

Executive Branch Personnel

Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

McCain, Cindy

United States Ambassador to the UN Agencies for Food and Agriculture, Department of State

Other Federal Government Positions Held During the Preceding 12 Months:

None

Names of Congressional Committees Considering Nomination:

- Committee on Foreign Relations
-

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ McCain, Cindy [electronically signed on 03/16/2021 by McCain, Cindy in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Huitema, David, Certifying Official [electronically signed on 08/17/2021 by Huitema, David in Integrity.gov]

Other review conducted by

U.S. Office of Government Ethics Certification

/s/ Apol, David, Certifying Official [electronically signed on 08/17/2021 by Apol, David in Integrity.gov]

1. Filer's Positions Held Outside United States Government

#	ORGANIZATION NAME	CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
1	Cindy H McCain Family Revocable Trust DTD 11/9/1988	Phoenix, Arizona	Trust	Trustee	11/1988	Present
2	Bypass Trust Created Under the Cindy McCain 2018 Irrevocable Trust DTD 3/30/2018	Phoenix, Arizona	Trust	Trustee	8/2018	Present
3	James Hensley Qualified GST Exempt Trust DTD 5/17/2001	Phoenix, Arizona	Trust	Trustee	5/2001	Present
4	Meghan Marguerite McCain Irrevocable Trust DTD 11/3/2004	Phoenix, Arizona	Trust	Trustee	11/2004	11/2019
5	Meghan Marguerite McCain Irrevocable Trust DTD 7/1/1989	Phoenix, Arizona	Trust	Trustee	7/1989	Present
6	John Sidney McCain IV Irrevocable Trust DTD 11/3/2004	Phoenix, Arizona	Trust	Trustee	11/2004	11/2019
7	John Sidney McCain IV Irrevocable Trust DTD 7/1/1989	Phoenix, Arizona	Trust	Trustee	7/1989	Present
8	James Hensley McCain Irrevocable Trust DTD 8/24/2009	Phoenix, Arizona	Trust	Trustee	8/2009	11/2019
9	James Hensley McCain Irrevocable Trust DTD 7/1/1989	Phoenix, Arizona	Trust	Trustee	7/1989	Present
10	Bridget Leela McCain Irrevocable Trust DTD 1/1/1996	Phoenix, Arizona	Trust	Trustee	1/1996	Present
11	Bridget L McCain Irrevocable Trust DTD 8/1/2013	Phoenix, Arizona	Trust	Trustee	8/2013	Present
12	John Sidney McCain III Family Administrative Trust Created Under the John Sidney McCain III Family Trust DTD 8/24/2017	Phoenix, Arizona	Trust	Trustee	8/2018	Present
13	Hensley Beverage Company	Phoenix, Arizona	Corporation	Chairman	12/1993	Present

#	ORGANIZATION NAME	CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
14	John & Cindy McCain Family Foundation	Phoenix, Arizona	Foundation	President	7/2001	Present
15	McCain Institute for International Leadership at Arizona State University	Washington, District of Columbia	Non-Profit	Chairman of Board of Trustees	10/2012	Present
16	McCain Institute for International Leadership at Arizona State University - Human Trafficking Council	Washington, District of Columbia	Non-Profit	Chair	10/2014	Present
17	Project C.U.R.E.	Centennial, Colorado	Non-Profit	Board of Directors	6/2016	Present
18	American Brain Foundation	Minneapolis, Minnesota	Non-Profit	Board Member	8/2019	Present
19	Halifax International Security Forum	Nova Scotia, Outside U.S.	Non-Profit	Board Member	7/2019	Present
20	USC Rossier School of Education	Los Angeles, California	Non-Profit	Board of Councilors	8/2011	Present
21	Arizona Human Trafficking Task Force	Phoenix, Arizona	Non-Profit	Co-Chair	4/2013	Present
22	Arizona Human Trafficking Council	Phoenix, Arizona	Non-Profit	Co-Chair	5/2014	Present
23	Phoenix Mayor's Human Trafficking Task Force	Phoenix, Arizona	Non-Profit	Chair	5/2018	Present
24	Too Small to Fail Initiative	New York, New York	Non-Profit	Leadership Council	8/2013	Present
25	Dream Catcher Family, LLC	Phoenix, Arizona	LLC	Manager	9/2004	Present
26	Mrs. Maverick, LLC	Phoenix, Arizona	LLC	Manager	10/2019	Present
27	Wild River, LLC	Phoenix, Arizona	LLC	Manager	8/2013	Present
28	Cindy McCain Administrative Trust Created Under the Cindy McCain 2018 Irrevocable Trust DTD 3/30/2018	Phoenix, Arizona	Trust	Trustee	8/2018	Present

#	ORGANIZATION NAME	CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
29	McCain Qualified Trust Created Under the John Sidney McCain III Family Trust DTD 8/24/2017	Phoenix, Arizona	Trust	Trustee	8/2018	Present
30	701 Pennsylvania Avenue NW, PH18, LLC	McLean, Virginia	LLC	Member	9/2016	Present
31	Presidential Transition Team (PT Fund, Inc.)	Washington, District of Columbia	Transition Team	Member of the Presidential Transition Team Advisory Council	9/2020	1/2021

2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Hensley Beverage Company	N/A		Salary	\$82,658
2	Mrs. Maverick, LLC	No			
2.1	Random House, a division of Penguin Random House, LLC - "Stronger" (value not readily ascertainable)	N/A		Book Advance	\$231,250
2.2	Late Night with Seth Meyers - 10/31/2020	N/A		Honorarium	\$480
2.3	Greater Talent Network "Boys & Girls Club" - 2/12/2020	N/A		Honorarium	\$64,000
2.4	United Talent Agency (Child Rescue Coalition) - 1/25/2021	N/A		Honorarium	\$10,000
2.5	U.S. Bank Account #1 (Cash)	N/A	\$250,001 - \$500,000		None (or less than \$201)
2.6	Random House, a division of Penguin Random House, LLC - "Stronger" - anticipated advance on royalties	N/A	\$250,001 - \$500,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3	Sterling Lord Literistic, Inc., New York, NY (literary agency)	No			
3.1	Title: Character Is Destiny Publisher: Random House, Inc., Character is Destiny Contract Dated 7/21/04 New York, NY, Value: Unascertainable	N/A		Rent or Royalties	\$1,001 - \$2,500
3.2	Title: Why Courage Matters Publisher: Random House, Inc., Why Courage Matters Contract New York, NY, Value: Unascertainable	N/A		Rent or Royalties	None (or less than \$201)
3.3	Title: Faith of My Fathers Publisher: Random House, Inc., Faith of My Fathers Contract New York, NY, Value: Unascertainable	N/A		Rent or Royalties	\$201 - \$1,000
3.4	Title: Restless Wave (Straight Talk) Publisher: Simon & Schuster, New York, NY Value: Unascertainable	N/A		Rent or Royalties	\$15,001 - \$50,000
4	Schwab IRA Rollover #1	No			
4.1	Pomona Investment Fund C	Yes	\$500,001 - \$1,000,000		None (or less than \$201)
4.2	Cliffwater Corporate Lending (CCLFX)	Yes	\$250,001 - \$500,000		None (or less than \$201)
4.3	Metropolitan West Flexible Income Fund (MWFEX)	Yes	\$100,001 - \$250,000		None (or less than \$201)
4.4	Metropolitan West Total Return Bond Fund (MWTIX)	Yes	\$50,001 - \$100,000		None (or less than \$201)
4.5	PIMCO Income Instl (PIMIX)	Yes	\$500,001 - \$1,000,000		None (or less than \$201)
4.6	PREDEX (PRDEX)	Yes	\$250,001 - \$500,000		None (or less than \$201)
4.7	Vida Longevity Fund	Yes	\$250,001 - \$500,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
5	Schwab IRA Rollover #2	No			
5.1	Vida Longevity Fund	Yes	\$250,001 - \$500,000		None (or less than \$201)
6	Hensley Beverage Company Retirement Plan	No			
6.1	American Funds Bond Fund of America (ABNDX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
6.2	American Funds Europacific Growth Fund (AEPGX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
6.3	American Funds Growth Fund of America (AGTHX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
6.4	American Funds Intermediate Bond Fund of America (AIBAX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
6.5	Investment Company of America (AIVSX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
6.6	American Funds New Perspective Fund (ANWPX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
6.7	American Funds Washington Mutual Investors Fund (AWSHX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
6.8	Franklin Small-Mid Cap Growth Fund (FRSGX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
6.9	Lord Abbett Mid - Cap Value Fund (LAVLX)	Yes	\$1,001 - \$15,000		None (or less than \$201)

3. Filer's Employment Agreements and Arrangements

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
1	Hensley Beverage Company Retirement Plan	Phoenix, Arizona	I will continue to participate in this defined contribution plan. The plan sponsor will not make further contributions after my separation.	1/2013
2	Dream Catcher Family, LLC	Phoenix, Arizona	I will continue to be the manager of this LLC that will continue to hold a checking account, real property, and a brokerage account.	9/2004
3	Wild River, LLC	Phoenix, Arizona	I will continue to be the manager of this LLC that will continue to hold a checking account and real property.	8/2013
4	Mrs. Maverick, LLC	Phoenix, Arizona	I will continue to be the manager of this LLC that will continue to receive book advances and royalties. I will not have paid speaking engagements during my appointment.	10/2019
5	701 Pennsylvania Ave. NW, PH18, LLC	McLean, Virginia	I will continue to be a member of this LLC that will continue to hold real property.	9/2016
6	Hensley Beverage Company Position	Phoenix, Arizona	Upon confirmation, I will resign from my position with Hensley Beverage Company. I will remain a passive investor in the company and perform no services for the production of income. Following my resignation from the company, I may enter into a separate agreement with Hensley Beverage Company for a fixed annual fee based on the prevailing market rate for use of secretarial support and equipment for personal activities, use of a motor vehicle, and health insurance and retirement benefits. If I enter into an agreement with Hensley Beverage Company or any other entity for these services or benefits, I will pay fair market value for the services or benefits.	12/1993

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

#	SOURCE NAME	CITY, STATE	BRIEF DESCRIPTION OF DUTIES
1	Hensley Beverage Company	Phoenix, Arizona	Chairman
2	Mrs. Maverick, LLC - United Talent Agency (Child Rescue Coalition)	Phoenix, Arizona	Speaking engagement

#	SOURCE NAME	CITY, STATE	BRIEF DESCRIPTION OF DUTIES
3	Mrs. Maverick, LLC - United Talent Agency (The Women's Foundation)	Kansas City, Missouri	Speaking engagement
4	Greater Talent Network "Boys & Girls Club"	Phoenix, Arizona	Speaking engagement
5	Greater Talent Network "Child Rescue Coalition"	Bakersfield, California	Speaking engagement
6	Meghan Marguerite McCain Irrev Trust DTD 7/1/89	Phoenix, Arizona	Trustee fees
7	John Sidney McCain IV Irrev Trust DTD 7/1/89	Phoenix, Arizona	Trustee fees
8	James Hensley McCain Irrev Trust DTD 7/1/89	Phoenix, Arizona	Trustee fees
9	Bridget Leela McCain Irrevocable Trust DTD 1/1/96	Phoenix, Arizona	Trustee fees

