Periodic Transaction Report | U.S. Office of Government Ethics; 5 C.F.R. part 2634 (Updated Nov. 2019)

## **Executive Branch Personnel**

**Public Financial Disclosure Report:** 

Periodic Transaction Report (OGE Form 278-T)

### Filer's Information

Harpootlian, Jamie L

Ambassador to the Republic of Slovenia, Department of State

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Harpootlian, Jamie L [electronically signed on 04/06/2022 by Harpootlian, Jamie L in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Green, Marcella, Certifying Official [electronically signed on 06/01/2022 by Green, Marcella in Integrity.gov]

Other review conducted by

/s/ Green, Marcella, Ethics Official [electronically signed on 06/01/2022 by Green, Marcella in Integrity.gov]

U.S. Office of Government Ethics Certification

/s/ Granahan, Megan, Certifying Official [electronically signed on 09/01/2022 by Granahan, Megan in Integrity.gov]

#### **Transactions**

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
1	NVIDIA Corp. (NVDA)	Sale	03/11/2022	No	\$15,001 - \$50,000
2	Microsoft Corp. (MSFT)	Sale	03/11/2022	No	\$15,001 - \$50,000
3	Amazon.com, Inc. (AMZN)	Sale	03/11/2022	No	\$15,001 - \$50,000
4	Oracle Corp. (ORCL)	Sale	03/11/2022	No	\$15,001 - \$50,000
5	Alphabet, Inc. CL C (GOOG)	Sale	03/11/2022	No	\$15,001 - \$50,000
6	Alphabet, Inc. (GOOGL)	Sale	03/11/2022	No	\$15,001 - \$50,000
7	Meta Platforms, Inc. (FB)	Sale	03/11/2022	No	\$15,001 - \$50,000
8	Boeing Co (BA)	Sale	03/11/2022	No	\$15,001 - \$50,000
9	Cisco Systems, Inc. (CSCO)	Sale	03/11/2022	No	\$15,001 - \$50,000
10	Regeneron Pharmaceuticals, Inc. (REGN)	Sale	03/11/2022	No	\$15,001 - \$50,000
11	Salesforce Com Inc. (CRM)	Sale	03/11/2022	No	\$15,001 - \$50,000
12	Autodesk, Inc. (ADSK)	Sale	03/11/2022	No	\$15,001 - \$50,000
13	Vertex Pharmaceuticals Incorporated (VRTX)	Sale	03/11/2022	No	\$15,001 - \$50,000
14	Novartis AG (NVS)	Sale	03/11/2022	No	\$15,001 - \$50,000
15	Roche Holding (RHHBY)	Sale	03/11/2022	No	\$1,001 - \$15,000
16	The Walt Disney Co. (DIS)	Sale	03/11/2022	No	\$1,001 - \$15,000
17	AbbVie, Inc. (ABBV)	Sale	03/11/2022	No	\$1,001 - \$15,000
18	Illumina, Inc. (ILMN)	Sale	03/11/2022	No	\$1,001 - \$15,000
19	Merck & Co., Inc. (MRK)	Sale	03/11/2022	No	\$1,001 - \$15,000
20	Eli Lilly & Co. (LLY)	Sale	03/11/2022	No	\$1,001 - \$15,000
21	Lockheed Martin Corp. (LMT)	Sale	03/11/2022	No	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
22	Cerner Corp. (CERN)	Sale	03/11/2022	No	\$1,001 - \$15,000
