHYX)	Purchase	10/07/2021	\$1,001 - \$15,000
135	Atlas Corp. Pfd. Series D (ATCO PRD)	Purchase	10/11/2021	\$15,001 - \$50,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
136	Atlas Corp. Pfd. Series D (ATCO PRD)	Purchase	10/15/2021	\$1,001 - \$15,000
137	Citigroup Inc. Dep. Pfd. Series J (C PRJ)	Purchase	10/15/2021	\$1,001 - \$15,000
138	Nuveen AMT-Free Quality Municipal Income Fund (NEA)	Purchase	10/15/2021	\$1,001 - \$15,000
139	Nuveen AMT-Free Municipal Credit Income Fund (NVG)	Purchase	10/15/2021	\$1,001 - \$15,000
140	Nuveen Municipal Credit Income Fund (NZF)	Purchase	10/15/2021	\$1,001 - \$15,000
141	Tesla, Inc. (TSLA)	Sale	10/25/2021	\$15,001 - \$50,000
142	Tesla, Inc. (TSLA)	Purchase	10/25/2021	\$15,001 - \$50,000
143	Carvana Co. (CVNA)	Purchase	10/26/2021	\$1,001 - \$15,000
144	Carvana Co. (CVNA)	Sale	10/26/2021	\$1,001 - \$15,000
145	NVIDIA Corp. (NVDA)	Purchase	10/26/2021	\$1,001 - \$15,000
146	Apple, Inc. (AAPL)	Purchase	10/28/2021	\$1,001 - \$15,000
147	NVIDIA Corp. (NVDA)	Purchase	10/28/2021	\$1,001 - \$15,000
148	Apple, Inc. (AAPL)	Sale	11/01/2021	\$50,001 - \$100,000
149	Tesla, Inc. (TSLA)	Purchase	11/01/2021	\$50,001 - \$100,000
150	Zoom Video Communications, Inc. (ZM)	Sale	11/08/2021	\$1,001 - \$15,000
151	Tesla, Inc. (TSLA)	Sale	11/10/2021	\$1,001 - \$15,000
152	ARK Innovation ETF (ARKK)	Sale	10/18/2021	\$1,001 - \$15,000
153	Vanguard Total Stock Market Index Fund ETF Class Shares (VTI)	Purchase	10/18/2021	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
154	Chipotle Mexican Grill, Inc. (CMG)	Sale	10/26/2021	\$15,001 - \$50,000
155	Vanguard Real Estate Index Fund ETF Shares (VNQ)	Purchase	10/26/2021	\$15,001 - \$50,000
156	NIO Inc. (NIO)	Sale	11/15/2021	\$15,001 - \$50,000
157	Vanguard Real Estate Index Fund ETF Shares (VNQ)	Purchase	11/15/2021	\$15,001 - \$50,000
158	Grayscale Bitcoin Trust (GBTC)	Sale	10/18/2021	\$15,001 - \$50,000
159	Grayscale Ethereum Trust (ETHE)	Purchase	10/19/2021	\$15,001 - \$50,000
160	Nuveen AMT-Free Quality Municipal Income Fund (NEA)	Purchase	10/26/2021	\$1,001 - \$15,000
161	Nuveen Municipal High Income Opportunity Fund (NMZ)	Purchase	10/26/2021	\$1,001 - \$15,000
162	Nuveen Municipal Credit Income Fund (NZF)	Purchase	10/26/2021	\$1,001 - \$15,000
163	Zions Bancorporation NA Preference Shares Series G (ZIONO)	Purchase	10/26/2021	\$1,001 - \$15,000
164	Tesla, Inc. (TSLA)	Purchase	10/25/2021	\$15,001 - \$50,000
165	Tesla, Inc. (TSLA)	Sale	10/25/2021	\$15,001 - \$50,000
166	Carvana Co. (CVNA)	Purchase	10/26/2021	\$1,001 - \$15,000
167	Carvana Co. (CVNA)	Sale	10/26/2021	\$1,001 - \$15,000
168	NVIDIA Corp. (NVDA)	Purchase	10/26/2021	\$1,001 - \$15,000
169	NVIDIA Corp. (NVDA)	Purchase	10/28/2021	\$1,001 - \$15,000
170	Apple, Inc. (AAPL)	Purchase	10/28/2021	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
171	Apple, Inc. (AAPL)	Sale	11/01/2021	\$50,001 - \$100,000
172	Tesla, Inc. (TSLA)	Purchase	11/01/2021	\$50,001 - \$100,000
173	Zoom Video Communications, Inc. (ZM)	Sale	11/08/2021	\$1,001 - \$15,000
174	ARK Innovation ETF (ARKK)	Sale	10/18/2021	\$1,001 - \$15,000
175	Tesla, Inc. (TSLA)	Sale	11/10/2021	\$1,001 - \$15,000
176	Vanguard Total Stock Market Index Fund ETF Class Shares (VTI)	Purchase	10/18/2021	\$1,001 - \$15,000
177	Chipotle Mexican Grill, Inc. (CMG)	Sale	10/26/2021	\$15,001 - \$50,000
178	Vanguard Real Estate Index Fund ETF Shares (VNQ)	Purchase	10/26/2021	\$15,001 - \$50,000
179	NIO Inc. (NIO)	Sale	11/15/2021	\$15,001 - \$50,000
180	Vanguard Real Estate Index Fund ETF Shares (VNQ)	Purchase	11/15/2021	\$15,001 - \$50,000
181	Grayscale Bitcoin Trust (GBTC)	Sale	10/18/2021	\$15,001 - \$50,000
182	Grayscale Ethereum Trust (ETHE)	Purchase	10/19/2021	\$15,001 - \$50,000
183	Nuveen AMT-Free Quality Municipal Income Fund (NEA)	Purchase	10/26/2021	\$1,001 - \$15,000
184	Nuveen Municipal High Income Opportunity Fund (NMZ)	Purchase	10/26/2021	\$1,001 - \$15,000
185	Nuveen Municipal Credit Income Fund (NZF)	Purchase	10/26/2021	\$1,001 - \$15,000
186	Zions Bancorporation NA Preference Shares Series G (ZIONO)	Purchase	10/26/2021	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
187	Tesla, Inc. (TSLA)	Sale	11/24/2021	\$1,001 - \$15,000
188	Tesla, Inc. (TSLA)	Sale	12/01/2021	\$1,001 - \$15,000
189	Tesla, Inc. (TSLA)	Purchase	12/06/2021	\$1,001 - \$15,000
190	Tesla, Inc. (TSLA)	Sale	12/06/2021	\$1,001 - \$15,000
191	Tesla, Inc. (TSLA)	Sale	12/13/2021	\$1,001 - \$15,000
192	Tesla, Inc. (TSLA)	Sale	12/14/2021	\$1,001 - \$15,000
193	Tesla, Inc. (TSLA)	Purchase	12/13/2021	\$1,001 - \$15,000
194	Grayscale Ethereum Trust (ETHE)	Purchase	11/17/2021	\$1,001 - \$15,000
195	Tesla, Inc. (TSLA)	Purchase	12/14/2021	\$1,001 - \$15,000
196	ARK Innovation ETF (ARKK)	Sale	11/17/2021	\$1,001 - \$15,000
197	Atlas Corp (ATCO PRD)	Purchase	12/02/2021	\$1,001 - \$15,000
198	BlackRock Municipal Income Trust II (BLE)	Purchase	12/02/2021	\$1,001 - \$15,000
199	BlackRock Long-Term Municipal Advantage Trust (BTA)	Purchase	12/02/2021	\$15,001 - \$50,000
200	Invesco Quality Municipal Income Trust (IQI)	Purchase	12/02/2021	\$1,001 - \$15,000
201	Carvana Co. (CVNA)	Sale	12/02/2021	\$50,001 - \$100,000
202	BlackRock MuniYield Quality Fund, Inc (MQY)	Purchase	12/02/2021	\$1,001 - \$15,000
203	Nuveen AMT-Free Municipal Credit Income Fund (NVG)	Purchase	12/02/2021	\$1,001 - \$15,000
204	NVIDIA Corp. (NVDA)	Purchase	12/09/2021	\$1,001 - \$15,000
205	NVIDIA Corp. (NVDA)	Sale	12/09/2021	\$1,001 - \$15,000
206	S&P 500 (SPX)	Sale	11/29/2021	\$1,001 - \$15,000
207	Tesla, Inc. (TSLA)	Sale	01/06/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
208	Nuveen Municipal Credit Income Fund (NZF)	Purchase	01/03/2022	\$1,001 - \$15,000
209	Tesla, Inc. (TSLA)	Sale	01/06/2022	\$1,001 - \$15,000
210	Nuveen Municipal Credit Income Fund (NZF)	Purchase	01/03/2022	\$1,001 - \$15,000
211	NVIDIA Corp. (NVDA)	Sale	12/24/2021	\$15,001 - \$50,000