5. Spouse's Employment Assets & Income and Retirement Accounts

None

6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Dream Catcher Family, LLC (Holds a checking account, real property for family use, and a brokerage account)	No			
1.1	U.S. Bank Account #1 (Cash)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.2	Stifel Brokerage Account #1	No			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.2.1	AFAXX - American Funds US Government Money Market CI A	Yes	None (or less than \$1,001)		None (or less than \$201)
1.2.2	CWGIX - American Funds Capital World Growth & Income CI A	Yes	\$15,001 - \$50,000		\$201 - \$1,000
1.2.3	AEPGX - American Funds Europacific Growth CI A	Yes	\$15,001 - \$50,000		None (or less than \$201)
1.2.4	ANCFX - American Funds Fundamental Investors CI A	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
1.2.5	AIVSX - American Funds Investment Company Of America CI A	Yes	\$15,001 - \$50,000		\$201 - \$1,000
1.2.6	ANWPX - American Funds New Perspective CI A	Yes	\$50,001 - \$100,000		\$2,501 - \$5,000
1.2.7	SMCWX - American Funds Small Cap World CI A	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.2.8	AWSHX - American Funds Washington Mutual Investors CI A	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
2	Bypass Trust Created Under the Cindy McCain 2018 Irrevocable Trust DTD 03/30/2018	No			
2.1	Note Receivable from Cindy H McCain Family Revocable Trust DTD 11/9/1988	N/A	\$5,000,001 - \$25,000,000		None (or less than \$201)
3	Wild River, LLC (Holds a checking account and real property)	No			
3.1	U.S. Bank Account #1 (Cash)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.2	Sedona Hidden Valley, LP (Holds real property for family use)	N/A	\$500,001 - \$1,000,000		None (or less than \$201)
4	Hensley Beverage Company (Beverage Distribution) Common Stock in S-Corp	N/A	\$5,000,001 - \$25,000,000	Dividends Capital Gains	Over \$5,000,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
5	U.S. Bank Account #1 (Cash)	N/A	\$1,000,001 - \$5,000,000	Interest	None (or less than \$201)
6	U.S. Bank Account #2 (Cash)	N/A	\$1,001 - \$15,000	Interest	None (or less than \$201)
7	Cindy H McCain Family Revocable Trust DTD 11/9/1988	No			
7.1	Charles Schwab & Co. Brokerage Account #1	No			
7.1.1	Cash	N/A	\$1,001 - \$15,000	Interest	None (or less than \$201)
7.1.2	DFEMX - DFA Emerging Markets Portfolio	Yes	\$500,001 - \$1,000,000		\$5,001 - \$15,000
7.1.3	DFISX - DFA Intl Small Cap Value Equity	Yes	\$500,001 - \$1,000,000		\$5,001 - \$15,000
7.1.4	DTMIX - DFA Tax-Managed International Value	Yes	\$500,001 - \$1,000,000		\$5,001 - \$15,000
7.1.5	MWTIX - Metropolitan West Total Return Bond	Yes	\$250,001 - \$500,000		\$15,001 - \$50,000
7.1.6	DFCEX - DFA Emerging Mkts Core Eqty Port Instl	Yes	None (or less than \$1,001)		\$1,001 - \$2,500
7.1.7	DFIEX - DFA Intl Core Eqty Port Instl	Yes	None (or less than \$1,001)		\$1,001 - \$2,500
7.2	Charles Schwab & Co. Brokerage Account #2	No			
7.2.1	Cash	N/A	\$15,001 - \$50,000	Interest	None (or less than \$201)
7.2.2	018411UF3 - Allentown Pa City Sch Dist 5% 02/01/2034	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
7.2.3	042467MC1 - Armstrong Sch Dist Pa 5% 03/15/2027	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
7.2.4	186398LV3 - Cleveland Ohio Pub Pwr Sys Rev 5% 11/15/2030	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
7.2.5	188288KE3 - Clinton N Y Cent Sch Dist 2% 06/15/2028	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
7.2.6	216146HV5 - Cook Cnty Ill Twp High Sch Dis 5% 12/01/2034	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
7.2.7	258741CJ4 - Douglas & Champaign Cntys Ill 4% 12/01/2024	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
7.2.8	260358NU6 - Dover Pa Area Sch Dist 4% 04/01/2029	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
7.2.9	267417EH2 - Dwight Ill 4% 12/01/2026	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
7.2.10	300788CR5 - Excelsior Springs Mo Ctfs Part 4% 03/01/2030	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
7.2.11	33803KDN2 - Fishers Ind Redev Dist 3.5% 07/15/2024	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
7.2.12	34282CRQ6 - Florida St Mun Ln Council Rev 5% 12/01/2027	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
7.2.13	34282CRS2 - Florida St Mun Ln Council Rev 5% 12/01/2029	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
7.2.14	34679VJB7 - Fort Bend Cnty Tex Mun Util Di 4% 09/01/2023	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
7.2.15	391856EF4 - Greater Hazleton Pa Jt Swr Aut 3% 05/15/2030	N/A	\$1,001 - \$15,000	Interest	None (or less than \$201)
7.2.16	414979GR9 - Harris Cnty Tex Mun Util Dist 2.25% 04/01/2031	N/A	\$15,001 - \$50,000	Interest	None (or less than \$201)
7.2.17	484008NT0 - Kane Cnty Ill Sch Dist No 131 4% 12/01/2036	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
7.2.18	491196QF1 - Kentucky Assn Of Cntys Fin Cor 3% 02/01/2025	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
7.2.19	518705NJ3 - Laurel Highlands Pa Sch Dist 2% 02/01/2031	N/A	\$1,001 - \$15,000	Interest	None (or less than \$201)
7.2.20	532656AG0 - Limestone Cnty Ala Wtr & Swr A 5% 12/01/2026	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
7.2.21	567167HC8 - Maricopa Cnty Ariz Elem Sch Di 4% 07/01/2027	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
7.2.22	57419TGR6 - Maryland St Cmnty Dev Admin De 2% 09/01/2032	N/A	\$50,001 - \$100,000	Interest	\$201 - \$1,000
7.2.23	582204AJ2 - Mc Lennan Cnty Tex Venue Proj 5% 06/01/2027	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
7.2.24	60535QVB3 - Mississippi Home Corp Single F 2.25% 12/01/2035	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
7.2.25	622690LR4 - Mount Pleasant Tex 4% 05/15/2024	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
7.2.26	627357BF2 - Muscle Shoals Ala Brd Ed Spl T 3% 06/01/2026	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
7.2.27	627357BH8 - Muscle Shoals Ala Brd Ed Spl T 3% 06/01/2028	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
7.2.28	647201KG6 - New Mexico Mtg Fin Auth 2.05% 07/01/2031	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
7.2.29	673853JE8 - Oakridge Mich Pub Schs 5% 05/01/2032	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
7.2.30	696897EX1 - Palmer Twp Pa 4% 11/15/2028	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
7.2.31	713178DC2 - Peoria Ill 5% 01/01/2029	N/A	\$15,001 - \$50,000	Interest	None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
7.2.32	71884WAQ4 - Phoenix Ariz Civic Impt Corp D 5.5% 07/01/2026	N/A	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500
7.2.33	722171HL2 - Pinal Cnty Ariz Uni Sch Dist N 5% 07/01/2025	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
7.2.34	74439YDL2 - Public Fin Auth Wis Student Hs 4% 07/01/2037	N/A	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500
7.2.35	809545WH5 - Scott Cnty Ky Sch Dist Fin Cor 4% 02/01/2028	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
7.2.36	849653TX8 - Spring Grove Pa Area Sch Dist 5% 10/01/2023	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
7.2.37	788550KR1 - St Clair Cnty Ill Cmnty Unit S 4% 01/01/2029	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
7.2.38	85236UAM2 - St Louis Mo Mun Libr Dist Ctfs 4% 03/15/2032	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
7.2.39	87638QPG8 - Tarrant Cnty Tex Cultural Ed F 5% 11/15/2030	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
7.2.40	88276RED0 - Texas St Technical College Sys 5% 10/15/2023	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
7.2.41	915235DX0 - University West Ala Univ Revs 4% 01/01/2024	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
7.2.42	915235EC5 - University West Ala Univ Revs 5% 01/01/2029	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
7.2.43	92555RAF3 - Via Met Tran Auth Tex Sales Ta 5% 07/15/2023	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
7.2.44	93679PAL3 - Wasatch Integrated Waste Mgmt Utah 5% 03/01/2030	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
7.2.45	943011KY1 - Waukegan Ill Wtr & Swr Rev 4% 12/30/2036	N/A	\$1,001 - \$15,000	Interest	None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
7.2.46	97002NCB7 - Williamson Cnty Tex Mun Util D 4% 08/15/2025	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
7.2.47	971044DN9 - Willoughby-Eastlake Ohio City 4% 03/01/2034	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
7.2.48	979412BV4 - Woodford Lasalle Livingston Et Cetera Cntys IL 4% 12/01/2034	N/A	\$15,001 - \$50,000	Interest	None (or less than \$201)
7.2.49	60535QUV0 - Mississippi Home Corp Sf Mortgage Rev 1.85% 06/01/31	N/A	None (or less than \$1,001)	Capital Gains Interest	\$1,001 - \$2,500
7.2.50	376420DK8 - Gladden Frms Cfd Az 4%29Go Ltx Due 07/15/29	N/A	None (or less than \$1,001)	Capital Gains Interest	\$1,001 - \$2,500
7.2.51	191315CL2 - Cochise Az Ccd 5%27Coll Educ Due 07/01/27Build America Mutual	N/A	None (or less than \$1,001)	Capital Gains Interest	\$201 - \$1,000
7.2.52	054522AM9 - Avoyelles Wtr Commission State of Louisiana 3% 35 Wtr Util Due 12/15/35	N/A	None (or less than \$1,001)	Capital Gains Interest	\$1,001 - \$2,500
7.2.53	04052BKW3 - Arizona Industrial 4%25Fac Educ Due 07/01/25Xtro	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
7.2.54	859366BP0 - Sterling Kans 4%25Go Utx Due 10/01/25	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
7.3	Charles Schwab & Co. Brokerage Account #3	No			
7.3.1	TXG - 10X Genomics Inc Cl A Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.3.2	SRCE - 1St Source Corp Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.3.3	MMM - 3M Co	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
7.3.4	AIR - AAR Corp	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
7.3.5	ABT - Abbott Laboratories Inc	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.3.6	ABBV - Abbvie Inc	N/A	None (or less than \$1,001)	Dividends Capital Gains	None (or less than \$201)
7.3.7	ANF - Abercrombie & Fitch Class A	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.3.8	ABMD - Abiomed Inc Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.3.9	ABM - Abm Inds Inc Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.3.10	XLRN - Acceleron Pharma Inc Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.3.11	ACN - Accenture Ltd	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.3.12	ACCO - Acco Brands Corp Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.3.13	ATVI - Activision Blizzard Inc Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.3.14	AYI - Acuity Brands Inc	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.3.15	ADBE - Adobe Systems Incorporated Com	N/A	\$50,001 - \$100,000		None (or less than \$201)
7.3.16	ATGE - Adtalem Global Education	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
7.3.17	ADTN - Adtran Inc	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.3.18	AAP - Advance Auto Parts Inc Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
7.3.19	AMD - Advanced Micro Devices Inc Com	N/A	\$15,001 - \$50,000		None (or less than \$201)
7.3.20	AFL - Aflac Inc	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.3.21	A - Agilent Technologies Inc Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.3.22	AGNC - Agnc Invt Corp Com	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
7.3.23	APD - Air Products & Chemicals Inc	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.3.24	ATSG - Air Transport Services Grp Inc Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.3.25	AKAM - Akamai Technologies Inc Com	N/A	None (or less than \$1,001)		None (or less than \$201)
7.3.26	AIN - Albany Intl Corp Cl A	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.3.27	ALB - Albemarle Corp	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.3.28	ABTX - Allegiance Bk Houston Te	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.3.29	AGN - Allergan Plc F	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.3.30	MDRX - Allscripts Healthcare Solutns Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.3.31	ALL - Allstate Corp	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.3.32	MO - Altria Group Inc	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
7.3.33	AMZN - Amazon.Com Inc	N/A	\$15,001 - \$50,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
7.3.34	AMC - Amc Entertainment Class A	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
7.3.35	DOX - Amdocs Ltd Shs	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.3.36	AEP - Amer Electric Pwr Co	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.3.37	AEE - Ameren Corp	N/A	None (or less than \$1,001)	Dividends Capital Gains	None (or less than \$201)
7.3.38	AAT - American Assets Trus Reit	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.3.39	AXL - American Axle & Mfg	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
7.3.40	AEL - American Eqty Invt Life Hld Co Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.3.41	AFIN - American Fin Trust Inc	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.3.42	AMH - American Homes 4Rent Reit	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.3.43	AIG - American International Group, Inc	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.3.44	AIMC - Altra Industrial Motion	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.3.45	AMNB - American Natl Banksh	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.3.46	ANAT - American National Group	N/A	None (or less than \$1,001)	Dividends Capital Gains	None (or less than \$201)
7.3.47	AOUT - American Outdoor Brands Inc Com	N/A	None (or less than \$1,001)	Dividends Capital Gains	None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
7.3.48	AVD - American Vanguard Co	N/A	None (or less than \$1,001)	Dividends Capital Gains	None (or less than \$201)
7.3.49	AWK - American Wtr Wks Co Inc New Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.3.50	AXP - American Express Co	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.3.51	AMP - Ameriprise Finl	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.3.52	AMSF - Amerisafe Inc	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.3.53	ABC - Amerisourcebergen Corp Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.3.54	AMT - Amern Tower Corp Reit	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
7.3.55	AME - Ametek Inc	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.3.56	AMGN - Amgen Inc	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
7.3.57	FOLD - Amicus Therapeutics Inc Com	N/A	None (or less than \$1,001)		None (or less than \$201)
7.3.58	AMPH - Amphastar Pharmaceuticals Inc Com	N/A	None (or less than \$1,001)		None (or less than \$201)
7.3.59	APH - Amphenol Corp Class A	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.3.60	ADI - Analog Devices Inc Com	N/A	\$1,001 - \$15,000	Dividends Capital Gains	None (or less than \$201)
7.3.61	ANIP - Ani Pharmaceuticals	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
7.3.62	ANIK - Anika Therapeutics Inc Com	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
7.3.63	35290105 - Anixter Intl Inc mandatory Merger Eff: 06/22/20 (Anixter stock was bought out when Wesco acquired Anixter; therefore, Filer no longer owns Anixter stock and owns Wesco stock instead.)	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
7.3.64	NLY - Annaly Capital Management, Inc	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
7.3.65	ANSS - Ansys Inc Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.3.66	ATRS - Antares Pharma Inc Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.3.67	ANTM - Anthem Inc	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.3.68	AON - Aon Plc Fclass A	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.3.69	APLE - Apple Hospitality Reit Inc Com New	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.3.70	AAPL - Apple Inc	N/A	\$100,001 - \$250,000	Dividends Capital Gains	\$201 - \$1,000
7.3.71	AIT - Applied Indl Tech	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.3.72	AMAT - Applied Matls Inc Com	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$201 - \$1,000
7.3.73	AIV - Apt Invt Mgmt Co Reit	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.3.74	ARCB - Arcbest Corp	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.3.75	ADM - Archer-Daniels-Midln	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.3.76	AROC - Archrock Inc	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
7.3.77	ARNC - Arconic Inc	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.3.78	ARES - Ares Management Corporation Cl A Com Stk	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.3.79	ANET - Arista Networks Inc Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.3.80	ARR - Armour Residential Reit	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.3.81	AFI - Armstrong Flooring	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
7.3.82	ABG - Asbury Automotive Group Inc Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.3.83	ASH - Ashland Inc	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.3.84	AGO - Assured Guaranty Ltd F	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.3.85	T - At&T Inc	N/A	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
7.3.86	AUB - Atlantic Union Bankshare	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.3.87	TEAM - Atlassian Corp Plc Cl A	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.3.88	ATO - Atmos Energy Corp Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.3.89	ADP - Automatic Data Processing	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.3.90	ALV - Autoliv Inc Com	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
7.3.91	AZO - Autozone Inc Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.3.92	AVLR - Avalara Inc Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.3.93	AVYA - Avaya Hldgs Corp Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.3.94	AVY - Avery Dennison Corp	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.3.95	AVA - Avista Corp	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.3.96	AVT - Avnet Inc Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.3.97	AXTA - Axalta Coating Sys Ltd Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.3.98	AXS - Axis Capital Hldg Lt F	N/A	None (or less than \$1,001)	Dividends Capital Gains	None (or less than \$201)
7.3.99	AXON - Axon Enterprise Inc Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.3.100	BGS - B & G Foods Inc New Com	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
7.3.101	BMI - Badger Meter Inc	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.3.102	BLL - Ball Corp	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.3.103	BXS - Bancorpsouth Bk Tupelo Miss Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.3.104	BAC - Bank Of America Corp	N/A	\$50,001 - \$100,000	Dividends	\$201 - \$1,000
7.3.105	BOH - Bank Of Hawaii Corp	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
7.3.106	OZK - Bank Ozk Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.3.107	B - Barnes Group Inc	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.3.108	BAX - Baxter Interntnl	N/A	None (or less than \$1,001)	Dividends Capital Gains	None (or less than \$201)
7.3.109	TFC - Truist Finl Corp	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
7.3.110	BBBY - Bed Bath & Beyond	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.3.111	BELFB - Bel Fuse Inc Cl B	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.3.112	BRKB - Berkshire Hathaway Inc Del Cl B New	N/A	\$1,001 - \$15,000	Capital Gains	None (or less than \$201)
7.3.113	BHLB - Berkshire Hills Banc	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.3.114	BRY - Berry Corp	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
7.3.115	BBY - Best Buy Inc Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.3.116	BIG - Big Lots Inc Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.3.117	BILL - Bill Com Hldgs Inc Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.3.118	BIO - Bio Rad Labs Inc Cl A	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.3.119	BIIB - Biogen Idec Inc	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
7.3.120	BLK - Blackrock, Inc	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.3.121	BX - Blackstone Group Inc Com Cl A	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.3.122	HRB - Block H & R Incorp	N/A	None (or less than \$1,001)	Dividends Capital Gains	None (or less than \$201)
7.3.123	BLMN - Bloomin Brands Inc	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.3.124	BA - Boeing Co	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.3.125	BCC - Boise Cascade Co	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.3.126	BOKF - Bok Finl Corp Com New	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.3.127	BPFH - Boston Private Finl	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.3.128	BDN - Brandywine Realty Tr Reit	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
7.3.129	BHF - Brighthouse Finl Inc Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.3.130	EAT - Brinker Intl Inc Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.3.131	BMY - Bristol-Myers Squibb	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.3.132	AVGO - Broadcom Inc Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.3.133	BR - Broadridge Finl Solutions Inc Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
7.3.134	BPYU - Brookfield Ppty Reit Incclass A	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
7.3.135	BFB - Brown Forman Corp Cl B	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.3.136	BG - Bunge Limited F	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.3.137	CMS - C M S Energy Corp	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.3.138	CCNE - C N B Finl Corp	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.3.139	CHRW - C.H. Robinson Worldwide Inc	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.3.140	CWT - Ca Water Service Grp	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.3.141	COG - Cabot Oil & Gas Corp Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.3.142	WHD - Cactus Inc Cl A	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.3.143	CADE - Cadence Bancorp 00500 Class A	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.3.144	CDNS - Cadence Design System Inc Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.3.145	CZR - Caesars Entertainment Inc New Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.3.146	127686103 - Caesars Entertainment mandatory Merger Eff: 07/21/20 (Caesars stock was owned by filer. When Caesars was acquired filer received some cash and retained some ownership in Caesars.)	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
7.3.147	CALM - Cal Maine Foods Inc	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
7.3.148	ELY - Callaway Golf Co Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.3.149	CPB - Campbell Soup Co	N/A	None (or less than \$1,001)	Dividends Capital Gains	None (or less than \$201)
7.3.150	COF - Capital One Financial Corp	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.3.151	CAH - Cardinal Health Inc	N/A	None (or less than \$1,001)	Dividends Capital Gains	None (or less than \$201)
7.3.152	CCL - Carnival Corp F	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.3.153	CRI - Carters Inc Com	N/A	None (or less than \$1,001)		None (or less than \$201)
7.3.154	CVNA - Carvana Co Cl A	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.3.155	Cash	N/A	\$1,001 - \$15,000	Interest	None (or less than \$201)
7.3.156	CAT - Caterpillar Inc	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.3.157	CNC - Centene Corp Del Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.3.158	CSFL - Centerstate Bank Cor	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.3.159	CENTA - Central Garden & Pet Co Cl A Non-Vtg	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.3.160	CTL - Centurylink Inc	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
7.3.161	CF - Cf Industries Holdings, Inc	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.3.162	CHNG - Change Healthcare Inc Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.3.163	CRL - Charles Riv Labs Intl Inc Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.3.164	SCHW - Charles Schwab Corp	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.3.165	CHTR - Charter Communications Inc New Cl A	N/A	\$1,001 - \$15,000	Capital Gains	None (or less than \$201)
7.3.166	CHKP - Check Point Software Tech Ltd Ord	N/A	None (or less than \$1,001)		None (or less than \$201)
7.3.167	CAKE - Cheesecake Factory Inc Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.3.168	CVX - Chevron Corp	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$201 - \$1,000
7.3.169	CB - Chubb Ltd F	N/A	None (or less than \$1,001)	Dividends Capital Gains	None (or less than \$201)
7.3.170	CHD - Church & Dwight Inc Com	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.3.171	CHDN - Churchill Downs Inc Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.3.172	CI - Cigna Corp	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.3.173	CBB - Cincinnati Bell Inc.	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
7.3.174	CTAS - Cintas Corp	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
7.3.175	CRUS - Cirrus Logic Inc Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.3.176	CSCO - Cisco Systems Inc	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.3.177	C - Citigroup Inc	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
7.3.178	CFG - Citizens Finl Group Inc Com	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
7.3.179	CIA - Citizens Inc Cl A	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.3.180	CTXS - Citrix Systems Inc	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.3.181	CIVB - Civista Bancshares Inc	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.3.182	CLAR - Clarus Corp New Com	N/A	\$1,001 - \$15,000	Dividends Capital Gains	None (or less than \$201)
7.3.183	CLF - Cleveland-Cliffs Inc	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
7.3.184	CLX - Clorox Co	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.3.185	CME - Cme Group Inc Class A	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.3.186	CNO - Cno Finl Group	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.3.187	CNX - Cnx Res Corp Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.3.188	CCEP - Coca Cola European Partners P Shs	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.3.189	KO - Coca-Cola Company	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
7.3.190	CTSH - Cognizant Tech Solu Class A	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.3.191	COHU - Cohu Inc	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.3.192	CL - Colgate-Palmolive Co	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.3.193	CLNC - Colony Cr Real Estate Inc Com Cl A	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.3.194	COLB - Columbia Banking Sys	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.3.195	CMCSA - Comcast Corp Cl A	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$201 - \$1,000
7.3.196	CMA - Comerica Incorporate	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.3.197	FIX - Comfort Systems Usa	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.3.198	CBSH - Commerce Bancshares Inc Com	N/A	\$1,001 - \$15,000	Dividends Capital Gains	None (or less than \$201)
7.3.199	COMM - Commscope Hldg Co	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
7.3.200	CMP - Compass Minerals Intl Inc Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.3.201	CXO - Concho Resources Inc	N/A	None (or less than \$1,001)	Dividends Capital Gains	None (or less than \$201)
7.3.202	CNMD - Conmed Corp Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.3.203	COP - Conocophillips	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
7.3.204	CNSL - Cons Communication	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
7.3.205	ED - Consolidated Edison	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.3.206	STZ - Constellation Brands Inc	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.3.207	CTB - Cooper Tire & Rubr Co Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.3.208	CORE - Core Mark Holding Co Inc Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.3.209	CXW - Corecivic Inc	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.3.210	CSOD - Cornerstone Ondemand Inc Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.3.211	GLW - Corning Inc	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.3.212	CTVA - Corteva Inc	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.3.213	COST - Costco Wholesale Corp	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$201 - \$1,000
7.3.214	COUP - Coupa Software Inc Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.3.215	CVET - Covetrus Inc Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.3.216	CREE - Cree Inc Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.3.217	CRWD - Crowdstrike Hldgs Inc Cl A	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
7.3.218	CCI - Crown Castle Intl Co Reit	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
7.3.219	CYRX - Cryoport Inc Com Par \$0.001	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.3.220	CSX - Csx Corp	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.3.221	CUB - Cubic Corp	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.3.222	CULP - Culp Inc	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.3.223	CMI - Cummins Inc Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.3.224	CVS - Cvs Health Corp	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.3.225	DHI - D R Horton Co	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.3.226	DHR - Danaher Corp	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.3.227	DDOG - Datadog Inc Cl A Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.3.228	DVA - Davita Inc	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
7.3.229	DE - Deere & Co	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.3.230	DK - Delek Us Holdings Inc Ne	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.3.231	DELL - Dell Technologies Inc Cl C	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
7.3.232	APTV - Aptiv Plc F	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.3.233	DAL - Delta Air Lines Inc Del	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.3.234	DBI - Designer Brands Inc	N/A	None (or less than \$1,001)	Dividends Capital Gains	None (or less than \$201)
7.3.235	DKS - Dicks Sporting Goods	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.3.236	DLR - Digital Realty Trust Reit	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
7.3.237	DIOD - Diodes Inc Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.3.238	DFS - Discover Finl S	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.3.239	DISCA - Discovery Inc Com Ser A	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.3.240	DISCK - Discovery Inc Com Ser C	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.3.241	DIS - Walt Disney Co	N/A	\$15,001 - \$50,000		None (or less than \$201)
7.3.242	BOOM - Dmc Global Inc Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.3.243	DOCU - DocuSign Inc Com	N/A	\$15,001 - \$50,000		None (or less than \$201)
7.3.244	DG - Dollar Gen Corp New Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.3.245	D - Dominion Energy Inc	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
7.3.246	DOW - Dow Inc Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.3.247	DTE - Dte Energy Co	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.3.248	DUK - Duke Energy Corp	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.3.249	DRE - Duke Realty Corp Com New	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.3.250	DD - Dupont De Nemours Inc	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.3.251	DX - Dynex Capital, Inc. Reit	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.3.252	DEA - Easterly Govt Pptys Reit	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.3.253	ETN - Eaton Corp Plc F	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.3.254	EBAY - Ebay Inc	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.3.255	ECL - Ecolab Inc	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.3.256	EE - El Paso Electric Co	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.3.257	283677854 - El Paso Electric Co mandatory Merger Eff: 07/30/20 (Filer owned El Paso Electric Co Stock. When this stock went through an acquisition, client received cash.)	N/A	None (or less than \$1,001)	Dividends Capital Gains	None (or less than \$201)
7.3.258	ELAN - Elanco Animal Health Inc Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.3.259	ESI - Element Solutions Inc Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
7.3.260	EME - Emcor Group Inc Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.3.261	EBS - Emergent Biosolutions Inc Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.3.262	EMR - Emerson Electric Company	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.3.263	EIG - Employers Holdings	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.3.264	WIRE - Encore Wire Corp Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.3.265	EPC - Edgewell Personal Care C	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.3.266	ENS - Enersys Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.3.267	ENPH - Enphase Energy Inc Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.3.268	NPO - Enpro Inds Inc Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.3.269	ETR - Entergy Corp	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.3.270	EFSC - Enterprise Finl Svcs Corp Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.3.271	EPR - Epr Pptys Com Sh Ben Int	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.3.272	EQT - Eqt Corp Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.3.273	EQIX - Equinix Inc Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
7.3.274	EQH - Equitable Hldgs Inc Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.3.275	ETRN - Equitrans Midstream Corp Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.3.276	EQR - Equity Residential Reit	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.3.277	ESCA - Escalade Inc Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.3.278	ESS - Essex Property Tr Reit	N/A	None (or less than \$1,001)	Dividends Capital Gains	None (or less than \$201)
7.3.279	EL - Estee Lauder Co Inc Class A	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.3.280	ETFC - E-Trade Finl Co	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.3.281	ETSY - Etsy Inc Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.3.282	EEFT - Euronet Worldwide Inc Com	N/A	\$1,001 - \$15,000	Capital Gains	None (or less than \$201)
7.3.283	RE - Everest Re Group Ltd F	N/A	None (or less than \$1,001)	Dividends Capital Gains	None (or less than \$201)
7.3.284	EVRG - Eversource Energy	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.3.285	ES - Eversource Energy	N/A	None (or less than \$1,001)	Dividends Capital Gains	None (or less than \$201)
7.3.286	EVFM - Evofem Biosciences Inc	N/A	None (or less than \$1,001)	Dividends Capital Gains	None (or less than \$201)
7.3.287	EPM - Evolution Petroleum	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
7.3.288	EXEL - Exelixis Inc Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.3.289	EXC - Exelon	N/A	\$1,001 - \$15,000	Dividends Capital Gains	None (or less than \$201)
7.3.290	EXPE - Expedia Group Inc Com New	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.3.291	STAY - Extended Stay America In	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.3.292	EXTR - Extreme Networks Inc Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.3.293	XOM - Exxon Mobil Corp	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
7.3.294	FFIV - F5 Networks Inc	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
7.3.295	FB - Facebook, Inc	N/A	\$50,001 - \$100,000		None (or less than \$201)
7.3.296	FLMN - Falcon Minerals Corporatclass A	N/A	None (or less than \$1,001)	Dividends Capital Gains	None (or less than \$201)
7.3.297	FAST - Fastenal Co Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.3.298	FDX - Fedex Corp	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.3.299	FG - Fgl Hldgs F	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.3.300	FIS - Fidelity Natl Info	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.3.301	FITB - Fifth Third Bancorp	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
7.3.302	FISI - Finl Institutn	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
7.3.303	FBP - First Bancorp P R Com New	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.3.304	FFBC - First Finl Bancorp	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.3.305	FIBK - First Intst Bancsystem Inc Com Cl A	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.3.306	FRME - First Merchants Corp	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.3.307	FE - Firstenergy Corp	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.3.308	FIVN - Five9 Inc Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.3.309	FLR - Fluor Corp	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.3.310	FFIC - Flushing Finl	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.3.311	FL - Foot Locker Inc Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.3.312	F - Ford Motor Co	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.3.313	FTNT - Fortinet Inc Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.3.314	FCPT - Four Corners Ppty Tr Inc Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.3.315	FSP - Franklin Street Prop Reit	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.3.316	FI - Franks Interntnl F	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
7.3.317	FDP - Fresh Del Monte Prod F	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.3.318	FULT - Fulton Finl Corp Pa	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.3.319	GEO - G E O Group Inc New Reit	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.3.320	GME - Gamestop Corp Class A	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
7.3.321	GRMN - Garmin Ltd	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.3.322	GLOG - Gaslog Ltd F	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.3.323	GATX - Gatx Corp	N/A	None (or less than \$1,001)	Dividends Capital Gains	None (or less than \$201)
7.3.324	GD - General Dynamics Co	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.3.325	GE - General Electric Co	N/A	None (or less than \$1,001)	Dividends Capital Gains	None (or less than \$201)
7.3.326	GIS - General MIs Inc Com	N/A	\$1,001 - \$15,000	Dividends Capital Gains	None (or less than \$201)
