

Executive Branch Personnel

Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

CRANE, DAVID

Under Secretary, Department of Energy

Other Federal Government Positions Held During the Preceding 12 Months:

Director, Office of Clean Energy Demonstrations, U.S. Department of Energy (9/2022 - Present)

Names of Congressional Committees Considering Nomination:

- Committee on Energy and Natural Resources
-

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ CRANE, DAVID [electronically signed on 01/11/2023 by CRANE, DAVID in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Richards, Jocelyn, Certifying Official [electronically signed on 05/05/2023 by Richards, Jocelyn in Integrity.gov]

Other review conducted by

/s/ Richards, Jocelyn, Ethics Official [electronically signed on 05/05/2023 by Richards, Jocelyn in Integrity.gov]

U.S. Office of Government Ethics Certification

/s/ Apol, David, Certifying Official [electronically signed on 05/05/2023 by Apol, David in Integrity.gov]

1. Filer's Positions Held Outside United States Government

#	ORGANIZATION NAME	CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
1	CRIS Services, LLC	Princeton, New Jersey	LLC	Co-owner/Member	9/2020	9/2022
2	JERA. Co., Inc. (power generation services)	Tokyo, Japan	Corporation	Director	4/2020	9/2022
3	Saudi Electricity Company	Riyadh, Saudi Arabia	Corporation	Director; Member of the Executive Committee; Member of the Risk Committee; Member of the Health Safety Security & Environment & Sustainability Committee	1/2021	8/2022
4	Tata Steel Ltd. (steel manufacturing)	Mumbai, India	Corporation	Director; Member of Audit Committee; Member of the Safety, Health and Environment Committee	10/2021	9/2022
5	Heliogen, Inc. (Renewable Energy Company, Solar)	Pasadena, California	Corporation	Director; Member of Audit Committee; Chairman of the Compensation and Human Capital Committee	12/2021	9/2022

#	ORGANIZATION NAME		CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
6	Climate Transition Capital Acquisition I B.V (European climate SPAC, private LLC)		Amsterdam, Netherlands	LLC	Strategic Partner; Non-executive Member of the Board of Directors	6/2021	9/2022
7	Source Global, PBC (renewable drinking water technology)		Phoenix, Arizona	Corporation	Director	10/2021	9/2022
8	Inspire Clean Energy		Santa Monica, California	Corporation	Director	12/2019	9/2021
9	Climate Group North America		New York, New York	Non-Profit	Director	5/2020	9/2022
10	The B Team		New York, New York	Non-Profit	Director; Chairman of Audit Committee	12/2015	9/2022
11	Elemental Excelerator		Honolulu, Hawaii	Non-Profit	Director; Chairman of Audit Committee	2/2021	9/2022
12	EN+ Group PLC		London, United Kingdom	Corporation	Independent Trustee	1/2019	3/2022
13	Climate Change Crisis Real Impact I Acquisition, Corporation		Princeton, New Jersey	Corporation	CEO	1/2020	7/2021
14	Climate Change Crisis Impact I Acquisition Holdings, LLC,		Princeton, New Jersey	LLC	Manager	1/2020	7/2021
15	Climate Change Crisis Real Impact I Sponsor, LLC		Princeton, New Jersey	LLC	Managing Director	1/2020	7/2021
16	Climate Real Impact Solutions II Acquisition Corporation	See Endnote	Princeton, New Jersey	Corporation	CEO	12/2020	9/2022
17	Climate Real Impact Solutions II Sponsor, LLC	See Endnote	Princeton, New Jersey	LLC	Manager	1/2021	9/2022

#	ORGANIZATION NAME		CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
18	Climate Real Impact Solutions II Consortium, LLC	See Endnote	Princeton, New Jersey	LLC	Managing Director	12/2020	9/2022
19	Climate Real Impact Solutions III Acquisition Corporation		Princeton, New Jersey	Corporation	CEO	1/2021	9/2022
20	Climate Real Impact Solutions III Consortium, LLC		Princeton, New Jersey	LLC	Managing Director	12/2021	9/2022
21	Climate Real Impact Solutions III Sponsor, LLC		Princeton, New Jersey	LLC	Manager	3/2021	9/2022
22	Family Trust #1 (Irrevocable)		Lawrenceville, New Jersey	Trust	Trustee	11/2008	Present
23	CRIS Europe I, LLC		Princeton, New Jersey	LLC	Principal Member	6/2021	9/2022
24	Climate Group North America		New York, New York	Non-Profit	Member, Global Governance and Nominations Committee	10/2021	9/2022
25	Orchard Hill Family LLC		Lawrenceville, New Jersey	LLC	Manager; Member	9/2020	Present

2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Heliogen, Inc. (renewable energy company, solar)				
1.1	Heliogen, Inc.	N/A		Directors Fees - retainer (1/1/2022-9/5/2022)	\$29,166

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.2	Heliogen Inc. Stock	See Endnote	N/A	\$1,001 - \$15,000	None (or less than \$201)
1.3	Heliogen, Inc.		N/A	Audit Committee Member Fees	\$8,333
1.4	Heliogen, Inc.		N/A	Chair of Human Capital and Compensation Committee Fees	\$16,666
2	Saudi Electricity Company				
2.1	Saudi Electricity Company Q1 2022				
2.1.1	Saudi Electricity Company [received for meetings [Q1 2022]		N/A	Executive, Risk, HSSE Committee Meetings sitting fees	\$4,000
2.1.2	Saudi Electricity Company [received for meetings Q1 2022]		N/A	Executive, Risk, HSSE Committee Meetings bonus fees	\$26,000
2.1.3	Saudi Electricity Company [received for meetings Q1 2022]		N/A	Work Assignment Meeting fees	\$5,600
2.1.4	Saudi Electricity Company [received for meetings Q1 2022]		N/A	Board of Directors Meeting sitting fees	\$5,600
2.2	Saudi Electricity Company [bonus received for Q1-Q4 2021]		N/A	Board Member Annual Bonus for 2021 - approved at May 17, 2022 General Assembly	\$28,000

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
2.3	Saudi Electricity Company Q2 2022					
2.3.1	Saudi Electricity Company [received for meetings Q2 2022]		N/A		Executive, Risk, HSSE Committee Meetings sitting fees	\$2,400
2.3.2	Saudi Electricity Company [received for meetings Q2 2022]		N/A		Executive, Risk, HSSE Committee Meetings bonus fees	\$26,000
2.3.3	Saudi Electricity Company [received for meetings Q2 2022]		N/A		Work Assignment Meeting fees	\$800
2.3.4	Saudi Electricity Company [received for meetings Q2 2022]		N/A		Board of Directors Meeting sitting fees	\$3,200
2.4	Saudi Electricity Company (Q4 2021 - PAID OUT IN Feb 2022)		N/A		Board and Committee Meeting Sitting Fees and Bonus committee member	\$15,051
2.5	Saudi Electricity Company Q3 2022					
2.5.1	Saudi Electricity Company [received for services Q3 2022]	See Endnote	N/A		Executive, Risk, HSSE Committee bonus fees	\$26,000
2.5.2	Saudi Electricity Company [received for meetings Q3 2022]	See Endnote	N/A		Work Assignment Meeting fees	\$800