23	Intuitive Surgical, Inc. (ISRG)	Sale	03/11/2022	No	\$1,001 - \$15,000
24	Medtronic Plc (MDT)	Sale	03/11/2022	No	\$1,001 - \$15,000
25	Abbott Laboratories (ABT)	Sale	03/11/2022	No	\$1,001 - \$15,000
26	Comcast Corp. (CMCSA)	Sale	03/11/2022	No	\$1,001 - \$15,000
27	Verizon Communications, Inc. (VZ)	Sale	03/11/2022	No	\$1,001 - \$15,000
28	Amgen Inc. (AMGN)	Sale	03/11/2022	No	\$1,001 - \$15,000
29	Microsoft Corp. (MSFT)	Sale	03/11/2022	No	\$15,001 - \$50,000
30	Nvidia Corporation (NVDA)	Sale	03/11/2022	No	\$15,001 - \$50,000
31	Apple, Inc. (AAPL)	Sale	03/11/2022	No	\$1,001 - \$15,000
32	Cisco Systems, Inc. (CSCO)	Sale	03/11/2022	No	\$1,001 - \$15,000
33	Amazon.com, Inc. (AMZN)	Sale	03/11/2022	No	\$1,001 - \$15,000
34	Oracle Corp. (ORCL)	Sale	03/11/2022	No	\$1,001 - \$15,000
35	Meta Platforms, Inc. (FB)	Sale	03/11/2022	No	\$1,001 - \$15,000
36	Alphabet Inc. CL C (GOOG)	Sale	03/11/2022	No	\$1,001 - \$15,000
37	Alphabet Inc. CL A (GOOGL)	Sale	03/11/2022	No	\$1,001 - \$15,000
38	The Boeing Co. (BA)	Sale	03/11/2022	No	\$1,001 - \$15,000
39	AbbVie, Inc. (ABBV)	Sale	03/11/2022	No	\$1,001 - \$15,000
40	Regeneron Pharmaceuticals, Inc. (REGN)	Sale	03/11/2022	No	\$1,001 - \$15,000
41	salesforce.com, inc. (CRM)	Sale	03/11/2022	No	\$1,001 - \$15,000
42	Autodesk, Inc. (ADSK)	Sale	03/11/2022	No	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
43	Vertex Pharmaceuticals Incorporated (VRTX)	Sale	03/11/2022	No	\$1,001 - \$15,000
44	Johnson & Johnson (JNJ)	Sale	03/11/2022	No	\$1,001 - \$15,000
45	Lockheed Martin Corp. (LMT)	Sale	03/11/2022	No	\$1,001 - \$15,000
46	Novartis AG (NVS)	Sale	03/11/2022	No	\$1,001 - \$15,000
47	Roche Holding AG (RHHBY)	Sale	03/11/2022	No	\$1,001 - \$15,000
48	The Walt Disney Co. (DIS)	Sale	03/11/2022	No	\$1,001 - \$15,000
49	Comcast Corp. (CMCSA)	Sale	03/11/2022	No	\$1,001 - \$15,000
50	Merck & Co., Inc. (MRK)	Sale	03/11/2022	No	\$1,001 - \$15,000
51	Illumina, Inc. (ILMN)	Sale	03/11/2022	No	\$1,001 - \$15,000
52	Medtronic Plc (MDT)	Sale	03/11/2022	No	\$1,001 - \$15,000
53	Cerner Corp. (CERN)	Sale	03/11/2022	No	\$1,001 - \$15,000
54	Intuitive Surgical, Inc. (ISRG)	Sale	03/11/2022	No	\$1,001 - \$15,000
55	Microsoft Corp. (MSFT)	Sale	03/11/2022	No	\$100,001 - \$250,000
56	Nvidia Corporation (NVDA)	Sale	03/11/2022	No	\$100,001 - \$250,000
57	Apple, Inc. (AAPL)	Sale	03/11/2022	No	\$100,001 - \$250,000
58	Amazon.com, Inc. (AMZN)	Sale	03/11/2022	No	\$100,001 - \$250,000
59	Cisco Systems, Inc. (CSCO)	Sale	03/11/2022	No	\$50,001 - \$100,000
60	Johnson & Johnson (JNJ)	Sale	03/11/2022	No	\$50,001 - \$100,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