7.3.327	GM - General Motors Corp	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.3.328	GNTX - Gentex Corp Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.3.329	GILD - Gilead Sciences Inc	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
7.3.330	GOOD - Gladstone Commercial Corp Com	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
7.3.331	GPN - Global Payments Inc	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
7.3.332	GL - Globe Life Inc Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.3.333	GS - Goldman Sachs Group	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.3.334	GT - Goodyear Tire & Rubr Co Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.3.335	GOOGL - Alphabet Inc Cl A	N/A	\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000
7.3.336	GOOG - Alphabet Inc Cl C	N/A	\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000
7.3.337	GSHD - Goosehead Ins Inc Com Cl A	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.3.338	GPX - Gp Strategies Corp Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.3.339	GHC - Graham Hldgs Co Com Cl B	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.3.340	GWW - Ww Grainger Inc Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.3.341	GPMT - Granite Pt Mtg Tr Inc Com	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
7.3.342	GPK - Graphic Packaging Hldg Co Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.3.343	AJX - Great Ajax Corp Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.3.344	GLDD - Great Lakes Dredge	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
7.3.345	GWB - Great Western Banco	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.3.346	GDOT - Green Dot Corp Cl A	N/A	\$1,001 - \$15,000	Capital Gains	None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
7.3.347	GBX - Greenbrier Co	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
7.3.348	GHL - Greenhill & Co Inc	N/A	None (or less than \$1,001)	Dividends Capital Gains	None (or less than \$201)
7.3.349	GEF - Greif Inc Cl A	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.3.350	GPI - Group 1 Automotive Inc Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.3.351	GRUB - Grubhub Inc Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.3.352	HAL - Halliburton Co Hldg	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.3.353	AVNS - Avanos Medical Inc	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.3.354	HIG - Hartford Finl Svc Gp	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.3.355	HAS - Hasbro Inc	N/A	\$1,001 - \$15,000	Dividends Capital Gains	None (or less than \$201)
7.3.356	HVT - Haverty Furniture Co	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.3.357	HA - Hawaiian Holdings	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.3.358	HCA - Hca Healthcare Inc	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.3.359	HCI - Hci Group Inc Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.3.360	HQY - Healthequity Inc Com	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
7.3.361	PEAK - Healthpeak Pptys Inc Reit	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
7.3.362	HSTM - Healthstream Inc	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
7.3.363	HTLD - Heartland Express	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.3.364	HEI.A - Heico Corp Class A	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
7.3.365	HEI - Heico Corp New Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.3.366	HSII - Heidrick&Struggles	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.3.367	HFWA - Heritage Finl	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.3.368	HES - Hess Corp Com	N/A	\$1,001 - \$15,000	Dividends Capital Gains	None (or less than \$201)
7.3.369	HPE - Hewlett Packard Enterpri	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.3.370	HPQ - Hp Inc	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.3.371	HIW - Highwoods Ppty Reit	N/A	None (or less than \$1,001)	Dividends Capital Gains	None (or less than \$201)
7.3.372	HRC - Hill Rom Hldgs Inc Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.3.373	HI - Hillenbrand Inc Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.3.374	HTH - Hilltop Holdings Inc Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
7.3.375	HLT - Hilton Worldwide Hld	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.3.376	HFC - Hollyfrontier Corp	N/A	None (or less than \$1,001)	Dividends Capital Gains	None (or less than \$201)
7.3.377	HOMB - Home Bancshares Inc Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.3.378	HD - Home Depot Inc	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
7.3.379	HMST - Homestreet Inc	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.3.380	HON - Honeywell International Inc	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.3.381	HOFT - Hooker Furniture Corp Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.3.382	HOPE - Hope Bancorp Inc	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.3.383	HBNC - Horizon Bancorp Inc Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.3.384	HZNP - Horizon Therapeutics Pub L Shs	N/A	\$15,001 - \$50,000		None (or less than \$201)
7.3.385	HST - Host Hotels & Resort	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
7.3.386	HUBB - Hubbell Inc Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.3.387	HUM - Humana Inc Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.3.388	JBHT - J B Hunt Transport	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
7.3.389	HUN - Huntsman Corp Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.3.390	ICUI - I C U Medical Inc	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
7.3.391	IBM - Ibm Corp	N/A	None (or less than \$1,001)	Dividends	\$201 - \$1,000
7.3.392	IDXX - Idexx Labs Inc Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.3.393	INFO - Ihs Markit Ltd F	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.3.394	IIVI - Ii-Vi Inc Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.3.395	ITW - Illinois Tool Works	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
7.3.396	IMAX - Imax Corp F	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
7.3.397	IMMR - Immersion Corp Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.3.398	IBCP - Independent Bk Corp Mich Com New	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.3.399	IR - Ingersoll Rand Inc	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.3.400	NGVT - Ingevity Corp Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.3.401	45774N108 - Innophos Holdings mandatory Merger Eff: 02/10/20 (Filer owned Innophos Stock. When this stock went through an acquisition, client received cash.)	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
7.3.402	IIIN - Insteel Industries	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
7.3.403	PODD - Insulet Corp Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.3.404	IART - Integra Lifesciences Hldgs Cp Com New	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.3.405	INTC - Intel Corp	N/A	\$50,001 - \$100,000	Dividends	\$201 - \$1,000
7.3.406	ICE - Intercontinental Exc	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.3.407	IGT - International Game Technology Shs Usd	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.3.408	IP - Interntnl Paper	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.3.409	IPG - Interpublic Group Cos Inc Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.3.410	IFF - Intl Flavors& Fragra	N/A	None (or less than \$1,001)	Dividends Capital Gains	None (or less than \$201)
7.3.411	INTU - Intuit Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.3.412	ISRG - Intuitive Surgical Inc Com New	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.3.413	ISBC - Investors Bancorp	N/A	None (or less than \$1,001)	Dividends Capital Gains	None (or less than \$201)
7.3.414	IPGP - Ipg Photonics Corp Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.3.415	IRTC - Irhythm Technologies Inc Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.3.416	IRDM - Iridium Communications I	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
7.3.417	IRM - Iron Mtn Inc New Reit	N/A	None (or less than \$1,001)	Dividends Capital Gains	None (or less than \$201)
7.3.418	STAR - Istar Inc. Reit	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.3.419	ITT - Itt Inc	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.3.420	JBL - Jabil Inc Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.3.421	JACK - Jack In The Box Inc Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.3.422	JAZZ - Jazz Pharmaceuticals Plc	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.3.423	JCAP - Jernigan Capital Incorpo	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.3.424	476405105 - Jernigan Capital Inc mandatory Merger Eff: 11/06/20 (Filer owned Jernigan stock. When the stock went through an acquisition the filer received cash.)	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
7.3.425	JBLU - Jetblue Awys Corp Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.3.426	JNJ - Johnson & Johnson	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
7.3.427	JCI - Johnson Ctls Intl Plc Shs	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.3.428	JLL - Jones Lang Lasalle	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
7.3.429	JPM - Jpmorgan Chase & Co	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$1,001 - \$2,500
7.3.430	JNPR - Juniper Networks Inc	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
7.3.431	KAMN - Kaman Corp	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
7.3.432	KSU - Kansas City Southern	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.3.433	KAR - Kar Auction Services	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.3.434	KBR - Kbr Inc	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.3.435	K - Kellogg Co	N/A	None (or less than \$1,001)	Dividends Capital Gains	None (or less than \$201)
7.3.436	KELYA - Kelly Services Inc Class A	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.3.437	KEY - Keycorp Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.3.438	KMB - Kimberly-Clark Corp	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.3.439	KIM - Kimco Realty Corp Reit	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.3.440	KMI - Kinder Morgan Inc	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
7.3.441	KLAC - Kla-Tencor Corporation	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.3.442	KNX - Knight-Swift Transn Hldgs Inc Cl A	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.3.443	KNL - Knoll Inc Com New	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.3.444	KFY - Korn Ferry	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
7.3.445	KHC - Kraft Heinz Co	N/A	None (or less than \$1,001)	Dividends Capital Gains	None (or less than \$201)
7.3.446	KR - Kroger Co Com	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$201 - \$1,000
7.3.447	KRO - Kronos Worldwide Inc Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.3.448	LB - L Brands Inc	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.3.449	LH - Laboratory Corp Of America Holdings	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.3.450	LADR - Ladder Cap Corp Reit	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.3.451	LRCX - Lam Research Corp Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.3.452	LAUR - Laureate Education Inc Cl A	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.3.453	LZB - La-Z-Boy Inc	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.3.454	LEA - Lear Corp	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.3.455	LM - Legg Mason Inc	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.3.456	524901105 - Legg Mason Inc mandatory Merger Eff: 08/03/20 (Filer owned Legg Mason Stock. When stock went through an acquisition filer received cash.)	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
7.3.457	LMAT - Lemaitre Vascular Inc Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.3.458	LEN - Lennar Corp Class A	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
7.3.459	LNSR - Lensar Inc Com	N/A	None (or less than \$1,001)	Dividends Capital Gains	None (or less than \$201)
7.3.460	LEVL - Level One Bank	N/A	None (or less than \$1,001)	Dividends Capital Gains	None (or less than \$201)
7.3.461	LBRDK - Liberty Broadband Corp Com Ser C	N/A	\$1,001 - \$15,000	Dividends Capital Gains	None (or less than \$201)
7.3.462	LBTYA - Liberty Global Inc Fclass A	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
7.3.463	LTRPA - Liberty Tripadvisor Class A	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
7.3.464	LGND - Ligand Pharmaceuticals Inc Com New	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.3.465	LLY - Lilly Eli & Co	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.3.466	LNC - Lincoln National Corp	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.3.467	LIN - Linde Plc Shs	N/A	\$1,001 - \$15,000	Dividends Capital Gains	None (or less than \$201)
7.3.468	LNN - Lindsay Corp	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.3.469	LGFA - Lions Gate Entmt Fclass A	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
7.3.470	LYV - Live Nation Entertainment Inc Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.3.471	LOB - Live Oak Bancshares Inc Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.3.472	LTHM - Livent Corp Com	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
7.3.473	LMT - Lockheed Martin Corp	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.3.474	L - Loews Corp	N/A	None (or less than \$1,001)	Dividends Capital Gains	None (or less than \$201)
7.3.475	LPX - Louisiana Pac Corp Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.3.476	LOW - Lowes Companies	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.3.477	LPLA - Lpl Finl Hldgs	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.3.478	LTC - Ltc Properties, Inc. Reit	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.3.479	LULU - Lululemon Athletica Inc Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.3.480	LMNX - Luminex Corp Del	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.3.481	552074700 - Lyon William Homes mandatory Merger Eff: 02/06/20 (Lyon William Homes stock was bought out when Taylor Morrison acquired Lyon William Homes; therefore, Filer no longer owns Lyon William Homes stock and owns Taylor Morrison instead.)	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
7.3.482	LYB - Lyondellbasell Inds Fclass A	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.3.483	MCBC - Macatawa Bank Corp	N/A	None (or less than \$1,001)	Dividends Capital Gains	None (or less than \$201)
7.3.484	M - Macys Inc	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
7.3.485	MSGE - Madison Square Grdn Entertnmnt Cl A	N/A	None (or less than \$1,001)		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
7.3.486	MGLN - Magellan Health Inc	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
7.3.487	MRO - Marathon Oil Corp	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
7.3.488	MPC - Marathon Pete Corp	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.3.489	MCS - Marcus Corp	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.3.490	MKTX - Marketaxess Hldgs Inc Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.3.491	MAR - Marriott Intl Inc Class A	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.3.492	MMC - Marsh & Mc Lennan Co	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.3.493	MLM - Martin Marietta Matr	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.3.494	MTZ - Mastec Inc Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.3.495	MA - Mastercard Inc Class A	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$2,501 - \$5,000
7.3.496	MTCH - Match Group Inc New Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.3.497	MATX - Matson Inc	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.3.498	MCD - Mc Donalds Corp	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.3.499	MKC - McCormick & Co Inc Com Non Vtg	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
7.3.500	MCK - Mckesson Corp	N/A	None (or less than \$1,001)	Dividends Capital Gains	None (or less than \$201)
7.3.501	MPW - Medical Pptys Trust Inc Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.3.502	MD - Mednax Inc Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.3.503	MDT - Medtronic Plc F	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.3.504	MERC - Mercer Intl S B I	N/A	None (or less than \$1,001)	Dividends Capital Gains	None (or less than \$201)
7.3.505	MRK - Merck & Co. Inc.	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.3.506	MRCY - Mercury Systems Inc	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
7.3.507	MMSI - Merit Med Sys Inc Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.3.508	MTH - Meritage Homes Corp Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.3.509	MLAB - Mesa Labs Inc	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.3.510	MET - Metlife Inc	N/A	\$15,001 - \$50,000	Dividends	None (or less than \$201)
7.3.511	MGPI - Mgp Ingredients Inc New Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.3.512	CPRI - Capri Holdings Ltd F	N/A	\$1,001 - \$15,000	Capital Gains	None (or less than \$201)
7.3.513	MCHP - Microchip Technology	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
7.3.514	MU - Micron Technology Inc Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.3.515	MSFT - Microsoft	N/A	\$50,001 - \$100,000	Dividends Capital Gains	\$2,501 - \$5,000
7.3.516	MSBI - Midland Sts Bancorp	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.3.517	MOFG - Midweststone Finl Grou0050	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.3.518	MLHR - Miller Herman Inc	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
7.3.519	MG - Mistras Group Inc	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
7.3.520	MINI - Mobile Mini Inc	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.3.521	MOH - Molina Healthcare Inc Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.3.522	MNTA - Momenta Pharmaceutic	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
7.3.523	MDLZ - Mondelez Intl Class A	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.3.524	MNRO - Monro Inc	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.3.525	MNST - Monster Beverage Corp New Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.3.526	MCO - Moodys Corp Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.3.527	MOGA - Moog Inc Class A	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
7.3.528	MS - Morgan Stanley	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$201 - \$1,000
7.3.529	MSI - Motorola Solutions	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.3.530	MLI - Mueller Inds Inc	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.3.531	MUR - Murphy Oil Corp	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.3.532	MYL - Mylan Nv F	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
7.3.533	NBTB - N B T Bancorp Inc	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.3.534	NVEC - N V E Corp	N/A	None (or less than \$1,001)	Dividends Capital Gains	None (or less than \$201)
7.3.535	NDAQ - Nasdaq Inc	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.3.536	FIZZ - National Beverage Corp Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.3.537	NBHC - National Bk Hldgs Corp Cl A	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.3.538	NAVI - Navient Corporation Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.3.539	NP - Neenah Paper Inc00500	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.3.540	NTAP - Netapp Inc	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.3.541	NFLX - Netflix Inc Com	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
7.3.542	NJR - New Jersey Resource	N/A	None (or less than \$1,001)	Dividends Capital Gains	None (or less than \$201)
7.3.543	NRZ - New Residential Inve Reit	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.3.544	SNR - New Sr Invt Group Inc Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.3.545	NYCB - New York Cmnty Banco	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.3.546	NWL - Newell Brands Inc	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.3.547	NEM - Newmont Mining Corp	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.3.548	NWSA - News Corp New Cl A	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.3.549	NXST - Nexstar Media Group Inc Cl A	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.3.550	NEE - Nextera Energy, Inc	N/A	\$1,001 - \$15,000	Dividends Capital Gains	None (or less than \$201)
7.3.551	NEX - Nextier Oilfield Solutions Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.3.552	NKE - Nike Int'L Cl B	N/A	\$15,001 - \$50,000	Dividends Capital Gains	None (or less than \$201)
7.3.553	NI - Nisource Inc 00500	N/A	None (or less than \$1,001)	Dividends Capital Gains	None (or less than \$201)
7.3.554	NMIH - Nmi Hldgs Inc Cl A	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.3.555	NBL - Noble Energy Inc	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
7.3.556	JWN - Nordstrom Inc	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.3.557	NSC - Norfolk Southern Co	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.3.558	NTRS - Northern Trust Corp	N/A	None (or less than \$1,001)	Dividends Capital Gains	None (or less than \$201)
7.3.559	NFBK - Northfield Bancorp	N/A	None (or less than \$1,001)	Dividends Capital Gains	None (or less than \$201)
7.3.560	NOC - Northrop Grumman Co	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.3.561	NWBI - Northwest Bancshares	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.3.562	NLOK - Nortonlifelock Inc	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
7.3.563	NCLH - Norwegian Cruise Lin F	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
7.3.564	NPK - Ntnl Presto Inds	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
7.3.565	NSA - Ntnl Storage Aff	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.3.566	NUS - Nu Skin Enterprises Inc Cl A	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.3.567	NUAN - Nuance Communications Inc	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.3.568	NUE - Nucor Corp	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$201 - \$1,000
7.3.569	NVDA - Nvidia Corporation	N/A	\$15,001 - \$50,000	Dividends	None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
7.3.570	OII - Oceaneering Intl Inc	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
7.3.571	OCFC - Oceanfirst Finl Corp Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.3.572	ONB - Old Natl Bancorp Ind	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.3.573	ON - On Semiconductor Corp Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.3.574	OPY - Oppenheimer Hldgs Inc Cl A Non Vtg	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.3.575	ORCL - Oracle Corp	N/A	None (or less than \$1,001)	Dividends Capital Gains	None (or less than \$201)
7.3.576	ORLY - Oreilly Automotive Inc Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.3.577	OUT - Outfront Media Inc Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.3.578	OMI - Owens & Minor Inc New Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.3.579	PCAR - Paccar Inc	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.3.580	PKG - Packaging Corp Amer Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.3.581	PKE - Park Aerospace Corp	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.3.582	PH - Parker-Hannifin Corp Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.3.583	PE - Parsley Energy Inc Class A	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
7.3.584	PSN - Parsons Corporation Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.3.585	PAYX - Paychex Inc	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.3.586	PYPL - Paypal Hldgs Inc Com	N/A	\$15,001 - \$50,000		None (or less than \$201)
7.3.587	CNXN - Pc Connection Inc Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.3.588	BTU - Peabody Energy Corporati	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
7.3.589	PGC - Peapack-Gladstone Finl Corp Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.3.590	PEB - Pebblebrook Hotel Tr Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.3.591	PFSI - Pennymac Finl Svcs Inc New Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.3.592	PNR - Pentair Plc F	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.3.593	PEP - Pepsico Inc	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.3.594	PKI - Perkinelmer Inc Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.3.595	PRGO - Perrigo Co Plc F	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.3.596	PETS - Petmed Express Inc	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
7.3.597	PFE - Pfizer Inc	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
7.3.598	PGTI - Pgt Innovations Inc Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.3.599	PAHC - Phibro Animal Health Class A	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.3.600	PM - Philip Morris Intl	N/A	None (or less than \$1,001)	Dividends	\$201 - \$1,000
7.3.601	PSX - Phillips 66	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.3.602	PICO - Pico Hldgs Inc Com New	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
7.3.603	PNW - Pinnacle West Cap Corp Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.3.604	PIPR - Piper Sandler Companies	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.3.605	PBI - Pitney Bowes Inc	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.3.606	PLT - Plantronics Inc	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.3.607	POL - Polyone Corp	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.3.608	BPOP - Popular Inc Com New	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.3.609	PFBC - Preferred Bank La	N/A	None (or less than \$1,001)	Dividends Capital Gains	None (or less than \$201)
7.3.610	PRGX - Prgx Global Inc	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
7.3.611	PSMT - Pricemart Inc	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
7.3.612	PRIM - Primoris Svcs Corp Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.3.613	PFG - Principal Financial Group Inc Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.3.614	PG - Procter & Gamble	N/A	None (or less than \$1,001)	Dividends Capital Gains	None (or less than \$201)
7.3.615	PGR - Progressive Co Ohio	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.3.616	PLD - Prologis Inc. Reit	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
7.3.617	PB - Prosperity Bancshares Inc Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.3.618	PTVCB - Protective Insurance Corclass B	N/A	None (or less than \$1,001)	Dividends Capital Gains	None (or less than \$201)
7.3.619	PFS - Provident Finl	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.3.620	PRU - Prudential Finl Inc Com	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
7.3.621	PSA - Public Storage Reit	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.3.622	PHM - Pulte Group Inc Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.3.623	PVH - Pvh Corporation Com	N/A	\$1,001 - \$15,000	Dividends Capital Gains	None (or less than \$201)
7.3.624	QEP - Qep Resources Inc	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.3.625	KWR - Quaker Chem Corp Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.3.626	QCOM - Qualcomm Inc	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
7.3.627	DGX - Quest Diagnostics Inc	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.3.628	QDEL - Quidel Corp Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.3.629	QRTEP - Qurate Retail Inc 8%Pfd 03/15/31Subj To Xtro Redemption	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
7.3.630	QRTEA - Qurate Retail Inc Com Ser A	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
7.3.631	RDN - Radian Group Inc Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.3.632	RLGT - Radiant Logistics Inc Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.3.633	RRC - Range Res Corp Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.3.634	RLGY - Realogy Hldgs Corp Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.3.635	REGN - Regeneron Pharmaceuticals Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.3.636	RM - Regional Mgmt Corp Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.3.637	RS - Reliance Steel & Aluminum Co	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.3.638	RNST - Renasant Corp Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.3.639	RCII - Rent A Ctr Inc New Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.3.640	RGEN - Repligen Corp Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.3.641	RBCAA - Republic Bancorp A Class	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
7.3.642	RMD - Resmed Inc Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.3.643	REX - Rex American Resourc	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
7.3.644	RXN - Rexnord Corp Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.3.645	RNG - Ringcentral Inc Cl A	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.3.646	RVSB - Riverview Bancorp	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.3.647	RHI - Robert Half Intl Inc Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.3.648	ROK - Rockwell Automation	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.3.649	ROP - Roper Technologies	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.3.650	ROST - Ross Stores Inc	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
7.3.651	RCL - Royal Caribbean Group Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.3.652	RHP - Ryman Hospitality Pptys Inc Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.3.653	STBA - S & T Bancorp Inc	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.3.654	SBAC - S B A Communications Class A	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
7.3.655	SPGI - S&P Global Inc Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
7.3.656	SBRA - Sabra Health Care Reit Inc Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.3.657	SABR - Sabre Corp	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.3.658	SFE - Safeguard Scientifics Inc Com New	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.3.659	SAFT - Safety Insurance Grp	N/A	None (or less than \$1,001)	Dividends Capital Gains	None (or less than \$201)
7.3.660	SAGE - Sage Therapeutics	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
7.3.661	CRM - Salesforce Com Inc Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.3.662	SLB - Schlumberger Ltd F	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.3.663	SNDR - Schneider National Inc Cl B	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.3.664	SCHN - Schnitzer Steel Inds Inc Cl A	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.3.665	SWM - Schweitzer-Mauduit Intl Inc Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.3.666	SMG - Scotts Miracle-Gro Co Cl A	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.3.667	STX - Seagate Technology F	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.3.668	SGEN - Seagen Inc Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.3.669	SEE - Sealed Air Corp New Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
7.3.670	SEAS - Seaworld Entmt Inc Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.3.671	SMTC - Semtech Corp Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.3.672	SENEA - Seneca Foods Corp New Cl A	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.3.673	SXT - Sensient Technologies Corp Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.3.674	NOW - Servicenow Inc Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.3.675	SFL - Sfl Corp Ltd F	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.3.676	SHEN - Shenandoah Telecomm	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
7.3.677	SHW - Sherwin Williams Co Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.3.678	SBNY - Signature Bank	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.3.679	SIG - Signet Jewelers Limited Shs	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.3.680	SLAB - Silicon Laboratories Inc Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.3.681	SPG - Simon Pty Group Inc New Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.3.682	SKYW - Skywest Inc Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.3.683	SWKS - Skyworks Solutions Inc	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
7.3.684	SWBI - Smith & Wesson Brands Inc Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.3.685	AOS - Smith A O Corp Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.3.686	SJM - J M Smucker Co	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.3.687	SNA - Snap On Inc Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.3.688	SEDG - Solaredge Technologies Inc Com	N/A	\$15,001 - \$50,000		None (or less than \$201)
7.3.689	SO - Southern Co	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.3.690	SBSI - Southside Bancshares	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.3.691	SWX - Southwest Gas Holdings Inc Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.3.692	SPTN - Spartannash Co Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.3.693	SPPI - Spectrum Pharms Inc	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
7.3.694	SRC - Spirit Rlty Cap Inc New Com New	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$201 - \$1,000
7.3.695	FLOW - Spx Flow Inc Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.3.696	SSNC - Ss&C Technologies Hldgs Inc Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.3.697	STAG - Stag Industrial Inc Reit	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
7.3.698	STMP - Stamps Com Inc Com New	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.3.699	SMP - Standard Motor Prods	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.3.700	SXI - Standex Intl Corp Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.3.701	SWK - Stanley Black & Decker Inc Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.3.702	SBUX - Starbucks Corp	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.3.703	SCL - Stepan Co	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.3.704	SRCL - Stericycle Inc	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.3.705	STE - Steris Plc Shs Usd	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.3.706	SSYS - Stratasys Ltd F	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
7.3.707	STRA - Strategic Education Inc	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.3.708	RGR - Sturm Ruger & Co Inc	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.3.709	SMMF - Summit Finl Grp	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.3.710	INN - Summit Hotel Ppty Reit	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.3.711	SUM - Summit Matls Inc Cl A	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
7.3.712	SXC - Suncoke Energy Inc	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.3.713	SYF - Synchrony Finl	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.3.714	SNPS - Synopsys Inc Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.3.715	TROW - T Rowe Price Group	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.3.716	TTWO - Take-Two Interactive Software Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.3.717	SKT - Tanger Fctry Outlet Reit	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
7.3.718	TPR - Tapestry Inc Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.3.719	TRGP - Targa Res Corp Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.3.720	TGT - Target Corp	N/A	\$15,001 - \$50,000	Dividends	None (or less than \$201)
7.3.721	TMHC - Taylor Morrison Home	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.3.722	TDOC - Teladoc Health Inc Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.3.723	TPX - Tempur Sealy Intl Inc Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.3.724	TEX - Terex Corp New Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.3.725	TMX - Terminix Global Holdings Inc Com	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
7.3.726	TSLA - Tesla Inc Com	N/A	\$50,001 - \$100,000		None (or less than \$201)
7.3.727	TXN - Texas Instruments Inc	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$201 - \$1,000
7.3.728	TXT - Textron Inc Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.3.729	MOS - The Mosaic Co	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.3.730	ODP - The Odp Corp	N/A	None (or less than \$1,001)	Dividends Capital Gains	None (or less than \$201)
7.3.731	TTD - The Trade Desk Inc Com Cl A	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.3.732	TMO - Thermo Fisher Scientific, Inc	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.3.733	TIF - Tiffany & Co	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.3.734	TVTY - Tivity Health Inc Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.3.735	TJX - Tjx Companies Inc	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
7.3.736	TMUS - T-Mobile Us Inc Com	N/A	\$1,001 - \$15,000	Dividends Capital Gains	None (or less than \$201)
7.3.737	TMP - Tompkins Finl	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.3.738	BLD - Topbuild Corp Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.3.739	TOWN - Townebank Portsmouth Va	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.3.740	TRTX - Tpg Re Fin Tr Inc Com	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
7.3.741	TSCO - Tractor Supply Co Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.3.742	TT - Trane Technologies Plc F	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.3.743	TRV - Travelers Companies	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.3.744	TG - Tredegar Corp	N/A	None (or less than \$1,001)	Dividends Capital Gains	None (or less than \$201)
7.3.745	TRS - Trimas Corp	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
7.3.746	TSE - Trinseo S A Shs	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.3.747	TRIP - Tripadvisor Inc Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.3.748	GTS - Triple-S Mgmt Corp Cl B	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.3.749	TBK - Triumph Bancorp Inc Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.3.750	TGI - Triumph Group Inc	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
7.3.751	TROX - Tronox Holdings Plc Shs	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.3.752	TRST - Trustco Bank Corp	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.3.753	TRMK - Trustmark Corp Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.3.754	TTMI - Ttm Technologies Inc Com	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
