New Entrant Report | U.S. Office of Government Ethics; 5 C.F.R. part 2634 | Form Approved: OMB No. (3209-0001) (Updated July 2020)

### **Executive Branch Personnel**

## Public Financial Disclosure Report (OGE Form 278e)

### Filer's Information

Schlick, Austin C

General Counsel and DAEO, Consumer Product Safety Commission

Date of Appointment: 12/06/2021

Other Federal Government Positions Held During the Preceding 12 Months:

None

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Schlick, Austin C [electronically signed on 01/01/2022 by Schlick, Austin C in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Buford, Melissa, Certifying Official [electronically signed on 02/15/2022 by Buford, Melissa in Integrity.gov]

Other review conducted by

/s/ Obrien, Alice, Ethics Official [electronically signed on 02/07/2022 by Obrien, Alice in Integrity.gov]

U.S. Office of Government Ethics Certification

/s/ Granahan, Megan, Certifying Official [electronically signed on 03/10/2022 by Granahan, Megan in Integrity.gov]

Data Revised 01/28/2022

### 1. Filer's Positions Held Outside United States Government

#	ORGANIZATION NAME	CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	ТО
1	Family Trust #1	Potomac, Maryland	Trust	Trustee	5/2019	Present
2	Family Trust #2	Potomac, Maryland	Trust	Trustee	5/2019	Present
3	Family Trust #3	Potomac, Maryland	Trust	Trustee	5/2019	Present
4	Family Trust #4	Potomac, Maryland	Trust	Trustee	5/2019	Present
5	Google LLC	Mountain View, California	Corporation	Director, Communications Law	10/2012	12/2021
6	GU Holdings Inc.	Mountain View, California	Corporation	President, Secretary, Director	11/2012	12/2021

## 2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Google LLC, restricted stock units	N/A	None (or less than \$1,001)		None (or less than \$201)
2	Google LLC	N/A		Salary/Bonus	\$1,328,000
3	Alphabet Inc. Common	N/A	\$1,000,001 - \$5,000,000		None (or less than \$201)
4	IRA	No			

DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
Vanguard Emerging Markets Stock Index Fund	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
Vanguard Mid-Cap Index Fund	Yes	\$100,001 - \$250,000		\$2,501 - \$5,000
Vanguard PRIMECAP Core Fund	Yes	\$100,001 - \$250,000		\$15,001 - \$50,000
Vanguard Real Estate Index Fund	Yes	\$50,001 - \$100,000		\$5,001 - \$15,000
Vanguard Small Cap Index Fund	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
Vanguard Total International Stock Market Index Fund	Yes	\$50,001 - \$100,000		\$2,501 - \$5,000
Vanguard Total Stock Market Index Fund	Yes	\$100,001 - \$250,000		\$2,501 - \$5,000
Vanguard Wellington Fund	Yes	\$50,001 - \$100,000		\$5,001 - \$15,000
Vanguard Windsor II Fund	Yes	\$15,001 - \$50,000		\$2,501 - \$5,000
State of Maryland	No			
American Funds EuroPacific Growth Fund	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
Janus Henderson Enterprise Fund	Yes	\$15,001 - \$50,000		\$2,501 - \$5,000
State Street International Index NonLending Series Fund	Yes	\$15,001 - \$50,000		\$201 - \$1,000
State Street Russell Small Cap Index Non- Lending Series Fund	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
State Street Russell S&P MidCap Index Non- Lending Series Fund	Yes	\$15,001 - \$50,000		\$201 - \$1,000
	Vanguard Emerging Markets Stock Index Fund  Vanguard Mid-Cap Index Fund  Vanguard PRIMECAP Core Fund  Vanguard Real Estate Index Fund  Vanguard Small Cap Index Fund  Vanguard Total International Stock Market Index Fund  Vanguard Total Stock Market Index Fund  Vanguard Wellington Fund  Vanguard Windsor II Fund  State of Maryland  American Funds EuroPacific Growth Fund  State Street International Index NonLending Series Fund  State Street Russell Small Cap Index NonLending Series Fund  State Street Russell S&P MidCap Index Non-	Vanguard Emerging Markets Stock Index Fund  Vanguard Mid-Cap Index Fund  Yes  Vanguard PRIMECAP Core Fund  Yes  Vanguard Real Estate Index Fund  Yes  Vanguard Small Cap Index Fund  Yes  Vanguard Total International Stock Market Index Fund  Vanguard Total Stock Market Index Fund  Yes  Vanguard Total Stock Market Index Fund  Yes  Vanguard Wellington Fund  Yes  Vanguard Windsor II Fund  Yes  State of Maryland  American Funds EuroPacific Growth Fund  Yes  State Street International Index NonLending Series Fund  State Street Russell Small Cap Index Non- Lending Series Fund  State Street Russell S&P MidCap Index Non- Yes  State Street Russell S&P MidCap Index Non- Yes	Vanguard Emerging Markets Stock Index Fund         Yes         \$15,001 - \$50,000           Vanguard Mid-Cap Index Fund         Yes         \$100,001 - \$250,000           Vanguard PRIMECAP Core Fund         Yes         \$100,001 - \$250,000           Vanguard Real Estate Index Fund         Yes         \$50,001 - \$100,000           Vanguard Small Cap Index Fund         Yes         \$50,001 - \$100,000           Vanguard Total International Stock Market Index Fund         Yes         \$50,001 - \$100,000           Vanguard Total Stock Market Index Fund         Yes         \$100,001 - \$250,000           Vanguard Wellington Fund         Yes         \$50,001 - \$100,000           Vanguard Windsor II Fund         Yes         \$50,001 - \$100,000           State of Maryland         No         No           American Funds EuroPacific Growth Fund         Yes         \$15,001 - \$50,000           State Street International Index NonLending Series Fund         Yes         \$15,001 - \$50,000           State Street Russell Small Cap Index NonLending Series Fund         Yes         \$15,001 - \$50,000           State Street Russell S&P MidCap Index Non- Yes         \$15,001 - \$100,000	Vanguard Emerging Markets Stock Index Fund         Yes         \$15,001 - \$50,000           Vanguard Mid-Cap Index Fund         Yes         \$100,001 - \$250,000           Vanguard PRIMECAP Core Fund         Yes         \$100,001 - \$250,000           Vanguard Real Estate Index Fund         Yes         \$50,001 - \$100,000           Vanguard Small Cap Index Fund         Yes         \$50,001 - \$100,000           Vanguard Total International Stock Market Index Fund         Yes         \$50,001 - \$100,000           Vanguard Total Stock Market Index Fund         Yes         \$100,001 - \$250,000           Vanguard Wellington Fund         Yes         \$50,001 - \$100,000           Vanguard Windsor II Fund         Yes         \$15,001 - \$50,000           State of Maryland         No         American Funds EuroPacific Growth Fund         Yes         \$15,001 - \$50,000           Janus Henderson Enterprise Fund         Yes         \$15,001 - \$50,000         \$50,000           State Street International Index Non-Lending Series Fund         Yes         \$50,000           State Street Russell Small Cap Index Non-Lending Series Fund         Yes         \$50,000 - \$50,000           State Street Russell Small Cap Index Non-Lending Series Fund         Yes         \$50,000 - \$50,000 - \$50,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
5.6	T. Rowe Price Structured Research Trust-C	Yes	\$1,001 - \$15,000	)	\$201 - \$1,000
5.7	William Blair Large Cap Growth Fund	Yes	\$50,001 - \$100,000		\$5,001 - \$15,000
6	Google LLC	No			
6.1	Vanguard Total Bond Market Index Fund	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
6.2	Vanguard Real Estate Index Fund	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
6.3	Vanguard Extended Market Index Fund	Yes	\$250,001 - \$500,000		\$5,001 - \$15,000
6.4	Vanguard 500 Index Fund	Yes	\$250,001 - \$500,000		\$2,501 - \$5,000
6.5	Vanguard Emerging Markets Stock Index Fund	Yes	\$15,001 - \$50,000		\$201 - \$1,000
6.6	Vanguard Developed Markets Index Fund	Yes	\$50,001 - \$100,000		\$2,501 - \$5,000
7	SPDR S&P 500 ETF Trust	Yes	\$250,001 - \$500,000		\$5,001 - \$15,000

# 3. Filer's Employment Agreements and Arrangements

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
1	Google LLC	Mountain View, California	Expired as of departure from Google on 12/3/2021.	10/2012
2	State of Maryland	Annapolis, MD, Maryland	I will continue to participate in this defined contribution plan, but the plan sponsor no longer makes contributions.	9/2007

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
3	Google LLC	Mountain View, California	I will continue to participate in this defined contribution plan, but the plan sponsor no longer makes contributions.	10/2012

# 4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

#	SOURCE NAME	CITY, STATE	BRIEF DESCRIPTION OF DUTIES
1	Google LLC	Mountain View, California	Director, Communications Law

# 5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	IRA	No			
1.1	Vanguard Explorer Fund	Yes	\$100,001 - \$250,000		\$5,001 - \$15,000
1.2	Vanguard International Growth Fund	Yes	\$100,001 - \$250,000		\$5,001 - \$15,000
1.3	Vanguard US Growth Fund	Yes	\$100,001 - \$250,000		\$5,001 - \$15,000
1.4	Vanguard Windsor II Fund	Yes	\$100,001 - \$250,000		\$5,001 - \$15,000
2	SPDR S&P 500 ETF Trust	Yes	\$250,001 - \$500,000		\$5,001 - \$15,000