61	Oracle Corp. (ORCL)	Sale	03/11/2022	No	\$50,001 - \$100,000
62	Alphabet, Inc. CL C (GOOG)	Sale	03/11/2022	No	\$50,001 - \$100,000
63	Alphabet, Inc. (GOOGL)	Sale	03/11/2022	No	\$50,001 - \$100,000
64	Meta Platforms, Inc. (FB)	Sale	03/11/2022	No	\$50,001 - \$100,000
65	Pfizer Inc. (PFE)	Sale	03/11/2022	No	\$50,001 - \$100,000
66	Boeing Company (BA)	Sale	03/11/2022	No	\$50,001 - \$100,000
67	Autodesk, Inc. (ADSK)	Sale	03/11/2022	No	\$50,001 - \$100,000
68	salesforce.com, inc. (CRM)	Sale	03/11/2022	No	\$50,001 - \$100,000
69	Regeneron Pharmaceuticals, Inc. (REGN)	Sale	03/11/2022	No	\$50,001 - \$100,000
70	Merck & Co., Inc. (MRK)	Sale	03/11/2022	No	\$15,001 - \$50,000
71	Vertex Pharmaceuticals Incorporated (VRTX)	Sale	03/11/2022	No	\$15,001 - \$50,000
72	Novartis AG (NVS)	Sale	03/11/2022	No	\$15,001 - \$50,000
73	Verizon Communications, Inc. (VZ)	Sale	03/11/2022	No	\$15,001 - \$50,000
74	Comcast Corp. (CMCSA)	Sale	03/11/2022	No	\$15,001 - \$50,000
75	Roche Holding AG (RHHBY)	Sale	03/11/2022	No	\$15,001 - \$50,000
76	The Walt Disney Co. (DIS)	Sale	03/11/2022	No	\$15,001 - \$50,000
77	Illumina, Inc. (ILMN)	Sale	03/11/2022	No	\$15,001 - \$50,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
78	AstraZeneca plc (AZNCF)	Sale	03/11/2022	No	\$15,001 - \$50,000
79	Cerner Corp. (CERN)	Sale	03/11/2022	No	\$15,001 - \$50,000
80	Intuitive Surgical, Inc. (ISRG)	Sale	03/11/2022	No	\$15,001 - \$50,000
81	Airbus SE (EADSF)	Sale	03/11/2022	No	\$15,001 - \$50,000
82	Microsoft Corp. (MSFT)	Sale	03/11/2022	No	\$15,001 - \$50,000
83	NVIDIA Corp. (NVDA)	Sale	03/11/2022	No	\$15,001 - \$50,000
84	Amazon.com, Inc. (AMZN)	Sale	03/11/2022	No	\$15,001 - \$50,000
85	Cisco Systems, Inc. (CSCO)	Sale	03/11/2022	No	\$15,001 - \$50,000
86	Oracle Corp. (ORCL)	Sale	03/11/2022	No	\$15,001 - \$50,000
87	Alphabet, Inc. CL C (GOOG)	Sale	03/11/2022	No	\$15,001 - \$50,000
88	Alphabet, Inc. (GOOGL)	Sale	03/11/2022	No	\$15,001 - \$50,000
89	Meta Platforms, Inc. (FB)	Sale	03/11/2022	No	\$15,001 - \$50,000
90	AbbVie, Inc. (ABBV)	Sale	03/11/2022	No	\$1,001 - \$15,000
91	Merck & Co., Inc. (MRK)	Sale	03/11/2022	No	\$1,001 - \$15,000
92	Regeneron Pharmaceuticals, Inc. (REGN)	Sale	03/11/2022	No	\$1,001 - \$15,000
93	Boeing Co (BA)	Sale	03/11/2022	No	\$1,001 - \$15,000
94	Autodesk, Inc. (ADSK)	Sale	03/11/2022	No	\$1,001 - \$15,000
95	salesforce.com, inc. (CRM)	Sale	03/11/2022	No	\$1,001 - \$15,000
96	Vertex Pharmaceuticals Incorporated (VRTX)	Sale	03/11/2022	No	\$1,001 - \$15,000