7.3.755	TUP - Tupperware Brands Corp Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.3.756	TWO - Two Harbors Investment Creit	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.3.757	TSN - Tyson Foods Inc Class A	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.3.758	USCR - U S Concrete Inc Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.3.759	USX - U S Xpress Enterprises Inc Com Cl A	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.3.760	UI - Ubiquiti Inc Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.3.761	ULTA - Ulta Beauty Inc.	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
7.3.762	UMBF - Umb Finl Corp Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.3.763	UNP - Union Pacific Corp	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.3.764	UIS - Unisys Corp Com New	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.3.765	UAL - United Airlls Hldgs Inc Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.3.766	UBSI - United Bankshrs Inc	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.3.767	UFCS - United Fire Group	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.3.768	UNH - United Health Group, Inc	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
7.3.769	UNFI - United Nat Foods Inc Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.3.770	UPS - United Parcel Service, Inc	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.3.771	UTX - United Technologies Corp	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.3.772	UTHR - United Therapeutics Corp Del Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.3.773	UNIT - Uniti Group Inc Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.3.774	UHS - Universal Hlth Svcs Inc Cl B	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.3.775	UVSP - Univest Finl Corp	N/A	None (or less than \$1,001)	Dividends Capital Gains	None (or less than \$201)
7.3.776	UE - Urban Edge Pptys	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
7.3.777	URBN - Urban Outfitters Inc Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.3.778	USB - Us Bancorp Del Com New	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$201 - \$1,000
7.3.779	USNA - Usana Health Sciences Inc Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.3.780	MTN - Vail Resorts Inc Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.3.781	VLO - Valero Energy Corp	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.3.782	VLV - Valley Ntnl Bank	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
7.3.783	VMI - Valmont Inds Inc Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.3.784	VAR - Varian Medical Sys Inc	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.3.785	VEEV - Veeva Sys Inc Cl A Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.3.786	VTR - Ventas Inc Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.3.787	VRSK - Verisk Analytics, Inc	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.3.788	VBTX - Veritex Holdings Inc	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.3.789	VZ - Verizon Communicatn	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.3.790	VRS - Verso Corp Class A	N/A	None (or less than \$1,001)	Dividends Capital Gains	None (or less than \$201)
7.3.791	VRTX - Vertex Pharmaceuticals Inc Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.3.792	VTRS - Viatris Inc Com	N/A	None (or less than \$1,001)	Dividends Capital Gains	None (or less than \$201)
7.3.793	VIAV - Viavi Solutions Inc Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.3.794	V - Visa Inc Class A	N/A	None (or less than \$1,001)	Dividends Capital Gains	None (or less than \$201)
7.3.795	VSH - Vishay Intertechnology Inc Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.3.796	VPG - Vishay Precision Group Inc Com	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
7.3.797	VG - Vonage Hldgs Corp Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.3.798	VOYA - Voya Financial Inc Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.3.799	WEC - W E C Energy Group Inc	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.3.800	WTI - W&T Offshore Inc	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
7.3.801	WBA - Walgreens Boots Alli	N/A	None (or less than \$1,001)	Dividends Capital Gains	None (or less than \$201)
7.3.802	WMT - Wal-Mart Stores Inc	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
7.3.803	HCC - Warrior Met Coal Inc	N/A	None (or less than \$1,001)	Dividends Capital Gains	None (or less than \$201)
7.3.804	WAFD - Washington Federal	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.3.805	WPG - Washington Prime Group Nreit	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.3.806	WM - Waste Management Inc	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.3.807	W - Wayfair Inc Cl A	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.3.808	WRI - Weingarten Rlt Reit	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
7.3.809	WMK - Weis Markets Inc	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.3.810	WFC - Wells Fargo & Co	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
7.3.811	WELL - Welltower Inc Reit	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.3.812	WSBC - Wesbanco Inc	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.3.813	WCC+A - Wesco Inter 10.625% Pfdpfd Ser A	N/A	None (or less than \$1,001)	Dividends Capital Gains	None (or less than \$201)
7.3.814	WCC - Wesco Intl Inc Com	N/A	\$1,001 - \$15,000	Dividends Capital Gains	None (or less than \$201)
7.3.815	WST - West Pharmaceutical Svsc Inc Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.3.816	WLK - Westlake Chemical Corp	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.3.817	WRK - Westrock Co Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.3.818	WY - Weyerhaeuser Co Reit	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.3.819	WHR - Whirlpool Corp	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.3.820	WLTW - Willis Towers Watson P F	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.3.821	WWW - Wolverine World Wide Inc Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.3.822	INT - World Fuel Services	N/A	None (or less than \$1,001)	Dividends Capital Gains	None (or less than \$201)
7.3.823	WPC - Wp Carey Inc Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.3.824	WYNN - Wynn Resorts	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
7.3.825	XEL - Xcel Energy Inc Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.3.826	XRX - Xerox Holdings Corp	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.3.827	XLNX - Xilinx Inc Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.3.828	YUM - Yum Brands Inc	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.3.829	YUMC - Yum China Hldgs Inc Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.3.830	ZAGG - Zagg Inc	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
7.3.831	ZBRA - Zebra Technologies Corporation CI A	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.3.832	ZTS - Zoetis Inc CI A	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.3.833	ZM - Zoom Video Communications Inc CI A	N/A	\$15,001 - \$50,000		None (or less than \$201)
7.3.834	ZS - Zscaler Inc Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.3.835	ZYXI - Zynex Inc Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.3.836	ZNGA - Zynga Inc CI A	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.4	Charles Schwab & Co. Brokerage Account #4	No			
7.4.1	Cash	N/A	\$250,001 - \$500,000	Interest	\$2,501 - \$5,000
7.5	Charles Schwab & Co. Brokerage Account #5	No			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
7.5.1	Cash	N/A	\$1,001 - \$15,000	Interest	None (or less than \$201)
7.5.2	DFEMX - DFA Emerging Markets Portfolio	Yes	\$500,001 - \$1,000,000		\$5,001 - \$15,000
7.5.3	DFISX - DFA Intl Small Cap Value Equity	Yes	\$250,001 - \$500,000		\$5,001 - \$15,000
7.5.4	DFTSX - DFA Tax-Managed US Small Cap	Yes	\$100,001 - \$250,000		\$1,001 - \$2,500
7.5.5	DTMIX - DFA Tax-Managed International Value	Yes	\$250,001 - \$500,000		\$5,001 - \$15,000
7.5.6	DTMVX - DFA Tax-Managed U.S. Targeted Value Portfolio	Yes	\$100,001 - \$250,000		\$1,001 - \$2,500
7.5.7	MWTIX - Metropolitan West Total Return Bond	Yes	\$1,000,001 - \$5,000,000		\$50,001 - \$100,000
7.5.8	PIMIX - PIMCO Income Fund	Yes	\$1,000,001 - \$5,000,000		\$50,001 - \$100,000
7.5.9	DFCEX - DFA Emerging Mkts Core Equity	Yes	None (or less than \$1,001)		\$201 - \$1,000
7.5.10	DFIEX - DFA Intl Core Equity Port Instl	Yes	None (or less than \$1,001)		\$201 - \$1,000
7.5.11	DFFVX - DFA US Targeted Value Port Instl	Yes	None (or less than \$1,001)		\$201 - \$1,000
7.5.12	DFSTX - DFA US Small Cap Port Instl	Yes	None (or less than \$1,001)		\$201 - \$1,000
7.5.13	SNAXX - Schwab Value Advantage Money Fd Ultra Shares	Yes	None (or less than \$1,001)		\$1,001 - \$2,500
7.6	Charles Schwab & Co. Brokerage Account #6	No			
7.6.1	Cash	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
7.6.2	01170RJK9 - Alaska Hsg Fin Corp Mtg Rev 2.5% 12/01/2034	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
7.6.3	04052BKX1 - Arizona Indl Dev Auth Ed Rev 4% 07/01/2026	N/A	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500
7.6.4	041806GH7 - Arlington Tex Higher Ed Fin Co 5% 02/15/2027	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
7.6.5	042467MC1 - Armstrong Sch Dist Pa 5% 03/15/2027	N/A	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500
7.6.6	048339SV8 - Atlantic City N J 4% 11/01/2023	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
7.6.7	054522AM9 - Avoyelles Wtr Commn State of Louisiana 3%35Wtr Util Due 12/15/35	N/A	None (or less than \$1,001)	Capital Gains Interest	\$2,501 - \$5,000
7.6.8	142334HC8 - Carlisle Cnty Ky Sch Dist Fin 2.25% 04/01/2025	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
7.6.9	186398LV3 - Cleveland Ohio Pub Pwr Sys Rev 5% 11/15/2030	N/A	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500
7.6.10	188288KE3 - Clinton N Y Cent Sch Dist 2% 06/15/2028	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
7.6.11	216146HV5 - Cook Cnty Ill Twp High Sch Dis 5% 12/01/2034	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
7.6.12	267417EH2 - Dwight Ill 4% 12/01/2026	N/A	\$50,001 - \$100,000	Interest	\$2,501 - \$5,000
7.6.13	295425TT9 - Erie Pa City Sch Dist 5% 04/01/2028	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
7.6.14	300788CR5 - Excelsior Springs Mo Ctf Part 4% 03/01/2030	N/A	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500
7.6.15	34282CRS2 - Florida St Mun Ln Council Rev 5% 12/01/2029	N/A	\$50,001 - \$100,000	Interest	\$2,501 - \$5,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
7.6.16	34681WVS0 - Fort Bend Cnty Tex Mun Util Di 2% 09/01/2031	N/A	\$15,001 - \$50,000	Interest	None (or less than \$201)
7.6.17	346901ZX2 - Fort Bend Cnty Tex Mun Util Di 4% 10/01/2027	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
7.6.18	302716BJ3 - Fyi Pptys Wash Lease Rev 5% 06/01/2030	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
7.6.19	376420DK8 - Gladden Frms Cfd Az 4%29Go Ltx Due 07/15/29	N/A	None (or less than \$1,001)	Capital Gains Interest	\$1,001 - \$2,500
7.6.20	376420DU6 - Gladden Farms Cmnty Facs Dist Marana, AZ 3% 07/15/2027	N/A	\$1,001 - \$15,000	Interest	None (or less than \$201)
7.6.21	391577US0 - Greater Ariz Dev Auth Infrastr 5% 08/01/2023	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
7.6.22	391856EF4 - Greater Hazleton Pa Jt Swr Aut 3% 05/15/2030	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
7.6.23	397378JH4 - Greenwood Tex Util Dist 5% 08/01/2023	N/A	\$50,001 - \$100,000	Interest	\$2,501 - \$5,000
7.6.24	484008NT0 - Kane Cnty Ill Sch Dist No 131 4% 12/01/2036	N/A	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500
7.6.25	567167HC8 - Maricopa Cnty Ariz Elem Sch Di 4% 07/01/2027	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
7.6.26	567090D51 - Maricopa Cnty Ariz Sch Dist No 5% 07/01/2024	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
7.6.27	57419TGR6 - Maryland St Cmnty Dev Admin De 2% 09/01/2032	N/A	\$50,001 - \$100,000	Interest	\$201 - \$1,000
7.6.28	59333MS22 - Miami-Dade Cnty Fla Sch Brd Ct 5% 05/01/2025	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
7.6.29	60535QVB3 - Mississippi Home Corp Single F 2.25% 12/01/2035	N/A	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
7.6.30	622690LS2 - Mount Pleasant Tex 4% 05/15/2025	N/A	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500
7.6.31	627357BF2 - Muscle Shoals Ala Brd Ed Spl T 3% 06/01/2026	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
7.6.32	627357BH8 - Muscle Shoals Ala Brd Ed Spl T 3% 06/01/2028	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
7.6.33	64972ETM1 - New York N Y City Hsg Dev Corp 1.8% 11/01/2031	N/A	\$15,001 - \$50,000	Interest	None (or less than \$201)
7.6.34	664754Z43 - Northern Ariz Univ Revs 5% 08/01/2043	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
7.6.35	665848NH6 - Northern Tioga Sch Dist Pa 5% 04/01/2031	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
7.6.36	673853JE8 - Oakridge Mich Pub Schs 5% 05/01/2032	N/A	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500
7.6.37	696897EA1 - Palmer Twp Pa 4% 11/15/2027	N/A	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500
7.6.38	70917SXJ7 - Pa St Hgr Ed Fac 5%27Univ Educ Due 05/01/27	N/A	None (or less than \$1,001)	Interest	\$201 - \$1,000
7.6.39	713178DC2 - Peoria Ill 5% 01/01/2029	N/A	\$50,001 - \$100,000	Interest	None (or less than \$201)
7.6.40	721812ML6 - Pima Cnty Ariz Uni Sch Dist No 3.625% 07/01/2026	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
7.6.41	722195MQ4 - Pinal Cnty Ariz Uni Sch Dist N 5% 07/01/2024	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
7.6.42	74439YDL2 - Public Fin Auth Wis Student Hs 4% 07/01/2037	N/A	\$50,001 - \$100,000	Interest	\$2,501 - \$5,000
7.6.43	76221RG64 - Rhode Island Hsg & Mtg Fin Cor 1.9% 10/01/2031	N/A	\$1,001 - \$15,000	Interest	None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
7.6.44	788550KR1 - St Clair Cnty Ill Cmnty Unit S 4% 01/01/2029	N/A	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500
7.6.45	791023AL8 - St Landry Parish La Rd Dist No 3% 03/01/2024	N/A	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500
7.6.46	85236UAM2 - St Louis Mo Mun Libr Dist Ctfs 4% 03/15/2032	N/A	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500
7.6.47	86476PUK2 - Suffolk Cnty N Y 5% 10/15/2026	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
7.6.48	88275FSA8 - Texas St Dept Of Hsg & Cmnty A 2.1% 03/01/2031	N/A	\$15,001 - \$50,000	Interest	None (or less than \$201)
7.6.49	898735TS4 - Tucson Ariz Ctfs Partn 3% 07/01/2028	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
7.6.50	915235DX0 - University West Ala Univ Revs 4% 01/01/2024	N/A	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500
7.6.51	915235EC5 - University West Ala Univ Revs 5% 01/01/2029	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
7.6.52	924190TA9 - Vermont Hsg Fin Agy 1.6% 05/01/2028	N/A	\$50,001 - \$100,000	Interest	\$201 - \$1,000
7.6.53	952718C93 - West Fargo N D 5% 05/01/2027	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
7.6.54	971044DN9 - Willoughby-Eastlake Ohio City 4% 03/01/2034	N/A	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500
7.6.55	971044DP4 - Willoughby-Eastlake Ohio City 4% 03/01/2036	N/A	\$1,001 - \$15,000	Interest	None (or less than \$201)
7.6.56	04052BKW3 - Arizona Industrial 4%25Fac Educ Due 07/01/25Xtro	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
7.6.57	859366BP0 - Sterling Kans 4%25Go Utx Due 10/01/25	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
7.7	Charles Schwab & Co. Brokerage Account #7	No			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
7.7.1	TXG - 10X Genomics Inc Cl A Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.7.2	MMM - 3M Co	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
7.7.3	ABT - Abbott Laboratories Inc	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$201 - \$1,000
7.7.4	ABBV - Abbvie Inc	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
7.7.5	XLRN - Acceleron Pharma Inc	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
7.7.6	ACN - Accenture Ltd	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.7.7	ATVI - Activision Blizzard Inc Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.7.8	ADBE - Adobe Systems Incorporated Com	N/A	\$15,001 - \$50,000		None (or less than \$201)
7.7.9	AAP - Advance Auto Parts	N/A	None (or less than \$1,001)	Dividends Capital Gains	None (or less than \$201)
7.7.10	AMD - Advanced Micro Devices Inc Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.7.11	ACM - Aecom Com	N/A	None (or less than \$1,001)		None (or less than \$201)
7.7.12	AJRD - Aerojet Rocketdyne Holdi	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
7.7.13	AFL - Aflac Inc	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.7.14	A - Agilent Technologies Inc Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
7.7.15	AGNC - Agnc Invt Corp Com	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.7.16	AL - Air Lease Corp Class A	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.7.17	APD - Air Products & Chemicals Inc	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.7.18	ATSG - Air Transport Services Grp Inc Com	N/A	None (or less than \$1,001)		None (or less than \$201)
7.7.19	AKAM - Akamai Technologies Inc	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
7.7.20	AIN - Albany Intl Corp Cl A	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.7.21	ALB - Albemarle Corp	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.7.22	ARE - Alexandria Real Estate Eq Inc Com	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.7.23	AGN - Allergan Plc F	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.7.24	G0177J108 - Allergan Plc mandatory Merger Eff: 05/11/20 (Allergan stock was bought out when Abbvie acquired Allergan. Filer received some cash and some stock in Abbvie.)	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
7.7.25	ADS - Alliance Data Systems Corp Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.7.26	LNT - Alliant Energy Corp Com	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.7.27	ALL - Allstate Corp	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.7.28	MO - Altria Group Inc	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
7.7.29	AMZN - Amazon.Com Inc	N/A	\$15,001 - \$50,000	Capital Gains	\$1,001 - \$2,500
7.7.30	DOX - Amdocs Limited F	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
7.7.31	AMED - Amedisys Inc Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.7.32	AEP - Amer Electric Pwr Co	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.7.33	UHAL - Amerco Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.7.34	AEE - Ameren Corp	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.7.35	AAL - American Airs Group	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.7.36	AEL - American Eqty Invt Life Hld Co Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.7.37	AMH - American Homes 4Rent Reit	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.7.38	AIG - American International Group, Inc	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.7.39	ANAT - American National Group Inc Com New	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.7.40	AWK - American Water Works	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.7.41	AXP - American Express Co	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.7.42	CRMT - Americas Car-Mart	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
7.7.43	AMP - Ameriprise Financial Inc	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.7.44	ABC - Amerisourcebergen Corp Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.7.45	AMT - Amern Tower Corp Reit	N/A	None (or less than \$1,001)	Dividends Capital Gains	None (or less than \$201)
7.7.46	AME - Ametek Inc	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.7.47	AMGN - Amgen Inc	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.7.48	APH - Amphenol Corp New CI A	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.7.49	ADI - Analog Devices Inc Com	N/A	\$1,001 - \$15,000	Dividends Capital Gains	None (or less than \$201)
7.7.50	NLY - Annaly Capital Management, Inc	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
7.7.51	ANSS - Ansys Inc Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.7.52	AM - Antero Midstream Corp Com	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
7.7.53	ANTM - Anthem Inc	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.7.54	AON - Aon Plc Fclass A	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.7.55	APOG - Apogee Enterprises Inc Com	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.7.56	APLE - Apple Hospitality Reit Inc Com New	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.7.57	AAPL - Apple Inc	N/A	\$50,001 - \$100,000	Dividends	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
7.7.58	AMAT - Applied Matls Inc Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.7.59	AIV - Apt Invt Mgmt Co Reit	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.7.60	ARMK - Aramark	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.7.61	ACGL - Arch Cap Group Ltd Ord	N/A	None (or less than \$1,001)		None (or less than \$201)
7.7.62	ADM - Archer Daniels Midland Co Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.7.63	ARNC - Arconic Inc	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.7.64	ARES - Ares Management Corporation Cl A Com Stk	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.7.65	ANET - Arista Networks Inc Com	N/A	None (or less than \$1,001)		None (or less than \$201)
7.7.66	ARW - Arrow Electrs Inc Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.7.67	ABG - Asbury Automotive Group Inc Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.7.68	ASH - Ashland Inc	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.7.69	ASB - Associated Banc Corp Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.7.70	T - At&T Inc	N/A	\$1,001 - \$15,000	Dividends	\$1,001 - \$2,500
7.7.71	AAWW - Atlas Air Worldwide	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
7.7.72	TEAM - Atlassian Corp Plc Cl A	N/A	None (or less than \$1,001)		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
7.7.73	ATO - Atmos Energy Corp	N/A	None (or less than \$1,001)	Dividends Capital Gains	None (or less than \$201)
7.7.74	ADP - Automatic Data Processing	N/A	None (or less than \$1,001)	Dividends Capital Gains	None (or less than \$201)
7.7.75	AN - Autonation Inc Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.7.76	AZO - Autozone Inc	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
7.7.77	AVLR - Avalara Inc Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.7.78	AVB - Avalonbay Cmnty Inc Reit	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.7.79	AGR - Avangrid Inc Com	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.7.80	AVY - Avery Dennison Corp	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.7.81	AVT - Avnet Inc	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.7.82	AXON - Axon Enterprise Inc Com	N/A	\$1,001 - \$15,000	Capital Gains	None (or less than \$201)
7.7.83	BKR - Baker Hughes Co. Class A	N/A	None (or less than \$1,001)	Dividends Capital Gains	None (or less than \$201)
7.7.84	BLL - Ball Corp	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.7.85	BK - Bank New York Mellon Corp Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.7.86	BAC - Bank Of America Corp	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
7.7.87	OZK - Bank Ozk Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.7.88	BAX - Baxter Interntnl	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.7.89	TFC - Truist Finl Corp	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.7.90	BDX - Becton Dickinson&Co	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.7.91	BBBY - Bed Bath & Beyond	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.7.92	BDC - Belden Inc	N/A	None (or less than \$1,001)	Dividends Capital Gains	None (or less than \$201)
7.7.93	BRKB - Berkshire Hathaway Class B	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
7.7.94	BBY - Best Buy Inc Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.7.95	BIG - Big Lots Inc Com	N/A	\$1,001 - \$15,000	Dividends Capital Gains	None (or less than \$201)
7.7.96	BILL - Bill Com Hldgs Inc Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.7.97	BIO - Bio Rad Labs Inc Cl A	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.7.98	BIIB - Biogen Idec Inc	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.7.99	BLK - Blackrock, Inc	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.7.100	BX - Blackstone Group Inc Com Cl A	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
7.7.101	HRB - Block H & R Inc Com	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.7.102	BA - Boeing Co	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
7.7.103	BOKF - Bok Finl Corp Com New	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.7.104	BAH - Booz Allen Hamilton Class A	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.7.105	BWA - Borg Warner Inc	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.7.106	SAM - Boston Beer Inc Cl A	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.7.107	BXP - Boston Properties Reit	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.7.108	BDN - Brandywine Realty Tr Reit	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.7.109	BHF - Brighthouse Finl Inc Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.7.110	BMY - Bristol-Myers Squibb	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.7.111	AVGO - Broadcom Inc Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.7.112	BR - Broadridge Finl Solutions Inc Com	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.7.113	BPYU - Brookfield Ppty Reit Inc class A	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
7.7.114	BFA - Brown Forman Corp Cl A	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
7.7.115	BFB - Brown Forman Corp Cl B	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.7.116	BG - Bunge Limited Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.7.117	BURL - Burlington Stores	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
7.7.118	CIT - C I T Group Inc	N/A	None (or less than \$1,001)	Dividends Capital Gains	None (or less than \$201)
7.7.119	CNA - C N A Finl Corp	N/A	None (or less than \$1,001)	Dividends Capital Gains	None (or less than \$201)
7.7.120	CHRW - C.H. Robinson Worldwide Inc	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.7.121	CBT - Cabot Corp Com	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.7.122	COG - Cabot Oil & Gas Corp	N/A	None (or less than \$1,001)	Dividends Capital Gains	None (or less than \$201)
7.7.123	WHD - Cactus Inc Cl A	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.7.124	CDNS - Cadence Design System Inc Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.7.125	CALX - Calix Inc Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.7.126	CPB - Campbell Soup Co	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.7.127	CCBG - Capital City Bk Group Inc Com	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.7.128	COF - Capital One Financial Corp	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
7.7.129	CAH - Cardinal Health Inc	N/A	None (or less than \$1,001)	Dividends Capital Gains	None (or less than \$201)
7.7.130	CSL - Carlisle Co	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.7.131	KMX - Carmax Inc Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.7.132	CCL - Carnival Corp F	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.7.133	CVNA - Carvana Co Cl A	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.7.134	CASY - Caseys Gen Stores Inc Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.7.135	Cash	N/A	\$1,001 - \$15,000	Dividends Interest	None (or less than \$201)
7.7.136	CASS - Cass Information Sys	N/A	None (or less than \$1,001)	Dividends Capital Gains	None (or less than \$201)
7.7.137	CPRX - Catalyst Pharmaceuticals Inc Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.7.138	CAT - Caterpillar Inc	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$201 - \$1,000
7.7.139	CATO - Cato Corp Class A	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
7.7.140	VIAC - Viacomcbs Inc Class B	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.7.141	CDK - Cdk Global, Inc	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.7.142	CDW - Cdw Corp Com	N/A	\$1,001 - \$15,000	Dividends Capital Gains	None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
7.7.143	CE - Celanese Corp Del Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.7.144	CNC - Centene Corp Del Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.7.145	CNP - Centerpoint Energy	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.7.146	CCS - Century Cmnty Inc Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.7.147	CTL - Centurylink Inc	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.7.148	CRNC - Cerence Inc Com	N/A	\$1,001 - \$15,000	Capital Gains	None (or less than \$201)
7.7.149	CF - Cf Industries Hldg	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.7.150	SCHW - Charles Schwab Corp	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.7.151	CHTR - Charter Communications Inc New Cl A	N/A	\$1,001 - \$15,000	Capital Gains	None (or less than \$201)
7.7.152	CHKP - Check Point Software Tech Ltd Ord	N/A	None (or less than \$1,001)		None (or less than \$201)
7.7.153	CAKE - Cheesecake Factory Inc Com	N/A	None (or less than \$1,001)		None (or less than \$201)
7.7.154	CVX - Chevron Corp	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$201 - \$1,000
7.7.155	CB - Chubb Ltd F	N/A	None (or less than \$1,001)	Dividends Capital Gains	None (or less than \$201)
7.7.156	CHD - Church & Dwight Inc Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
7.7.157	CI - Cigna Corp	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.7.158	CTAS - Cintas Corp	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.7.159	CSCO - Cisco Systems Inc	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
7.7.160	CTRN - Citi Trends Inc	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
7.7.161	C - Citigroup Inc	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
7.7.162	CFG - Citizens Finl Group Inc Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.7.163	CTXS - Citrix Systems Inc	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.7.164	CLX - Clorox Co	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.7.165	CME - Cme Group Inc Class A	N/A	None (or less than \$1,001)	Dividends Capital Gains	None (or less than \$201)
7.7.166	CNO - Cno Finl Group	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.7.167	CNX - Cnx Res Corp Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.7.168	CCEP - Coca Cola European Partners P Shs	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.7.169	CTSH - Cognizant Tech Solu Class A	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.7.170	CLNC - Colony Cr Real Estate Inc Com Cl A	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.7.171	CMCSA - Comcast Corp Cl A	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
7.7.172	CMA - Comerica Incorporate	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.7.173	CBSH - Commerce Bancshares Inc Com	N/A	None (or less than \$1,001)	Dividends Capital Gains	None (or less than \$201)
7.7.174	CAG - Conagra Brands Inc	N/A	None (or less than \$1,001)	Dividends Capital Gains	None (or less than \$201)
7.7.175	CXO - Concho Resources Inc	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
7.7.176	COP - Conocophillips	N/A	None (or less than \$1,001)	Dividends Capital Gains	None (or less than \$201)
7.7.177	ED - Consolidated Edison	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.7.178	STZ - Constellation Brands Inc	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.7.179	GLW - Corning Inc	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.7.180	CTVA - Corteva Inc Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.7.181	COST - Costco Wholesale Corp	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$201 - \$1,000
7.7.182	COWN - Cowen Inc Cl A New	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.7.183	CR - Crane Co	N/A	None (or less than \$1,001)	Dividends Capital Gains	None (or less than \$201)
7.7.184	BAP - Credicorp Ltd F	N/A	None (or less than \$1,001)	Dividends Capital Gains	None (or less than \$201)
7.7.185	CREE - Cree Inc Com	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
7.7.186	CROX - Crocs Inc Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.7.187	CRWD - Crowdstrike Hldgs Inc Cl A	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.7.188	CCI - Crown Castle Intl Corp New Com	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$201 - \$1,000
7.7.189	CSX - Csx Corp Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.7.190	CMI - Cummins Inc Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.7.191	CUBI - Customers Bancorp Inc Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.7.192	CVS - CVS Health	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.7.193	DHI - D R Horton Co	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.7.194	DHR - Danaher Corp	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.7.195	DRI - Darden Restaurants, Inc	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.7.196	DDOG - Datadog Inc Cl A Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.7.197	DVA - Davita Inc	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
7.7.198	DECK - Deckers Outdoor Corp Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.7.199	DE - Deere & Co	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
7.7.200	DK - Delek Us Holdings Inc Ne	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.7.201	DELL - Dell Technologies Inc Cl C	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.7.202	APTV - Aptiv Plc F	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.7.203	DAL - Delta Air Lines Inc Del	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.7.204	DKS - Dicks Sporting Goods Inc Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.7.205	DLR - Digital Realty Trust Reit	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
7.7.206	APPS - Digital Turbine Inc Com New	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.7.207	DIOD - Diodes Inc Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.7.208	DFS - Discover Financial Services	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.7.209	DIS - Walt Disney Co	N/A	\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000
7.7.210	DOCU - DocuSign Inc Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.7.211	DG - Dollar Gen Corp New Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.7.212	DLTR - Dollar Tree Inc Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.7.213	D - Dominion Energy Inc	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.7.214	DOV - Dover Corp	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
7.7.215	DOW - Dow Inc Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.7.216	DBX - Dropbox Inc	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
7.7.217	DUK - Duke Energy Corp	N/A	None (or less than \$1,001)	Dividends Capital Gains	None (or less than \$201)
7.7.218	DD - Dupont De Nemours Inc Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.7.219	DXC - Dxc Technology Co Com	N/A	None (or less than \$1,001)		None (or less than \$201)
7.7.220	DEA - Easterly Govt Pptys Reit	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.7.221	EMN - Eastman Chem Co Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.7.222	ETN - Eaton Corp Plc F	N/A	None (or less than \$1,001)	Dividends Capital Gains	None (or less than \$201)
7.7.223	EBAY - Ebay Inc	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.7.224	ECL - Ecolab Inc	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.7.225	EIX - Edison Interntnl	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.7.226	EW - Edwards Lifesciences Corp Com	N/A	None (or less than \$1,001)		None (or less than \$201)
7.7.227	EE - El Paso Electric Co	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.7.228	283677854 - El Paso Electric Co mandatory Merger Eff: 07/30/20 (Filer owned El Paso Electric Co Stock. When this stock went through an acquisition, client received cash.)	N/A	None (or less than \$1,001)	Dividends Capital Gains	None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
7.7.229	EA - Electronic Arts Inc	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
7.7.230	LLY - Lilly Eli & Co	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.7.231	EMR - Emerson Electric Company	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.7.232	ENPH - Enphase Energy Inc Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.7.233	PLUS - Eplus Inc Com	N/A	None (or less than \$1,001)		None (or less than \$201)
7.7.234	EPR - Epr Pptys Com Sh Ben Int	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.7.235	EQT - Eqt Corp Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.7.236	EFX - Equifax Inc Com	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.7.237	EQIX - Equinix Inc Com	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
7.7.238	EQH - Equitable Hldgs Inc Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.7.239	ETRN - Equitrans Midstream Corp	N/A	None (or less than \$1,001)	Dividends Capital Gains	None (or less than \$201)
7.7.240	ESNT - Essent Group Ltd Com	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.7.241	ESS - Essex Property Tr Reit	N/A	None (or less than \$1,001)	Dividends Capital Gains	None (or less than \$201)
7.7.242	ETFC - E-Trade Finl Co	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
7.7.243	ETSY - Etsy Inc Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.7.244	EEFT - Euronet Worldwide	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
7.7.245	RE - Everest Re Group Ltd F	N/A	None (or less than \$1,001)	Dividends Capital Gains	None (or less than \$201)
7.7.246	EVRG - Evergy Inc	N/A	None (or less than \$1,001)	Dividends Capital Gains	None (or less than \$201)
7.7.247	ES - Eversource Energy Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.7.248	EXEL - Exelixis Inc Com	N/A	None (or less than \$1,001)		None (or less than \$201)
7.7.249	EXC - Exelon	N/A	\$1,001 - \$15,000	Dividends Capital Gains	None (or less than \$201)
7.7.250	EXPE - Expedia Group Inc Com New	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.7.251	STAY - Extended Stay America In	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.7.252	XOM - Exxon Mobil Corp	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
7.7.253	FMC - F M C Corp	N/A	None (or less than \$1,001)	Dividends Capital Gains	None (or less than \$201)
7.7.254	FB - Facebook, Inc	N/A	\$15,001 - \$50,000		None (or less than \$201)
7.7.255	FMNB - Farmers Natl Banc Co	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.7.256	FAST - Fastenal Co Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