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
2.5.3	Saudi Electricity Company [received for meetings Q3 2022]	See Endnote	N/A		Board of Directors Meeting sitting fees	\$1,600
3	JERA. Co., Inc. (power generation services)		N/A		Director Fees	\$86,873
4	Source Global, PBC (renewable drinking water technology)					
4.1	Source Global vested restricted stock awards	See Endnote	N/A	\$50,001 - \$100,000		None (or less than \$201)
5	NRG Energy, Inc. defined benefit plan (value not readily ascertainable): eligible for \$2,726/month at age 65)		N/A			None (or less than \$201)
6	IRA #1		No			
6.1	BNY Mellon Core Plus Fund Class A Shares (DCPAX)		Yes	\$15,001 - \$50,000		None (or less than \$201)
6.2	BNY Mellon Dynamic Value Fund Class A Shares (DAGVX)		Yes	\$50,001 - \$100,000		None (or less than \$201)
7	IRA #2		No			
7.1	Invesco Charter Fund Class A Shares (CHTRX)		Yes	\$100,001 - \$250,000		None (or less than \$201)
7.2	Invesco Charter Fund Class C Shares (CHTCX)		Yes	\$1,001 - \$15,000		None (or less than \$201)
8	U.S. brokerage - cash account (SEP IRA)		N/A	\$100,001 - \$250,000		None (or less than \$201)
9	IRA #3		No			
9.1	iShares Core MSCI Emerging Markets ETF (IEMG)		Yes	\$50,001 - \$100,000		None (or less than \$201)
9.2	iShares Intermediate Government/Credit Bond ETF (GVI)		Yes	\$100,001 - \$250,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
9.3	iShares Russell 1000 Growth ETF (IWF)	Yes	\$250,001 - \$500,000		None (or less than \$201)
9.4	iShares Russell 1000 Value ETF (IWD)	Yes	\$100,001 - \$250,000		None (or less than \$201)
9.5	iShares Core S&P Mid-Cap ETF (IJH)	Yes	\$15,001 - \$50,000		None (or less than \$201)
9.6	SPDR Bloomberg Barclays High Yield Bond ETF (JNK)	Yes	\$50,001 - \$100,000		None (or less than \$201)
9.7	SPDR S&P Bank ETF (KBE)	Yes	\$1,001 - \$15,000		None (or less than \$201)
9.8	Vanguard Real Estate Index Fund ETF Shares (VNQ)	Yes	\$15,001 - \$50,000		None (or less than \$201)
9.9	Columbia Acorn Fund Institutional Class Shares (ACRNX)	Yes	\$100,001 - \$250,000		None (or less than \$201)
9.10	Fidelity Diversified International Fund (FDIVX)	Yes	\$100,001 - \$250,000		None (or less than \$201)
9.11	Fidelity Large Cap Stock Fund (FLCSX)	Yes	\$250,001 - \$500,000		None (or less than \$201)
9.12	Fidelity US Bond Index Fund (FXNAX)	Yes	\$100,001 - \$250,000		None (or less than \$201)
10	Tata Steel Ltd. (steel manufacturing)				
10.1	Tata Steel Ltd.	N/A		Director Fees	\$70,539
10.2	Tata Steel Ltd.	N/A		Committee Meeting Sitting Fees	\$4,910
10.3	Tata Steel Ltd, for Q4 2021-Q2 2022 Director sitting Fees	N/A		Director Board Meeting sitting fees	\$2,455
11	EVGO Inc. (electric car charging stations)				

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
11.1	EVGO Inc. vested restricted stock	N/A	\$5,000,001 - \$25,000,000		None (or less than \$201)
11.2	EVGO Inc. (electric car charging stations)(value not readily ascertainable) 524,762 warrants, strike \$15, exp. 10/2/2027	N/A			None (or less than \$201)
11.3	EVGO Inc. class A shares (founder and PIPE shares)	N/A	\$1,000,001 - \$5,000,000		None (or less than \$201)
12	CRIS Services, LLC - (Service Provider for SPACS) (Cash)	See Endnote	\$50,001 - \$100,000		None (or less than \$201)
13	EN+ Group PLC - [Green Energy and aluminum company]	N/A		Trustee Fees	\$30,000
14	Climate Transition Capital Acquisition I B.V. [CTC SPAC]	N/A		Director Salary	\$34,843
15	CRIS Europe I, LLC (CTC SPAC)				
15.1	CTC SPAC unvested restricted sponsor shares	N/A	\$1,000,001 - \$5,000,000		None (or less than \$201)
15.2	CTC SPAC unvested restricted warrants	N/A	\$15,001 - \$50,000		None (or less than \$201)

3. Filer's Employment Agreements and Arrangements

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
1	NRG Energy, Inc.	Princeton , New Jersey	I will continue to participate in this defined benefit plan.	12/2003

#	EMPLOYER OR PARTY		CITY, STATE	STATUS AND TERMS	DATE
2	EVgo Inc. (successor to CRIS I SPAC)	See Endnote	Los Angeles, California	<p>I currently retain restricted stock, warrants and class A common shares of EVgo, Inc. The current restricted stock are subject to a lock-up/ forfeiture provision for a period of five years following the closing (7/1/21) of the business combination between EVgo and its predecessor, until such time that the share price of EVgo trades at a volume-weighted-average share price of \$15 for 20 trading days in any 30 trading days.</p> <p>I will divest my stock, warrants and vested restricted stock in EvGo, Inc. as soon as practicable but not later than 90 days after my confirmation. If I am unable to divest my vested restricted stock, I will forfeit them.</p>	8/2022

#	EMPLOYER OR PARTY		CITY, STATE	STATUS AND TERMS	DATE
3	CRIS Europe I, LLC (European Climate Private LLC) Climate Transitional Capital Acquisition I BV (European Climate SPAC) [collectively CTC]	See Endnote	Amsterdam, Netherlands	<p>Pursuant to the terms of my agreement with the company I currently retain unvested restricted sponsor shares and unvested restricted warrants. These interests remain unvested and restricted because they cannot be traded until after a deal is consummated and the lock-up provisions are satisfied.</p> <p>The Sponsor Shares (CTC's nomenclature for "Founder Shares") are not transferable, assignable or saleable by CRIS Europe I, LLC until the earlier to occur of: (A) one year after the completion of the Business Combination or (B) after completion of the Business Combination, if the closing share price of the Ordinary Shares on Euronext Amsterdam equals or exceeds €12.00 per Ordinary Share (as adjusted for stock splits, stock dividends, reorganizations, recapitalizations and the like) for any 20 trading days within any 30-trading day period commencing at least 150 days after the completion of the Business Combination and(y) the Sponsor Warrants are not transferable, assignable or saleable until the thirty (30) days after the completion of the Business Combination.</p> <p>For the avoidance of doubt, any realization of receipt of these warrant or share securities is contingent on CTC effecting a business combination with a target company. Moreover, the same caveats apply as to the possibility of the CTC management team negotiating changes that may result in extending the duration of the lock-ups and /or other similar terms and conditions.</p> <p>Filer is in the process of transferring the entirety of its interest in CRIS Europe I LLC, the vehicle that holds the Filer's interest in the Sponsor shares, to a family trust.</p>	6/2021
4	Source Global PBC (renewable drinking water)		Phoenix, Arizona	I was permitted to retain my vested restricted stock awards	8/2022