97	Eli Lilly & Co. (LLY)	Sale	03/11/2022	No	\$1,001 - \$15,000
98	Novartis AG (NVS)	Sale	03/11/2022	No	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
99	Lockheed Martin Corp. (LMT)	Sale	03/11/2022	No	\$1,001 - \$15,000
100	Roche Holding AG (RHHBY)	Sale	03/11/2022	No	\$1,001 - \$15,000
101	The Walt Disney Co. (DIS)	Sale	03/11/2022	No	\$1,001 - \$15,000
102	Medtronic Plc (MDT)	Sale	03/11/2022	No	\$1,001 - \$15,000
103	Abbott Laboratories (ABT)	Sale	03/11/2022	No	\$1,001 - \$15,000
104	Illumina, Inc. (ILMN)	Sale	03/11/2022	No	\$1,001 - \$15,000
105	AstraZeneca plc (AZNCF)	Sale	03/11/2022	No	\$1,001 - \$15,000
106	Intuitive Surgical, Inc. (ISRG)	Sale	03/11/2022	No	\$1,001 - \$15,000
107	Comcast Corp. (CMCSA)	Sale	03/11/2022	No	\$1,001 - \$15,000
108	Cerner Corp. (CERN)	Sale	03/11/2022	No	\$1,001 - \$15,000
109	Verizon Communications, Inc. (VZ)	Sale	03/11/2022	No	\$1,001 - \$15,000
110	Amgen Inc. (AMGN)	Sale	03/11/2022	No	\$1,001 - \$15,000
111	Airbus SE (EADSF)	Sale	03/11/2022	No	\$1,001 - \$15,000
112	Visa, Inc. (V)	Sale	03/23/2022	No	\$1,001 - \$15,000
113	UnitedHealth Group, Inc. (UNH)	Sale	03/23/2022	No	\$1,001 - \$15,000
114	Accenture Plc (ACN)	Sale	03/23/2022	No	\$1,001 - \$15,000
115	Deere & Co. (DE)	Sale	03/23/2022	No	\$1,001 - \$15,000
116	Lowe's Companies, Inc. (LOW)	Sale	03/23/2022	No	\$1,001 - \$15,000
117	The Charles Schwab Corp. (SCHW)	Sale	03/23/2022	No	\$1,001 - \$15,000
118	Union Pacific Corp. (UNP)	Sale	03/23/2022	No	\$1,001 - \$15,000
119	Texas Instruments Incorporated (TXN)	Sale	03/23/2022	No	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
120	NextEra Energy, Inc. (NEE)	Sale	03/23/2022	No	\$1,001 - \$15,000
121	Linde Plc (LIN)	Sale	03/23/2022	No	\$1,001 - \$15,000
122	Motorola Solutions, Inc. (MSI)	Sale	03/23/2022	No	\$1,001 - \$15,000
123	American Express Co. (AXP)	Sale	03/23/2022	No	\$1,001 - \$15,000
124	Colgate-Palmolive Co. (CL)	Sale	03/23/2022	No	\$1,001 - \$15,000
125	Monster Beverage Corp. (MNST)	Sale	03/23/2022	No	\$1,001 - \$15,000
126	Broadcom, Inc. (AVGO)	Sale	03/23/2022	No	\$1,001 - \$15,000
127	Chevron Corp. (CVX)	Sale	03/23/2022	No	\$1,001 - \$15,000
128	JPMorgan Chase & Co. (JPM)	Sale	03/23/2022	No	\$1,001 - \$15,000
129	McDonald's Corp. (MCD)	Sale	03/23/2022	No	\$1,001 - \$15,000
130	Marsh & McLennan Companies, Inc. (MMC)	Sale	03/23/2022	No	\$1,001 - \$15,000
131	QUALCOMM, Inc. (QCOM)	Sale	03/23/2022	No	\$1,001 - \$15,000
132	Anthem, Inc. (ANTM)	Sale	03/23/2022	No	\$1,001 - \$15,000
133	PepsiCo, Inc. (PEP)	Sale	03/23/2022	No	\$1,001 - \$15,000