7.7.257	FDX - Fedex Corporation	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.7.258	FIS - Fidelity Natl Info	N/A	None (or less than \$1,001)	Dividends Capital Gains	None (or less than \$201)
7.7.259	FITB - Fifth Third Bancorp	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.7.260	FBP - First Bancorp P R Com New	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.7.261	THFF - First Finl Corp Ind Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.7.262	FHB - First Hawaiian Incorpo F	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.7.263	FHN - First Horizon Corporation Com	N/A	\$1,001 - \$15,000	Dividends Capital Gains	None (or less than \$201)
7.7.264	FE - Firstenergy Corp	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.7.265	FISV - Fiserv Inc	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
7.7.266	FIVN - Five9 Inc Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.7.267	FLT - Fleetcor Technologie	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
7.7.268	FLEX - Flex Ltd Ord	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.7.269	FLS - Flowserve Corporation	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.7.270	FLR - Fluor Corp	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
7.7.271	FL - Foot Locker Inc Com	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.7.272	F - Ford Motor Co	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.7.273	FTNT - Fortinet Inc Com	N/A	None (or less than \$1,001)		None (or less than \$201)
7.7.274	FTV - Fortive Corp Disc	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.7.275	FBHS - Fortune Brands Home & Security, Inc	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.7.276	FCX - Freeport-Mcmoran Copper & Gold Inc	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.7.277	FRPT - Freshpet Inc Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.7.278	FULT - Fulton Finl Corp Pa	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.7.279	GEO - G E O Group Inc New Reit	N/A	None (or less than \$1,001)	Dividends Capital Gains	None (or less than \$201)
7.7.280	AJG - Arthur J Gallagher & Co	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.7.281	GRMN - Garmin Ltd	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.7.282	IT - Gartner Inc Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.7.283	GATX - Gatx Corp Com	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.7.284	GD - General Dynamics Co	N/A	None (or less than \$1,001)	Dividends Capital Gains	None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
7.7.285	GE - General Electric Co	N/A	None (or less than \$1,001)	Dividends Capital Gains	None (or less than \$201)
7.7.286	GIS - General MIs Inc Com	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
7.7.287	GM - General Motors Corp	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.7.288	G - Genpact Limited Shs	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.7.289	GPC - Genuine Parts Co	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.7.290	GABC - German American Banc	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.7.291	GILD - Gilead Sciences Inc	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
7.7.292	LAND - Gladstone Land Corpo Reit	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.7.293	GPN - Global Payments Inc	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.7.294	GL - Globe Life Inc Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.7.295	GS - Goldman Sachs Group	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.7.296	GT - Goodyear Tire & Rubr Co Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.7.297	GOOGL - Alphabet Inc Cl A	N/A	\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000
7.7.298	GOOG - Alphabet Inc Cl C	N/A	\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000
7.7.299	EAF - Graftech Internation	N/A	None (or less than \$1,001)	Dividends Capital Gains	None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
7.7.300	GWW - Ww Grainger Inc Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.7.301	GPI - Group 1 Automotive Inc Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.7.302	HAL - Halliburton Holding Co	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.7.303	HOG - Harley Davidson Inc Com	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.7.304	HIG - Hartford Finl Svc Gp	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.7.305	HVT - Haverty Furniture Co	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
7.7.306	HE - Hawaiian Elec Indust	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.7.307	HCA - Hca Healthcare Inc	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.7.308	HDS - Hd Supply Hldgs Inc Mandatory Merger Eff: 12/28/20 (Filer owned Hd Supply Hldgs stock. When the stock was acquired filer received cash.)	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
7.7.309	HQY - Healthequity Inc Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.7.310	PEAK - Healthpeak Pptys Inc Reit	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.7.311	HEI.A - Heico Corp New Cl A	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.7.312	HEI - Heico Corp New Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.7.313	HELE - Helen Of Troy Ltd F	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
7.7.314	JKHY - Henry Jack & Assoc	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.7.315	HES - Hess Corp Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.7.316	HPE - Hewlett Packard Enterprise Co Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.7.317	HPQ - Hp Inc	N/A	\$1,001 - \$15,000	Dividends Capital Gains	None (or less than \$201)
7.7.318	HRC - Hill Rom Hldgs Inc Com	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.7.319	HLT - Hilton Worldwide Hld	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.7.320	HFC - Hollyfrontier Corp	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.7.321	HOMB - Home Bancshares Inc Com	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.7.322	HD - Home Depot Inc	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
7.7.323	HON - Honeywell International Inc	N/A	None (or less than \$1,001)	Dividends Capital Gains	None (or less than \$201)
7.7.324	HBNC - Horizon Bancorp Inc	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.7.325	HZNP - Horizon Therapeutics Pub L Shs	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.7.326	HRL - Hormel Foods Corp Com	N/A	None (or less than \$1,001)	Dividends Capital Gains	None (or less than \$201)
7.7.327	HST - Host Hotels & Resort	N/A	None (or less than \$1,001)	Dividends Capital Gains	None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
7.7.328	HUBB - Hubbell Inc Com	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.7.329	HUM - Humana Inc Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.7.330	JBHT - J B Hunt Trans Svcs Inc Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.7.331	HBAN - Huntington Bancshs	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.7.332	HUN - Huntsman Corp	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.7.333	ICUI - I C U Medical Inc	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
7.7.334	IAC - Iac Interactivecorp New Com	N/A	None (or less than \$1,001)		None (or less than \$201)
7.7.335	IBKC - Iberiabank Corp	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.7.336	IBM - Ibm Corp	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.7.337	IEX - Idex Corp Com	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.7.338	IDXX - Idexx Labs Inc Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.7.339	INFO - Ihs Markit Ltd F	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.7.340	ITW - Illinois Tool Works Inc	N/A	\$1,001 - \$15,000	Dividends Capital Gains	None (or less than \$201)
7.7.341	IR - Ingersoll Rand Inc	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
7.7.342	IMKTA - Ingles Mkts Inc Cl A	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.7.343	INVA - Innoviva Inc Com	N/A	None (or less than \$1,001)		None (or less than \$201)
7.7.344	INSP - Inspire Med Sys Inc Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.7.345	INTC - Intel Corp	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
7.7.346	ICE - Intercontinental Exchange Inc Com	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.7.347	IP - International Paper Co Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.7.348	IPG - Interpublic Grp Cos	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.7.349	IFF - Intl Flavors& Fragra	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.7.350	IGT - Intl Game Tech F	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.7.351	INTU - Intuit Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.7.352	ISRG - Intuitive Surgical Inc Com New	N/A	\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000
7.7.353	ISBC - Investors Bancorp	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.7.354	IRTC - Irhythm Technologies Inc Com	N/A	None (or less than \$1,001)		None (or less than \$201)
7.7.355	IRM - Iron Mtn Inc New Reit	N/A	None (or less than \$1,001)	Dividends Capital Gains	None (or less than \$201)
7.7.356	JBL - Jabil Inc	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
7.7.357	J - Jacobs Engineering	N/A	None (or less than \$1,001)		None (or less than \$201)
7.7.358	JAZZ - Jazz Pharmaceuticals Plc	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.7.359	JCAP - Jernigan Capital Incorpo	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.7.360	476405105 - Jernigan Capital Inc mandatory Merger Eff: 11/06/20 (Filer owned Jernigan stock. When the stock went through an acquisition the filer received cash.)	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
7.7.361	JNJ - Johnson & Johnson	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
7.7.362	JCI - Johnson Ctls Intl Plc Shs	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.7.363	JOUT - Johnson Outdoors Class A	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.7.364	JPM - Jpmorgan Chase & Co	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
7.7.365	JNPR - Juniper Networks Inc	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.7.366	KAMN - Kaman Corp Com	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.7.367	KSU - Kansas City Southern	N/A	None (or less than \$1,001)	Dividends Capital Gains	None (or less than \$201)
7.7.368	KBH - Kb Home Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.7.369	K - Kellogg Co	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.7.370	KDP - Keurig Dr Pepper Inc Com	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
7.7.371	KEY - Keycorp Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.7.372	KMB - Kimberly-Clark	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.7.373	KIM - Kimco Realty Corp Reit	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.7.374	KMI - Kinder Morgan Inc	N/A	None (or less than \$1,001)	Dividends Capital Gains	None (or less than \$201)
7.7.375	KLAC - Kla-Tencor Corporation	N/A	\$1,001 - \$15,000	Dividends Capital Gains	None (or less than \$201)
7.7.376	KNX - Knight-Swift Transn Hldgs Inc Cl A	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.7.377	KHC - Kraft Heinz Co Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.7.378	KR - Kroger Co Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.7.379	KLIC - Kulicke & Soffa Inds Inc Com	N/A	\$1,001 - \$15,000	Dividends Capital Gains	None (or less than \$201)
7.7.380	LB - L Brands Inc	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.7.381	LHX - L3Harris Technologies In	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.7.382	LBAI - Lakeland Bancorp	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.7.383	LRCX - Lam Research Corp Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.7.384	LSTR - Landstar Sys Inc	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
7.7.385	LVS - Las Vegas Sands Corp	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
7.7.386	EL - Estee Lauder Cos Inc Cl A	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.7.387	LEA - Lear Corp	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.7.388	LM - Legg Mason Inc	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.7.389	524901105 - Legg Mason Inc mandatory Merger Eff: 08/03/20 (Filer owned Legg Mason Stock. When stock went through an acquisition filer received cash.)	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
7.7.390	LDOS - Leidos Holdings Inc	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.7.391	LEN - Lennar Corp Class A	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.7.392	LENB - Lennar Corp Cl B	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.7.393	LHCG - Lhc Group Inc Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.7.394	LBRDK - Liberty Broadband Corp Com Ser C	N/A	None (or less than \$1,001)	Dividends Capital Gains	None (or less than \$201)
7.7.395	LBTYA - Liberty Global Plc Shs Cl A	N/A	None (or less than \$1,001)		None (or less than \$201)
7.7.396	LSXMR - Liberty Media Cor 20 Rtsrights Exercise Exp: 06/05/20 (Filer owned LSXMA stock which issued filer the right to buy LSXMR stock. Filer sold this right for cash.)	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
7.7.397	LSXMA - Liberty Media Corp Del Com A Siriusxm	N/A	None (or less than \$1,001)		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
7.7.398	LNC - Lincoln National Corp	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.7.399	LIN - Linde Plc Shs	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$201 - \$1,000
7.7.400	LAD - Lithia Mtrs Inc Cl A	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.7.401	LYV - Live Nation Entertainment Inc Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.7.402	539183103 - Livongo Health Inc mandatory Merger Eff: 11/02/20 (Filer owned Livongo Health Stock. When Livongo was acquired by Teladoc, filer received some cash and some Teladoc stock.)	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
7.7.403	LKQ - Lkq Corp Com	N/A	None (or less than \$1,001)		None (or less than \$201)
7.7.404	LMT - Lockheed Martin Corp	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.7.405	L - Loews Corp	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.7.406	LPX - Louisiana Pacific Co	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.7.407	LOW - Lowes Companies	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.7.408	LULU - Lululemon Athletica Inc Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.7.409	LUMN - Lumen Technologies Inc Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.7.410	LYB - Lyondellbasell Industries Nv Or	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
7.7.411	MTB - M & T Bk Corp Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.7.412	MHO - M/I Homes Inc Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.7.413	M - Macys Inc	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
7.7.414	MGLN - Magellan Health Inc	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.7.415	MANH - Manhattan Associates Inc Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.7.416	MRO - Marathon Oil Corp	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.7.417	MPC - Marathon Petroleum Corp	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.7.418	HZO - Marinemax Inc Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.7.419	MAR - Marriott Intl Inc Class A	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.7.420	MMC - Marsh & Mc Lennan Co	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
7.7.421	MA - Mastercard Inc Class A	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$1,001 - \$2,500
7.7.422	MTCH - Match Group Inc New Com	N/A	\$1,001 - \$15,000	Dividends Capital Gains	None (or less than \$201)
7.7.423	MAT - Mattel Inc Com	N/A	None (or less than \$1,001)		None (or less than \$201)
7.7.424	MAXR - Maxar Technologies Inc Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
7.7.425	MKC - Mc Cormick & Co Inc	N/A	None (or less than \$1,001)	Dividends Capital Gains	None (or less than \$201)
7.7.426	MCD - Mc Donalds Corp	N/A	None (or less than \$1,001)	Dividends Capital Gains	None (or less than \$201)
7.7.427	MCK - Mckesson Corp	N/A	\$1,001 - \$15,000	Dividends Capital Gains	None (or less than \$201)
7.7.428	MED - Medifast Inc Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.7.429	MEDP - Medpace Hldgs Inc Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.7.430	MDT - Medtronic Plc F	N/A	None (or less than \$1,001)	Dividends Capital Gains	None (or less than \$201)
7.7.431	MRK - Merck & Co. Inc.	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
7.7.432	MRCY - Mercury Systems Inc	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
7.7.433	VIVO - Meridian Bioscience Inc Com	N/A	None (or less than \$1,001)		None (or less than \$201)
7.7.434	MTH - Meritage Homes Corp Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.7.435	\$CASH - Meta Finl Group Inc Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.7.436	MET - Metlife Inc	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.7.437	MTG - Mjic Invt Corp Wis Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.7.438	MCHP - Microchip Technology Inc. Com	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
7.7.439	MU - Micron Technology Inc Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.7.440	MSFT - Microsoft	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$2,501 - \$5,000
7.7.441	MOH - Molina Healthcare Inc Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.7.442	TAP - Molson Coors Beverage Cclass B	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.7.443	MDLZ - Mondelez Intl Class A	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.7.444	MNST - Monster Beverage Corp New Com	N/A	None (or less than \$1,001)		None (or less than \$201)
7.7.445	MCO - Moodys Corp Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.7.446	MS - Morgan Stanley	N/A	\$1,001 - \$15,000	Dividends Capital Gains	None (or less than \$201)
7.7.447	MSI - Motorola Solutions	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.7.448	COOP - Mr Cooper Group Inc Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.7.449	MSCI - Msci Inc	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.7.450	MUR - Murphy Oil Corp	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.7.451	NBR - Nabors Industries F	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
7.7.452	NDAQ - Nasdaq Inc	N/A	None (or less than \$1,001)	Dividends Capital Gains	None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
7.7.453	NTRA - Natera Inc Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.7.454	NRC - National Resh Corp Com New	N/A	None (or less than \$1,001)		None (or less than \$201)
7.7.455	NAVI - Navient Corporation Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.7.456	NEO - Neogenomics Inc Com New	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.7.457	NTAP - Netapp Inc	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.7.458	NFLX - Netflix Inc Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.7.459	NVRO - Nevro Corp Com	N/A	None (or less than \$1,001)		None (or less than \$201)
7.7.460	NRZ - New Residential Inve Reit	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.7.461	NYCB - New York Cmnty Banco	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.7.462	NWL - Newell Brands Inc Com	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.7.463	NEM - Newmont Mining Corp	N/A	\$1,001 - \$15,000	Dividends Capital Gains	None (or less than \$201)
7.7.464	NWSA - News Corp New Cl A	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.7.465	NXST - Nexstar Media Group Inc Cl A	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.7.466	NEE - Nextera Energy, Inc	N/A	\$1,001 - \$15,000	Dividends Capital Gains	None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
7.7.467	NLSN - Nielsen Holdings Plc F	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.7.468	NKE - Nike Int'L Cl B	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.7.469	NI - Nisource Inc 00500	N/A	None (or less than \$1,001)	Dividends Capital Gains	None (or less than \$201)
7.7.470	NMIH - Nmi Hldgs Inc Cl A	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
7.7.471	NBL - Noble Energy Inc	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.7.472	NDSN - Nordson Corp Com	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.7.473	JWN - Nordstrom Inc	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.7.474	NSC - Norfolk Southern Corp	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.7.475	NTRS - Northern Trust Corp	N/A	\$1,001 - \$15,000	Dividends Capital Gains	None (or less than \$201)
7.7.476	NOC - Northrop Grumman Co	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.7.477	NWE - Northwestern Corp	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.7.478	NLOK - Nortonlifelock Inc	N/A	None (or less than \$1,001)	Dividends	\$201 - \$1,000
7.7.479	NCLH - Norwegian Cruise Line Hldg Ltd Shs	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.7.480	NPK - Ntnl Presto Inds	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
7.7.481	NUE - Nucor Corp	N/A	\$1,001 - \$15,000	Dividends Capital Gains	None (or less than \$201)
7.7.482	NVDA - Nvidia Corporation	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.7.483	NXPI - Nxp Semiconductors	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.7.484	OXYWS - Occidental Petrol 27 Wtsarrants Exp 08/03/27 (Filer owned OXY stock which issued filer the right to buy OXYWS stock. Filer sold this right for cash.	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.7.485	OGE - Oge Energy Corp Com	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.7.486	OI - O-I Glass Inc	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.7.487	ORI - Old Republic Intl Co	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.7.488	OMC - Omnicom Group Inc	N/A	None (or less than \$1,001)	Dividends Capital Gains	None (or less than \$201)
7.7.489	ON - On Semiconductor Corp Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.7.490	OGS - One Gas Inc	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.7.491	OPY - Oppenheimer Hldgs Inc Cl A Non Vtg	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.7.492	ORCL - Oracle Corp	N/A	None (or less than \$1,001)	Dividends Capital Gains	None (or less than \$201)
7.7.493	ORLY - Oreilly Automotive Inc Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.7.494	OMI - Owens & Minor Inc New Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
7.7.495	OC - Owens Corning New Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.7.496	PBF - P B F Energy Inc Class A	N/A	None (or less than \$1,001)	Dividends Capital Gains	None (or less than \$201)
7.7.497	PCAR - Paccar Inc	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.7.498	PKG - Packaging Corp Amer Com	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.7.499	PH - Parker-Hannifin Corp Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.7.500	PE - Parsley Energy Inc Class A	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.7.501	PSN - Parsons Corporation Com	N/A	None (or less than \$1,001)		None (or less than \$201)
7.7.502	PAYX - Paychex Inc	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.7.503	PYPL - Paypal Hldgs Inc Com	N/A	\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000
7.7.504	CNXN - Pc Connection Inc Com	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.7.505	PEB - Pebblebrook Hotel Tr Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.7.506	PFSI - Pennymac Finl Svcs Inc New Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.7.507	PAG - Penske Automotive Grp Inc Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.7.508	PNR - Pentair Plc F	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.7.509	PEP - Pepsico Inc	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
7.7.510	PRGO - Perrigo Co Plc F	N/A	None (or less than \$1,001)	Dividends Capital Gains	None (or less than \$201)
7.7.511	PFE - Pfizer Inc	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
7.7.512	PM - Philip Morris Intl	N/A	None (or less than \$1,001)	Dividends Capital Gains	None (or less than \$201)
7.7.513	PSX - Phillips 66	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.7.514	PLAB - Photonics Inc Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.7.515	PNW - Pinnacle West Cap Corp Com	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.7.516	PNC - Pnc Financial Services Group	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.7.517	POOL - Pool Corp Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.7.518	BPOP - Popular Inc Com New	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.7.519	PPG - Ppg Industries Inc	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.7.520	PPL - Ppl Corp	N/A	None (or less than \$1,001)	Dividends Capital Gains	None (or less than \$201)
7.7.521	PFBI - Premier Finl Bancorp Inc Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.7.522	TROW - T Rowe Price Group Inc Com	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.7.523	PFG - Principal Financial Group Inc Com	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
7.7.524	PG - Procter & Gamble	N/A	None (or less than \$1,001)	Dividends Capital Gains	None (or less than \$201)
7.7.525	PRG - Prog Holdings Inc Com Npv	N/A	None (or less than \$1,001)		None (or less than \$201)
7.7.526	PGR - Progressive Co Ohio	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.7.527	PGNY - Progyny Inc Com	N/A	None (or less than \$1,001)		None (or less than \$201)
7.7.528	PLD - Prologis Inc. Com	N/A	\$1,001 - \$15,000	Dividends Capital Gains	None (or less than \$201)
7.7.529	PB - Prosperity Bancshares Inc Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.7.530	PRU - Prudential Finl Inc Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.7.531	PEG - Pub Svc Enterprise Gp	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.7.532	PSA - Public Storage Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.7.533	PHM - Pulte Group Inc Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.7.534	PVH - Pvh Corporation Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.7.535	QRVO - Qorvo Inc Com	N/A	None (or less than \$1,001)		None (or less than \$201)
7.7.536	QCOM - Qualcomm Inc	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$201 - \$1,000
7.7.537	NX - Quanex Building Products Corp Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
7.7.538	DGX - Quest Diagnostic Inc	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.7.539	QDEL - Quidel Corp Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.7.540	QRTEP - Qurate Retail Inc 8%Pfd Due 03/15/31 Subj To Xtro Redemption (Filer owned QRTEA stock which issued filer the right to buy QRTEP stock. Filer sold this right for cash.	N/A	None (or less than \$1,001)	Dividends Capital Gains	None (or less than \$201)
7.7.541	QRTEA - Qurate Retail Inc Com Ser A	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.7.542	RDN - Radian Group Inc Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.7.543	RLGT - Radiant Logistics Inc Com	N/A	None (or less than \$1,001)		None (or less than \$201)
7.7.544	RJF - Raymond James Finl	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.7.545	O - Realty Income Corp Com	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.7.546	REGN - Regeneron Pharmaceuticals Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.7.547	RF - Regions Financial Corp	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.7.548	RGA - Reinsurance Gp Amer	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.7.549	REGI - Renewable Energy Group Inc Com New	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.7.550	RCII - Rent A Ctr Inc New Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
7.7.551	RGEN - Repligen Corp Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.7.552	RMD - Resmed Inc Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.7.553	RH - Rh Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.7.554	RNG - Ringcentral Inc Cl A	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.7.555	RAD - Rite Aid Corp	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
7.7.556	ROK - Rockwell Automation Inc Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.7.557	ROP - Roper Technologies	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.7.558	ROST - Ross Stores Inc	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
7.7.559	RCL - Royal Caribbean Group Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.7.560	R - Ryder System Inc	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.7.561	SBAC - S B A Communications Class A	N/A	None (or less than \$1,001)	Dividends Capital Gains	None (or less than \$201)
7.7.562	SPGI - S&P Global Inc Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.7.563	CRM - Salesforce Com Inc Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.7.564	JBSS - Sanfilippo John B	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
7.7.565	SLB - Schlumberger Ltd F	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.7.566	STX - Seagate Technology F	N/A	None (or less than \$1,001)	Dividends Capital Gains	None (or less than \$201)
7.7.567	SGEN - Seagen Inc Com	N/A	None (or less than \$1,001)		None (or less than \$201)
7.7.568	SEE - Sealed Air Corp New Com	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.7.569	SEIC - Sei Invts Co Com	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.7.570	SRE - Sempra Energy	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.7.571	SENEA - Seneca Foods Corp New Cl A	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.7.572	SCI - Service Corp Intl Com	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.7.573	NOW - Servicenow Inc Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.7.574	SFL - Sfl Corp Ltd F	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.7.575	SHW - Sherwin Williams Co Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.7.576	SBNY - Signature Bk New York N Y Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.7.577	SFNC - Simmons 1St Natl Corp Cl A \$1 Par	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.7.578	SPG - Simon Ppty Group Inc New Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
7.7.579	SLP - Simulations Plus Inc Com	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.7.580	SIRI - Sirius Xm Hldgs Inc	N/A	None (or less than \$1,001)	Dividends Capital Gains	None (or less than \$201)
7.7.581	SWKS - Skyworks Solutions Inc	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.7.582	SWBI - Smith & Wesson Brands In	N/A	None (or less than \$1,001)	Dividends Capital Gains	None (or less than \$201)
7.7.583	SJM - J M Smucker Co Com New	N/A	None (or less than \$1,001)	Dividends Capital Gains	None (or less than \$201)
7.7.584	SNA - Snap On Inc Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.7.585	SEDG - Solaredge Technologies Inc Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.7.586	SO - Southern Company	N/A	None (or less than \$1,001)	Dividends Capital Gains	None (or less than \$201)
7.7.587	SCCO - Southern Copper Corp D F	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.7.588	SWX - Southwest Gas Holdings Inc Com	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.7.589	SPTN - Spartannash Co	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.7.590	SPR - Spirit Aerosystems	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.7.591	SPOT - Spotify Technology S A Shs	N/A	None (or less than \$1,001)		None (or less than \$201)
7.7.592	SPT - Sprout Social Inc Com Cl A	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
7.7.593	SPSC - Sps Comm Inc Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.7.594	SSNC - Ss&C Technolgs Hldg	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.7.595	STAG - Stag Industrial Inc Reit	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.7.596	SXI - Standex Intl Corp Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.7.597	SWK - Stanley Black & Decker Inc Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.7.598	SBUX - Starbucks Corp	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.7.599	STT - State Str Corp Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.7.600	STE - Steris Plc Shs Usd	N/A	None (or less than \$1,001)	Dividends Capital Gains	None (or less than \$201)
7.7.601	STL - Sterling Bancorp Del Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.7.602	SIVB - Svb Financial Group Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.7.603	SYNA - Synaptics Inc Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.7.604	SYF - Synchrony Finl	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.7.605	SNV - Synovus Finl Co	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.7.606	SYF - Sysco Corp	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
7.7.607	TCMD - Tactile Systems Technolo	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
7.7.608	TTWO - Take-Two Interactive Software Com	N/A	None (or less than \$1,001)		None (or less than \$201)
7.7.609	TNDM - Tandem Diabetes Care Inc Com New	N/A	None (or less than \$1,001)		None (or less than \$201)
7.7.610	TPR - Tapestry Inc Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.7.611	TRGP - Targa Res Corp Com	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$1,001 - \$2,500
7.7.612	TGT - Target Corp	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.7.613	TCO - Taubman Centers Reit	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.7.614	TMHC - Taylor Morrison Home Corp Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.7.615	TEL - Te Connectivity	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.7.616	TECD - Tech Data Corp Mandatory Merger Eff: 07/01/20 (Filer owned Tech Data stock. When Tech Data was acquired, filer received cash.)	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
7.7.617	TDOC - Teladoc Health Inc Com	N/A	\$1,001 - \$15,000	Dividends Capital Gains	None (or less than \$201)
7.7.618	TDS - Telephone & Data Sys Inc Com New	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.7.619	TSLA - Tesla Inc Com	N/A	\$15,001 - \$50,000	Capital Gains	None (or less than \$201)
7.7.620	TXN - Texas Instruments Inc	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
7.7.621	TXT - Textron Inc Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.7.622	AAN - The Aarons Company Inc Com	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.7.623	KO - The Coca-Cola Co	N/A	None (or less than \$1,001)	Dividends Capital Gains	None (or less than \$201)
7.7.624	MOS - The Mosaic Co	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.7.625	TTD - The Trade Desk Inc Com Cl A	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.7.626	TMO - Thermo Fisher Scientific, Inc	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$201 - \$1,000
7.7.627	TIF - Tiffany & Co	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.7.628	TJX - Tjx Cos Inc New Com	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.7.629	TMUS - T-Mobile Us Inc Com	N/A	\$1,001 - \$15,000	Dividends Capital Gains	None (or less than \$201)
7.7.630	BLD - Topbuild Corp Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.7.631	TSCO - Tractor Supply Co Com	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.7.632	TT - Trane Technologies Plc F	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.7.633	TRU - Transunion Com	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.7.634	TRV - Travelers Companies	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
7.7.635	TREX - Trex Co Inc Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.7.636	TRMB - Trimble Inc Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.7.637	GTS - Triple-S Mgmt Corp Cl B	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.7.638	TGI - Triumph Group Inc New Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.7.639	TRST - Trustco Bk Corp N Y	N/A	None (or less than \$1,001)	Dividends Capital Gains	None (or less than \$201)
7.7.640	TWTR - Twitter Inc Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.7.641	TWO - Two Harbors Investment Creit	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.7.642	TSN - Tyson Foods Inc Class A	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.7.643	UGI - U G I Corp	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.7.644	UBER - Uber Technologies Inc	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
7.7.645	UI - Ubiquiti Inc Com	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.7.646	UMBF - Umb Finl Corp Com	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.7.647	UAA - Under Armour Inc Cl A	N/A	None (or less than \$1,001)		None (or less than \$201)
7.7.648	UNP - Union Pacific Corp	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
7.7.649	UAL - United Aircls Hldgs Inc Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.7.650	UNH - United Health Group, Inc	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$201 - \$1,000
7.7.651	UPS - United Parcel Service, Inc	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.7.652	URI - United Rentals Inc	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.7.653	USM - United States Cellular Corp Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.7.654	UTX - United Technologies Corp	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.7.655	UTHR - United Therapeutics Corp Del Com	N/A	\$1,001 - \$15,000	Capital Gains	None (or less than \$201)
7.7.656	UTL - Unitil Corp	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.7.657	UHS - Universal Hlth Svcs Inc Cl B	N/A	None (or less than \$1,001)		None (or less than \$201)
7.7.658	USB - Us Bancorp Del Com New	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$201 - \$1,000
7.7.659	MTN - Vail Resorts Inc Com	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.7.660	VLO - Valero Energy Corp	N/A	None (or less than \$1,001)	Dividends Capital Gains	None (or less than \$201)
7.7.661	VEEV - Veeva Sys Inc Cl A Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.7.662	VTR - Ventas Inc Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
7.7.663	VRSN - Verisign Inc Com	N/A	None (or less than \$1,001)		None (or less than \$201)
7.7.664	VRSK - Verisk Analytics, Inc	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.7.665	VZ - Verizon Communicatn	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
7.7.666	VRTX - Vertex Pharmaceuticals Inc Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.7.667	VFC - Vf Corp	N/A	None (or less than \$1,001)	Dividends Capital Gains	None (or less than \$201)
7.7.668	VICI - Vici Pptys Inc Com	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.7.669	VHC - Virnetx Hldg Corp Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.7.670	V - Visa Inc Class A	N/A	None (or less than \$1,001)	Dividends Capital Gains	None (or less than \$201)
7.7.671	VOYA - Voya Financial Inc Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.7.672	VMC - Vulcan Matls Co Com	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.7.673	WAB - Wabtec Com	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.7.674	WBA - Walgreens Boots Alli	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.7.675	WMT - Wal-Mart Stores Inc	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