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

#	SOURCE NAME	CITY, STATE	BRIEF DESCRIPTION OF DUTIES
1	Climate Transition Capital Acquisition I B.V (European climate SPAC, private LLC)	Amsterdam, Netherlands	Strategic Partner; Non-executive Member of the Board of Directors
2	Heliogen, Inc. (Renewable Energy Company, Solar)	Pasadena, California	Director; Member of Audit Committee; Chairman of the Compensation and Human Capital Committee
3	JERA. Co., Inc. (power generation services)	Tokyo, Japan	Director
4	Saudi Electricity Company	Riyadh, Saudi Arabia	Director
5	EN+ Group PLC	London, United Kingdom	Independent Trustee
6	Source Global, PBC (renewable drinking water technology)	Phoenix, Arizona	Director
7	Tata Steel, Ltd	Mumbai, Outside U.S.	Duties as Director and Member of Audit Committee

5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	IRA #1	No			
1.1	US Bank (cash)	N/A	\$50,001 - \$100,000		None (or less than \$201)
2	New Material Culture, Inc. d/b/a Material Culture, Inc.	N/A	\$50,001 - \$100,000	Salary	

6. Other Assets and Income

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	US Bank #1 (cash)		N/A	\$1,000,001 - \$5,000,000	Interest	\$201 - \$1,000
2	US Brokerage Account #1		No			
2.1	ALTAGAS LTD COM	See Endnote	N/A	\$1,000,001 - \$5,000,000	Dividends	\$50,001 - \$100,000
2.2	BROOKFIELD RENEW PARTNERS LP (BEP)	See Endnote	N/A	\$500,001 - \$1,000,000	Dividends	\$15,001 - \$50,000
2.3	The Coca-Cola Co. (KO)		N/A	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500
2.4	Duke Energy Corp. (DUK)	See Endnote	N/A	\$250,001 - \$500,000	Dividends	\$5,001 - \$15,000
2.5	Hyzon Motors Inc. (HYZN)	See Endnote	N/A	\$50,001 - \$100,000		None (or less than \$201)
2.6	Kinder Morgan, Inc. (KMI)	See Endnote	N/A	\$100,001 - \$250,000	Dividends	\$5,001 - \$15,000
2.7	NIKE, Inc. (NKE)		N/A	\$250,001 - \$500,000	Dividends	\$2,501 - \$5,000
2.8	Pfizer Inc. (PFE)		N/A	\$50,001 - \$100,000	Dividends	\$2,501 - \$5,000
2.9	The Southern Co. (SO)	See Endnote	N/A	\$250,001 - \$500,000	Dividends	\$5,001 - \$15,000
2.10	TC Energy Corp (TRC)	See Endnote	N/A	\$250,001 - \$500,000	Dividends	\$15,001 - \$50,000
2.11	VIATRIS INC		N/A	\$1,001 - \$15,000		None (or less than \$201)
2.12	Center Coast Brookfield Midstream Focus Fund Class A Shares (CCCAX)		Yes	\$250,001 - \$500,000		\$15,001 - \$50,000
2.13	Lord Abbett Floating Rate Fund Class A Shares (LFRAX)		Yes	\$500,001 - \$1,000,000		\$50,001 - \$100,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT	
2.14	US Brokerage Money Market Account (cash)	N/A	\$1,000,001 - \$5,000,000	Interest	\$201 - \$1,000	
2.15	Global X MLP ETF (MLPA)	See Endnote	N/A	None (or less than \$1,001)	Dividends	\$5,001 - \$15,000
3	US Brokerage Account #2	No				
3.1	ALABAMA ST PUB SCH & COLLEGE AUTH CAP IMPT REV-C CPN: 5.000% Due : 6/1/2023	N/A	\$100,001 - \$250,000	Interest	\$1,001 - \$2,500	
3.2	BALDWIN CNTY GA SCH DIST CPN: 4.000% Due : 1/1/2023	N/A	None (or less than \$1,001)	Interest	\$2,501 - \$5,000	
3.3	BELLAIRE TEX ID 02-01-17 CPN: 5.000% Due : 2/15/2024	N/A	\$500,001 - \$1,000,000	Interest	\$2,501 - \$5,000	
3.4	CHARLOTTE N C GENL OBLIG REF-A CPN: 4.000% Due : 6/1/2023	N/A	\$250,001 - \$500,000	Interest	\$5,001 - \$15,000	
3.5	COLORADO ST HIGHER ED LEASE PUR FING PROG CTFS PARTN REV CPN	N/A	\$250,001 - \$500,000	Interest	\$5,001 - \$15,000	
3.6	COLUMBIA MO SPL OBLIG CPN: 5.000% Due : 10/1/2024	N/A	\$50,001 - \$100,000		None (or less than \$201)	
3.7	COUNTY SQUARE REDEV CORP S C INSTALLMENT PUR REV CPN: 5.000% Due : 4/1/2025	N/A	\$100,001 - \$250,000		None (or less than \$201)	
3.8	DEL VALLE TEX INDPT SCH DIST REF PSF-GTD CPN	N/A	\$250,001 - \$500,000	Interest	\$2,501 - \$5,000	
3.9	DELAWARE CNTY PA REGL WTR QUALITY CTL AUTH SWR REV CPN: 5.000% Due : 5/1/2024	N/A	\$100,001 - \$250,000	Interest	\$2,501 - \$5,000	
3.10	DICKINSON TEX INDPT SCH DIST SCHOOLHOUSE PSF-GTD SER-A CPN: 5.000% Due : 2/15/2025	N/A	\$100,001 - \$250,000		None (or less than \$201)	