134	CyrusOne, Inc. (CONE)	Sale	03/23/2022	No	\$1,001 - \$15,000
135	Chubb Ltd. (CB)	Sale	03/23/2022	No	\$1,001 - \$15,000
136	Philip Morris International, Inc. (PM)	Sale	03/23/2022	No	\$1,001 - \$15,000
137	Starbucks Corp. (SBUX)	Sale	03/23/2022	No	\$1,001 - \$15,000
138	Schlumberger Limited (SLB)	Sale	03/23/2022	No	\$1,001 - \$15,000
139	International Flavors & Fragrances, Inc. (IFF)	Sale	03/23/2022	No	\$1,001 - \$15,000
140	Trane Technologies plc (TT)	Sale	03/23/2022	No	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
141	WEC Energy Group, Inc. (WEC)	Sale	03/23/2022	No	\$1,001 - \$15,000
142	Expeditors International of Washington, Inc. (EXPD)	Sale	03/23/2022	No	\$1,001 - \$15,000
143	Baxter International, Inc. (BAX)	Sale	03/23/2022	No	\$1,001 - \$15,000
144	Mondelez International, Inc. (MDLZ)	Sale	03/23/2022	No	\$1,001 - \$15,000
145	Fidelity National Information Services, Inc. (FIS)	Sale	03/23/2022	No	\$1,001 - \$15,000
146	FactSet Research Systems, Inc. (FDS)	Sale	03/23/2022	No	\$1,001 - \$15,000
147	Honeywell International, Inc. (HON)	Sale	03/23/2022	No	\$1,001 - \$15,000
148	The TJX Companies, Inc. (TJX)	Sale	03/23/2022	No	\$1,001 - \$15,000
149	CME Group, Inc. (CME)	Sale	03/23/2022	No	\$1,001 - \$15,000
150	Phillips 66 (PSX)	Sale	03/23/2022	No	\$1,001 - \$15,000
151	Packaging Corporation of America (PKG)	Sale	03/23/2022	No	\$1,001 - \$15,000
152	Duke Realty Corp. (DRE)	Sale	03/23/2022	No	\$1,001 - \$15,000
153	AT&T, Inc. (T)	Sale	03/23/2022	No	\$1,001 - \$15,000
154	SEI Investments Co. (SEIC)	Sale	03/23/2022	No	\$1,001 - \$15,000
155	Novo Nordisk A/S (NVO)	Sale	03/23/2022	No	\$1,001 - \$15,000
156	Yum! Brands, Inc. (YUM)	Sale	03/23/2022	No	\$1,001 - \$15,000
157	Workday, Inc. (WDAY)	Sale	03/23/2022	No	\$1,001 - \$15,000
158	Automatic Data Processing, Inc. (ADP)	Sale	03/23/2022	No	\$1,001 - \$15,000
159	Visa, Inc. (V)	Sale	03/23/2022	No	\$15,001 - \$50,000
160	QUALCOMM, Inc. (QCOM)	Sale	03/23/2022	No	\$15,001 - \$50,000
161	Broadcom, Inc. (AVGO)	Sale	03/23/2022	No	\$15,001 - \$50,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
162	Texas Instruments Incorporated (TXN)	Sale	03/23/2022	No	\$15,001 - \$50,000
163	Starbucks Corp. (SBUX)	Sale	03/23/2022	No	\$15,001 - \$50,000
164	JPMorgan Chase & Co. (JPM)	Sale	03/23/2022	No	\$15,001 - \$50,000
165	NextEra Energy, Inc. (NEE)	Sale	03/23/2022	No	\$15,001 - \$50,000
166	Deere & Co. (DE)	Sale	03/23/2022	No	\$15,001 - \$50,000
167	PepsiCo, Inc. (PEP)	Sale	03/23/2022	No	\$15,001 - \$50,000
168	Automatic Data Processing, Inc. (ADP)	Sale	03/23/2022	No	\$1,001 - \$15,000