7.7.676	WAFD - Washington Federal	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
7.7.677	WM - Waste Management Inc	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.7.678	WBS - Webster Finl Corp Conn Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.7.679	WEC - Wec Energy Group Inc Com	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
7.7.680	WMK - Weis Markets Inc	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.7.681	WFC - Wells Fargo & Co	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.7.682	WELL - Welltower Inc Com	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.7.683	WU - Western Union Co	N/A	None (or less than \$1,001)	Dividends Capital Gains	None (or less than \$201)
7.7.684	WLK - Westlake Chemical Corp	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.7.685	WRK - Westrock Co Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.7.686	WEX - Wex Inc Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.7.687	WY - Weyerhaeuser Co Mtn Be Com New	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.7.688	WHR - Whirlpool Corp Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.7.689	WMB - Williams Companies	N/A	None (or less than \$1,001)	Dividends Capital Gains	None (or less than \$201)
7.7.690	WLTW - Willis Towers Watson P F	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
7.7.691	WOR - Worthington Inds Inc Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.7.692	WPC - Wp Carey Inc Com	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.7.693	WYND - Wyndham Destinations Inc	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.7.694	WYNN - Wynn Resorts	N/A	None (or less than \$1,001)	Dividends Capital Gains	None (or less than \$201)
7.7.695	XEL - Xcel Energy Inc Com	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.7.696	XRX - Xerox Holdings Corp	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.7.697	XLNX - Xilinx Inc Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.7.698	XYL - Xylem Inc Com	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.7.699	YETI - Yeti Hldgs Inc Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.7.700	YUM - Yum Brands Inc Com	N/A	None (or less than \$1,001)	Dividends Capital Gains	None (or less than \$201)
7.7.701	YUMC - Yum China Hldgs Inc Com	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.7.702	ZBRA - Zebra Technologies Corporation Cl A	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.7.703	ZM - Zoom Video Communications Inc Cl A	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.7.704	ZS - Zscaler Inc Com	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
7.7.705	ZYXI - Zynex Inc Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
8	701 Pennsylvania Avenue NW, PH18 LLC (Holds real property for personal use)	N/A	\$1,000,001 - \$5,000,000		None (or less than \$201)
9	James Hensley Qualified GST Exempt Trust DTD 5/17/2001	No			
9.1	James Hensley Qualified GST Exempt Trust DTD 5/17/2001 U.S. Brokerage Account #1	No			
9.1.1	Cash	N/A	\$15,001 - \$50,000	Interest	None (or less than \$201)
9.1.2	MWTIX - Metropolitan West Total Return Bond	Yes	\$250,001 - \$500,000		\$15,001 - \$50,000
9.1.3	DFIEX - DFA International Core Equity	Yes	\$100,001 - \$250,000		\$2,501 - \$5,000
9.1.4	DISVX - DFA Intl Small Cap Value Equity	Yes	\$100,001 - \$250,000		\$2,501 - \$5,000
9.1.5	QICRX - AQR Multi-Factor International	Yes	\$50,001 - \$100,000		\$201 - \$1,000
9.1.6	DFEMX - DFA Emerging Markets Portfolio	Yes	\$250,001 - \$500,000		\$2,501 - \$5,000
9.1.7	QECRX - AQR Multi-Factor Emerging Markets	Yes	\$15,001 - \$50,000		\$201 - \$1,000
9.1.8	PMFLX - PIMCO Flexible Muni Income Institutional	Yes	\$250,001 - \$500,000		\$5,001 - \$15,000
9.1.9	PRDEX - PREDEX Core Real Estate	Yes	\$100,001 - \$250,000		\$5,001 - \$15,000
9.1.10	SHRIX - Stone Ridge High Yield Reinsurance	Yes	\$100,001 - \$250,000		\$5,001 - \$15,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
9.1.11	SRRIX - Stone Ridge Interval Reinsurance	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
9.1.12	DFCEX - DFA Emerging Mkts Core Eqty Port Instl	Yes	None (or less than \$1,001)		\$201 - \$1,000
9.1.13	VMLUX - Vanguard LTD Term Tax Exempt Admiral Share	Yes	None (or less than \$1,001)		\$201 - \$1,000
9.1.14	VWIUX - Vanguard Interm Term Tax Exempt Fd Admiral Share	Yes	None (or less than \$1,001)		\$5,001 - \$15,000
9.2	James Hensley Qualified GST Exempt Trust DTD 5/17/2001 U.S. Brokerage Account #2	No			
9.2.1	AAN - The Aarons Company Inc Com	N/A	\$1,001 - \$15,000	Dividends Capital Gains	None (or less than \$201)
9.2.2	AAOI - Applied Optoelectron	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
9.2.3	AAP - Advance Auto Parts Inc Com	N/A	\$1,001 - \$15,000	Dividends Capital Gains	None (or less than \$201)
9.2.4	AAPL - Apple Inc	N/A	\$50,001 - \$100,000	Dividends Capital Gains	\$2,501 - \$5,000
9.2.5	AAT - American Assets Trus Reit	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
9.2.6	AAWW - Atlas Air Worldwide Hldgs Inc Com New	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.2.7	ABBV - Abbvie Inc	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$201 - \$1,000
9.2.8	ABCB - Ameris Bancorp	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
9.2.9	ABG - Asbury Automotive Group Inc Com	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
9.2.10	ABM - Abm Inds Inc Com	N/A	\$1,001 - \$15,000	Dividends Capital Gains	None (or less than \$201)
9.2.11	ABT - Abbott Laboratories Inc	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
9.2.12	ACA - Arcosa Inc Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
9.2.13	ACLS - Axcelis Technologies Inc Com New	N/A	None (or less than \$1,001)		None (or less than \$201)
9.2.14	ACM - Aecom Com	N/A	None (or less than \$1,001)		None (or less than \$201)
9.2.15	ACN - Accenture Ltd	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
9.2.16	ADBE - Adobe Systems Incorporated Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.2.17	ADI - Analog Devices Inc Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
9.2.18	ADP - Automatic Data Processing Inc	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
9.2.19	ADS - Alliance Data System	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.2.20	ADSK - Autodesk Inc Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.2.21	ADTN - Adtran Inc Com	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.2.22	AEE - Ameren Corp	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.2.23	AEL - American Eqty Invt Life Hld Co Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
9.2.24	AEP - American Electric Power Inc	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.2.25	AFL - Aflac Inc	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
9.2.26	AGCO - Agco Corp Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
9.2.27	AGN - Allergan Plc Fmandatory Merger Eff: 05/11/20 (Allergan stock was bought out when Abbvie acquired Allergan. Filer received some cash and some stock in Abbvie.)	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.2.28	AGNC - Agnc Invt Corp Com	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.2.29	AHH - Armada Hoffler Pptys Reit	N/A	None (or less than \$1,001)	Dividends Capital Gains	None (or less than \$201)
9.2.30	AIG - American International Group, Inc	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
9.2.31	AIR - AAR Corp	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.2.32	AIT - Applied Indl Technologies Inc Com	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.2.33	AKR - Acadia Realty Tr Reit	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
9.2.34	ALE - Allete Inc Com New	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
9.2.35	ALEX - Alexander & Baldwin	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
9.2.36	ALGN - Align Technology Inc Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.2.37	ALLE - Allegion Plc Ord Shs	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
9.2.38	ALNY - Alnylam Pharmaceuticals Inc Com	N/A	None (or less than \$1,001)		None (or less than \$201)
9.2.39	ALSN - Allison Transmission Hldgs Inc Com	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.2.40	ALXN - Alexion Pharma Inc	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.2.41	AM - Antero Midstream Corp Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
9.2.42	AMAT - Applied Matls Inc Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
9.2.43	AMD - Advanced Micro Devices Inc Com	N/A	\$15,001 - \$50,000		None (or less than \$201)
9.2.44	AMGN - Amgen Inc	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
9.2.45	AMPH - Amphastar Pharma Inc	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
9.2.46	AMSF - Amerisafe Inc Com	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.2.47	AMT - American Tower Corp New Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
9.2.48	AMTD - Td Ameritrade Hldgs	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.2.49	AMZN - Amazon.Com Inc	N/A	\$15,001 - \$50,000		None (or less than \$201)
9.2.50	ANGO - Angiodynamics Inc	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
9.2.51	ANTM - Anthem Inc	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
9.2.52	AOUT - American Outdoor Brands	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.2.53	APA - Apache Corp	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.2.54	APD - Air Products & Chemicals Inc	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.2.55	APEI - American Public Education Inc Com	N/A	None (or less than \$1,001)		None (or less than \$201)
9.2.56	APOG - Apogee Enterprises	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
9.2.57	APPS - Digital Turbine Inc Com New	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.2.58	ARCB - Arcbest Corp Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
9.2.59	ARE - Alexandria Real Estate Eq Inc Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
9.2.60	ARI - Apollo Coml Real Est Fin Inc Com	N/A	\$1,001 - \$15,000	Dividends Capital Gains	None (or less than \$201)
9.2.61	ARLO - Arlo Technologies Inc Com	N/A	None (or less than \$1,001)		None (or less than \$201)
9.2.62	AROC - Archrock Inc Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
9.2.63	ASIX - Advansix Inc Com	N/A	None (or less than \$1,001)		None (or less than \$201)
9.2.64	ASTE - Astec Inds Inc Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
9.2.65	ATVI - Activision Blizzard Inc Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
9.2.66	AVA - Avista Corp	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
9.2.67	AVAV - Aerovironment Inc Com	N/A	None (or less than \$1,001)		None (or less than \$201)
9.2.68	AVB - Avalonbay Cmnty Inc Reit	N/A	None (or less than \$1,001)	Dividends Capital Gains	None (or less than \$201)
9.2.69	AVGO - Broadcom Inc Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
9.2.70	AVNS - Avanos Medical Inc	N/A	None (or less than \$1,001)		None (or less than \$201)
9.2.71	AVT - Avnet Inc	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.2.72	AXL - American Axle & Mfg Hldgs Inc Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.2.73	AXNX - Axonics Modulation Technologie Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.2.74	AXP - Americanexpress Co	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
9.2.75	AXS - Axis Cap Hldgs Ltd Shs	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.2.76	AZZ - Azz Inc Com	N/A	\$1,001 - \$15,000	Dividends Capital Gains	None (or less than \$201)
9.2.77	B - Barnes Group Inc Com	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.2.78	BA - Boeing Company	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
9.2.79	BAC - Bank Of America Corp	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
9.2.80	BANC - Banc Calif Inc	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
9.2.81	BANR - Banner Corp	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
9.2.82	BATRA - Liberty Media Corp Del Com A Braves Grp	N/A	None (or less than \$1,001)		None (or less than \$201)
9.2.83	BATRK - Liberty Media Corp Del Com C Braves Grp	N/A	None (or less than \$1,001)		None (or less than \$201)
9.2.84	BAX - Baxter Int'L Inc	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
9.2.85	BCC - Boise Cascade Co Del Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
9.2.86	BDX - Becton Dickinson Co	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
9.2.87	BELFB - Bel Fuse Inc CI B	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.2.88	BEPC - Brookfield Renewable Corp CI A Sub Vtg	N/A	\$1,001 - \$15,000	Dividends Capital Gains	None (or less than \$201)
9.2.89	BERY - Berry Global Group Inc Com	N/A	None (or less than \$1,001)		None (or less than \$201)
9.2.90	BFIN - Bankfinl Corp	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.2.91	BGS - B & G Foods Inc New Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
9.2.92	BHE - Benchmark Electrs Inc Com	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.2.93	BHR - Braemar Hotels & Resorts	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.2.94	BIG - Big Lots Inc Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
9.2.95	BIIB - Biogen Idec Inc	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.2.96	BK - Bank New York Mellon Corp Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
9.2.97	BKNG - Booking Holdings Inc	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
9.2.98	BLK - Blackrock, Inc	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
9.2.99	BLMN - Bloomin Brands Inc Com	N/A	None (or less than \$1,001)		None (or less than \$201)
9.2.100	BLUE - Bluebird Bio Inc Com	N/A	None (or less than \$1,001)		None (or less than \$201)
9.2.101	BMI - Badger Meter Inc Com	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.2.102	BMY - Bristol-Myers Squibb Co	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$201 - \$1,000
9.2.103	BOH - Bank Hawaii Corp Com	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.2.104	BPOP - Popular Inc Com New	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
9.2.105	BRC - Brady Corp Cl A	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.2.106	BRG - Bluerock Residential Reit	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.2.107	BRKB - Berkshire Hathaway Inc Del Cl B New	N/A	\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000
9.2.108	BRKL - Brookline Bancorp Inc Del Com	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.2.109	BRKR - Bruker Corp Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
9.2.110	BSX - Boston Scientific Corp Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.2.111	BWA - Borg Warner Inc	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.2.112	BXP - Boston Properties	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.2.113	C - Citigroup Inc	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
9.2.114	CADE - Cadence Bancorporation Cl A	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
9.2.115	CAG - Conagra Foods Inc	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.2.116	CAL - Caleres Inc Com	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.2.117	CALM - Cal Maine Foods Inc Com New	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
9.2.118	CAMP - Calamp Corp Com	N/A	None (or less than \$1,001)		None (or less than \$201)
9.2.119	CARR - Carrier Global Corporation Com	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.2.120	Cash	N/A	\$15,001 - \$50,000	Interest	None (or less than \$201)
9.2.121	CATM - Cardtronics Inc Fclass A	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
9.2.122	CB - Chubb Ltd F	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.2.123	CBB - Cincinnati Bell Inc New Com New	N/A	None (or less than \$1,001)		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
9.2.124	CBT - Cabot Corp Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
9.2.125	CC - Chemours Co Com	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.2.126	CCI - Crown Castle Intl Corp New Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
9.2.127	CCL - Carnival Corp F	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.2.128	CCS - Century Cmnty Inc Com	N/A	None (or less than \$1,001)		None (or less than \$201)
9.2.129	CCXI - Chemocentryx Inc	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
9.2.130	CDK - Cdk Global, Inc	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.2.131	CDLX - Cardlytics Inc	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
9.2.132	CEIX - Consol Energy Inc	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
9.2.133	CELH - Celsius Hldgs Inc Com New	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.2.134	CENT - Central Garden & Pet Co Com	N/A	None (or less than \$1,001)		None (or less than \$201)
9.2.135	CENTA - Central Garden & Pet Co Cl A Non-Vtg	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.2.136	CENX - Century Alum Co Com	N/A	None (or less than \$1,001)		None (or less than \$201)
9.2.137	CERN - Cerner Corp	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
9.2.138	CEVA - Ceva Inc Com	N/A	None (or less than \$1,001)		None (or less than \$201)
9.2.139	CF - Cf Industries Hldg	N/A	None (or less than \$1,001)	Dividends Capital Gains	None (or less than \$201)
9.2.140	CFG - Citizens Finl Group Inc Com	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
9.2.141	CHCO - City Holding Co	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
9.2.142	CHDN - Churchill Downs Inc Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
9.2.143	CHEF - Chefs Warehouse Inc	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
9.2.144	CHMI - Cherry Hill Mtg Invt Corp Com	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.2.145	CHTR - Charter Communications Inc New Cl A	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.2.146	CI - Cigna Corp	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
9.2.147	CIEN - Ciena Corp Com New	N/A	None (or less than \$1,001)		None (or less than \$201)
9.2.148	CL - Colgate-Palmolive Co	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
9.2.149	CLB - Core Laboratories F	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
9.2.150	CLDR - Cloudera Inc Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.2.151	CLF - Cleveland-Cliffs Inc New Com	N/A	\$1,001 - \$15,000	Capital Gains	None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
9.2.152	CLNY - Colony Capital Inc New	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.2.153	CLR - Continental Resource	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
9.2.154	CLW - Clearwater Paper Corp Com	N/A	None (or less than \$1,001)		None (or less than \$201)
9.2.155	CLX - Clorox Co	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.2.156	CMCSA - Comcast Corp Cl A	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
9.2.157	CME - Cme Group Inc Com	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.2.158	CMO - Capstead Mtg Corp Com No Par	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.2.159	CNK - Cinemark Holdings	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
9.2.160	CNO - Cno Finl Group Inc Com	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.2.161	CNSL - Consolidated Comm Hldgs Inc Com	N/A	None (or less than \$1,001)		None (or less than \$201)
9.2.162	CNXN - P C Connection Inc	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
9.2.163	COF - Capital One Financial Corp	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
9.2.164	COG - Cabot Oil & Gas Corp	N/A	None (or less than \$1,001)	Dividends Capital Gains	None (or less than \$201)
9.2.165	COHU - Coahu Inc Com	N/A	None (or less than \$1,001)		None (or less than \$201)
9.2.166	COKE - Coca Cola Cons Inc Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
9.2.167	COLB - Columbia Bkg Sys Inc Com	N/A	\$1,001 - \$15,000	Dividends Capital Gains	None (or less than \$201)
9.2.168	CONE - Cyrusone Llc Reit	N/A	None (or less than \$1,001)	Dividends Capital Gains	None (or less than \$201)
9.2.169	CONN - Conns Inc Com	N/A	None (or less than \$1,001)		None (or less than \$201)
9.2.170	COOP - Mr Cooper Group Inc Com	N/A	\$15,001 - \$50,000		None (or less than \$201)
9.2.171	COP - Conocophillips	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.2.172	CORE - Core Mark Holding Co	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
9.2.173	CORT - Corcept Therapeutics Inc Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.2.174	COST - Costco Wholesale Corp	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
9.2.175	CPRI - Capri Holdings Ltd F	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
9.2.176	CPT - Camden Ppty Tr Sh Ben Int	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.2.177	CRM - Salesforce Com Inc Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.2.178	CRMT - Americas Car-Mart Inc Com	N/A	None (or less than \$1,001)		None (or less than \$201)
9.2.179	CRNC - Cerence Inc Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.2.180	CSCO - Cisco Systems Inc	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
9.2.181	CSFL - Centerstate Bank Cor	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
9.2.182	CSX - Csx Corp Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
9.2.183	CTB - Cooper Tire & Rubr Co Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
9.2.184	CTL - Centurylink Inc	N/A	None (or less than \$1,001)	Dividends Capital Gains	None (or less than \$201)
9.2.185	CTT - Catchmark Timber Tr Reit	N/A	None (or less than \$1,001)	Dividends Capital Gains	None (or less than \$201)
9.2.186	CTVA - Corteva Inc Com	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.2.187	CUB - Cubic Corp Com	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.2.188	CUBI - Customers Bancorp	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
9.2.189	CVBF - C V B Finl Corp	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.2.190	CVET - Covetrus Inc Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.2.191	CVNA - Carvana Co Cl A	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.2.192	CVS - CVS Health	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.2.193	CVX - Chevron Corp	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
9.2.194	CWT - Ca Water Service Grp	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
9.2.195	CXO - Concho Resources Inc	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
9.2.196	CYTK - Cytokinetics Inc Com New	N/A	None (or less than \$1,001)		None (or less than \$201)
9.2.197	D - Dominion Energy	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
9.2.198	DAL - Delta Air Lines Inc	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
9.2.199	DAN - Dana Holding Corp 00500	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.2.200	DCOM - Dime Cmnty Bancshare	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
9.2.201	DD - Dupont De Nemours Inc	N/A	None (or less than \$1,001)	Dividends Capital Gains	None (or less than \$201)
9.2.202	DDOG - Datadog Inc Cl A Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.2.203	DDS - Dillards Inc Cl A	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
9.2.204	DE - Deere & Co	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.2.205	DEA - Easterly Govt Pptys Reit	N/A	None (or less than \$1,001)	Dividends Capital Gains	None (or less than \$201)
9.2.206	DELL - Dell Technologies	N/A	None (or less than \$1,001)		None (or less than \$201)
9.2.207	DFIN - Donnelley Finl Solutions Inc Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.2.208	DFS - Discover Financial Services	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
9.2.209	DG - Dollar Gen Corp New Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
9.2.210	DGX - Quest Diagnostics Inc	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.2.211	DHR - Danaher Corp	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
9.2.212	DIS - Disney Walt Co	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
9.2.213	DLR - Digital Realty Trust Reit	N/A	None (or less than \$1,001)	Dividends Capital Gains	None (or less than \$201)
9.2.214	DLTR - Dollar Tree Inc Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.2.215	DNOW - Now Inc	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
9.2.216	DOC - Physicians Rlty Tr Com	N/A	None (or less than \$1,001)	Dividends Capital Gains	None (or less than \$201)
9.2.217	DOCU - DocuSign Inc Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.2.218	DORM - Dorman Prods Inc Com	N/A	None (or less than \$1,001)		None (or less than \$201)
9.2.219	DOW - Dow Inc Com	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.2.220	DOX - Amdocs Ltd Shs	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
9.2.221	DRH - Diamondrock Hospital Reit	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
9.2.222	DUK - Duke Energy Corp New Com New	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.2.223	DVN - Devon Energy	N/A	\$1,001 - \$15,000	Dividends Capital Gains	None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
9.2.224	DXC - Dxc Technology Co Com	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.2.225	DXCM - Dexcom Inc Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.2.226	DXPE - Dxp Enterprises Inc Com New	N/A	None (or less than \$1,001)		None (or less than \$201)
9.2.227	EA - Electronic Arts Inc Com	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.2.228	EBAY - Ebay Inc	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
9.2.229	EBS - Emergent Biosolutions Inc Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.2.230	ECHO - Echo Global Logistics Inc Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.2.231	ECL - Ecolab Inc	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
9.2.232	ECOL - Us Ecology Inc	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
9.2.233	ECPG - Encore Cap Group Inc Com	N/A	None (or less than \$1,001)		None (or less than \$201)
9.2.234	EE - El Paso Electric Co	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.2.235	EFX - Equifax Inc Com	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.2.236	EHC - Encompass Health Corp Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
9.2.237	EIG - Employers Holdings Inc Com	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
9.2.238	EIX - Edison Interntnl	N/A	None (or less than \$1,001)	Dividends Capital Gains	None (or less than \$201)
9.2.239	EL - Lauder Estee Cos Inc Cl A	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
9.2.240	EMN - Eastman Chem Co Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
9.2.241	EMR - Emerson Electric Company	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
9.2.242	ENB - Enbridge Inc F	N/A	None (or less than \$1,001)	Dividends Capital Gains	None (or less than \$201)
9.2.243	ENPH - Enphase Energy Inc Com	N/A	\$15,001 - \$50,000		None (or less than \$201)
9.2.244	ENSG - Ensign Group Inc Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
9.2.245	EOG - Eog Resources Inc	N/A	None (or less than \$1,001)	Dividends Capital Gains	None (or less than \$201)
9.2.246	EPAC - Enerpac Tool Group Corp	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
9.2.247	EPAY - Bottomline Tech Del Inc Com	N/A	None (or less than \$1,001)		None (or less than \$201)
9.2.248	EQIX - Equinix Inc Reit	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
9.2.249	EQT - Eqt Corp Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
9.2.250	ESSA - Essa Bancorp	N/A	None (or less than \$1,001)	Dividends Capital Gains	None (or less than \$201)
9.2.251	ETH - Ethan Allen Interiors Inc Com	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
9.2.252	ETN - Eaton Corp Plc Shs	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.2.253	EURN - Euronav Nv Shs	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.2.254	EVRG - Eversource Inc Com	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.2.255	EWBC - East West Bancorp Inc Com	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.2.256	EXAS - Exact Sciences Corp Com	N/A	None (or less than \$1,001)		None (or less than \$201)
9.2.257	EXEL - Exelixis Inc Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.2.258	EXPE - Expedia Group Inc Com New	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
9.2.259	EZPW - Ezcourt Inc N-Vt Class A	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
9.2.260	FANG - Diamondback Energy	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.2.261	FARO - Faro Technologies Inc Com	N/A	None (or less than \$1,001)		None (or less than \$201)
9.2.262	FB - Facebook, Inc	N/A	\$15,001 - \$50,000		None (or less than \$201)
9.2.263	FBC - Flagstar Bancorp Inc Com Par .001	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.2.264	FBK - Fb Finl Corp Com	N/A	None (or less than \$1,001)	Dividends Capital Gains	None (or less than \$201)
9.2.265	FBP - First Bancorp P R Com New	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
9.2.266	FCF - First Comwlth Finl Corp Pa Com	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.2.267	FCPT - Four Corners Property Tr	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
9.2.268	FCX - Freeport-Mcmoran Copper & Gold Inc	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
9.2.269	FDX - Fedex Corporation	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
9.2.270	FEYE - Fireeye Inc Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.2.271	FFBC - First Finl Bancorp	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
9.2.272	FIS - Fidelity Natl Info	N/A	None (or less than \$1,001)	Dividends Capital Gains	None (or less than \$201)
9.2.273	FITB - Fifth Third Bancorp	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.2.274	FIX - Comfort Sys Usa Inc Com	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.2.275	FIZZ - National Beverage Corp Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
9.2.276	FL - Foot Locker Inc	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.2.277	FLS - Flowserve Corp	N/A	None (or less than \$1,001)	Dividends Capital Gains	None (or less than \$201)
9.2.278	FMBI - First Midwest Bancrp	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
9.2.279	FMC - Fmc Corp Com New	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
9.2.280	FNB - F N B Corp Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
9.2.281	FNF - Fidelity National Financial	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.2.282	FOE - Ferro Corp Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.2.283	FRGI - Fiesta Restaurant Group Inc Com	N/A	None (or less than \$1,001)		None (or less than \$201)
9.2.284	FRT - Federal Realty Invt Tr Reit	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.2.285	FSP - Franklin Street Prop Reit	N/A	None (or less than \$1,001)	Dividends Capital Gains	None (or less than \$201)
9.2.286	FTV - Fortive Corp Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
9.2.287	FUL - Fuller H B Co Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
9.2.288	FWONA - Liberty Media Corp Series A Liberty Media	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
9.2.289	FWONK - Liberty Media Corp Series C Liberty Media	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
9.2.290	GATX - Gatx Corp Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
9.2.291	GBT - Genesco Inc	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
9.2.292	GBX - Greenbrier Cos Inc Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
9.2.293	GCO - Genesco Inc	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
9.2.294	GCP - Gcp Applied Technologies Inc Com	N/A	None (or less than \$1,001)		None (or less than \$201)
9.2.295	GD - General Dynamics Corp	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
9.2.296	GDOT - Green Dot Corp Cl A	N/A	\$1,001 - \$15,000	Capital Gains	None (or less than \$201)
9.2.297	GE - General Electric Co	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
9.2.298	GES - Guess Inc Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
9.2.299	GGG - Graco Inc Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
9.2.300	GHL - Greenhill & Co Inc Com	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.2.301	GIII - G Iii Apparel Group Ltd Com	N/A	\$1,001 - \$15,000	Capital Gains	None (or less than \$201)
9.2.302	GILD - Gilead Sciences Inc	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
9.2.303	GIS - General Mills Inc	N/A	None (or less than \$1,001)	Dividends Capital Gains	None (or less than \$201)
9.2.304	GKOS - Glaukos Corp Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.2.305	GLW - Corning Inc	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.2.306	GM - General Motors Co	N/A	None (or less than \$1,001)	Dividends Capital Gains	None (or less than \$201)
9.2.307	GME - Gamestop Corp New Cl A	N/A	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
9.2.308	GMS - Gms Inc Com	N/A	None (or less than \$1,001)		None (or less than \$201)
9.2.309	GNL - Global Net Lease Inc Com New	N/A	None (or less than \$1,001)	Dividends Capital Gains	None (or less than \$201)
9.2.310	GNRC - Generac Hldgs Inc Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.2.311	GOOG - Alphabet Inc Cl C	N/A	\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000
9.2.312	GOOGL - Alphabet Inc Cl A	N/A	\$1,001 - \$15,000	Capital Gains	\$1,001 - \$2,500
9.2.313	GPC - Genuine Parts Co	N/A	None (or less than \$1,001)	Dividends Capital Gains	None (or less than \$201)
9.2.314	GPI - Group 1 Automotive Inc Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
9.2.315	GPN - Global Payments Inc	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.2.316	GPOR - Gulfport Energy Co	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
9.2.317	GPRE - Green Plains Inc Com	N/A	None (or less than \$1,001)		None (or less than \$201)
9.2.318	GPS - Gap Inc	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.2.319	GS - Goldman Sachs Group	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
9.2.320	GTLS - Chart Inds Inc Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.2.321	GTX - Garrett Motion Inc	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
9.2.322	GTXMQ - Garrett Motion Inc	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
9.2.323	GTY - Getty Realty Corp Reit	N/A	None (or less than \$1,001)	Dividends Capital Gains	None (or less than \$201)
9.2.324	GVA - Granite Constr Inc Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
9.2.325	GWRE - Guidewire Software Inc Com	N/A	None (or less than \$1,001)		None (or less than \$201)
9.2.326	HA - Hawaiian Holdings	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
9.2.327	HAL - Halliburton Holding Co	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
9.2.328	HAYN - Haynes Interntnl	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
9.2.329	HBAN - Huntington Bancshares Inc Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
9.2.330	HCA - Hca Healthcare Inc Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
9.2.331	HCC - Warrior Met Coal Inc	N/A	None (or less than \$1,001)	Dividends Capital Gains	None (or less than \$201)
9.2.332	HD - Home Depot Inc	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
9.2.333	HDS - Hd Supply Hldgs Inc Mandatory Merger Eff: 12/28/20 (Filer owned Hd Supply Hldgs stock. When the stock was acquired filer received cash.)	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
9.2.334	HEI - Heico Corp New Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
9.2.335	HES - Hess Corp	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.2.336	HFWA - Heritage Finl	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
9.2.337	HI - Hillenbrand Inc Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
9.2.338	HIBB - Hibbett Sports Inc Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.2.339	HMN - Horace Mann Educators Corp New Com	N/A	\$1,001 - \$15,000	Dividends Capital Gains	None (or less than \$201)
9.2.340	HMSY - H M S Holdings Corp	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
9.2.341	HMTV - Hemisphere Media Grp Class A	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
9.2.342	HOG - Harley Davidson Inc Com	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.2.343	HON - Honeywell International Inc	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
9.2.344	HPE - Hewlett Packard Enterprise Co Com	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.2.345	HPQ - Hewlett-Packard Co	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
9.2.346	HRL - Hormel Foods Corp Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
9.2.347	HSC - Harsco Corp Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.2.348	HSII - Heidrick & Struggles Intl Inc Com	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.2.349	HSKA - Heska Corp Com Restrct New	N/A	None (or less than \$1,001)		None (or less than \$201)
9.2.350	HST - Host Hotels & Resort	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
9.2.351	HSY - Hershey Co Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
9.2.352	HT - Hersha Hospity Tr Reit	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
9.2.353	HTA - Hlthcare Tr Of Amer Reit	N/A	None (or less than \$1,001)	Dividends Capital Gains	None (or less than \$201)
9.2.354	HTLD - Heartland Express	N/A	None (or less than \$1,001)	Dividends Capital Gains	None (or less than \$201)
9.2.355	HUBG - Hub Group Inc Cl A	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
9.2.356	HUM - Humana Inc Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
9.2.357	HWC - Hancock Whitney Corporation Com	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$201 - \$1,000
9.2.358	HWKN - Hawkins Inc	N/A	None (or less than \$1,001)	Dividends Capital Gains	None (or less than \$201)
9.2.359	HZO - Marinemax Inc Com	N/A	None (or less than \$1,001)		None (or less than \$201)
9.2.360	IAC - Iac Interactivecorp New Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.2.361	IBM - Ibm Corp	N/A	None (or less than \$1,001)	Dividends Capital Gains	None (or less than \$201)
9.2.362	ICE - Intercontinental Exchange Inc Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
9.2.363	ICUI - I C U Medical Inc	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
9.2.364	IDA - Idacorp Inc Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
9.2.365	IIN - Insteel Inds Inc Com	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.2.366	ILMN - Illumina Inc Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.2.367	INN - Summit Hotel Ppty Reit	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
9.2.368	ILPT - Industrial Logistics Reit	N/A	None (or less than \$1,001)	Dividends Capital Gains	None (or less than \$201)
9.2.369	IMKTA - Ingles Markets Inc Class A	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.2.370	INFO - Ihs Markit Ltd Shs	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.2.371	INOV - Inovalon Hldgs Inc Com Cl A	N/A	None (or less than \$1,001)		None (or less than \$201)