## 6. Other Assets and Income

#	DESCRIPTION	_	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	US bank #1 (cash)		No	\$50,001 - \$100,000		None (or less than \$201)
2	US bank #2 (cash)	See Endnote	No	\$15,001 - \$50,000		None (or less than \$201)
3	US bank #3 (cash)	See Endnote	No	\$500,001 - \$1,000,000	Interest	\$201 - \$1,000
4	US bank #4 (cash)	See Endnote	No	\$100,001 - \$250,000	Interest	\$201 - \$1,000
5	Northwestern Mutual - whole life insurance policies		N/A	\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000
6	Aberdeen US Small Cap Equity Fund	See Endnote	Yes	\$500,001 - \$1,000,000		None (or less than \$201)
7	Agilent Technologies Common		No	\$100,001 - \$250,000	Dividends	\$1,001 - \$2,500
8	American Century Equity Growth Fund		Yes	None (or less than \$1,001)		\$201 - \$1,000
9	American Funds EuroPacific Growth Fund		Yes	\$15,001 - \$50,000		\$201 - \$1,000
10	American Funds Growth Fund of America	See Endnote	Yes	\$500,001 - \$1,000,000		\$2,501 - \$5,000
11	American Fund 2035 Target Date Fund		Yes	\$15,001 - \$50,000		None (or less than \$201)
12	American International Group Inc. Common		No	None (or less than \$1,001)		None (or less than \$201)
13	Automatic Data Processing Common		No	\$250,001 - \$500,000	Dividends	\$5,001 - \$15,000

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
14	BlackRock Advantage Large Cap Value Fund	See Endnote	Yes	\$250,001 - \$500,000		\$5,001 - \$15,000
15	BlackRock High Yield Bond Portfolio Fund	See Endnote	Yes	\$250,001 - \$500,000		\$15,001 - \$50,000
16	BNY Mellon Small/Mid Cap Growth Fund		Yes	\$100,001 - \$250,000		None (or less than \$201)
17	Boston Partners All Cap Value Fund		Yes	\$250,001 - \$500,000		\$2,501 - \$5,000
18	Broadridge Financial Solutions, Inc. Common		No	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500
19	CDK Global Inc. Common		No	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
20	Cisco Systems, Inc. Common		No	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
21	Clearbridge Dividend Strategy Fund	See Endnote	Yes	\$250,001 - \$500,000		\$2,501 - \$5,000
22	Cigna Corp. Common		No	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500
23	Coca Cola Common		No	\$100,001 - \$250,000	Dividends	\$5,001 - \$15,000
24	Davis New York Venture Fund		Yes	\$250,001 - \$500,000		\$5,001 - \$15,000
25	Dell Technologies Inc. Common		No	\$1,001 - \$15,000		None (or less than \$201)
26	DFA International Small Company Portfolio Fund		Yes	\$1,001 - \$15,000		\$201 - \$1,000
27	DFA U.S. Core Equity 1 Portfolio Fund	See Endnote	Yes	\$1,000,001 - \$5,000,000		\$50,001 - \$100,000

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
28	Discover Financial Services Common		No	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500
29	Exxon Mobile Corp. Common		No	\$250,001 - \$500,000	Dividends	\$15,001 - \$50,000
30	Fidelity Advisor New Insights Fund	See Endnote	Yes	\$250,001 - \$500,000		\$201 - \$1,000
31	First Eagle Global Fund	See Endnote	Yes	\$100,001 - \$250,000		\$2,501 - \$5,000
32	Flexshares Morningstar Global Upstream Natural Resources Index Fund	See Endnote	Yes	\$250,001 - \$500,000		\$15,001 - \$50,000
33	Flexshares STOXX Global Broad Infrastructure Index Fund	See Endnote	Yes	\$100,001 - \$250,000		\$5,001 - \$15,000
34	Howard County, MD Municipal Bond		No	\$50,001 - \$100,000	Interest	\$2,501 - \$5,000
35	IBM Corp. Common		No	\$100,001 - \$250,000	Dividends	\$5,001 - \$15,000
36	Intel Corp. Common		No	\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000
37	Johnson & Johnson Common		No	\$250,001 - \$500,000	Dividends	\$5,001 - \$15,000
38	Keysight Technologies Inc. Common		No	\$100,001 - \$250,000		None (or less than \$201)
39	McDonald's Corp. Common		No	\$1,000,001 - \$5,000,000	Dividends	\$15,001 - \$50,000
40	Medtronic PLC Common		No	\$500,001 - \$1,000,000	Dividends	\$15,001 - \$50,000
41	Merk & Co. Common		No	\$250,001 - \$500,000	Dividends	\$15,001 - \$50,000