169	The Home Depot, Inc. (HD)	Sale	03/23/2022	No	\$1,001 - \$15,000
170	Chevron Corp. (CVX)	Sale	03/23/2022	No	\$1,001 - \$15,000
171	Truist Financial Corp (TFC)	Sale	03/23/2022	No	\$1,001 - \$15,000
172	Mondelez International, Inc. (MDLZ)	Sale	03/23/2022	No	\$1,001 - \$15,000
173	Proctor & Gamble Co (PG)	Sale	03/23/2022	No	\$1,001 - \$15,000
174	Monster Beverage Corp. (MNST)	Sale	03/23/2022	No	\$1,001 - \$15,000
175	Paychex, Inc. (PAYX)	Sale	03/23/2022	No	\$1,001 - \$15,000
176	BlackRock, Inc. (BLK)	Sale	03/23/2022	No	\$1,001 - \$15,000
177	Marsh & McLennan Cos Inc (MMC)	Sale	03/23/2022	No	\$1,001 - \$15,000
178	Crown Castle International Corp., Inc. (REIT) (CCI)	Sale	03/23/2022	No	\$1,001 - \$15,000
179	Air Products and Chemicals, Inc. (APD)	Sale	03/23/2022	No	\$1,001 - \$15,000
180	Sempra Energy (SRE)	Sale	03/23/2022	No	\$1,001 - \$15,000
181	Prologis, Inc. (PLD)	Sale	03/23/2022	No	\$1,001 - \$15,000
182	McDonald's Corp. (MCD)	Sale	03/23/2022	No	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
183	The PNC Financial Services Group, Inc. (PNC)	Sale	03/23/2022	No	\$1,001 - \$15,000
184	Schlumberger NV (SLB)	Sale	03/23/2022	No	\$1,001 - \$15,000
185	Expeditors International of Washington, Inc. (EXPD)	Sale	03/23/2022	No	\$1,001 - \$15,000
186	Novo Nordisk A/S (NONOF)	Sale	03/23/2022	No	\$1,001 - \$15,000
187	FactSet Research Systems, Inc. (FDS)	Sale	03/23/2022	No	\$1,001 - \$15,000
188	ASML Holding N.V. (ASMLF)	Sale	03/23/2022	No	\$1,001 - \$15,000
189	American Tower Corporation (REIT) (AMT)	Sale	03/23/2022	No	\$1,001 - \$15,000
190	Eaton Corp. Plc (ETN)	Sale	03/23/2022	No	\$1,001 - \$15,000
191	U.S. Bancorp (USB)	Sale	03/23/2022	No	\$1,001 - \$15,000
192	Realty Incm Crp Md PV \$1 (O)	Sale	03/23/2022	No	\$1,001 - \$15,000
193	Illinois Tool Works, Inc. (ITW)	Sale	03/23/2022	No	\$1,001 - \$15,000
194	WEC Energy Group, Inc. (WEC)	Sale	03/23/2022	No	\$1,001 - \$15,000
195	SEI Investments Company (SEIC)	Sale	03/23/2022	No	\$1,001 - \$15,000
196	Best Buy Co., Inc. (BBY)	Sale	03/23/2022	No	\$1,001 - \$15,000
197	Novo Nordisk A/S (NVO)	Sale	03/23/2022	No	\$1,001 - \$15,000
198	Taiwan Manufacturin ADR (TSM)	Sale	03/23/2022	No	\$1,001 - \$15,000
199	Keyence Corp (KYCCF)	Sale	03/23/2022	No	\$1,001 - \$15,000
200	Yum! Brands Inc. (YUM)	Sale	03/23/2022	No	\$1,001 - \$15,000
201	Workday Inc Cl A (WDAY)	Sale	03/23/2022	No	\$1,001 - \$15,000
202	Colgate-Palmolive Co. (CL)	Sale	03/23/2022	No	\$1,001 - \$15,000
203	United Parcel Service, Inc. (UPS)	Sale	03/23/2022	No	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