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3.11	DUBLIN OHIO CITY SCH DIST SCH FAC CONSTR IMPT SER-C CPN	N/A	\$250,001 - \$500,000	Interest	\$2,501 - \$5,000
3.12	ESSEX CNTY N J IMPT AUTH LEASECAP EQUIP POOLED REV CPN	N/A	\$250,001 - \$500,000	Interest	\$2,501 - \$5,000
3.13	GEORGETOWN TEX INDPT SCH DIST PSF- GTD ID 01-15-20 CPN	N/A	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
3.14	GUILFORD CONN GENL OBLIG REF-B CPN	N/A	\$250,001 - \$500,000	Interest	\$2,501 - \$5,000
3.15	HAMILTON SOUTHEASTERN IND CONSSCH BLDG CORP REV CPN	N/A	\$100,001 - \$250,000	Interest	\$2,501 - \$5,000
3.16	HATBORO HORSHAM PA SCH DIST CPN: 4.000% Due : 9/15/2023	N/A	\$100,001 - \$250,000		None (or less than \$201)
3.17	HAWAII ST CPN: 4.000% Due : 1/1/2024	N/A	\$100,001 - \$250,000		None (or less than \$201)
3.18	HOUSTON TEX PUB IMPT GENL OBLIG REF-A CPN: 5.000% Due : 3/1/2024	N/A	\$100,001 - \$250,000		None (or less than \$201)
3.19	HOUSTON TEX UTIL SYS COMB UTILSYS FIRST LIEN REV REF-B CPN: 5.000% Due : 11/15/2024	N/A	\$50,001 - \$100,000		None (or less than \$201)
3.20	KING CNTY WASH SCH DIST NO 412SHORELINE CPN: 5.000% Due : 12/1/2023	N/A	\$100,001 - \$250,000		None (or less than \$201)
3.21	LOWER MORELAND TWP PA SCH DISTSER-B CPN: 5.000% Due : 11/1/2024	N/A	\$100,001 - \$250,000		None (or less than \$201)
3.22	LUFKIN TEX INDPT SCH DIST PSF-GTD ID 03-01-20 CPN: 5.000% Due : 8/15/2023	N/A	\$100,001 - \$250,000		None (or less than \$201)
3.23	MARICOPA CNTY ARIZ UNI SCH DIST NO 69 REF CPN: 5.000% Due : 7/1/2025	N/A	\$100,001 - \$250,000		None (or less than \$201)
3.24	MARYLAND ST DEPT TRANSN CONS TRANSN REV CPN: 5.000% Due : 6/1/2022	N/A	None (or less than \$1,001)	Interest	\$50,001 - \$100,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3.25	MARYLAND ST TRANSN AUTH FACS PRJS REV CPN: 5.000% Due : 7/1/2023	N/A	\$250,001 - \$500,000		None (or less than \$201)
3.26	MC DUFFIE CNTY GA SCH DIST CPN: 5.000% Due : 3/1/2024	N/A	\$100,001 - \$250,000		None (or less than \$201)
3.27	MC KINNEY TEX IMPT GENL OBLIG REF ID 08-01-19 CPN: 5.000% Due : 8/15/2022	N/A	None (or less than \$1,001)	Interest	\$50,001 - \$100,000
3.28	MIAMI-DADE CNTY FLA CPN: 5.000% Due : 11/1/2022	N/A	None (or less than \$1,001)	Interest	\$100,001 - \$1,000,000
3.29	MISSISSIPPI ST GENL OBLIG REF-C CPN: 5.000% Due : 10/1/2024	N/A	\$100,001 - \$250,000		None (or less than \$201)
3.30	MONROE N C GENL OBLIG CPN: 5.000% Due : 4/1/2024	N/A	\$100,001 - \$250,000		None (or less than \$201)
3.31	MONTANA ST BRD HSG SINGLE FAMILY MTG CPN: 0.300% Due : 6/1/2024	N/A	\$100,001 - \$250,000		None (or less than \$201)
3.32	MOORESTOWN TWP N J IMPT WTR SWR UTIL GENL OBLIG CPN: 5.000% Due : 2/1/2024	N/A	\$100,001 - \$250,000		None (or less than \$201)
3.33	NEBRASKA INVT FIN AUTH SINGLE FAMILY HSG REV-A CPN: 1.850% Due : 9/1/2022 Partial Call: 90,000.00	N/A	None (or less than \$1,001)	Interest	\$100,001 - \$1,000,000
3.34	NEBRASKA ST CTFS PARTN REV-A CPN: 2.000% Due : 4/1/2024	N/A	\$250,001 - \$500,000		None (or less than \$201)
3.35	NEW HAMPSHIRE BK REV REF-A CPN: 5.000% Due : 8/15/2024	N/A	\$100,001 - \$250,000		None (or less than \$201)
3.36	NEW YORK N Y CITY TRANSITIONALFIN AUTH REV-A CPN: 5.000% Due : 11/1/2023	N/A	\$500,001 - \$1,000,000		None (or less than \$201)
3.37	NEW YORK ST DORM AUTH ST PERS INCOME TAX REV-A CPN: 5.000% Due : 3/15/2023	N/A	\$100,001 - \$250,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3.38	NORTH CAROLINA HSG FIN AGY HOMEOWNERSHIP REV-41 CPN: 1.800% Due : 7/1/2022	N/A	None (or less than \$1,001)	Interest	\$100,001 - \$1,000,000
3.39	NORTH CAROLINA ST UNIV N C RALEIGH REV-A CPN: 5.000% Due : 10/1/2023	N/A	\$100,001 - \$250,000		None (or less than \$201)
3.40	NORTH THURSTON PUB SCHS WASH REF CPN: 5.000% Due : 12/1/2023	N/A	\$100,001 - \$250,000		None (or less than \$201)
3.41	NOVI MICH CMNTY SCH DIST SER-II CPN: 4.000% Due : 5/1/2023	N/A	\$100,001 - \$250,000		None (or less than \$201)
3.42	OHIO ST CPN: 5.000% Due : 9/15/2023	N/A	\$500,001 - \$1,000,000		None (or less than \$201)
3.43	OHIO ST UNIV GEN RCPTS GREEN REV-A CPN: 5.000% Due : 12/1/2024	N/A	\$100,001 - \$250,000		None (or less than \$201)
3.44	PEARLAND TEX PERM IMPT GENL OBLIG REF ID 12-01-20 CPN: 5.000% Due : 3/1/2023	N/A	\$100,001 - \$250,000		None (or less than \$201)
3.45	PLANO TX INDPT SCH DIST REF-A PSF- GTD ID 02-15-16 CPN: 5.000% Due : 2/15/2025	N/A	\$100,001 - \$250,000		None (or less than \$201)
3.46	POTTER CNTY TEX GEL OBLIG CPN: 5.000% Due : 8/1/2022	N/A	None (or less than \$1,001)	Interest	\$100,001 - \$1,000,000
3.47	SAN LORENZO VALLEY CALIF UNI SCH DIST SANTA CRUZ CNTY SER-A CPN: 4.000% Due : 8/1/2022	N/A	None (or less than \$1,001)	Interest	\$100,001 - \$1,000,000
3.48	SNOHOMISH CNTY WASH SCH DIST NO 201 REF CPN: 4.000% Due : 12/1/2023	N/A	\$500,001 - \$1,000,000		None (or less than \$201)
3.49	SOUTH SUBN PK & REC DIST COLO CPN: 5.000% Due : 12/15/2022	N/A	None (or less than \$1,001)	Interest	\$100,001 - \$1,000,000
3.50	SUGAR LAND TEX IMPT GENL OBLIGREF ID 07-01-21 CPN: 5.000% Due : 2/15/2024	N/A	\$500,001 - \$1,000,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3.51	TX A&M UNIV SYS BD REGENTS FINREV-C CPN: 5.000% Due : 5/15/2023	N/A	\$100,001 - \$250,000		None (or less than \$201)
3.52	UNIONVILLE-CHADDS FORD PA SCH DIST CPN: 4.000% Due : 6/1/2022	N/A	None (or less than \$1,001)	Interest	\$100,001 - \$1,000,000
3.53	UPPER ST CLAIR TWP PA GENL OBLIG CPN: 3.000% Due : 5/15/2025	N/A	\$100,001 - \$250,000		None (or less than \$201)
3.54	WACO TEX ID 04-01-19 CPN: 5.000% Due : 2/1/2023	N/A	\$100,001 - \$250,000		None (or less than \$201)
3.55	WILMINGTON N C REV-A CPN: 4.000% Due : 6/1/2023	N/A	\$100,001 - \$250,000		None (or less than \$201)
3.56	WINSTON-SALEM N C WTR & SWR SYS REV CPN: 5.000% Due : 6/1/2024	N/A	\$100,001 - \$250,000		None (or less than \$201)
3.57	WISCONSIN HSG & ECONOMIC DEV AUTH HOME OWNERSHIP REV CPN: 1.950% Due : 9/1/2022	N/A	None (or less than \$1,001)	Interest	\$100,001 - \$1,000,000
3.58	WISCONSIN ST CPN: 5.000% Due : 5/1/2024	N/A	\$100,001 - \$250,000		None (or less than \$201)
3.59	WOOD CNTY WIS GENL OBLIG REF CPN: 3.000% Due : 10/1/2024	N/A	\$100,001 - \$250,000		None (or less than \$201)
3.60	Marysville Mich Pub Schs Dist REF	N/A	\$100,001 - \$250,000		None (or less than \$201)
3.61	Florida St Dept Mgmt SVCS CTFSPARTN REV-A	N/A	\$250,001 - \$500,000		None (or less than \$201)
3.62	Lincoln NEB CTFS Partn (General Obligation/Public Improvement)	See Endnote	\$100,001 - \$250,000		None (or less than \$201)
3.63	IOWA St SPL Oblig ijobs prog REV REF-A	N/A	\$100,001 - \$250,000		None (or less than \$201)
3.64	Maryland St Dept Transn Cons Transn Rev CPN: 5.00% Due: 6/1/2022	N/A	None (or less than \$1,001)	Interest	\$201 - \$1,000