9.2.372	INTC - Intel Corp	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
9.2.373	INTU - Intuit Com	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$201 - \$1,000
9.2.374	IOVA - Iovance Biotherapeutics	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
9.2.375	IP - Interntnl Paper	N/A	None (or less than \$1,001)	Dividends Capital Gains	None (or less than \$201)
9.2.376	IPG - Interpublic Grp Cos	N/A	None (or less than \$1,001)	Dividends Capital Gains	None (or less than \$201)
9.2.377	IR - Ingersoll Rand Inc Com	N/A	\$1,001 - \$15,000	Dividends Capital Gains	None (or less than \$201)
9.2.378	IRBT - Irobot Corp Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.2.379	IRDM - Iridium Communications Inc Com	N/A	\$1,001 - \$15,000	Capital Gains	None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
9.2.380	IRT - Independence Realty Reit	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
9.2.381	ITW - Illinois Tool Works Inc	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
9.2.382	IVC - Invacare Corp Com	N/A	None (or less than \$1,001)		None (or less than \$201)
9.2.383	IVR - Invesco Mtg Cap Reit	N/A	None (or less than \$1,001)	Dividends Capital Gains	None (or less than \$201)
9.2.384	J - Jacobs Engr Group Inc Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
9.2.385	JBGS - J B G Smith Properties Reit	N/A	None (or less than \$1,001)	Dividends Capital Gains	None (or less than \$201)
9.2.386	JCI - Johnson Ctls Intl Plc Shs	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
9.2.387	JEF - Jefferies Finl	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.2.388	JJSF - J & J Snack Foods Corp Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
9.2.389	JKHY - Henry Jack & Assoc Inc Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
9.2.390	JNJ - Johnson & Johnson	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
9.2.391	JPM - Jp Morgan Chase & Co	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
9.2.392	JRVR - James Riv Group Ltd Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
9.2.393	KAMN - Kaman Corp	N/A	None (or less than \$1,001)	Dividends Capital Gains	None (or less than \$201)
9.2.394	KDP - Keurig Dr Pepper Inc	N/A	None (or less than \$1,001)	Dividends Capital Gains	None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
9.2.395	KELYA - Kelly Svcs Inc Cl A	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.2.396	KEY - Keycorp Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
9.2.397	KFY - Korn Ferry	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
9.2.398	KLIC - Kulicke & Soffa Inds Inc Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
9.2.399	KMB - Kimberly-Clark	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
9.2.400	KMI - Kinder Morgan Inc	N/A	None (or less than \$1,001)	Dividends Capital Gains	None (or less than \$201)
9.2.401	KNX - Knight Swift Transportatclass A	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
9.2.402	KO - The Coca-Cola Co	N/A	None (or less than \$1,001)	Dividends Capital Gains	None (or less than \$201)
9.2.403	KOS - Kosmos Energy Ltd	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.2.404	KR - Kroger Co Com	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.2.405	KRA - Kraton Corporation Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.2.406	KREF - Kkr Real Estate Fin Tr Inc Com	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.2.407	KRG - Kite Realty Grp Tr Reit	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
9.2.408	KRO - Kronos Worldwide Inc Com	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
9.2.409	KTB - Kontoor Brands Inc	N/A	None (or less than \$1,001)	Dividends Capital Gains	None (or less than \$201)
9.2.410	KWR - Quaker Chemical Corp	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
9.2.411	LAND - Gladstone Ld Corp Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
9.2.412	LBRDA - Liberty Broadband Corp Com Ser A	N/A	None (or less than \$1,001)		None (or less than \$201)
9.2.413	LBRDK - Liberty Broadband Corp Com Ser C	N/A	\$1,001 - \$15,000	Dividends Capital Gains	None (or less than \$201)
9.2.414	LCI - Lannet Inc Com	N/A	None (or less than \$1,001)		None (or less than \$201)
9.2.415	LDOS - Leidos Holdings Inc Com	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$201 - \$1,000
9.2.416	LFUS - Littelfuse Inc Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
9.2.417	LHX - L3Harris Technologies	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
9.2.418	LL - Lumber Liquidators Hldgs Inc Com	N/A	None (or less than \$1,001)		None (or less than \$201)
9.2.419	LLY - Eli Lilly & Co	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
9.2.420	LMNX - Luminex Corp Del	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.2.421	LMT - Lockheed Martin Corp	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.2.422	LNG - Cheniere Energy Inc Com New	N/A	None (or less than \$1,001)		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
9.2.423	LNN - Lindsay Corp Com	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.2.424	LNT - Alliant Energy Corp Com	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.2.425	LNTH - Lantheus Holdings Inc	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
9.2.426	LOCO - El Pollo Loco Hldgs Inc Com	N/A	None (or less than \$1,001)		None (or less than \$201)
9.2.427	LOGM - Logmein Inc	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
9.2.428	LOW - Lowes Companies	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
9.2.429	LPG - Dorian Lpg Ltd Shs Usd	N/A	None (or less than \$1,001)		None (or less than \$201)
9.2.430	LPLA - Lpl Finl Hldgs Inc Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
9.2.431	LQDT - Liquidity Services Inc Com	N/A	None (or less than \$1,001)		None (or less than \$201)
9.2.432	LSI - Life Storage Inc Com	N/A	None (or less than \$1,001)	Dividends Capital Gains	None (or less than \$201)
9.2.433	LSXMA - Liberty Media Corp Del Com A Siriusxm	N/A	None (or less than \$1,001)		None (or less than \$201)
9.2.434	LSXMR - Liberty Media Cor 20 Rtsrights Exercise Exp: 06/05/20 (Rights were issued by tickers LSXMA and LSXMK, sold on the market)	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
9.2.435	LSXMK - Liberty Media Corp Del Com C Siriusxm	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.2.436	LTC - Ltc Pptys Inc Com	N/A	None (or less than \$1,001)	Dividends Capital Gains	None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
9.2.437	LTHM - Livent Corp	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
9.2.438	LULU - Lululemon Athletica Inc Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.2.439	LUV - Southwest Airlines	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.2.440	LW - Lamb Weston Hldgs Inc Com	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.2.441	LXP - Lexington Realty Tr Reit	N/A	None (or less than \$1,001)	Dividends Capital Gains	None (or less than \$201)
9.2.442	LYB - Lyondellbasell Inds Fclass A	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.2.443	LYFT - Lyft Inc Class A	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
9.2.444	LYV - Live Nation Entertainment Inc Com	N/A	None (or less than \$1,001)		None (or less than \$201)
9.2.445	M - Macy'S Inc	N/A	\$1,001 - \$15,000	Capital Gains	None (or less than \$201)
9.2.446	MA - Mastercard Inc	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
9.2.447	MAN - Manpowergroup Inc Com	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.2.448	MAR - Marriott Intl Inc New Cl A	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
9.2.449	MATX - Matson Inc	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
9.2.450	MCD - Mcdonalds Corp	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
9.2.451	MCK - Mckesson Corp	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
9.2.452	MCRI - Monarch Casino & Resort Inc Com	N/A	None (or less than \$1,001)		None (or less than \$201)
9.2.453	MDC - M D C Hldgs Inc Com	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.2.454	MDLZ - Mondelez Intl Inc Cl A	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
9.2.455	MDP - Meredith Corp	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
9.2.456	MDT - Medtronic Plc	N/A	\$1,001 - \$15,000	Dividends Capital Gains	None (or less than \$201)
9.2.457	MEI - Methode Electrs Inc Com	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.2.458	MET - Metlife Inc	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.2.459	MGEE - Mge Energy Inc Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
9.2.460	MGLN - Magellan Health Inc	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.2.461	MGY - Magnolia Oil & Gas Corp Class A	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
9.2.462	MHO - M/I Homes Inc Com	N/A	None (or less than \$1,001)		None (or less than \$201)
9.2.463	MIK - Michaels Cos Inc Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.2.464	MLI - Mueller Inds Inc Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
9.2.465	MLM - Martin Marietta Matls Inc Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
9.2.466	MMC - Marsh & McLennan Co	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.2.467	MMM - 3M Company	N/A	\$1,001 - \$15,000	Dividends Capital Gains	None (or less than \$201)
9.2.468	MMSI - Merit Med Sys Inc Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.2.469	MNR - Monmouth Real Estate Invst Corp Cl A	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
9.2.470	MNRL - Brigham Minerals Inc Class A	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.2.471	MNRO - Monro Inc	N/A	None (or less than \$1,001)	Dividends Capital Gains	None (or less than \$201)
9.2.472	MNST - Monster Beverage Corp New Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.2.473	MO - Altria Group Inc	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.2.474	MODV - Modivcare Inc Com	N/A	None (or less than \$1,001)		None (or less than \$201)
9.2.475	MOG A - Moog Inc Cl A	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
9.2.476	MOV - Movado Group Inc	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
9.2.477	MPC - Marathon Pete Corp	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.2.478	MPW - Medical Pptys Trust Inc Com	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
9.2.479	MRK - Merck & Co Inc	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$201 - \$1,000
9.2.480	MRNA - Moderna Inc Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.2.481	MRO - Marathon Oil Corp	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
9.2.482	MRTN - Marten Trans Ltd Com	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.2.483	MRVL - Marvell Technology Group Ltd Ord	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
9.2.484	MS - Morgan Stanley	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
9.2.485	MSFT - Microsoft	N/A	\$50,001 - \$100,000	Dividends Capital Gains	\$201 - \$1,000
9.2.486	MSGE - Madison Square Garden Enclass A	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
9.2.487	MSI - Motorola Solutions Inc Com New	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
9.2.488	MTB - M & T Bk Corp Com	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.2.489	MTCH - Match Group Inc New Com	N/A	\$1,001 - \$15,000	Dividends Capital Gains	None (or less than \$201)
9.2.490	MTG - Mjic Invt Corp Wis Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
9.2.491	MTH - Meritage Homes Corp Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.2.492	MTOR - Meritor Inc Com	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
9.2.493	MTRN - Materion Corp	N/A	None (or less than \$1,001)	Dividends Capital Gains	None (or less than \$201)
9.2.494	MU - Micron Technology Inc Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.2.495	MXL - Maxlinear Inc Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.2.496	MYE - Myers Inds Inc Com	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.2.497	MYGN - Myriad Genetics Inc Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.2.498	NBIX - Neurocrine Biosciences Inc Com	N/A	None (or less than \$1,001)		None (or less than \$201)
9.2.499	NBTB - Nbt Bancorp Inc Com	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.2.500	NDSN - Nordson Corp Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
9.2.501	NEE - Nextera Energy, Inc	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
9.2.502	NEM - Newmont Mining Corp	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
9.2.503	NEOG - Neogen Corp	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
9.2.504	NET - Cloudflare Inc Cl A Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.2.505	NEX - Nextier Oilfield Solutio	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
9.2.506	NFLX - Netflix Inc	N/A	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
9.2.507	NG - Novagold Res Inc F	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
9.2.508	NGVT - Ingevity Corp Com	N/A	None (or less than \$1,001)		None (or less than \$201)
9.2.509	NHI - National Health Invs Inc Com	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.2.510	NI - Nisource Inc 00500	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.2.511	NJR - New Jersey Res Corp Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
9.2.512	NKE - Nike Int'L Cl B	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
9.2.513	NLOK - Nortonlifelock Inc	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
9.2.514	NOC - Northrop Grumman Co	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.2.515	NOV - Ntnl Oilwell Var	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.2.516	NOW - Servicenow Inc Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.2.517	NP - Neenah Inc Com	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.2.518	NPK - Ntnl Presto Inds	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
9.2.519	NPO - Enpro Inds Inc Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
9.2.520	NRZ - New Residential Inve Reit	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
9.2.521	NSA - Ntnl Storage Aff	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
9.2.522	NSC - Norfolk Southern Corp	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.2.523	NSIT - Insight Enterprises Inc Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.2.524	NTAP - Netapp Inc Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
9.2.525	NTGR - Netgear Inc Com	N/A	None (or less than \$1,001)		None (or less than \$201)
9.2.526	NTUS - Natus Medical Inc	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
9.2.527	NUE - Nucor Corp	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.2.528	NVDA - Nvidia Corporation	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
9.2.529	NVT - Nvent Electric Plc Shs	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.2.530	NWE - Northwestern Corp Com New	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
9.2.531	NWN - Northwest Natural Holdin	N/A	None (or less than \$1,001)	Dividends Capital Gains	None (or less than \$201)
9.2.532	NXGN - Nextgen Healthcare Inc Com	N/A	None (or less than \$1,001)		None (or less than \$201)
9.2.533	NXRT - Nexpoint Residential Trureit	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
9.2.534	NXST - Nexstar Media Group Class A	N/A	None (or less than \$1,001)	Dividends Capital Gains	None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
9.2.535	NYMT - New York Mtg Tr Inc Com Par \$.02	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
9.2.536	ODC - Oil Dri Corp Amer Com	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.2.537	ODP - The Odp Corp Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.2.538	OFG - Ofg Bancorp Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
9.2.539	OFIX - Orthofix Medical Inc	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
9.2.540	OII - Oceaneering Intl Inc Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.2.541	OIS - Oil States Intl	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
9.2.542	OKE - Oneok Inc New Com	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.2.543	OKTA - Okta Inc Cl A	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.2.544	OLN - Olin Corp	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.2.545	OMC - Omnicom Group Inc	N/A	None (or less than \$1,001)	Dividends Capital Gains	None (or less than \$201)
9.2.546	OMI - Owens & Minor Inc New Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
9.2.547	ONTO - Onto Innovation Inc Com	N/A	None (or less than \$1,001)		None (or less than \$201)
9.2.548	OPB - Opus Bank	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
9.2.549	OPI - Office Pptys Income Tr Reit	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
9.2.550	ORCL - Oracle Corporation	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
9.2.551	ORLY - Oreilly Automotive Inc Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.2.552	OSUR - Orasure Technologies Inc Com	N/A	None (or less than \$1,001)		None (or less than \$201)
9.2.553	OTIS - Otis Worldwide Corp Com	N/A	None (or less than \$1,001)	Dividends Capital Gains	None (or less than \$201)
9.2.554	OXM - Oxford Inds Inc F	N/A	None (or less than \$1,001)	Dividends Capital Gains	None (or less than \$201)
9.2.555	OXY - Occidental Petrol Co	N/A	None (or less than \$1,001)	Dividends Capital Gains	None (or less than \$201)
9.2.556	OXYWS - Occidental Petrol 27 Wtsarrants Exp 08/03/27 (OXY issued warrants which were sold on the market)	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.2.557	OZK - Occidental Petrol 27 Wtsarrants Exp 08/03/27 (Warrants were issued and sold on the market)	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
9.2.558	PAASF - Pan American Silv 29 Rtfrights Exp 02/22/29 (Were issued CVRs, ticker was updated to PAASF, and PAASF was sold for cash)	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
9.2.559	PAHC - Phibro Animal Health Class A	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
9.2.560	PACW - Pacwest Bancorp	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.2.561	PAYX - Paychex Inc	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
9.2.562	PBI - Pitney Bowes Inc	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
9.2.563	PCAR - Paccar Inc	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.2.564	PCRX - Pacira Biosciences Inc Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.2.565	PD - Pagerduty Inc Com	N/A	None (or less than \$1,001)		None (or less than \$201)
9.2.566	PDCE - Pdc Energy Inc Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.2.567	PDFS - Pdf Solutions Inc Com	N/A	None (or less than \$1,001)		None (or less than \$201)
9.2.568	PE - Parsley Energy Inc Class A	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.2.569	PEAK - Healthpeak Pptys Inc Reit	N/A	None (or less than \$1,001)	Dividends Capital Gains	None (or less than \$201)
9.2.570	PEB - Pebblebrook Hotel Tr Com	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.2.571	PENN - Penn Ntnl Gaming	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
9.2.572	PEP - Pepsico Inc	N/A	\$1,001 - \$15,000	Dividends Capital Gains	None (or less than \$201)
9.2.573	PETS - Petmed Express Inc Com	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.2.574	PFE - Pfizer Inc	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
9.2.575	PFS - Provident Finl	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
9.2.576	PFSI - Pennymac Finl Svcs Inc New Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
9.2.577	PG - Procter & Gamble	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$201 - \$1,000
9.2.578	PGR - Progressive Corp Ohio	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$201 - \$1,000
9.2.579	PGTI - Pgt Innovations Inc Com	N/A	None (or less than \$1,001)		None (or less than \$201)
9.2.580	PH - Parker-Hannifin Corp Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
9.2.581	PHM - Pulte Group Inc Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
9.2.582	PHX - Panhandle Oil & Gas Class A	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.2.583	PIPR - Piper Sandler Companies Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
9.2.584	PLAB - Photronics Inc Com	N/A	None (or less than \$1,001)		None (or less than \$201)
9.2.585	PLCE - Childrens Place Inc	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
9.2.586	PLD - Prologis Inc. Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
9.2.587	PLT - Plantronics Inc New Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.2.588	PM - Philip Morris Intl	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
9.2.589	PNC - Pnc Financial Services Group	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
9.2.590	PNM - Pnm Res Inc	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
9.2.591	PNR - Pentair Plc Shs	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.2.592	PODD - Insulet Corp Com	N/A	None (or less than \$1,001)		None (or less than \$201)
9.2.593	POST - Post Hldgs Inc Com	N/A	None (or less than \$1,001)		None (or less than \$201)
9.2.594	POWL - Powell Industries	N/A	None (or less than \$1,001)	Dividends Capital Gains	None (or less than \$201)
9.2.595	PPBI - Pacific Premier Bancorp Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
9.2.596	PPG - Ppg Inds Inc Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
9.2.597	PPL - Ppl Corp	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.2.598	PRA - Proassurance Corp	N/A	None (or less than \$1,001)	Dividends Capital Gains	None (or less than \$201)
9.2.599	PRAA - Pra Group Inc Com	N/A	None (or less than \$1,001)		None (or less than \$201)
9.2.600	PRFT - Perficient Inc Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.2.601	PRG - Prog Holdings Inc Com Npv	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.2.602	PRLB - Proto Labs Inc	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
9.2.603	PRSP - Perspecta Inc Com	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.2.604	PRU - Prudential Finl Inc Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
9.2.605	PSA - Public Storage Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
9.2.606	PSMT - Pricedmart Inc Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
9.2.607	PSX - Phillips 66	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
9.2.608	PUMP - Propetro Hldg Corp Com	N/A	None (or less than \$1,001)		None (or less than \$201)
9.2.609	PXD - Pioneer Natural Res	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.2.610	PYPL - Paypal Hldgs Inc Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.2.611	QCOM - Qualcomm Inc	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
9.2.612	QGEN - Qiagen Nv Shs New	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.2.613	QRTEA - Qurate Retail Inc Com Ser A	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
9.2.614	QRTEP - Qurate Retail Inc 8%Pfd Due 03/15/31 Subj To Xtro Redemption (Was issued redemption shares, treated as a special dividend and liquidated for cash)	N/A	None (or less than \$1,001)	Dividends Capital Gains	None (or less than \$201)
9.2.615	RAVN - Raven Industries Inc	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.2.616	REG - Regency Centers Corp Reit	N/A	None (or less than \$1,001)	Dividends Capital Gains	None (or less than \$201)
9.2.617	REGN - Regeneron Pharmaceuticals Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.2.618	RESI - Front Yard Residential Reit	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
9.2.619	REX - Rex American Res Corp Com	N/A	None (or less than \$1,001)		None (or less than \$201)
9.2.620	RGR - Sturm Ruger & Co Inc Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
9.2.621	RGP - Resources Connection	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
9.2.622	RGS - Regis Corp Minn	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
9.2.623	RH - Rh Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.2.624	RLGY - Realogy Hldgs Corp Com	N/A	None (or less than \$1,001)		None (or less than \$201)
9.2.625	RLI - Rli Corp Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
9.2.626	RMBS - Rambus Inc Del Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.2.627	RNR - Renaissancere Hldgs F	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.2.628	ROCK - Gibraltar Inds Inc Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.2.629	ROK - Rockwell Automation Inc Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
9.2.630	RPT - Rpt Rlty	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
9.2.631	RRC - Range Res Corp Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.2.632	RTN - Raytheon Co	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
9.2.633	RTX - United Technologies	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$201 - \$1,000
9.2.634	RWT - Redwood Tr Inc Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
9.2.635	SABR - Sabre Corp	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
9.2.636	SAFT - Safety Insurance Grp	N/A	None (or less than \$1,001)	Dividends Capital Gains	None (or less than \$201)
9.2.637	SAH - Sonic Automotive Inc Cl A	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
9.2.638	SAGE - Sage Therapeutics	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
9.2.639	SANM - Sanmina Corporation Com	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
9.2.640	SBAC - Sba Communications Corp New Cl A	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
9.2.641	SBNY - Signature Bk New York N Y Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
9.2.642	SBRA - Sabra Hlth Care Reit	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
9.2.643	SBUX - Starbucks Corp Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
9.2.644	SCHW - The Charles Schwab Corporation	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
9.2.645	SCL - Stepan Co Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
9.2.646	SCSC - Scansource Inc	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
9.2.647	SCVL - Shoe Carnival Inc Com	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.2.648	SEDG - Solaredge Technologies Inc Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.2.649	SEM - Select Medical Hldgs	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
9.2.650	SFNC - Simmons First Ntrl Co005Class A	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
9.2.651	SFL - Sfl Corp Ltd F	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.2.652	SGEN - Seagen Inc Com	N/A	None (or less than \$1,001)		None (or less than \$201)
9.2.653	SGH - Smart Global Hldgs Inc Shs	N/A	None (or less than \$1,001)		None (or less than \$201)
9.2.654	SHEN - Shenandoah Telecomm	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
9.2.655	SHW - Sherwin Williams Co Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
9.2.656	SIG - Signet Jewelers Limited Shs	N/A	None (or less than \$1,001)		None (or less than \$201)
9.2.657	SIGI - Selective Ins Group Inc Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
9.2.658	SITM - Sitime Corp Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.2.659	SIVB - Svb Financial Group Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.2.660	SJM - Smucker J M Co Com New	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
9.2.661	SKT - Tanger Fctry Outlet Reit	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
9.2.662	SKYW - Skywest Inc Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.2.663	SLB - Schlumberger Ltd F	N/A	None (or less than \$1,001)	Dividends Capital Gains	None (or less than \$201)
9.2.664	SLCA - U S Silica Hldgs Inc Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
9.2.665	SMP - Standard Mtr Prods Inc Com	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.2.666	SNEX - Stonex Group Inc Com	N/A	None (or less than \$1,001)		None (or less than \$201)
9.2.667	SNPS - Synopsys Inc Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.2.668	SO - Southern Company	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.2.669	SPCE - Virgin Galactic Hldgs In	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
9.2.670	SPG - Simon Ppty Group Reit	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.2.671	SPGI - S&P Global Inc Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
9.2.672	SPLK - Splunk Inc Com	N/A	None (or less than \$1,001)		None (or less than \$201)
9.2.673	SPOK - Spok Holdings Inc	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
9.2.674	SPPI - Spectrum Pharms Inc	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
9.2.675	SPTN - Spartannash Co Com	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.2.676	SPXC - Spx Corp Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.2.677	SR - Spire Inc Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
9.2.678	SRC - Spirit Realty Capita	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.2.679	SRCL - Stericycle Inc	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
9.2.680	SRE - Sempra Energy	N/A	None (or less than \$1,001)	Dividends Capital Gains	None (or less than \$201)
9.2.681	SRPT - Sarepta Therapeutics Inc Com	N/A	None (or less than \$1,001)		None (or less than \$201)
9.2.682	SSB - South St Corp	N/A	None (or less than \$1,001)	Dividends Capital Gains	None (or less than \$201)
9.2.683	SSP - Scripps E W Co Class A	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
9.2.684	STAR - Istar Inc Com	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.2.685	STBA - S & T Bancorp Inc Com	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.2.686	STC - Stewart Information Svcs Corp Com	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.2.687	STMP - Stamps Com Inc Com New	N/A	None (or less than \$1,001)		None (or less than \$201)
9.2.688	STT - State Str Corp Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
9.2.689	SUI - Sun Cmnty Inc Com	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.2.690	SWBI - Smith & Wesson Brands In	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.2.691	SWCH - Switch Inc Cl A	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.2.692	SWK - Stanley Black & Decker Inc Com	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.2.693	SWN - Southwestern Energy Co Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.2.694	SXI - Standex Intl Corp Com	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.2.695	SYKE - Sykes Enterprises Inc Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.2.696	SYU - Sysco Corp	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
9.2.697	T - At&T Inc	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
9.2.698	TBK - Triumph Bancorp Inc Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.2.699	TBI - Trueblue Inc	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
9.2.700	TCF - Tcf Finl Corp Com	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.2.701	TDOC - Teladoc Health Inc Com	N/A	None (or less than \$1,001)		None (or less than \$201)
9.2.702	TEAM - Atlassian Corp Plc Cl A	N/A	None (or less than \$1,001)		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
9.2.703	TECD - Tech Data Corp Mandatory Merger Eff: 07/01/20 (Filer owned Tech Data stock. When Tech Data was acquired, filer received cash.)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$1,001 - \$2,500
9.2.704	TERP - Terraform Power Inc	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.2.705	TEX - Terex Corp	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.2.706	TFC - Bb&T Corp	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
9.2.707	TFX - Teleflex Incorporated Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
9.2.708	TG - Tredegar Corp Com	N/A	None (or less than \$1,001)	Dividends	\$201 - \$1,000
9.2.709	TGT - Target Corp	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
9.2.710	THG - Hanover Ins Group Inc Com	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.2.711	THRM - Gentherm Inc Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.2.712	TISI - Team Inc	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
9.2.713	TJX - Tjx Cos Inc New Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
9.2.714	TKR - Timken Co Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
9.2.715	TMO - Thermo Fisher Scientific, Inc	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
9.2.716	TMP - Tompkins Finl Corp Com	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
9.2.717	TMUS - T-Mobile Us Inc Com	N/A	\$1,001 - \$15,000	Dividends Capital Gains	None (or less than \$201)
9.2.718	TNL - Travel Plus Leisure Co Com	N/A	None (or less than \$1,001)		None (or less than \$201)
9.2.719	TOL - Toll Brothers Inc Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
9.2.720	TPB - Turning Pt Brands Inc Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
9.2.721	TRGP - Targa Resources Corp	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.2.722	TRHC - Tabula Rasa Healthca	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
9.2.723	TROX - Tronox Holdings Plc F	N/A	None (or less than \$1,001)	Dividends Capital Gains	None (or less than \$201)
9.2.724	TRST - Trustco Bk Corp N Y Com	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.2.725	TRV - Travelers Companies Inc Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
9.2.726	TSCO - Tractor Supply Co Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
9.2.727	TSE - Trinseo S A Shs	N/A	\$1,001 - \$15,000	Dividends Capital Gains	None (or less than \$201)
9.2.728	TSLA - Tesla Inc Com	N/A	\$15,001 - \$50,000		None (or less than \$201)
9.2.729	TSN - Tyson Foods, Inc	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
9.2.730	TT - Trane Technologies Plc Shs	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
9.2.731	TTD - The Trade Desk Inc Com Cl A	N/A	\$15,001 - \$50,000		None (or less than \$201)
9.2.732	TTMI - Ttm Technologies Inc Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.2.733	TTWO - Take-Two Interactive Software Com	N/A	None (or less than \$1,001)		None (or less than \$201)
9.2.734	TUP - Tupperware Brands Corp Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.2.735	TVTY - Tivity Health Inc Com	N/A	None (or less than \$1,001)		None (or less than \$201)
9.2.736	TWO - Two Harbors Investment Creit	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.2.737	TXN - Texas Instruments Inc	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$201 - \$1,000
9.2.738	TXT - Textron Inc Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
9.2.739	UBER - Uber Technologies Inc Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.2.740	UE - Urban Edge Pptys	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.2.741	UI - Ubiquiti Inc	N/A	None (or less than \$1,001)	Dividends Capital Gains	None (or less than \$201)
9.2.742	UMH - Umh Pptys Inc Com	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.2.743	UNFI - United Nat Foods Inc Com	N/A	None (or less than \$1,001)		None (or less than \$201)
9.2.744	UNH - United Health Group, Inc	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
9.2.745	UNIT - Uniti Group Inc Com	N/A	\$1,001 - \$15,000	Dividends Capital Gains	None (or less than \$201)
9.2.746	UNP - Union Pacific Corp	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
9.2.747	UPS - United Parcel Service, Inc	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
9.2.748	USB - Us Bancorp Del Com New	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
9.2.749	USCR - U S Concrete Inc Com	N/A	None (or less than \$1,001)		None (or less than \$201)
9.2.750	USFD - Us Foods Holding Corp	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
9.2.751	USNA - Usana Health Sciences Inc Com	N/A	None (or less than \$1,001)		None (or less than \$201)
9.2.752	UTX - United Technologies Corp	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.2.753	UVV - Universal Corp Va	N/A	None (or less than \$1,001)	Dividends Capital Gains	None (or less than \$201)
9.2.754	V - Visa Inc	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
9.2.755	VAC - Marriott Vactins Worldwid Corp Com	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.2.756	VAR - Varian Medical Sys Inc	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.2.757	VBTX - Veritex Hldgs Inc Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
9.2.758	VER - Vereit Inc Reit	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
9.2.759	VFC - Vf Corp	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
9.2.760	VG - Vonage Hldgs Corp Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.2.761	VGR - Vector Group Ltd Com	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.2.762	VIAC - ViacomCBS	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
9.2.763	VIVO - Meridian Bioscience Inc Com	N/A	None (or less than \$1,001)		None (or less than \$201)
9.2.764	VLO - Valero Energy Corp	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
9.2.765	VMI - Valmont Inds Inc Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
9.2.766	VNDA - Vanda Pharmaceuticals Inc Com	N/A	None (or less than \$1,001)		None (or less than \$201)
9.2.767	VNO - Vornado Realty Trust Reit	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.2.768	VNT - Vontier Corporation Com	N/A	None (or less than \$1,001)	Dividends Capital Gains	None (or less than \$201)
9.2.769	VRSK - Verisk Analytics, Inc	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.2.770	VRSN - Verisign Inc Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.2.771	VRTV - Veritiv Corp	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
9.2.772	VSTO - Vista Outdoor Inc Com	N/A	None (or less than \$1,001)		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
9.2.773	VTOL - Bristow Group Inc Com	N/A	None (or less than \$1,001)		None (or less than \$201)
9.2.774	VTR - Ventas Inc Reit	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.2.775	VTRS - Viatris Inc Com	N/A	None (or less than \$1,001)	Dividends Capital Gains	None (or less than \$201)
9.2.776	VVV - Valvoline Inc	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.2.777	VZ - Verizon Communications	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
9.2.778	WAB - Wabtec	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.2.779	WABC - Westamerica Bancorp	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
9.2.780	WBA - Walgreens Boots Alli	N/A	None (or less than \$1,001)	Dividends Capital Gains	None (or less than \$201)
9.2.781	WCC - Wesco Interntnl	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
9.2.782	WCC+A - Wesco Inter 10.625% Pfdpfd Ser A (7/17/20 Shareholder rights plan issues. Mrs. McCain did not participate and no longer holds these shareholder rights.)	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
9.2.783	WDC - Western Digital Corp	N/A	None (or less than \$1,001)	Dividends Capital Gains	None (or less than \$201)
9.2.784	WDR - Waddell & Reed Finl Inc Cl A	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
9.2.785	WELL - Welltower Inc Reit	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.2.786	WETF - Wisdomtree Invts Inc Com	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