204	Nestle S.A. (NSRGF)	Sale	03/23/2022	No	\$1,001 - \$15,000
205	London STK Exchange LDNXF)	Sale	03/23/2022	No	\$1,001 - \$15,000
206	Honeywell International, Inc. (HON)	Sale	03/23/2022	No	\$1,001 - \$15,000
207	ABB Ltd. (ABLZF)	Sale	03/23/2022	No	\$1,001 - \$15,000
208	British American Tobacco p.l.c. (BTAFF)	Sale	03/23/2022	No	\$1,001 - \$15,000
209	Kering SA (PPRUF)	Sale	03/23/2022	No	\$1,001 - \$15,000
210	Safran SA (SAFRF)	Sale	03/23/2022	No	\$1,001 - \$15,000
211	EssilorLuxottica (ESLOF)	Sale	03/23/2022	No	\$1,001 - \$15,000
212	Shin-Etsu Chemical, Co., Ltd. (SHECF)	Sale	03/23/2022	No	\$1,001 - \$15,000
213	Eversource Energy (ES)	Sale	03/23/2022	No	\$1,001 - \$15,000
214	SMC Corporation (SMECF)	Sale	03/23/2022	No	\$1,001 - \$15,000
215	Phillips 66 SHS (PSX)	Sale	03/23/2022	No	\$1,001 - \$15,000
216	Givaudan SA (GVDNY)	Sale	03/23/2022	No	\$1,001 - \$15,000
217	TFI International Inc (TFII)	Sale	03/23/2022	No	\$1,001 - \$15,000
218	Genmab A/S (GNMSF)	Sale	03/23/2022	No	\$1,001 - \$15,000
219	Enel SpA (ESOCF)	Sale	03/23/2022	No	\$1,001 - \$15,000
220	Sap SE (SAPGF)	Sale	03/23/2022	No	\$1,001 - \$15,000
221	DBS Group Holdings, Ltd. (DBSDF)	Sale	03/23/2022	No	\$1,001 - \$15,000
222	Carlsberg A/S (CABJF)	Sale	03/23/2022	No	\$1,001 - \$15,000
223	Anheuser-Busch InBev SA/NV (BUDFF)	Sale	03/23/2022	No	\$1,001 - \$15,000
224	Hermes International S A (HESAF)	Sale	03/23/2022	No	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
225	Shionogi & Co., Ltd. (SGIOF)	Sale	03/23/2022	No	\$1,001 - \$15,000
226	Compagnie Financiere Richemont SA (CFRHF)	Sale	03/23/2022	No	\$1,001 - \$15,000
227	Mercadolibre Inc (MELI)	Sale	03/23/2022	No	\$1,001 - \$15,000
228	Reckitt Benckiser Group (RBGPF)	Sale	03/23/2022	No	\$1,001 - \$15,000
229	Total SE (TTFNF)	Sale	03/23/2022	No	\$1,001 - \$15,000
230	L'Oreal S.A. (LRLCF)	Sale	03/23/2022	No	\$1,001 - \$15,000
231	Relx Plc (RLXXF)	Sale	03/23/2022	No	\$1,001 - \$15,000
232	STMicroelectronics N.V. (STMEF)	Sale	03/23/2022	No	\$1,001 - \$15,000
233	DNB Bank ASA (DNBBF)	Sale	03/23/2022	No	\$1,001 - \$15,000
234	ENGIE SA (ENGQF)	Sale	03/23/2022	No	\$1,001 - \$15,000
235	L.V.M.H. (LVMHF)	Sale	03/23/2022	No	\$1,001 - \$15,000
236	Uni Charm Co Ltd (UNCHF)	Sale	03/23/2022	No	\$1,001 - \$15,000
237	Banco Bilbao Vizcaya Argentaria, S.A. (BBVXF)	Sale	03/23/2022	No	\$1,001 - \$15,000
238	Epiroc AB (publ) (EPIAF)	Sale	03/23/2022	No	\$1,001 - \$15,000
239	Corning Incorporated (GLW)	Sale	03/23/2022	No	\$1,001 - \$15,000