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
4	Family Trust #1 [irrevocable]		No			
4.1	Alphabet, Inc. (GOOG)	See Endnote	N/A	\$500,001 - \$1,000,000		None (or less than \$201)
4.2	Amazon.com, Inc. (AMZN)	See Endnote	N/A	\$500,001 - \$1,000,000		None (or less than \$201)
5	Flexible Premium Variable Life Insurance		No			
5.1	LVIP SSGA Intl Index standard class		Yes	\$100,001 - \$250,000		None (or less than \$201)
5.2	LVIP SSGA Small Cap Index standard class		Yes	\$50,001 - \$100,000		None (or less than \$201)
5.3	LVIP JPM Select MC Val MV standard class		Yes	\$50,001 - \$100,000		None (or less than \$201)
5.4	Am Fds Growth-Inc Fund (Class 2)		Yes	\$250,001 - \$500,000		None (or less than \$201)
5.5	Am Fds Intl Fund (Class 2)		Yes	\$100,001 - \$250,000		None (or less than \$201)
5.6	LVIP SSGA S&P 500 Index standard class		Yes	\$250,001 - \$500,000		None (or less than \$201)
6	Tiva Fund LLC (Private company) owns equity in solar field in Hawaii)	See Endnote	No	\$1,000,001 - \$5,000,000		None (or less than \$201)
6.1	Makani Project II (Solar field in Hawaii)	See Endnote	N/A			
7	Powerhouse Ventures Fund II LP (pre-seed climate tech companies- CASH)	See Endnote	N/A	\$15,001 - \$50,000		None (or less than \$201)
8	Powerhouse Ventures Fund I LP (Pre-seed or seed climate tech companies)	See Endnote	No	\$100,001 - \$250,000		None (or less than \$201)
8.1	SparkMeter, Inc. (offers low-cost smart meters for rural microgrids and urban central grid utilities, enabling energy access in underserved markets)		N/A			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
8.2	Station A (AI-powered clean energy marketplace)	N/A			
8.3	Shyft Power Solutions (energy management platform)	N/A			
8.4	Energetic Insurance (insures against barriers to financing commercial clean energy)	N/A			
8.5	Raptor Maps (systematizing the solar asset lifecycle by building the system of record for the solar industry)	N/A			
8.6	Ensemble Energy (provide predictive operations and management for wind assets)	N/A			
8.7	Wattbuy Inc. (turnkey solution for businesses and brands to become energy retailers)	N/A			
8.8	Terabase Energy Inc. (reduces soft costs in utility-scale solar through a development and deployment platform that tracks and automates project complexities)	N/A			
8.9	Finite Corporation (enables the seamless deployment of capital from both individual and institutional investors into real sustainable infrastructure assets)	N/A			
8.10	Amperon Holdings Inc. (builds real-time operational grid intelligence tools for utilities, energy retailers, grid operators, and institutional traders)	N/A			
8.11	Terrafuse AI (building the climate, weather, and wildfire risk prediction infrastructure)	N/A			
8.12	Overstory.ai (machine learning to interpret satellite imagery and climate data in order to monitor the risk and impact of vegetation on power lines)	N/A			

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
8.13	Solstice Power Technologies, Inc. (community solar company)		N/A			
8.14	Pearl Street Technologies (power grid advanced modeling, simulation, and optimization tools)		N/A			
8.15	Recurrent Motors Inc. (independent vehicle report for used electric vehicles)		N/A			
8.16	Axiom Cloud (commercial refrigeration)		N/A			
8.17	Nithio (financing platform for off-grid energy access in Africa)		N/A			
8.18	Dollaride (provides the digital infrastructure to gather accurate, timely transportation data)		N/A			
8.19	Audette (digitizes the assessment process for building decarbonization)		N/A			
8.20	AmpUp (software platform for charging stations)		N/A			
8.21	Sust Global (climate data company)		N/A			
8.22	Mobilyze (charging infrastructure financing platform)		N/A			
8.23	Leapfrog Power, Inc.		N/A			
9	Only Sky Inc Series A-1 Preferred (online platform for the booking of services and experiences provided at ski resorts)		N/A	\$100,001 - \$250,000		None (or less than \$201)
10	GRIDPOINT, INC. Series B (smart building technology creating network of smart, efficient, grid interactive buildings)	See Endnote	N/A	\$50,001 - \$100,000		None (or less than \$201)
11	GRIDPOINT, INC. Series C Convertible Preferred (smart building technology creating network of smart, efficient, grid interactive buildings)	See Endnote	N/A	\$100,001 - \$250,000		None (or less than \$201)