9.2.787	WFC - Wells Fargo & Co	N/A	None (or less than \$1,001)	Dividends Capital Gains	None (or less than \$201)
9.2.788	WH - Wyndham Hotels & Resorts Inc Com	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.2.789	WHR - Whirlpool Corp Com	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.2.790	WIRE - Encore Wire Corp Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
9.2.791	WLK - Westlake Chemical Corp	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.2.792	WLTW - Willis Towers Watson P F	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.2.793	WMB - Williams Companies	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.2.794	WMT - Wal-Mart Stores Inc	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
9.2.795	WNC - Wabash Natl Corp Com	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.2.796	WRK - Westrock Co Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
9.2.797	WRE - Washington Reit	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
9.2.798	WRLD - World Accep Corporation Com	N/A	None (or less than \$1,001)		None (or less than \$201)
9.2.799	WSC - Willscot Mobil Mini Hldng Corp Com Cl A	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.2.800	WSO - Watsco Inc Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
9.2.801	WSR - Whitestone Reit Reit	N/A	None (or less than \$1,001)	Dividends Capital Gains	None (or less than \$201)
9.2.802	WTTR - Select Energy Services Iclass A	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
9.2.803	WWW - Wolverine World Wide Inc Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
9.2.804	WYND - Wyndham Worldwide Corp	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.2.805	XEC - Cimarex Energy Co Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
9.2.806	XHR - Xenia Hotels & Resorts Ireit	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
9.2.807	XNCR - Xencor Inc	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
9.2.808	XOM - Exxon Mobil Corp	N/A	None (or less than \$1,001)	Dividends Capital Gains	None (or less than \$201)
9.2.809	XRAY - Dentsply Sirona Inc Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
9.2.810	Y - Alleghany Corp Del Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
9.2.811	YUM - Yum Brands Inc Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
9.2.812	YUMC - Yum China Hldgs Inc Com	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
9.2.813	Z - Zillow Group Inc Cl C Cap Stk	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.2.814	ZAYO - Zayo Group Holdings mandatory Merger Eff: 03/09/20 (Filer owned Zayo Group Holdings Stock. When this stock went through an acquisition, filer received cash.)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
9.2.815	ZM - Zoom Video Communications Inc Cl A	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.2.816	ZNGA - Zynga Inc Cl A	N/A	None (or less than \$1,001)		None (or less than \$201)
9.2.817	ZS - Zscaler Inc Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.2.818	ZTS - Zoetis Inc Cl A	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
9.2.819	283677854 - El Paso Electric Co mandatory Merger Eff: 07/30/20 (Filer owned El Paso Electric Co Stock. When this stock went through an acquisition, filer received cash.)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$2,501 - \$5,000
9.2.820	60877T100 - Momenta Pharmaceutic mandatory Merger Eff: 10/02/20 (Filer owned Momenta Pharmaceuticals stock. When this stock went through an acquisition, filer received cash.)	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
9.2.821	404997165 - Hd Supply Hldgs Inc submitted For Tender Exp: 12/23/2020 (Filer received cash for her stock shares)	N/A	None (or less than \$1,001)	Dividends	\$1,001 - \$2,500
9.2.822	608992046 - Momenta Pharmaceutic submitted For Tender Exp: 09/30/2020 (Filer received cash for her stock shares)	N/A	None (or less than \$1,001)	Dividends	\$1,001 - \$2,500
9.2.823	35352P104 - Franklin Finl Netwk mandatory Merger Eff: 08/17/20 (Filer owned Franklin Finl Netwk Stock. When stock was aquired, filer received shares of FB Financial Corporation.)	N/A	None (or less than \$1,001)	Dividends Capital Gains	None (or less than \$201)
9.2.824	G0177J108 - Allergan Plc mandatory Merge Eff: 05/11/20 (Allergan stock was bought out when Abbvie acquired Allergan. Filer received some cash and some stock in Abbvie.)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$1,001 - \$2,500
9.3	James Hensley Qualified GST Exempt Trust DTD 5/17/2001 U.S. Brokerage Account #3	No			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
9.3.1	971375126 - Willscot Corp 005 name Change Eff: 07/02/20 (Name changed from Willscot Corp to Willscot Mobile Mini Holdings)	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.3.2	808CVR104 - Schulman A Inc Cvr (CVRs for SHLM were issued when it was acquired and paid out dividends. CVRs were sold for cash.)	N/A	None (or less than \$1,001)	Dividends Capital Gains	None (or less than \$201)
9.3.3	AAON - Aaon Inc Com	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
9.3.4	AAT - American Assets Trus Reit	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.3.5	ABCB - Ameris Bancorp	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.3.6	ABM - A B M Industries Inc	N/A	None (or less than \$1,001)	Dividends Capital Gains	None (or less than \$201)
9.3.7	ABTX - Allegiance Bk Houston Te	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.3.8	ACA - Arcosa Inc	N/A	None (or less than \$1,001)	Dividends Capital Gains	None (or less than \$201)
9.3.9	ADTN - Adtran Inc	N/A	None (or less than \$1,001)	Dividends Capital Gains	None (or less than \$201)
9.3.10	AEIS - Advanced Energy Inds	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
9.3.11	AHH - Armada Hoffler Pptys Reit	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.3.12	AIR - AAR Corp	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.3.13	AIT - Applied Indl Tech	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.3.14	AKR - Acadia Realty Tr Reit	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
9.3.15	ALG - Alamo Group Inc Com	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
9.3.16	AMSF - Amerisafe Inc	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.3.17	AMWD - American Woodmark Corp	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
9.3.18	ANDE - Andersons Inc	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.3.19	ANF - Abercrombie & Fitch Class A	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.3.20	ANIK - Anika Therapeutics Inc Com	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
9.3.21	APOG - Apogee Enterprises	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.3.22	ARCB - Arcbest Corp	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.3.23	ARI - Apollo Coml Real Est Reit	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.3.24	AROC - Archrock Inc	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.3.25	ARR - Armour Residential Reit	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.3.26	ASTE - Astec Industries Inc	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.3.27	ATNI - A T N Interntl Inc 005	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
9.3.28	AVA - Avista Corp	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
9.3.29	AVD - American Vanguard Co	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.3.30	AWR - Amer States Wtr Co Com	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
9.3.31	AXE - Anixter Intl Inc Com	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
9.3.32	AZZ - Azz Inc	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.3.33	B - Barnes Group Inc	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.3.34	BANC - Banc Calif Inc	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.3.35	BANR - Banner Corp	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.3.36	BCC - Boise Cascade Co	N/A	None (or less than \$1,001)	Dividends Capital Gains	None (or less than \$201)
9.3.37	BCPC - Balchem Corp	N/A	None (or less than \$1,001)	Dividends Capital Gains	None (or less than \$201)
9.3.38	BFS - Saul Centers Inc. Reit	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.3.39	BGS - B & G Foods Inc	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.3.40	BHE - Benchmark Electronic	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
9.3.41	BHLB - Berkshire Hills Banc	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.3.42	BIG - Big Lots Inc	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
9.3.43	BKE - Buckle Inc	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.3.44	BLD - Topbuild Corp Com	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
9.3.45	BLMN - Bloomin Brands Inc	N/A	None (or less than \$1,001)	Dividends Capital Gains	None (or less than \$201)
9.3.46	BMI - Badger Meter Inc	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.3.47	BPFH - Boston Private Finl	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.3.48	BRC - Brady Corp Class A	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.3.49	BRKL - Brookline Bncp Delaw	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.3.50	CADE - Cadence Bancorp 00500 Class A	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.3.51	CAL - Caleres Inc	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.3.52	CASH - Meta Finl Group	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.3.53	Cash	N/A	None (or less than \$1,001)	Interest	None (or less than \$201)
9.3.54	CATO - Cato Corp Class A	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.3.55	CBU - Community Bank Sys	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.3.56	CCOI - Cogent Communications Hldgs	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
9.3.57	CCS - Century Cmnty Inc Com	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
9.3.58	CDR - Cedar Realty Tr Reit	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.3.59	CEIX - Consol Energy Inc Disc Compl	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
9.3.60	CEVA - Ceva Inc Com	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
9.3.61	CHCO - City Holding Co	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.3.62	CHS - Chicos Fas Inc	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.3.63	CIR - Circor Intl Inc Com	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
9.3.64	CLDT - Chatham Lodging Trus Reit	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.3.65	CLF - Cleveland-Cliffs Inc	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.3.66	CMO - Capstead Mtg Corp Reit	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.3.67	CMTL - Comtech Telecommunications Cp Com New	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
9.3.68	COHU - CoHu Inc	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.3.69	COKE - Coca Cola Cons Inc Com	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
9.3.70	COLB - Columbia Banking Sys	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
9.3.71	CORE - Core Mark Holding Co	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.3.72	CPF - Central Pacific Finl	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.3.73	CPSI - Computer Progrms&Sys	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.3.74	CSGS - Csg Sys Intl Inc Com	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
9.3.75	CTB - Cooper Tire & Rubber	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.3.76	CTRE - Caretrust Reit Inc Reit	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.3.77	CTS - C T S Corp	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.3.78	CUB - Cubic Corp	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.3.79	CUTR - Cutera Inc Com	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
9.3.80	CVBF - C V B Finl Corp	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.3.81	CWT - Ca Water Service Grp	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.3.82	CYH - Community Health Sys Inc New Com	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
9.3.83	DAKT - Daktronics Inc	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.3.84	DAR - Darling Ingredients Inc Com	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
9.3.85	DBI - Designer Brands Inc	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.3.86	DCOM - Dime Cmnty Bancshare	N/A	None (or less than \$1,001)	Dividends Capital Gains	None (or less than \$201)
9.3.87	DEA - Easterly Govt Pptys Reit	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.3.88	DGII - Digi Intl Inc Com	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
9.3.89	DIOD - Diodes Inc Com	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
9.3.90	DRH - Diamondrock Hospital Reit	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.3.91	EBIX - Ebix Inc	N/A	None (or less than \$1,001)	Dividends Capital Gains	None (or less than \$201)
9.3.92	EBS - Emergent Biosolutions Com	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
9.3.93	ECHO - Echo Global Logistics Inc Com	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
9.3.94	ECOL - Us Ecology Inc	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.3.95	EE - El Paso Electric Co	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.3.96	EGBN - Eagle Bancorp Inc Md	N/A	None (or less than \$1,001)	Dividends Capital Gains	None (or less than \$201)
9.3.97	EGOV - Nic Inc Com	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
9.3.98	EIG - Employers Holdings	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
9.3.99	ELY - Callaway Golf Co	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
9.3.100	ENVA - Enova Intl Inc Com	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
9.3.101	ETH - Ethan Allen Interior	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.3.102	EVTC - Evertec Inc Com	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
9.3.103	EXLS - Exlservice Holdings Inc Com	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
9.3.104	EXTN - Exterran Corp Com	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
9.3.105	EXTR - Extreme Networks Inc Com	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
9.3.106	FBC - Flagstar Bancorp Inc	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.3.107	FBP - First Bancorp New F	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.3.108	FCF - 1St Comnwlth Finl Co	N/A	None (or less than \$1,001)	Dividends Capital Gains	None (or less than \$201)
9.3.109	FCPT - Four Corners Property Tr	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.3.110	FDP - Fresh Del Monte Prod F	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.3.111	FF - Futurefuel Corp	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.3.112	FFBC - First Finl Bancorp	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
9.3.113	FG - Fgl Hldgs F	N/A	None (or less than \$1,001)	Dividends Capital Gains	None (or less than \$201)
9.3.114	FIX - Comfort Systems Usa	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.3.115	FLOW - Spx Flow Inc Com	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
9.3.116	FMBI - First Midwest Bancrp	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.3.117	FN - Fabrinet Shs	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
9.3.118	FNF - Fidelity Natl Finl	N/A	None (or less than \$1,001)	Dividends Capital Gains	None (or less than \$201)
9.3.119	FOE - Ferro Corp Com	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
9.3.120	FORM - Formfactor Inc Com	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
9.3.121	FSB - Franklin Finl Netwk	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.3.122	FSP - Franklin Street Prop Reit	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.3.123	FSS - Federal Signal Corp Com	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
9.3.124	FUL - Fuller Co H B 0050	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.3.125	FWRD - Forward Air Corp Com	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
9.3.126	G34CSH018 - Fgl Hldgs sold for Cash Exp: 05/27/2020 (Filer's FGL Holdings stock was purchased by FNF. Filer received cash.)	N/A	None (or less than \$1,001)		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
9.3.127	GBCI - Glacier Bancorp Inc	N/A	None (or less than \$1,001)	Dividends Capital Gains	None (or less than \$201)
9.3.128	GBX - Greenbrier Co	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.3.129	GDOT - Green Dot Corp Cl A	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
9.3.130	GEOS - Geospace Technologies Corp Com	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
9.3.131	GFF - Griffon Corp Com	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
9.3.132	GHL - Greenhill & Co Inc	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.3.133	GLT - Glatfelter	N/A	None (or less than \$1,001)	Dividends Capital Gains	None (or less than \$201)
9.3.134	GME - Gamestop Corp New Cl A	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
9.3.135	GNL - Global Net Lease Incorpo	N/A	None (or less than \$1,001)	Dividends Capital Gains	None (or less than \$201)
9.3.136	GPI - Group 1 Automotive	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.3.137	GPMT - Granite Point Mortgage T	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.3.138	GTLS - Chart Inds Inc Com	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
9.3.139	GTY - Getty Realty Corp Reit	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.3.140	GVA - Granite Construction	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
9.3.141	GWB - Great Western Banco	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.3.142	HA - Hawaiian Holdings	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.3.143	HAFC - Hanmi Finl Corp	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.3.144	HAYN - Haynes Interntnl	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.3.145	HCC - Warrior Met Coal Inc	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.3.146	HCI - Hci Group Inc	N/A	None (or less than \$1,001)	Dividends Capital Gains	None (or less than \$201)
9.3.147	HFWA - Heritage Finl	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.3.148	HI - Hillenbrand Inc	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.3.149	HIBB - Hibbett Sports Inc Com	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
9.3.150	HLX - Helix Energy Solutions	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
9.3.151	HMN - Horace Mann Educator	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.3.152	HMST - Homestreet Inc	N/A	None (or less than \$1,001)	Dividends Capital Gains	None (or less than \$201)
9.3.153	HOPE - Hope Bancorp Inc	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.3.154	HSC - Harsco Corp Com	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
9.3.155	HSII - Heidrick&Struggles	N/A	None (or less than \$1,001)	Dividends Capital Gains	None (or less than \$201)
9.3.156	HT - Hersha Hospity Tr Reit	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.3.157	HTLD - Heartland Express	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.3.158	HVT - Haverty Furniture Co	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.3.159	HWKN - Hawkins Inc	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.3.160	IBP - Installed Bldg Prods Inc Com	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
9.3.161	ICHR - Ichor Holdings Shs	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
9.3.162	IIIN - Insteel Industries	N/A	None (or less than \$1,001)	Dividends Capital Gains	None (or less than \$201)
9.3.163	ILPT - Industrial Logistics Reit	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.3.164	INDB - Independent Bk Corp Mass	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.3.165	INGN - Inogen Inc Com	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
9.3.166	INN - Summit Hotel Ppty Reit	N/A	None (or less than \$1,001)	Dividends Capital Gains	None (or less than \$201)
9.3.167	IPHS - Innophos Holdings Inc Com	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
9.3.168	IRET - Investors Realty Tr	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
9.3.169	IRT - Independence Realty Reit	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.3.170	ITRI - Itron Inc Com	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
9.3.171	IVC - Invacare Corp	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.3.172	IVR - Invesco Mtg Cap Reit	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.3.173	JBSS - Sanfilippo John B & Son Inc Com	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
9.3.174	JBT - John Bean Technologies Corp Com	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
9.3.175	JJSF - J & J Snack Food Cor	N/A	None (or less than \$1,001)	Dividends Capital Gains	None (or less than \$201)
9.3.176	JRVR - James River Gp Hldgs F	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.3.177	KALU - Kaiser Aluminum Corp	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.3.178	KAMN - Kaman Corp	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.3.179	KELYA - Kelly Services Inc Class A	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.3.180	KFY - Korn Ferry	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.3.181	KLIC - Kulicke & Soffa Inds	N/A	None (or less than \$1,001)	Dividends Capital Gains	None (or less than \$201)
9.3.182	KOP - Koppers Holdings Inc Com	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
9.3.183	KRA - Kraton Corp Com	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
9.3.184	KREF - K K R Real Estate Fin005	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.3.185	KRG - Kite Realty Grp Tr Reit	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.3.186	KTB - Kontoor Brands Inc	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.3.187	KWR - Quaker Chemical Corp	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.3.188	LAD - Lithia Mtrs Inc Cl A	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
9.3.189	LCII - Lci Inds Com	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
9.3.190	LGIH - Lgi Homes Inc Com	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
9.3.191	LHCG - Lhc Group Inc Com	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
9.3.192	LMAT - Lemaitre Vascular Inc Com	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
9.3.193	LMNX - Luminex Corp Del	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.3.194	LNN - Lindsay Corp	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.3.195	LPSN - Liveperson Inc Com	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
9.3.196	LTC - Ltc Properties, Inc. Reit	N/A	None (or less than \$1,001)	Dividends Capital Gains	None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
9.3.197	LXP - Lexington Realty Tr Reit	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.3.198	LZB - La-Z-Boy Inc	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.3.199	MANT - Mantech International Corp Cl A	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
9.3.200	MATW - Matthews Intl Corp Class A	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.3.201	MATX - Matson Inc	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.3.202	MCS - Marcus Corp	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.3.203	MDC - M D C Hldgs Inc Com	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
9.3.204	MDCO - Medicines Co Com	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
9.3.205	MEI - Methode Electronics	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.3.206	MERC - Mercer Intl S B I	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.3.207	MHO - M/I Homes Inc Com	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
9.3.208	MINI - Mobile Mini Inc	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.3.209	MLI - Mueller Inds Inc	N/A	None (or less than \$1,001)	Dividends Capital Gains	None (or less than \$201)
9.3.210	MNRO - Monro Inc	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
9.3.211	MOGA - Moog Inc Class A	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.3.212	MPAA - Motorcar Pts Amer Inc Com	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
9.3.213	MRTN - Marten Transport Ltd	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.3.214	MTH - Meritage Homes Corp Com	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
9.3.215	MYE - Myers Industries Inc	N/A	None (or less than \$1,001)	Dividends Capital Gains	None (or less than \$201)
9.3.216	MYRG - Myr Group Inc Del Com	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
9.3.217	NBHC - Ntnl Bank Hldgs A Class	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.3.218	NBR - Nabors Industries F	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.3.219	NBTB - N B T Bancorp Inc	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.3.220	NFBK - Northfield Bancorp	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.3.221	NMIH - Nmi Hldgs Inc Cl A	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
9.3.222	NP - Neenah Paper Inc00500	N/A	None (or less than \$1,001)	Dividends Capital Gains	None (or less than \$201)
9.3.223	NPK - Ntnl Presto Inds	N/A	None (or less than \$1,001)	Dividends Capital Gains	None (or less than \$201)
9.3.224	NPO - Enpro Industries Inc	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
9.3.225	NSA - Ntnl Storage Aff	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.3.226	NSIT - Insight Enterprises Inc Com	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
9.3.227	NTUS - Natus Med Inc Del Com	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
9.3.228	NWBI - Northwest Bancshares	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.3.229	NWN - Northwest Natural Holdin	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.3.230	NX - Quanex Building Products	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.3.231	NXRT - Nexpoint Residential Trureit	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.3.232	NYMT - New York Mtg Tr Reit	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.3.233	ODP - The Odp Corp	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.3.234	OFG - Ofg Bancorp F	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.3.235	OMI - Owens &Minor Inc Hld	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.3.236	ONB - Old Natl Bancorp Ind	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.3.237	OPB - Opus Bank	N/A	None (or less than \$1,001)	Dividends Capital Gains	None (or less than \$201)
9.3.238	OPI - Office Pptys Income Tr Reit	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
9.3.239	OSIS - Osi Systems Inc	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
9.3.240	OSPN - Onespan Inc Com	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
9.3.241	OXM - Oxford Inds Inc F	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.3.242	PAHC - Phibro Animal Health Class A	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.3.243	PARR - Par Pac Holdings Inc Com New	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
9.3.244	PATK - Patrick Inds Inc Com	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
9.3.245	PBI - Pitney Bowes Inc	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.3.246	PCRX - Pacira Biosciences	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
9.3.247	PE - Parsley Energy Inc Class A	N/A	None (or less than \$1,001)	Dividends Capital Gains	None (or less than \$201)
9.3.248	PEI - Penn Real Est Reit	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.3.249	PETS - Petmed Express Inc	N/A	None (or less than \$1,001)	Dividends Capital Gains	None (or less than \$201)
9.3.250	PFBC - Preferred Bank La	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
9.3.251	PFS - Provident Finl	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.3.252	PIPR - Piper Sandler Companies	N/A	None (or less than \$1,001)	Dividends Capital Gains	None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
9.3.253	PKE - Park Aerospace Corp	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.3.254	PLXS - Plexus Corp Com	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
9.3.255	PMT - Pennymac Mortgage In Reit	N/A	None (or less than \$1,001)	Dividends Capital Gains	None (or less than \$201)
9.3.256	POWI - Power Integrations Inc Com	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
9.3.257	POWL - Powell Industries	N/A	None (or less than \$1,001)	Dividends Capital Gains	None (or less than \$201)
9.3.258	PPBI - Pacific Premier Banc	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.3.259	PRA - Proassurance Corp	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.3.260	PRAA - Pra Group Inc Com	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
9.3.261	PSMT - Pricesmart Inc Com	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
9.3.262	QEP - Qep Resources Inc	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.3.263	RC - Ready Capital Corp	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.3.264	RCII - Rent A Center Inc	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
9.3.265	RECN - Resources Connection	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.3.266	REGI - Renewable Energy Group Inc Com	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
9.3.267	REI - Ring Energy Inc Com	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
9.3.268	RGP - Resources Connection	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.3.269	RGR - Sturm Ruger & Co Inc	N/A	None (or less than \$1,001)	Dividends Capital Gains	None (or less than \$201)
9.3.270	RH - Rh Com	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
9.3.271	RMAX - Re/Max Hldg Inc Class A	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.3.272	RMBS - Rambus Inc Del Com	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
9.3.273	ROG - Rogers Corp Com	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
9.3.274	ROIC - Retail Opportunity I Reit	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.3.275	RPT - Rpt Rlty	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.3.276	RRD - Donnelley R R & Sons	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
9.3.277	RWT - Redwood Trust Inc. Reit	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.3.278	SAFT - Safety Insurance Grp	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.3.279	SAH - Sonic Automotive Inc Class A	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.3.280	SAIA - Saia Inc Com	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
9.3.281	SBSI - Southside Bancshares	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.3.282	SCHL - Scholastic Corp	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.3.283	SCL - Stepan Co	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.3.284	SCSC - Sansource Inc Com	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
9.3.285	SCVL - Shoe Carnival Inc	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.3.286	SEM - Select Med Hldgs Corp Com	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
9.3.287	SFNC - Simmons First Ntnl Co005Class A	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.3.288	SHOO - Madden Steven Ltd Com	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
9.3.289	SIG - Signet Jewlers Ltd F	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.3.290	SJI - Sji Inc	N/A	None (or less than \$1,001)	Dividends Capital Gains	None (or less than \$201)
9.3.291	SKYW - Skywest Inc	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.3.292	SLCA - U S Silica Hldgs Inc	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.3.293	SMP - Standard Motor Prods	N/A	None (or less than \$1,001)	Dividends Capital Gains	None (or less than \$201)
9.3.294	SPOK - Spok Holdings Inc	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
9.3.295	SPTN - Spartannash Co	N/A	None (or less than \$1,001)	Dividends Capital Gains	None (or less than \$201)
9.3.296	SSD - Simpson Manufactrg	N/A	None (or less than \$1,001)	Dividends Capital Gains	None (or less than \$201)
9.3.297	SSP - Scripps E W Co A Class	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.3.298	STAR - Istar Inc. Reit	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.3.299	STBA - S & T Bancorp Inc	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.3.300	STC - Stewart Info Svcs Co	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.3.301	STRA - Strategic Education Inc	N/A	None (or less than \$1,001)	Dividends Capital Gains	None (or less than \$201)
9.3.302	SWM - Schweitzer-Mauduit Intl Inc Com	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
9.3.303	SXC - Suncoke Energy Inc	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.3.304	SXI - Standex Internatl Co	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.3.305	TALO - Tailored Brands Inc Com	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
9.3.306	TG - Tredegar Corp	N/A	None (or less than \$1,001)	Dividends Capital Gains	None (or less than \$201)
9.3.307	TGI - Triumph Group Inc New Com	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
9.3.308	TILE - Interface Inc	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
9.3.309	TMHC - Taylor Morrison Home	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
9.3.310	TMP - Tompkins Finl	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.3.311	TNC - Tennant Co Com	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
9.3.312	TRST - Trustco Bank Corp	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.3.313	TSE - Trinseo S.A. F	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.3.314	TTEC - Ttec Hldgs Inc Com	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
9.3.315	TTGT - Techtargt Inc Com	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
9.3.316	TWI - Titan Intl Inc Del	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.3.317	UBA - Urstadt Biddle Ppty Reit	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.3.318	UCBI - United Community Bks	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.3.319	UCTT - Ultra Clean Hldgs Inc Com	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
9.3.320	UEIC - Universal Electrs Inc Com	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
9.3.321	UFCS - United Fire Group	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.3.322	UFPI - Ufp Industries Inc Com	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
9.3.323	UIHC - United Insurance Hld	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.3.324	UNIT - Uniti Group Inc	N/A	None (or less than \$1,001)	Dividends Capital Gains	None (or less than \$201)
9.3.325	UVE - Universal Ins Hldgs	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.3.326	UVV - Universal Corp Va	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.3.327	VBTX - Veritex Holdings Inc	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.3.328	VECO - Veeco Instrs Inc Del Com	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
9.3.329	VGR - Vector Group Ltd	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.3.330	VLV - Valley Ntnl Banc	N/A	None (or less than \$1,001)	Dividends Capital Gains	None (or less than \$201)
9.3.331	VRTS - Virtus Invt Partners Inc Com	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
9.3.332	VTOL - Bristow Group Inc Delawa	N/A	None (or less than \$1,001)	Dividends Capital Gains	None (or less than \$201)
9.3.333	VVI - Viad Corp	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.3.334	WABC - Westamerica Bancorp	N/A	None (or less than \$1,001)	Dividends Capital Gains	None (or less than \$201)
9.3.335	WCC - Wesco Interntnl	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.3.336	WCC+A - Wesco Inter 10.625% Pfdpfd Ser A (7/17/20 Shareholder rights plan issues. Mrs. McCain did not participate and no longer holds these shareholder rights.)	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
9.3.337	WD - Walker & Dunlop Inc Com	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
9.3.338	WDR - Waddell & Reed Finl Class A	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.3.339	WETF - Wisdomtree Investmnt	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.3.340	WGO - Winnebago Inds Inc Com	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
9.3.341	WIRE - Encore Wire Corp	N/A	None (or less than \$1,001)	Dividends Capital Gains	None (or less than \$201)
9.3.342	WLH - Lyon Williams Homes Cl A New	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
9.3.343	WNC - Wabash Ntnl Corp	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.3.344	WRE - Washington Reit	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.3.345	WSC - Willscot Corp	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
9.3.346	WSR - Whitestone Reit Reit	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.3.347	WTS - Watts Water Technologies	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
9.3.348	WWW - Wolverine World Wide	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.3.349	XHR - Xenia Hotels & Resorts Ireit	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.3.350	XPER - Xperi Hldg Corp	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
9.3.351	ZUMZ - Zumiez Inc Com	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
10	John Sidney McCain III Family Administrative Trust Created Under the John Sidney McCain III Family Trust DTD 8/24/2017	No			
10.1	Title: Character Is Destiny Publisher: Random House, Inc., Character is Destiny Contract Dated 7/21/04 New York, NY, Value: Unascertainable	N/A		Rent or Royalties	\$1,001 - \$2,500
10.2	Title: Why Courage Matters Publisher: Random House, Inc., Why Courage Matters Contract New York, NY, Value: Unascertainable	N/A		Rent or Royalties	None (or less than \$201)
10.3	Title: Faith of My Fathers Publisher: Random House, Inc., Faith of My Fathers Contract New York, NY, Value: Unascertainable	N/A		Rent or Royalties	\$201 - \$1,000
10.4	Title: Restless Wave (Straight Talk) Publisher: Simon & Schuster, New York, NY Value: Unascertainable	N/A		Rent or Royalties	\$15,001 - \$50,000
10.5	Title: Hard Call Publisher: Random House, Inc., New York, NY Value: Unascertainable	N/A			None (or less than \$201)
10.6	Title: Worth the Fighting For Publisher: Random House, Inc., New York, NY Value: Unascertainable	N/A			None (or less than \$201)
10.7	Title: Thirteen Soldiers Publisher: Random House, Inc., New York, NY Value: Unascertainable	N/A			None (or less than \$201)

7. Transactions

(N/A) - Not required for this type of report

8. Liabilities

#	CREDITOR NAME	TYPE	AMOUNT	YEAR INCURRED	RATE	TERM
1	ByPass Trust created under Cindy McCain 2018 Irrevocable Trust - Note Payable from Cindy H McCain Family Revocable Trust to ByPass Trust created under Cindy McCain 2018 Irrevocable Trust DTD 03/30/2018	Promissory Note	\$5,000,001 - \$25,000,000	2018	2.76%	3 years

9. Gifts and Travel Reimbursements

(N/A) - Not required for this type of report

Endnotes

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during the reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$166 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

Public Burden Information

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