240	Aon Plc (AON)	Sale	03/23/2022	No	\$1,001 - \$15,000
241	HOYA Corporation (HOCPF)	Sale	03/23/2022	No	\$1,001 - \$15,000
242	Nippon Telegraph & Telephone Corp. (NPPXF)	Sale	03/23/2022	No	\$1,001 - \$15,000
243	Diageo plc (DGEAF)	Sale	03/23/2022	No	\$1,001 - \$15,000
244	DVS Panalpina A/S (DSDVF)	Sale	03/23/2022	No	\$1,001 - \$15,000
245	Industria De Diseno Textile, S.A. (IDEXF)	Sale	03/23/2022	No	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
246	Pernod Ricard (PDRDF)	Sale	03/23/2022	No	\$1,001 - \$15,000
247	Tokyo Electron Limited (TOELF)	Sale	03/23/2022	No	\$1,001 - \$15,000
248	Straumann Holdgs (SAUHF)	Sale	03/23/2022	No	\$1,001 - \$15,000
249	Canadian National Railway Company (CNI)	Sale	03/23/2022	No	\$1,001 - \$15,000
250	Nitori Holdings Co., Ltd. (NCLTF)	Sale	03/23/2022	No	\$1,001 - \$15,000
251	Nidec Corporation (NNDNF)	Sale	03/23/2022	No	\$1,001 - \$15,000
252	Iberdrola S.A. (IBDSF)	Sale	03/23/2022	No	\$1,001 - \$15,000
253	Koninklijke KPN (KKPNF)	Sale	03/23/2022	No	\$1,001 - \$15,000
254	Atlassian Corporation plc (TEAM)	Sale	03/23/2022	No	\$1,001 - \$15,000
255	Murata Manufacturing Co., Ltd. (MRAAF)	Sale	03/23/2022	No	\$1,001 - \$15,000
256	HDFC Bank Limited (HDB)	Sale	03/23/2022	No	\$1,001 - \$15,000
257	Recruit Holdings Co. Ltd. (RCRRF)	Sale	03/23/2022	No	\$1,001 - \$15,000
258	Deutsche Borse AG (DBOEF)	Sale	03/23/2022	No	\$1,001 - \$15,000
259	Associated British Foods plc (ASBFF)	Sale	03/23/2022	No	\$1,001 - \$15,000
260	B&M European Value Retail (BMRPF)	Sale	03/23/2022	No	\$1,001 - \$15,000
261	ASSA ABLOY AB (publ) (ASAZF)	Sale	03/23/2022	No	\$1,001 - \$15,000

## **Endnotes**

# Summary of Contents

The 278-T discloses purchases, sales, or exchanges of securities in excess of \$1,000 made on behalf of the filer, the filer's spouse, or dependent child. Transactions are required to be disclosed within 30 days of receiving notification of a transaction but not later than 45 days after the transaction. Filers need not disclose (1) mutual funds and

other excepted investment funds; (2) certificates of deposit, savings or checking accounts, and money market accounts; (3) U.S. Treasury bills, notes, and bonds; (4) Thrift Savings Plan accounts; (5) real property; and (6) transactions that are solely by and between the filer, the filer's spouse, and the filer's dependent children.

#### **Privacy Act Statement**

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person. department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order: (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.