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
12	Generate Capital, PBC (Generate builds, owns, operates and finances solutions for clean energy, water, waste and transportation)	See Endnote	N/A	\$500,001 - \$1,000,000	Dividends	\$15,001 - \$50,000
13	Earthshot Ventures Fund I, LP [seed and pre-seed global climate tech companies]	See Endnote	No	\$50,001 - \$100,000		None (or less than \$201)
13.1	Kanin Energy, Inc. (waste heat capture)		N/A			
13.2	KoBold Metals Company (metals company with focus on copper, nickel, lithium and cobalt)		N/A			
13.3	Lilac Solutions (lithium harvesting)		N/A			
13.4	Mitra Future Technologies, Inc. (battery research and development)		N/A			
13.5	Mootral Holdings Limited (natural, proprietary feed additives)		N/A			
13.6	Planet Forward Inc. (staffing and recruitment)		N/A			
13.7	Shimmer Industries, Inc. (smart lighting)		N/A			
13.8	Vibrant Planet, PBC (software tool for forest management)		N/A			
14	US Brokerage Account #3 [UMA]		No			
14.1	Accenture Plc (ACN)	See Endnote	N/A	\$1,001 - \$15,000		None (or less than \$201)
14.2	ADIDAS AG		N/A	\$1,001 - \$15,000		None (or less than \$201)
14.3	ADYEN N V UNSPONSRED ADR		N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
14.4	AIA GROUP LTD SPON ADR	See Endnote	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
14.5	AIRBUS SE UNSPONSORED ADR		N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
14.6	ALCON INC		N/A	\$1,001 - \$15,000	Dividends	\$1,001 - \$2,500
14.7	AMADEUS IT GROUP S.A ADR	See Endnote	N/A	\$1,001 - \$15,000		None (or less than \$201)
14.8	ASML HOLDING NV NY REG NEW	See Endnote	N/A	\$15,001 - \$50,000		None (or less than \$201)
14.9	ATLAS COPCO AS A ADR A NEW		N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
14.10	ATLISSIAN CORP PLC CL A		N/A	\$1,001 - \$15,000		None (or less than \$201)
14.11	BNP PARIBAS SP ADR REPSTG	See Endnote	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
14.12	BURBERRY GROUP PLC SPONS ADR		N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
14.13	CDN PACIFIC RY LTD NEW		N/A	\$15,001 - \$50,000	Dividends	None (or less than \$201)
14.14	CELLNEX TELECOM SA UNS ADR		N/A	\$1,001 - \$15,000		None (or less than \$201)
14.15	COMPASS GROUP PLC SPD ADR		N/A	\$1,001 - \$15,000		None (or less than \$201)
14.16	CRH PLC ADR		N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
14.17	DEUTSCHE BOERSE AG UNSPON ADR		N/A	\$1,001 - \$15,000		None (or less than \$201)
14.18	DIAGEO PLC SPON ADR NEW		N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
14.19	EDP ENERGIAS DE PORTGUAL SA	See Endnote	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
14.20	ELASTIC N V		N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
14.21	ESSILORLUXOTTICA ADR		N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
14.22	FANUC CORPORATION UNSP ADR		N/A	\$1,001 - \$15,000		None (or less than \$201)
14.23	GIVAUDAN SA ADR		N/A	\$1,001 - \$15,000		None (or less than \$201)
14.24	HOYA CORP SPONS ADR		N/A	\$15,001 - \$50,000		None (or less than \$201)
14.25	ICON PLC		N/A	\$15,001 - \$50,000	Dividends	\$5,001 - \$15,000
14.26	INDUSTRIA DE DISENO TEXTIL IND		N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
14.27	INTESA SANPAOLO S.P.A. ADR	See Endnote	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
14.28	KBC GROUP NV UNSPONS ADR	See Endnote	N/A	None (or less than \$1,001)	Dividends	\$201 - \$1,000
14.29	L OREAL CO ADR		N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
14.30	LEGRAND SA		N/A	\$1,001 - \$15,000		None (or less than \$201)
14.31	Linde Plc (LIN)	See Endnote	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
14.32	LONDON STK EXCHANGE GROUP ADR	See Endnote	N/A	\$15,001 - \$50,000		None (or less than \$201)
14.33	LVMH MOET HENNESSY LOUIS VUITT		N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
14.34	MONOTARO CO LTD ADR		N/A	\$1,001 - \$15,000		None (or less than \$201)
14.35	NESTLE SPON ADR REP REG SHR		N/A	\$15,001 - \$50,000		None (or less than \$201)
14.36	NICE LTD ADR		N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
14.37	NOVO NORDISK A/S ADR		N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
14.38	OCADO GROUP PLC SPONSORED ADR		N/A	\$1,001 - \$15,000		None (or less than \$201)
14.39	OLYMPUS CORPORATION SPONS ADR		N/A	\$1,001 - \$15,000		None (or less than \$201)
14.40	RECRUIT HOLDINGS CO. LTD. ADR		N/A	\$15,001 - \$50,000		None (or less than \$201)
14.41	RENTOKIL GROUP PLC SP ADR		N/A	\$15,001 - \$50,000		None (or less than \$201)
14.42	ROCHE HOLDINGS ADR		N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
14.43	SCHLUMBERGER LTD	See Endnote	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
14.44	SHISEIDO LTD SPON ADR		N/A	\$1,001 - \$15,000		None (or less than \$201)
14.45	SUNCOR ENERGY INC	See Endnote	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
14.46	TE CONNECTIVITY LTD NEW	See Endnote	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
14.47	TELEPERFORMANCE SA UNSP ADR		N/A	\$15,001 - \$50,000		None (or less than \$201)
14.48	TEMENOS AG SPONSORED ADR		N/A	\$1,001 - \$15,000		None (or less than \$201)
14.49	THOMSON REUTERS CORP	See Endnote	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
14.50	WORLDLINE SA ADR		N/A	\$1,001 - \$15,000	Dividends	\$1,001 - \$2,500
14.51	Agilon Health Inc (AGL)		N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
14.52	AirBNB CL A (ABNB)	N/A	\$15,001 - \$50,000		None (or less than \$201)
14.53	Carvana Co. (CVNA)	N/A	\$1,001 - \$15,000		None (or less than \$201)
14.54	Chewy Inc Cl A (CHWY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
14.55	Cloudflare Inc Cl A (NET)	N/A	\$15,001 - \$50,000		None (or less than \$201)
14.56	Coinbase Global Inc Cl A (COIN)	N/A	\$1,001 - \$15,000		None (or less than \$201)
14.57	Coupang Inc. Class A (CPNG)	N/A	\$1,001 - \$15,000		None (or less than \$201)
14.58	Doordash Inc Cl A (DASH)	N/A	\$15,001 - \$50,000		None (or less than \$201)
14.59	Ginkgo Bioworks Holds Inc Cl A (DNA)	N/A	\$1,001 - \$15,000		None (or less than \$201)
14.60	Guardant Health, Inc. (GH)	N/A	\$1,001 - \$15,000		None (or less than \$201)
14.61	10X Genomics Inc CL A (TXG)	N/A	\$1,001 - \$15,000		None (or less than \$201)
14.62	Aurora Innovation Inc Cl A (AUR)	N/A	\$1,001 - \$15,000		None (or less than \$201)
14.63	Bill Com Holdings (BILL)	N/A	\$15,001 - \$50,000		None (or less than \$201)
14.64	Datadog Inc CL A (DDOG)	N/A	\$15,001 - \$50,000	Dividends	\$2,501 - \$5,000
14.65	Doximity Inc CL A (DOCS)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
14.66	GoodRX Hldgs Inc Com CL A (GDRX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
14.67	Grab Holdings LTD CL A (GRAB)	N/A	\$1,001 - \$15,000		None (or less than \$201)
14.68	Illumina, Inc. (ILMN)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
14.69	Mercadolibre Inc (MELI)	N/A	\$15,001 - \$50,000		None (or less than \$201)
14.70	Moderna Inc (MRNA)	N/A	\$1,001 - \$15,000		None (or less than \$201)
14.71	MongoDB, Inc. (MDB)	N/A	\$1,001 - \$15,000		None (or less than \$201)
14.72	Peloton Interactive Inc CL A (PTON)	N/A	\$1,001 - \$15,000	Dividends	\$1,001 - \$2,500
14.73	Rivian Automotive Inc CL A (RIVN)	See Endnote	\$1,001 - \$15,000		None (or less than \$201)
14.74	Roblox Corp CL A (RBLX)	N/A	\$15,001 - \$50,000		None (or less than \$201)
14.75	Royalty Pharma PLC SHS Class A (RPRX)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
14.76	Sea Limited ADR (SE)	N/A	\$1,001 - \$15,000		None (or less than \$201)