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
42	MFS Value Fund		Yes	\$100,001 - \$250,000		\$5,001 - \$15,000
43	Morgan Stanley Common		No	\$100,001 - \$250,000	Dividends	\$5,001 - \$15,000
44	Northern Emerging Markets Equity Index Fund		Yes	\$15,001 - \$50,000		\$201 - \$1,000
45	Northern Global Real Estate Index Fund	See Endnote	Yes	\$100,001 - \$250,000		\$5,001 - \$15,000
46	Northern International Equity Index Fund	See Endnote	Yes	\$1,000,001 - \$5,000,000		\$15,001 - \$50,000
47	Northern Mid Cap Index Fund	See Endnote	Yes	\$250,001 - \$500,000		\$5,001 - \$15,000
48	Northern Small Cap Index Fund	See Endnote	Yes	\$250,001 - \$500,000		\$2,501 - \$5,000
49	Northern Stock Index Fund		Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
50	Oakmark International Fund	See Endnote	Yes	\$100,001 - \$250,000		\$1,001 - \$2,500
51	Pfizer Inc. Common		No	\$250,001 - \$500,000	Dividends	\$15,001 - \$50,000
52	Prince George's County, MD Municipal Bonds	See Endnote	No	\$50,001 - \$100,000	Interest	\$2,501 - \$5,000
53	Principal Midcap Fund	See Endnote	Yes	\$500,001 - \$1,000,000		None (or less than \$201)
54	Schlumberger Ltd. Common		No	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
55	Texas Instruments Common		No	\$500,001 - \$1,000,000	Dividends	\$15,001 - \$50,000

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
56	Vanguard Emerging Markets Select Stock Fund		Yes	\$50,001 - \$100,000		\$201 - \$1,000
57	Vanguard Federal Money Fund		Yes	\$1,001 - \$15,000		None (or less than \$201)
58	Vanguard Emerging Markets Stock Index Fund	See Endnote	Yes	\$500,001 - \$1,000,000		\$15,001 - \$50,000
59	Vanguard Mid-Cap Index Fund		Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
60	Vanguard PRIMECAP Core Fund		Yes	\$250,001 - \$500,000		\$15,001 - \$50,000
61	Viatris Inc. Common		No	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
62	Western Digital Corp. Common		No	\$15,001 - \$50,000		None (or less than \$201)
63	Zoetis Inc. Common		No	\$100,001 - \$250,000	Dividends	\$201 - \$1,000
64	Kyndrl Holdings Inc.		No	\$1,001 - \$15,000		None (or less than \$201)
65	Oraganon & Co. Common	See Endnote	No	\$15,001 - \$50,000		None (or less than \$201)
66	Vanguard International Growth Fund		Yes	\$15,001 - \$50,000		\$2,501 - \$5,000
67	VMware, Inc. Common		No	\$1,001 - \$15,000		None (or less than \$201)

# 7. Transactions

## 8. Liabilities

None

## 9. Gifts and Travel Reimbursements

(N/A) - Not required for this type of report

## **Endnotes**

PART	#	ENDNOTE
6.	2	S&D
6.	3	F, S, J, D
6.	4	F, J, D
6.	6	F, D
6.	10	F, D
6.	14	F, D
6.	15	F, D
6.	21	F, D
6.	27	F, D
6.	30	F, D
6.	31	F, D

PART	#	ENDNOTE
6.	32	F, J, D
6.	33	F, J, D
6.	45	F, J, D
6.	46	F, J, D
6.	47	F, D
6.	48	F, J, D
6.	50	F, D
6.	52	F, S
6.	53	F, D
6.	58	F, D
6.	65	F, D

### **Summary of Contents**

#### 1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

#### 2. Filer's Employment Assets & Income and Retirement Accounts

#### Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

### 3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

#### 4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

#### 5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

#### 6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

#### 7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during the reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

#### 8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

#### 9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$166 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

#### **Privacy Act Statement**

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal. State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding: (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record: (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

#### **Public Burden Information**

This collection of information is estimated to take an average of ten hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE), Suite 500, 1201 New York Avenue, N.W., Washington, DC 20005-3917.

Pursuant to the Paperwork Reduction Act, as amended, an agency may not conduct or sponsor, and no person is required to respond to, a collection of information unless it displays a currently valid OMB control number (that number, 3209-0001, is displayed here and at the top of the first page of this OGE Form 278e).