8. Liabilities

None

9. Gifts and Travel Reimbursements

None

Endnotes

PART	#	ENDNOTE
7.	3	This certification accompanies the transaction report that I filed in INTEGRITY.gov. As to every security listed in this report, the aggregate value of my interest in the security is below the de minimis amount, or the value exceeds the de minimis amount but my official duties do not require me to participate in matters affecting the issuer of the security.
7.	5	This certification accompanies the transaction report that I filed in INTEGRITY.gov. As to every security listed in this report, the aggregate value of my interest in the security is below the de minimis amount, or the value exceeds the de minimis amount but my official duties do not require me to participate in matters affecting the issuer of the security.
7.	28	Sold 1 TSLA Mar 19 2021 800.0 Put option @ 57

PART	#	ENDNOTE
7.	29	Sold 10 ARKG Feb 19 2021 100.21 Call options @ 7.14
7.	30	Sold 1 TSLA Mar 19 2021 785.0 Put option @ 53.5
7.	32	Bought 1 TSLA Mar 19 2021 800.0 Put option @ 80.64
7.	33	Bought 1 TSLA Mar 19 2021 785.0 Put option @ 69.87
7.	34	Sold 10 ARKG Mar 19 2021 110.0 Call option @ 3.15

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during the reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$166 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

Public Burden Information

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