14.77	Shopify Inc (SHOP)	N/A	\$15,001 - \$50,000		None (or less than \$201)
14.78	Snap, Inc. (SNAP)	N/A	\$15,001 - \$50,000		None (or less than \$201)
14.79	Snowflake Inc Cl A (SNOW)	N/A	\$15,001 - \$50,000		None (or less than \$201)
14.80	The Trade Desk, Inc. (TTD)	N/A	\$15,001 - \$50,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
14.81	Uber Technologies, Inc. (UBER)	N/A	\$15,001 - \$50,000		None (or less than \$201)
14.82	Unity Software Inc (U)	N/A	\$15,001 - \$50,000		None (or less than \$201)
14.83	Upstart Holdings Inc (UPST)	N/A	\$1,001 - \$15,000		None (or less than \$201)
14.84	Veeva Systems, Inc. (VEEV)	N/A	\$15,001 - \$50,000		None (or less than \$201)
14.85	Wayfair, Inc. (W)	N/A	\$1,001 - \$15,000		None (or less than \$201)
14.86	Zoom Video Communications, Inc. (ZM)	N/A	\$1,001 - \$15,000		None (or less than \$201)
14.87	Zoominfo Technologies Inc CL A (ZI)	N/A	\$1,001 - \$15,000		None (or less than \$201)
14.88	Florida st transn fing corp rev	N/A	\$250,001 - \$500,000		None (or less than \$201)
14.89	Barrick Gold Corp (GOLD)	N/A	\$1,001 - \$15,000		None (or less than \$201)
14.90	Gitlab Inc Class A	N/A	\$1,001 - \$15,000		None (or less than \$201)
14.91	Brambles LTD Sponsored ADR	N/A	\$1,001 - \$15,000		None (or less than \$201)
14.92	Sony Group Corp ADR (SONY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
14.93	MSD Wash twp ind sch bldg corp	N/A	\$100,001 - \$250,000		None (or less than \$201)
14.94	Daiichi Sankyo Co LTD Spon ADR	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
14.95	Deutsche Telekom AD		N/A	\$1,001 - \$15,000		None (or less than \$201)
14.96	computershare ltd spn adr		N/A	\$1,001 - \$15,000		None (or less than \$201)
14.97	SolarEdge Technologies, Inc. (SEDG)	See Endnote	N/A	\$1,001 - \$15,000		None (or less than \$201)
14.98	Hong Kong Exchanges & Clearing		N/A	\$1,001 - \$15,000		None (or less than \$201)
14.99	DexCom, Inc. (DXCM)		N/A	\$1,001 - \$15,000		None (or less than \$201)
14.100	RELX Sponsored ADR		N/A	\$1,001 - \$15,000		None (or less than \$201)
14.101	Affirm Hldgs Inc CL A		N/A	\$1,001 - \$15,000		None (or less than \$201)
14.102	Intellia Therapeutics Inc		N/A	\$1,001 - \$15,000		None (or less than \$201)
15	US Brokerage Account# 4		No			
15.1	US Brokerage Account (Cash)		N/A	\$50,001 - \$100,000		None (or less than \$201)
15.2	Abbott Laboratories		N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
15.3	Aflac, Inc.		N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
15.4	Air Products and Chemicals, Inc. (APD)		N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
15.5	American Tower Corp		N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
15.6	Amgen Inc. (AMGN)		N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
15.7	Analog Devices, Inc. (ADI)		N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
15.8	Automatic Data Processing, Inc. (ADP)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
15.9	BECTON DICKINSON & CO	N/A	\$1,001 - \$15,000		None (or less than \$201)
15.10	Chubb Ltd. (CB)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
15.11	Colgate-Palmolive Co. (CL)	N/A	\$1,001 - \$15,000		None (or less than \$201)
15.12	FactSet Research Systems, Inc. (FDS)	N/A	\$1,001 - \$15,000		None (or less than \$201)
15.13	ILLINOIS TOOL WORKS INC	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
15.14	JOHNSON & JOHNSON	N/A	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
15.15	LOWES COMPANIES INC	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
15.16	MCDONALDS CORP	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
15.17	Medtronic Plc (MDT)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
15.18	The Southern Co. (SO)	See Endnote	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
15.19	Paychex, Inc. (PAYX)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
15.20	PEPSICO INCORPORATED	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
15.21	PROCTER & GAMBLE CO	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
15.22	UNITEDHEALTH GROUP	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
15.23	WALMART INC	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
15.24	TAIWAN SMCNDCTR MFG CO LTD ADR	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
15.25	JP Morgan Ultra-Short Income	Yes	\$250,001 - \$500,000		\$5,001 - \$15,000
15.26	Vanguard Small-Cap Value Index Fund ETF Class Shares (VBR)	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
15.27	American Europacific Grw F2	Yes	\$15,001 - \$50,000		\$201 - \$1,000
15.28	BlackRock Short Obligations Fund Institutional Class Shares (BISOX)	Yes	\$500,001 - \$1,000,000		\$5,001 - \$15,000
15.29	Delaware Value Fund Institutional Class Shares (DDVIX)	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
15.30	Diamond Hill Large Cap Fund Class I Shares (DHLRX)	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
15.31	MSIF Ultra Short Income IR	Yes	\$500,001 - \$1,000,000		\$5,001 - \$15,000
15.32	Oakmark International Fund Institutional Class Shares (OANIX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
15.33	043519UB1 Ascension Parish LA Parishwide Sch Dist Ser	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
15.34	Jefferson Parish LA Sch BRD Genl Oblig Rev	N/A	\$50,001 - \$100,000	Interest	\$201 - \$1,000
15.35	507012VH2 Lafourche parish la cons sch dist no 1 parish wide ref	N/A	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500
15.36	546398W38 Louisiana Pub FACS auth rev ref	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
15.37	54651RAS8 Louisiana St Unclaimed Property SPL REV		N/A	\$15,001 - \$50,000		None (or less than \$201)
15.38	546410Bx8 Louisiana Stadium and exposition district senior rev ref		N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
15.39	West Monroe LA Sales and Use TAXREV Coupon 4.00% Mature 12/01/2034		N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
15.40	AbbVie, Inc. (ABBV)		N/A	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
15.41	Albemarle Corp. (ALB)		N/A	\$15,001 - \$50,000		None (or less than \$201)
16	US Brokerage Account #5		No			
16.1	Fidelity Government Money Market Fund (SPAXX)		Yes	\$15,001 - \$50,000		None (or less than \$201)
16.2	SPDR S&P 500 ETF Trust (SPY)		Yes	\$1,001 - \$15,000		None (or less than \$201)
16.3	D-Market Electr Svcs & Trading SPON ADR		N/A	\$1,001 - \$15,000		None (or less than \$201)
16.4	Palantir Technologies Inc CL A (PLTR)		N/A	\$1,001 - \$15,000		None (or less than \$201)
16.5	EVGO INC CL A COM (EVGO)	See Endnote	N/A	\$1,001 - \$15,000		None (or less than \$201)
16.6	Hyzon Motors Inc. CL A (HYZN)	See Endnote	N/A	\$1,001 - \$15,000		None (or less than \$201)
17	New Hampshire Fidelity Advisor 529 Plan	See Endnote	No		Distribution	\$44,754
17.1	NH College Portfolio (Fidelity Funds)		Yes	\$50,001 - \$100,000		None (or less than \$201)
18	"The Climb" Netflix Entertainment, LLC Inc. (Contract for 18 months with possible extensions) (value not readily ascertainable)		N/A			None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
19	Orchard Hill Family LLC [Family Investment Vehicle]	See Endnote	No		
19.1	EVGO Inc. (electric car charging stations) (value not readily ascertainable) 524,761 warrants, strike \$15, exp. 10/2/2027		N/A		None (or less than \$201)
19.2	EVGO Inc. (electric car charging stations) vested restricted stock		N/A	\$5,000,001 - \$25,000,000	None (or less than \$201)
19.3	EVGO Inc. (electric car charging stations) class A common shares (founder)		N/A	\$500,001 - \$1,000,000	None (or less than \$201)

7. Transactions

(N/A) - Not required for this type of report

8. Liabilities

#	CREDITOR NAME	TYPE	AMOUNT	YEAR INCURRED	RATE	TERM
1	Morgan Stanley	Exercised Line of Credit	\$1,000,001 - \$5,000,000	2020	1.53170%	revolving

9. Gifts and Travel Reimbursements

(N/A) - Not required for this type of report

Endnotes

PART	#	ENDNOTE
1.	16	Dissolved
1.	17	Dissolved
1.	18	Dissolved
2.	1.2	Will divest
2.	2.5.1	Subsequent to the submission of this report the amount identified in this line item was returned to the company
2.	2.5.2	Subsequent to the submission of this report the amount identified in this line item was returned to the company
2.	2.5.3	Subsequent to the submission of this report the amount identified in this line item was returned to the company
2.	4.1	Will divest
2.	12	2/22/23 Filer relinquished any right to any claim of the return of any cash from CRIS Services LLC.
3.	2	Filer retains these same holdings in his family investment vehicle identified as Orchard Hill Family LLC, and the same lock up provisions apply to the restricted stock holdings in that entity. EVgo stock owned by Filer, Filer's spouse and Filer's dependent child will be divested.
3.	3	The filer and the other partners (investment sponsors) of CTC have a back leveraged agreement with Tiger Investments. Should a business combination occur, Tiger Investments would receive a proportionate number of shares both sponsor shares and warrants from each partner, thus reducing the total number held by the filer at the time of the transaction.
6.	2.1	Will divest
6.	2.2	Will divest
6.	2.4	Will divest
6.	2.5	Will divest
6.	2.6	Will divest
6.	2.9	Will divest

PART	#	ENDNOTE
6.	2.10	Subsequent to the submission of this report this asset was divested
6.	2.15	Subsequent to the submission of this report this asset has been divested
6.	3.62	This is a revenue bond for general purpose/public improvement
6.	4.1	Will divest
6.	4.2	Will divest
6.	6	Subsequent to the submission of this report, this asset has been divested
6.	6.1	Subsequent to the submission of this report this asset has been divested
6.	7	Subsequent to the submission of this report this asset has been divested
6.	8	Subsequent to the submission of this report this asset and all underlying holdings have been divested
6.	10	Subsequent to the submission of this report this asset has been divested
6.	11	Subsequent to the submission of this report this asset has been divested
6.	12	Will divest
6.	13	Subsequent to the submission of this report this asset and its underlying holdings have been divested
6.	14.1	Will divest
6.	14.4	Will divest
6.	14.7	Will divest
6.	14.8	Will divest
6.	14.11	Will divest
6.	14.19	Will divest
6.	14.27	Will divest
6.	14.28	Subsequent to the submission of this report this asset has been divested
6.	14.31	Subsequent to the submission of this report this asset has been divested

PART	#	ENDNOTE
6.	14.32	Will divest
6.	14.43	Subsequent to the submission of this report this asset has been divested
6.	14.45	Will divest
6.	14.46	Subsequent to the submission of this report this asset has been divested
6.	14.49	Will divest
6.	14.73	Subsequent to the submission of this report the filer divested this asset
6.	14.97	Will divest
6.	15.18	Will divest
6.	16.5	Will divest
6.	16.6	will divest
6.	17	Cash Tuition Payments to Duke University
6.	19	Will divest

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during the reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$166 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

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